

Sterling Connect:Direct for z/OS



# Administration Guide

*Version 5.2*



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**Note**

Before using this information and the product it supports, read the information in "Notices" on page 407.

This edition applies to Version 5 Release 2 of IBM Sterling Connect:Direct and to all subsequent releases and modifications until otherwise indicated in new editions.

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# Contents

## Chapter 1. Basic System Administrative 1

Sterling Connect:Direct Native Command Structure	3
Managing Tasks	6
Displaying Task Status	6
Removing Tasks from Execution	9
Sterling Connect:Direct Secure Plus Commands	12
Certificate Validity Check Used by Sterling	
Connect:Direct Secure Plus	12
A TLS/SSL Environment Refresh	13
Sterling Connect:Direct Secure Plus Display	13
Displaying Initialization Parameter Settings	14
Using the INQUIRE INITPARM Command from the Batch Interface	14
Issuing the INQUIRE INITPARM Command through the IUI	15
Modifying Initialization Parameter Settings while Sterling Connect:Direct is Running	15
Using the MODIFY INITPARMS Command from the Batch Interface	16
Issuing the MODIFY INITPARMS Command through the IUI	17
Backing up the Global and Local Initialization Parameter Files	17
Manually Backing Out or Restoring	18
Displaying Sterling Connect:Direct/Plex Status	19
Using the INQUIRE CDPLEX Command from the Batch Interface	19
Issuing the INQUIRE CDPLEX Command through the IUI	19
Stopping Sterling Connect:Direct	19
Stopping Sterling Connect:Direct through the Batch Interface	21
Stopping Sterling Connect:Direct through the IUI	21
Suspending and Resuming Quiesce and Trace Settings	22
Suspending or Resuming Processing on a Node through the Batch Interface	25
Suspending or Resuming Processing on a Node through the IUI	25
Suspending or Resuming Processing on Nodes through the Modify Nodes Screen	26
Modify Nodes Screen	27
Global Signon Defaults	29

## Chapter 2. Implementing Security . . . 33

Security Exits	34
SIGNON Command Sequence	35
Security during Signon Command	35
Process Execution Sequence	37
Implementing Security Exits	39
Stage 1 Signon Security Exit	39
Stage 2 Security Exit	40
Run Job Security Exit	58
Run Task Security Exit	59
Sterling Connect:Direct Secure Point-of-Entry	59

Trusted Node Security	61
Cross-Domain Signon Environment	62
Data Direction Restriction	62
Implementing a CA-ACF2 Environment	63
Implementing an IBM RACF Environment	64
Providing Program Access to Data Sets (PADS)	65
Implementing a CA-TOP SECRET Environment	65
Configuring Firewall Navigation	66
Firewall Navigation Rules Overview	67
Session Establishment	70
Troubleshooting Security Errors	71

## Chapter 3. Maintaining User

### Authorization . . . . . 75

Authorization File	75
INSERT USER and UPDATE USER Commands	76
Deleting Users from the Authorization File	84
Selecting User Information from the Authorization File	86

### Chapter 4. Maintaining the Type File . . 91

Overriding File Attributes	91
INSERT TYPE and UPDATE TYPE Commands	92
Inserting and Updating Type Files through the Batch Interface	98
Inserting and Updating Type Files through the IUI	98
DELETE TYPE Command	100
Deleting Types Files through the Batch Interface	101
Deleting Type Files through the IUI	101
SELECT TYPE Command	101
Selecting Type Records through the Batch Interface	103
Selecting Type Records through the IUI	103

### Chapter 5. Maintaining the Network

#### Map . . . . . 105

Local Node Entry	105
Local Node Positional Parameters	106
Local Node Keyword Parameters	107
When to Define the Local Node as the Adjacent Node	
Adjacent Node Entry	107
Positional Parameters for Adjacent Node Entries	109
Keyword Parameters for Adjacent Node Entries	111
Using Alternate Communications Paths	119
PNODE=SNODE Processing	120
TCP/IP Considerations	120
Channel-to-Channel Support	125
API Signons	126
Adjacent Node API Signon Definition Examples	126
Examples of Local and Adjacent Node Records	127
Alternate Communications Path Examples	128
Sterling Connect:Direct for z/OS Adjacent Node Examples	129

How to Update the Network Map . . . . .	133
Updating the Network Map while Sterling Connect:Direct is Not Executing . . . . .	133
Updating the Network Map while Sterling Connect:Direct is Running . . . . .	134
Using \$\$ACTION VERBS in the Network Map . . . . .	135
Updating the Netmap through the Batch Interface . . . . .	136
Updating the Netmap through the IUI Interface . . . . .	136
\$\$ACTION Verb Examples . . . . .	137
Viewing the Network Map . . . . .	141

## Chapter 6. Configuring a Sterling Connect:Direct/Plex Environment. . . 143

A New Sterling Connect:Direct/Plex Environment . . . . .	145
Setting Up a New Sterling Connect:Direct/Plex Environment . . . . .	146
Advanced Sterling Connect:Direct/Plex Configuration Considerations . . . . .	149
Sterling Connect:Direct/Plex System File Considerations . . . . .	149
Local Node Naming Considerations . . . . .	150
Strategies for Communicating with Non-Plex Servers . . . . .	151
Converting an Existing Stand-Alone Server to a Plex Environment . . . . .	153
Converting an Existing Production Server to a Plex Environment . . . . .	153
Converting Two Existing Stand-Alone Server Systems to a Plex Environment . . . . .	156
Converting a Standalone Server System into One Sterling Connect:Direct/Plex Setup . . . . .	158
Additional Sterling Connect:Direct/Plex Configuration Examples . . . . .	161
Configuration Examples Using One Sterling Connect:Direct for z/OS System . . . . .	161
Configuration Example Using Two Sterling Connect:Direct for z/OS Systems . . . . .	165

## Chapter 7. Configuring Extended Recovery . . . . . 169

Setting Up Extended Recovery for a Sterling Connect:Direct/Stand-Alone Server . . . . .	169
Setting Up Extended Recovery for a Sterling Connect:Direct/Plex Environment . . . . .	170

## Chapter 8. Configuring SNMP Support 173

Identifying Trap Variables . . . . .	173
Type Events . . . . .	174
Initialization Events . . . . .	174
Shutdown Events . . . . .	175
API Events . . . . .	175
Execution Events . . . . .	175
STATS Events . . . . .	177
Miscellaneous Events . . . . .	178
Setting Up SNMP . . . . .	179
After SNMP Setup . . . . .	180
INQUIRE SNMP Command Displays the SNMP Trap Table . . . . .	180

Issuing the INQUIRE SNMP Command through the IUI . . . . .	180
Using the INQUIRE SNMP Command from the Batch Interface . . . . .	181

## Chapter 9. Sterling Connect:Direct Exits . . . . . 183

Statistics Exit . . . . .	184
Sample Statistics Exits . . . . .	185
Statistics Exit Calling Conventions . . . . .	185
Statistics Records . . . . .	186
Submit Exit . . . . .	190
Sample Submit Exits . . . . .	190
Submit Exit Processing Flow . . . . .	191
Stage 1 Submit Exit . . . . .	192
Stage 2 Submit Exit . . . . .	192
Control Block Format . . . . .	192
Modifiable TCQE Fields . . . . .	195
Conversion of Parallel Session Values . . . . .	197
ALLOCATION EXIT . . . . .	197
Sample Allocation Exits . . . . .	197
Restrictions and Requirements . . . . .	199
How the Allocation Exit Executes . . . . .	200
How to Calculate Addresses and Values . . . . .	201
Copy Control Block Definitions . . . . .	202
Copy Control Block Modifications . . . . .	202
DDESCR Control Block Format . . . . .	202
I/O Exit . . . . .	204
Sample I/O Exit . . . . .	204
Specifying the I/O Exit in the COPY Statement . . . . .	204
Specify the I/O Exit in the TYPE File . . . . .	205
I/O Exit Access to Control Blocks . . . . .	205
I/O Exit Requests . . . . .	206
Normal Input Calling Sequence . . . . .	207
Normal Output Calling Sequence . . . . .	208
Data Exit . . . . .	209
Sample Data Exits . . . . .	210
Data Exit Access to Control Blocks . . . . .	211
Data Exit Requests . . . . .	211
Normal Input Calling Sequence . . . . .	213
Normal Output Calling Sequence . . . . .	213
WLM Exit . . . . .	214
Exit Calling Convention . . . . .	214
Sample WLM Exit . . . . .	215
Tapemount Exit . . . . .	215
Sample Tapemount Exit . . . . .	216
Process Exit for Testing (DGAXPRCT) . . . . .	216
Flow of the DGAXPRCT Exit . . . . .	217
Setting Up and Using the DGAXPRCT Exit . . . . .	218
Sample Test Scenarios . . . . .	221
Reusing the DGAXPRCT Exit . . . . .	223
DGAXPRCT Output . . . . .	224

## Chapter 10. Custom Messages in the Message Library . . . . . 227

Message IDs . . . . .	228
-----------------------	-----

## Chapter 11. Customizing Submit Screens. . . . . 229

Step 1 - Modify the Existing Menu DGA@SM03 . . . . .	229
--	-----

Step 2 - Define General Purpose Process . . . . .	230
Step 3 - Provide a New Submit Screen . . . . .	230
<b>Chapter 12. Administering Statistics . . . . .</b>	<b>237</b>
Tools to Monitor the Statistics Facility . . . . .	238
INQUIRE STATISTICS Command . . . . .	238
S2 Statistics Records . . . . .	238
SS Statistics Records . . . . .	239
Using the DGASSTAT Utility to Determine File Usage . . . . .	239
Optimizing Statistics Files . . . . .	240
Change the File Pair Configuration . . . . .	241
File Pair Verification . . . . .	241
File Pair List Verification . . . . .	242
Archiving Statistics . . . . .	242
Archiving Using a Predefined Process . . . . .	242
Timing the Archive . . . . .	243
Using the SELECT STATISTICS Command with Archived Statistics. . . . .	244
Maintaining an Archive File Directory . . . . .	244
Archive-Related Utilities. . . . .	245
Sample Archiving Setup. . . . .	249
Displaying the Status of the Statistics Logging Facility . . . . .	252
Statistics Inquiry through the Batch Interface . . . . .	253
Statistics Inquiry through the IUI Interface . . . . .	253
Displaying the Statistics Archive File Directory . . . . .	254
Viewing the Statistics Archive Directory through the Batch Interface. . . . .	256
Viewing the Statistics Archive Directory through the IUI Interface . . . . .	256
Switching the Statistics File Pair . . . . .	257
Initiating a Statistics File Pair Switch through the Batch Interface. . . . .	257
Initiating a Statistics File Pair Switch through the IUI Interface . . . . .	258
Recording Statistics for Specific Record Types . . . . .	258
Excluding Statistics Logging through the Batch Interface . . . . .	258
Excluding Statistics Logging through the IUI Interface . . . . .	259
Notifying Sterling Connect:Direct of Statistics File Archival . . . . .	259
Issuing Archive Notification through the Batch Interface . . . . .	259
Issuing Archive Notification through the IUI Interface . . . . .	260
<b>Chapter 13. Managing the Transmission Control Queue. . . . .</b>	<b>261</b>
Configure the TCQ . . . . .	261
How to Troubleshoot the TCQ. . . . .	262
Using the TCQ/TCX Repair Utility (DGADTQFX) . . . . .	262
DGADTQFX Examples . . . . .	264
DGADTQFX Output . . . . .	265
<b>Chapter 14. Supporting DBCS and MBCS. . . . .</b>	<b>267</b>
Overview of DBCS . . . . .	267

Translation Tables . . . . .	267
Customize Translation Tables . . . . .	268
DBCS . . . . .	268
END . . . . .	269
NAME=[tablename   <u>XLATE</u> ]. . . . .	269
TITLE=[title name   <u>DBCS TRANSLATION TABLE</u> ] . . . . .	269
DEFAULT=nnnn . . . . .	270
RULES . . . . .	270
SBCS . . . . .	275
Comments . . . . .	276
Sample Preprocessor Input Data Stream . . . . .	276
Sample JCL to EXECUTE the Preprocessor . . . . .	276
Alternate Logic Applied to DBCS and SBCS Translation (LOGIC =B   (B,RC) ) . . . . .	277
MBCS Conversions . . . . .	279
<b>Chapter 15. Performance Tuning . . . . .</b>	<b>281</b>
Analyzing TCP/IP Performance . . . . .	281
General TCP/IP Problems . . . . .	281
Problems Involving Executing Sterling Connect:Direct Processes . . . . .	283
How to Improve BSAM Data Transfer Rates . . . . .	284
Troubleshooting BSAM Data Transfers . . . . .	285
Increasing Throughput and Decreasing CPU Utilization . . . . .	285
Compression Control Feature . . . . .	286
Using Extended Compression . . . . .	288
zIIP Exploitation Feature . . . . .	289
Considerations When Using Sterling Connect:Direct Secure Plus . . . . .	291
<b>Chapter 16. Using zFBA for File Transfer. . . . .</b>	<b>293</b>
How zFBA works with Sterling Connect:Direct . . . . .	293
Special Considerations when using zFBA with Sterling Connect:Direct . . . . .	294
zFBA Error Handling . . . . .	294
<b>Chapter 17. Using zEDC with Sterling Connect:Direct. . . . .</b>	<b>297</b>
<b>Chapter 18. Simultaneous Session Reporting . . . . .</b>	<b>299</b>
SMF Record Layout . . . . .	299
Reporting . . . . .	301
<b>Chapter 19. Isolating Problems. . . . .</b>	<b>303</b>
Providing Dumps to Customer Support . . . . .	304
Sterling Connect:Direct Data Transmission Facility (DTF) Dumps . . . . .	305
Sterling Connect:Direct IUI ABEND Dumps . . . . .	307
DGADBATC Batch Dumps . . . . .	307
VSAM File Dumps . . . . .	308
Suppressing Dumps for Specific ABEND Codes . . . . .	308
Resolving the Problem . . . . .	309
Reviewing Sterling Connect:Direct Messages and Sense Codes . . . . .	309

Interactive Use of the Sterling Connect:Direct Message Facility . . . . .	310
Examine Output from Select Commands . . . . .	311
SELECT STATISTICS Command . . . . .	311
Verify File Attributes . . . . .	313
Common Errors . . . . .	313
Signon and UI/API Errors . . . . .	313
Sterling Connect:Direct DTF Session-Establishment Errors . . . . .	318
Sterling Connect:Direct DTF Out-of-Storage ABENDS . . . . .	319
Allocation and Open Errors . . . . .	320
Transmission Errors . . . . .	321
Operator Interface Errors . . . . .	323
Diagnostic Tools . . . . .	324
Security Traces . . . . .	324
Sterling Connect:Direct Function Traces. . . . .	325
Sterling Connect:Direct Automatic Traces . . . . .	334
DD Statements in Startup JCL . . . . .	335

## Chapter 20. Global Initialization

### Parameters . . . . . 339

ABEND.CODES.NODUMP=(ABEND code list) . . . . .	339
ABEND.RUNTASK = ( <u>DUMP</u>   ABEND.CODES.NODUMP) . . . . .	340
ALLOC.CODES = (allocation errors). . . . .	340
ALLOC.MSG.LEVEL = <u>INFO</u>   <u>WARN</u>   <u>SEVERE</u> . . . . .	341
ALLOC.RETRIES = number of retries . . . . .	342
ALLOC.WAIT = hh:mm:ss . . . . .	342
ALLOCATION.EXIT = modname. . . . .	342
CDPLEX = <u>NO</u>   <u>YES</u> . . . . .	342
CDPLEX.MAXSERVER = maximum number of servers   <u>4</u> . . . . .	342
CDPLEX.TIMER = <u>5</u>   number of minutes. . . . .	343
CDPLEX.WLM.GOAL = ( <u>NO</u>   <u>YES</u> , exitname) . . . . .	343
CHECK.CERT.EXPIRE = <u>NO</u>   <u>YES</u> . . . . .	343
CHECK.CERT.EXPIRE.TIME = <u>00:00:00</u>   HH:MM:SS . . . . .	343
CHECK.CERT.EXPIRE.WARN.DAYS = <u>30</u>   nnn . . . . .	344
CKPT = nK   nM . . . . .	344
CKPT.DAYS = number of days . . . . .	344
CKPT.MODE = ( <u>RECORD</u>   <u>BLOCK BLOCK</u>   RECORD PDS   <u>NOPDS NOPDS</u>   <u>PDS VSAM</u>   NOVSAM <u>VSAM</u>   <u>NOVSAM</u> ) . . . . .	345
COMPRESS.EXT = <u>ALLOW</u>   <u>DISALLOW</u> . . . . .	345
COMPRESS.NEGO.FAIL = <u>STEP</u>   <u>PROCESS</u> . . . . .	346
COMPRESS.NETMAP.OVERRIDE = <u>ALLOW</u>   DISALLOW . . . . .	346
COMPRESS.STD = <u>ALLOW</u>   <u>DISALLOW</u> . . . . .	346
CONFIRM.COLD.START = <u>YES</u>   <u>NO</u> . . . . .	347
CRC = ( <u>OFF</u>   <u>ON</u> , <u>YES</u> , No) . . . . .	347
CTCA = <u>NO</u>   <u>YES</u> . . . . .	347
CTCA.TIMER = number of seconds . . . . .	347
DATEFORM = ( <u>MDY</u>   <u>DMY</u>   <u>YMD</u>   <u>YDM</u> ) . . . . .	348
DEBUG = nnnnnnnn . . . . .	348
DEFAULT.PERMISS = (text_permissions   <u>644</u> , binary_permissions   <u>755</u> ) . . . . .	349
DESC.CRIT = (descriptor code) . . . . .	349
DESC.NORM = (n,n,...) . . . . .	350
DESC.TAPE = (n,n,...). . . . .	350
DSNTYPE = <u>YES</u>   <u>NO</u> . . . . .	350

ECZ.COMPRESSION.LEVEL = <u>1</u>   n . . . . .	350
ECZ.MEMORY.LEVEL = <u>4</u>   n. . . . .	351
ECZ.WINDOWSIZE = <u>13</u>   nn . . . . .	351
ESF.WAIT = hh:mm:ss . . . . .	351
EXPDT = (TT,DD,TD,DT) (if multiple values EXPDT = TT   DD   TD   DT   ALL   <u>NONE</u> (if only one value). . . . .	352
EXTENDED.RECOVERY = <u>NO</u>   <u>YES</u> . . . . .	353
FIPS = <u>NO</u>   <u>YES</u> . . . . .	353
GDGALLOC = <u>GENERATION</u>   DSNAME . . . . .	353
GDGENQ = <u>YES</u>   <u>NO</u> . . . . .	355
IMMEDIATE.SHUTDOWN = <u>I</u>   R   (I, nnn   <u>60</u> )   (R, nnn   <u>60</u> ). . . . .	355
INITPARM.BACKUP . . . . .	356
INITPARM.BACKUP = member . . . . .	356
INVOKE.ALLOC.EXIT = <u>SEND</u>   <u>RECV</u>   <u>BOTH</u> . . . . .	356
INVOKE.ALLOC.EXIT.ON.RESTART = <u>YES</u>   <u>NO</u> . . . . .	357
INVOKE.SPOE.ON.SNODEID = <u>NO</u>   <u>YES</u> . . . . .	357
MAX.AGE = (nnn , *   *(nnn)   ALL   ALL(nnn)   status_type   status_type (nnn) , list) . . . . .	357
MAX.AGE.TOD = time . . . . .	359
MAXPRIMARY = number of PNODE sessions . . . . .	359
MAXPROCESS = number of executing PNODE and SNODE Processes . . . . .	359
MAXRETRIES = number of retries . . . . .	360
MAXSECONDARY = number of SNODE sessions . . . . .	360
MAXSTGIO = maximum storage used for sequential data set transfers for system-determined NCP, maximum I/O storage for user-specified NCP) . . . . .	360
MAX.TAPE = number of tape Processes   <u>NONE</u> . . . . .	361
MAXUSERS = number of users . . . . .	362
MCS.CLIST = console operator CLIST library file name . . . . .	362
MCS.SIGNON = (SIGNON USERID = (user ID,password) NETMAP = network map [optional parameters]). . . . .	362
MULTI.COPY.STAT.RCD=not set   CT   MC   M2 . . . . .	362
NETMAP.CHECK = <u>NO</u>   ( <u>ALL</u>   TCP, <u>ALL</u>   <u>BOTH</u>   NODENAME, <u>FAIL</u>   <u>WARN</u>   <u>PASS</u> ) . . . . .	363
NETMAP.CHECK.ON.CALL= <u>YES</u>   <u>NO</u> . . . . .	364
NODE QUIESCE.OFF = NODENAME . . . . .	365
NODE QUIESCE.ON = NODENAME . . . . .	365
NODE.TRACE.OFF = NODENAME . . . . .	366
NODE.TRACE.ON = (NODENAME,nnnnnnnn) . . . . .	366
NON.SWAPABLE = <u>YES</u>   <u>NO</u> . . . . .	366
PDSE.SHARING = <u>YES</u>   <u>NO</u> . . . . .	367
PDSENQ = <u>YES</u>   <u>NO</u> . . . . .	368
PROCESS.RETENTION = <u>YES</u>   <u>NO</u> . . . . .	368
PRTYDEF = Process priority . . . . .	369
QUIESCE = <u>YES</u>   <u>NO</u> . . . . .	369
QUIESCE.NODE = node name . . . . .	369
REMOTE.DUMMY.PASSWORD = [ <u>YES</u>   INTERNAL ] . . . . .	370
QUEUE = <u>YES</u>   <u>NO</u> . . . . .	370
RESET.ORIGIN.ON.SUBMIT = <u>YES</u>   <u>NO</u> . . . . .	371
REUSE.SESIONS = <u>YES</u>   <u>NO</u> . . . . .	371
ROUTCODE.CRIT = (route code) . . . . .	372
ROUTCODE.NORM = (route code) . . . . .	372
ROUTCODE.TAPE = (route code) . . . . .	372
RUN.JOB.EXIT = modname . . . . .	373



RUNJOBID = <u>USER</u>   <u>CD</u> . . . . .	373
RUN.TASK.EXIT = modname . . . . .	373
RUNTASK.RESTART = <u>YES</u>   <u>NO</u> . . . . .	374
S+CMD.ENFORCE.SECURE.CONNECTION = <u>YES</u>   <u>NO</u> . . . . .	374
SECURE.DSN = filename . . . . .	374
SECURE.SSL.PATH.PREFIX = prefix . . . . .	374
SECURITY.EXIT   SECURITY . . . . .	375
SECURITY.NOTIFY = <u>YES</u>   <u>NO</u>   <u>HOLD</u> . . . . .	375
SESSION.HIGHWATER.SMF = (133   128-255, 1-60) . . . . .	376
SNA = <u>YES</u>   <u>NO</u> . . . . .	376
SNMP = <u>YES</u>   <u>NO</u> . . . . .	376
SNMP.DSN = data set name   data set name (member). . . . .	377
SNMP.MANAGER.ADDR = hostname   IP address	377
SNMP.MANAGER.PORTNUM = port-number . . . . .	377
STAT.ARCH.CONFIRM = <u>YES</u>   <u>NO</u> . . . . .	377
STAT.BUFFER.ESDSDATA   STAT.BUFFER.KSDSINDEX   STAT.BUFFER.KSDSDATA . . . . .	378
STAT.ERROR = <u>ABEND</u>   <u>DISABLE</u> . . . . .	378
STAT.EXCLUDE = (record type list) . . . . .	378
STAT.INIT = <u>WARM</u>   <u>COLD</u> . . . . .	379
STAT.QUEUE.ELEMENTS = statistics record queue size. . . . .	379
STAT.SNODEID = ( <u>NO</u>   <u>YES,NO</u>   <u>YES</u> ) . . . . .	380
STAT.SWITCH.SUBMIT = dsn [member] . . . . .	381
STAT.SWITCH.TIME = (hh:mm:ss , ...) . . . . .	381
STAT.TPREC = (start_time, end_time, snaps_per_hour) . . . . .	381
STAT.USER = (user ID, [password]) . . . . .	382
STATISTICS.EXIT = modname   (modname[ <u>MANAGER</u>   <u>SERVER</u>   <u>BOTH</u> ]) . . . . .	382
STRNO.MSG = number   <u>10</u> . . . . .	383
SUBMIT.EXIT = modname . . . . .	383
SYSOUT = class . . . . .	383
TAPE.PREMOUNT = <u>YES</u>   <u>NO</u>   <u>LIST</u> . . . . .	383
TAPEIO = <u>BSAM</u>   <u>EXCP</u> . . . . .	384
TAPEMOUNT.EXIT = modname . . . . .	384
TCP = <u>OES</u>   <u>NO</u> . . . . .	385
TCP.API.LISTEN = ((addr , port) , (addrn , portn))	385
TCP.API.TIMER = <u>00:00:00</u>   hh:mm:ss . . . . .	386
TCP.CONNECT.TIMEOUT = 0   number of seconds	386
TCP.FMH.TIMER=hh:mm:ss . . . . .	386
TCP.LISTEN = ((addr , port) , (addrn , portn)) . . . . .	387
TCP.RUNTASK.TIMER = hh:mm:ss . . . . .	387
TCP.SRC.PORTS . . . . .	388
TCP.SRC.PORTS.LIST.ITERATIONS = number of scans . . . . .	389
TCP.TIMER = wait time . . . . .	389
TCQ = <u>WARM</u>   <u>COLD</u> . . . . .	389
TCQ.THRESHOLD = <u>NO</u>   <u>YES</u>   nn . . . . .	390
THIRD.DISP.DELETE = <u>YES</u>   <u>NO</u> . . . . .	390
TRACE.BUFFER = nn   <u>2</u> . . . . .	391
TRANS.SUBPAS = <u>YES</u>   <u>NO</u> . . . . .	392
UDP.SRC.PORTS . . . . .	392
UDP.SRC.PORTS.LIST.ITERATIONS = number of scans . . . . .	392

UDT = <u>YES</u>   <u>NO</u> . . . . .	392
UDT.MAXPROCESS = <u>2</u>   number of executing UDT Processes . . . . .	393
UDT33.LISTEN = ((ipaddr,port)[,(ipaddr,port)...])	393
UPPER.CASE = <u>YES</u>   <u>NO</u> . . . . .	393
V2.BUFSIZE = (maximum transmission buffer size, TCP/IP send/receive buffer size). . . . .	394
WTMESSAGE = <u>NO</u>   <u>YES</u>   (YES,nnn) . . . . .	394
WTRETRIES = hh:mm:ss   <u>00:03:00</u> . . . . .	395
XCF.NAME = XCF group name . . . . .	395
ZIIP.EXTCOMP.DATASIZE.THRESHOLD = data_size . . . . .	395
ZEDC = <u>ON</u>   <u>OFF</u> . . . . .	395
ZEDC.EXCLUDE = (list_of_recfm_exemptions) . . . . .	396
ZFBA = <u>YES</u>   <u>NO</u> . . . . .	396
ZIIP = <u>NONE</u>   <u>EXTCOMP</u>   <u>SSLTLS</u>   <u>ALL</u>   PROJECT. . . . .	396
Sterling Connect:Direct System File Initialization Parameters . . . . .	397
AUTHDSN = dsn . . . . .	397
CKPTDSN = dsn . . . . .	397
MSGDSN = dsn . . . . .	397
NETDSN = dsn. . . . .	397
STAT.ARCH.DIR = archive directory file name	397
STAT.DSN.BASE   STAT.FILE.PAIRS . . . . .	398
TYPEDSN = dsn . . . . .	398

## Chapter 21. Local Initialization

<b>Parameters . . . . .</b>	<b>399</b>
CDPLEX.INITPARM.BACKUP = member . . . . .	400
CDPLEX.MANAGER = <u>NO</u>   <u>YES</u> . . . . .	400
CDPLEX.MSGID = <u>NONE</u>   xx . . . . .	400
CDPLEX.PLEXCLASSES = (*,plexclass,....,plexclass)	401
CDPLEX.REDIRECT . . . . .	401
CDPLEX.REDIRECT.EXCEPTION = ((Mgr-IP, Ext_Svr-IP, Ext_Svr-port, Exception-IP, Exception-port),...). . . . .	402
CDPLEX.SERVER = Sterling Connect:Direct/Server name . . . . .	403
CDPLEX.SERVER.JOBDSN = data set name . . . . .	403
CDPLEX.SERVER.JOBMEM = ((member name,server name),...) . . . . .	403
CDPLEX.SERVER.NODE = node name . . . . .	403
CDPLEX.TIMER = <u>5</u>   number of minutes. . . . .	404
CDPLEX.VTAM = ( <u>VTAM-APPL,P/S-Node-APPL</u> )	404

## Chapter 22. Using the UDT Protocol

<b>405</b>	<b>405</b>
Initialization Parameters for UDT Connections . . . . .	405
Network Map Parameters . . . . .	406
PROCESS Statement Parameter . . . . .	406

## Notices . . . . . 407

## Index . . . . . 411



# Chapter 1. Basic System Administrative

The Administrative Options Menu provides access to system administration functions. To access this menu, do one of the following:

- Select ADMIN from the Primary Options Menu.
- Type =ADMIN on any IBM® Sterling Connect:Direct® screen command line and press ENTER.

The following figure is an example of the Administrative Options Menu. To access a function, type the function abbreviation on the command line and press ENTER.

**Note:** Various functions on the Administrative Options Menu may not be available to all users. Access is controlled through the User Authorization file.

```

View Modify Control Delete Secure+
-----
node.name          Connect:Direct ADMINISTRATIVE OPTIONS MENU
CMD ==>

SELECT ONE OF THE FOLLOWING:
ST  - VIEW TYPE RECORD                *****
IT  - INSERT/UPDATE TYPE RECORD       *
DT  - DELETE TYPE RECORD              * TODAY:  yyyy.mm.dd  *
SU  - VIEW USER AUTHORIZATION RECORD  * TIME:   hh:mm      *
IU  - INSERT/UPDATE USER AUTHORIZATION RECORD *
DU  - DELETE USER AUTHORIZATION RECORD *****
TS  - VIEW Connect:Direct TASKS
TF  - FLUSH A Connect:Direct TASK
S   - Execute Secure Plus Commands
MD  - MODIFY Connect:Direct TRACE CHARACTERISTICS
C   - ENTER A NATIVE Connect:Direct COMMAND
SN  - TERMINATE Connect:Direct
ARS - ARS REPORTING FACILITY
NM  - VIEW THE CONTENTS OF THE Connect:Direct NETWORK MAP
UNM - UPDATE THE Connect:Direct NETWORK MAP

INQ - INQUIRE ABOUT DTF INTERNAL STATUS
STAT - PERFORM STATISTICS FUNCTIONS

```

Execute Secure Plus Commands option is not displayed, unless you have functional authority for Sterling Connect:Direct Secure Plus. See Functional Authority Privileges (specifically the information in BYTE8 in the DGA\$MFLG macro).

Select the following options from the Connect:Direct Administrative Options Menu.

- To maintain the Type Defaults file with file attribute information used during Process submission, use the following options.

Option	Description
ST	Displays the Select Type screen where you can examine a record in the Type file and select the output to go to a file, table, or printer.
IT	Displays the Insert/Update Type screen where you can add or change a record in the Type Defaults file.

Option	Description
DT	Displays the Delete Type screen where you can remove a record from the Type file.

- To maintain the User Authorization file that controls access to functions, use the following options.

Option	Description
SU	Displays the Select User screen where you examine the user profile in the Authorization file.
IU	Displays the Insert/Update User screen where you can add a user to the system or change user privileges on the system.
DU	Displays the Delete User screen where you can remove a user from the Authorization file.

- To select and flush tasks, use the following options:

Option	Description
TS	Accesses the Select Task screen where you determine the task ID, type, and task number.
TF	Displays the Flush Task screen where you can remove a task from the execution queue.

- To perform Sterling Connect:Direct Secure Plus functions, use the following options. You must first select the Execute Secure Plus Commands from the Connect:Direct Administrative Options Menu.

Option	Description
CR	Executes the Certificate Expiration Validation Command on demand to let you see warnings both for certificates that have expired and will soon expire.
RF	Executes the Refresh Secure Plus Environment Command to update the SSL and TLS environments after you have changed security-related information.
SA	Executes the Sterling Connect:Direct Secure Plus Admin Tool and displays the Sterling Connect:Direct Secure Plus Admin Tool Main Screen. See the <i>IBM Sterling Connect:Direct Secure Plus for z/OS Implementation Guide</i> for more information on using Sterling Connect:Direct Secure Plus.

- To initialize traces, suspend and resume sessions, modify initialization parameters, type native commands, terminate Sterling Connect:Direct, and access ARS, use the following options.

Option	Description
MD	Displays the Modify Command screen where you can request traces and modify system functions. For information on the MODIFY command and trace and debug settings, see Sterling Connect:Direct MODIFY Command. For information on the MODIFY SESSIONS command, see Suspending and Resuming Quiesce and Trace Settings . For information on the MODIFY INITPARMS command, see Modifying Initialization Parameter Settings while Sterling Connect:Direct is Running.
C	Displays the Native Command screen where you can type and execute any Sterling Connect:Direct for z/OS® command by providing it in native syntax. For information, see Sterling Connect:Direct Native Command Structure .

Option	Description
SN	Displays the Stop Sterling Connect:Direct screen where you stop the operation of Sterling Connect:Direct. For more information, see Stopping Sterling Connect:Direct.
ARS	Displays the ARS REPORT OPTIONS menu. While Sterling Connect:Direct produces statistics, the Activity Reporting System (ARS) gives you access to more information and also provides sorting capabilities. For more information, see the <i>IBM Sterling Connect:Direct for z/OS Facilities Guide</i> .

- To view and maintain the network map and translate TCP/IP names, use the following options.

Option	Description
NM	Displays the Select Network Map screen, where you choose to display or print, the defined Sterling Connect:Direct nodes from the network map file and translation of TCP/IP host names and network addresses. For more information on the SELECT NETMAP command and the SELECT TCPXLT command, see <i>The Network Map</i> in <i>IBM Sterling Connect:Direct for z/OS User Guide</i> .
UNM	Enables you to update the network map dynamically. For more information, see Updating the Netmap through the IUI Interface.

- To inquire about DTF internal status and perform statistics functions, use the following options.

Option	Description
INQ	Displays the Inquire C:D Internal Status screen, from which you can request information about the: <ul style="list-style-type: none"> <li>• Statistics archive file directory (see Viewing the Statistics Archive Directory through the IUI Interface)</li> <li>• Sterling Connect:Direct/Plex environment (see “Displaying Sterling Connect:Direct/Plex Status” on page 19)</li> <li>• Statistics logging facility (see Statistics Inquiry through the IUI Interface)</li> <li>• SNMP Trap Table (see INQUIRE SNMP Command Displays the SNMP Trap Table )</li> <li>• TCP Listen Status (see Viewing a TCP Listen Status Report)</li> <li>• Current DEBUG settings (see Displaying DEBUG Settings)</li> <li>• Initialization parameters settings (see Displaying Initialization Parameter Settings)</li> </ul>
STAT	Displays the Statistics Command screen from which you can request functions related to the Statistics files, such as initiating statistics file pair switching, confirm statistics file archival, enable statistics recording, and disable statistics recording. For more information, see Retrieving Statistics with the SELECT STATISTICS Command.

---

## Sterling Connect:Direct Native Command Structure

You use the Native Command structure to build a more detailed list of parameters than you can from the command panels. You can type any Sterling Connect:Direct command or series of Sterling Connect:Direct commands using the Native Command structure.

To access the Native Command Screen, select option C from the Administrative Options Menu.

```
node.name          NATIVE COMMAND SCREEN          hh:mm
CMD ==>

ENTER COMMAND TEXT:

==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
```

Observe the following rules when you type your command:

- Start keywords on the next line or break them by a separator (blank or comma).
- To use comments on the Native Command Screen, type an asterisk in the first column of the input line. Typing an asterisk enables you to issue commands without retyping them.
- You cannot continuously wrap commands across lines on the Native Command Screen.

A command that creates a temporary file displays the temporary file for you to browse after the command executes.

### Examples

In the following example, when you press ENTER, you submit the Process called TEST2.

```
node.name          NATIVE COMMAND SCREEN          hh:mm
CMD ==>

ENTER COMMAND TEXT:

==> SUBMIT PROC=TEST2 _____
==> _____
==> *SELECT PROCESS WHERE (PNAME=TEST2) _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
```

To monitor the progress of TEST2, type an asterisk in column 1 of the first input line (before SUBMIT), delete the asterisk from the third input line (before SELECT), and press ENTER.

You can also submit a Process from the command line. In the following example, the Process TEST2 is submitted from the command line. The SELECT PROCESS (line 3) takes place just as in the previous example. The screen sample follows.

```
node.name          NATIVE COMMAND SCREEN          hh:mm
CMD ==> SUBMIT PROC=TEST2

ENTER COMMAND TEXT:

==> _____
==> _____
==> SELECT PROCESS WHERE (PNAME=TEST2) _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
==> _____
```

## Managing Tasks

Sterling Connect:Direct tasks perform and manage work in a DTF. This section describes how to display task information and remove (flush) tasks.

The following table lists the Sterling Connect:Direct tasks and their functions:

Type	Task	Function
System	Master (M)	Controls the dispatching and logon processing for the DTF
	Timer (T)	Performs timer services for the master task and Process-related timer functions
	Operator interface (C)	Enables you to communicate to the DTF through the operator console
	Extended Submit Facility Scan (W)	Scans the TCQ at predefined intervals and moves submitted Processes that are not on the current processing queue (PCQ) to the PCQ
System	Open/Close Task (O)	Manages the VTAM ACB open/close and TPEND exit
	TCP Task (U)	Monitors incoming TCP/IP session requests
	XCF Communication (Q)	Manages communications between Manager and Servers in a Sterling Connect:Direct/Plex
	TCP API Task (D)	Monitors incoming TCP/IP Sterling Connect:Direct API session requests
	LOGON (L)	Reserved for use during logon processing
	Statistics (A)	Controls status logging
	Session Creation TCA (F)	Manages Processes and tasks
	Statistics Archive Submit Task (Z)	Submits the Statistics File Archive Process
	CTCA Server Task (Y)	Manages the CTCA tasks
	Sterling Connect:Direct/Plex Queue Manager Task (Q)	Manages the VTAM ACB open/close and TPEND exit
User	PNODE Task (P)	Manages the work related to a request that initiated the current session
	SNODE Task (S)	Manages the work related to a partner PNODE task
	IUI Task (I)	Manages the requests from a session with an IUI user
	Background (Batch) Task (B)	Manages the request from a batch user

## Displaying Task Status

Use the SELECT TASK command to select and display the status of Sterling Connect:Direct tasks. It has the following format and parameters.

Label	Command	Parameters
(optional)	SElect TASK	PRInt   OperatOr Table   DISplay



Label	Command	Parameters
		WHERE (SERVER = server name)

The required SELECT TASK parameters are:

Parameter	Description
PRint   Operator Table   DISplay	<p>PRint specifies the command is output in hard copy to a printer.</p> <p>TABLE specifies the command is output to a table.</p> <p>DISplay specifies the command is output to the screen.</p>

The optional SELECT TASK parameters are:

Parameter	Description
WHERE(SERVER = server name)	<p>This parameter specifies the name of the Sterling Connect:Direct/Plex member where the SELECT TASK command is performed. The server name parameter is the 1–8 character name assigned to a Sterling Connect:Direct/Server by the CDPLEX.SERVER initialization parameter.</p> <p>This parameter only applies to a Sterling Connect:Direct/Plex environment. If this parameter is not specified in a Sterling Connect:Direct/Plex environment, the SELECT TASK is performed on the Sterling Connect:Direct/Manager.</p>

## Examples

The following SELECT TASK command example sends output to the log printer:

```
SEL TASK PRINT
```

The following SELECT TASK example is performed on a Sterling Connect:Direct/Server named SERVER3 and sends the output to your terminal in operator table format.

```
SEL TASK 0 WHERE(SERVER=SERVER3)
```

## Selecting a Task through the Batch Interface

### About this task

To use the SELECT TASK command from the batch interface:

#### Procedure

1. Place your commands in a batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

## Selecting a Task through the IUI

### About this task

You must select the appropriate output for the SELECT TASK report. You can either display (in report or operator table format) or print the report.

#### Procedure

1. Select option TS from the Administrative Options Menu.  
The SELECT TASK screen is displayed.

```
node.name                SELECT TASK                hh:mm
CMD ==>
                                CMD: OPR
CMD: 0 ... OPERATOR TABLE
      P ... PRINT REPORT    D ... DISPLAY REPORT
SERVER => _____
```

2. Select one of the following display types.

Option	Description
D	Displays the report on your screen and is captured in the TMPDSN specified in the SIGNON defaults of the user.
O or OPR	Displays the report to your screen in the operator table format.
P	Sends the report to a printer.

**Note:** If you are running in a Sterling Connect:Direct/Plex environment, type the member name on which you want to perform the SELECT TASK in the SERVER field. If you leave this field blank in a Sterling Connect:Direct/Plex environment, the SELECT TASK is performed on the Sterling Connect:Direct/Manager. If you are running in a Sterling Connect:Direct/Stand-alone Server environment, leave this field blank.

3. Press **ENTER**.
  - If you selected Display from the Select Task screen, the following screen is displayed:

```
BROWSE--XXXXXXXX.XXXXXX.XXXXX.XXXXX.XXXXX--LINE 00000000 COL 001 080
COMMAND ==>                                SCROLL ==> CSR
***** TOP OF DATA *****
=====
                SELECT TASK for C:D/Plex Manager
=====
TASK TASK      XMIT      PNAME/
ID  NUM  STATE  STATE          PNUM
-----
M   001  INACTIVE
-----
T   002  TIMER
-----
A   003  INACTIVE
-----
Z   004  INACTIVE
-----
C   005  MISC I/O
-----
F   006  INACTIVE
-----
U   007  SUBTASK  TCP MAIN TAS
```

- If you selected the operator table format, the following screen is displayed:

```

-----OPERATOR TABLE-----
==>                               Row 1 to 20 of 22
                                  SCROLL ==>> PAGE
OPTION   TID   TASKNO  STATE   SUB-STATE   PNAM/UID   PNUM
-----
          M    1      INACTIVE
          T    2      TIMER
          A    3      INACTIVE
          Z    4      INACTIVE
          C    5      MISC I/O
          F    6      INACTIVE
          U    7      SUBTASK     TCP MAIN TAS
          U    8      ST RUNNG   TCP ACCEPT
          D    9      SUBTASK     TCP MAIN TAS
          D   10      API RUN     TCP ACCEPT
          O   11      INACTIVE
          I   13      VTAM I/O    RECEIVE     SJONES2
          I   14      RUNNING
          W   12      TIMER
          Q   37      WAIT4WRK
          Q   38      WAIT4WRK
          Q   39      WAIT4WRK
          Q   40      WAIT4WRK
          Q   41      WAIT4WRK
          Q   73      WAIT4WRK

```

You can perform the following operations from the Operator Table:

- Type F next to the task ID to flush and suspend nonsystem tasks.
- Type P to suspend a task.

Refresh the OPERATOR TABLE screen by typing Q line and pressing ENTER.

## Removing Tasks from Execution

Use the FLUSH TASK command to remove a task from execution. Identify the task by its task number. You cannot flush system or IUI tasks.

**Note:** Only use the FLUSH TASK command if you cannot flush the Process using the FLUSH PROCESS command.

The FLUSH TASK command has the following format and associated parameters. Required parameters are in bold print.

Label	Command	Parameters
(optional)	FLush TASK	<b>WHERE (</b>
		TASK = (tasknumber   (list),
		SERVER = server name
		)
		<b>FORCE</b>

The required FLUSH TASK parameter is:

Parameter	Description
WHERE (TASK = (tasknumber   (list), SERVER=server name)	<p>(tasknumber   (list) specifies the tasks to flush either by task number or a list of task numbers.</p> <p>This parameter is required. SERVER=server name specifies the name of the Sterling Connect:Direct server where the FLUSH TASK is performed. The server name parameter is the 1–8 character name assigned to a Sterling Connect:Direct/Server by the CDPLEX.SERVER initialization parameter. This parameter is only valid in a Sterling Connect:Direct/Plex environment. If you omit this parameter in a Sterling Connect:Direct/Plex environment, the FLUSH TASK is performed on a Sterling Connect:Direct/Manager.</p>

The optional FLUSH TASK parameter is:

Parameter	Description
FORCE	<p>Specifies that flush task is forced. Do not use the FORCE parameter when the task is executing on a LU6.2 session. The session terminates immediately and statistics are not exchanged between the two nodes.</p> <p>If you do not specify the FORCE option for the FLUSH TASK command, then an indicator notifies the program executing on behalf of the task that a FLUSH TASK command was issued for that task. If that program is not in control (for example, if it is waiting on a request outside of Sterling Connect:Direct to complete), then it does not recognize the FLUSH TASK indicator, and the task is not flushed; otherwise, the program recognizes for the FLUSH TASK indicator and takes the appropriate action.</p> <p>When you specify the FORCE option, then the action taken depends on the STATE and SUBSTATE of the task for which you issued the FORCE FLUSH. See Connect:Direct Process Language for the actions taken for the specific STATE and SUBSTATE.</p> <p><b>Note:</b> Use the SELECT TASK command to determine the STATE and SUBSTATE of the task.</p>

## Examples

The following example shows the FLUSH TASK command force flushing three tasks:

```
FLUSH TASK WHERE (TASK=(100,105,120)) FORCE
```

The following example shows the FLUSH TASK command flushing a task running on a Sterling Connect:Direct/Server named OSGOOD:

```
FLUSH TASK WHERE (TASK=9,SERVER=OSGOOD)
```

## Removing Tasks from Execution through the Batch Interface

### About this task

To use the FLUSH TASK command from the batch interface, perform the following steps:

#### Procedure

1. Place your commands in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

## Removing Tasks from Execution through the UI

### About this task

Refer to the example of the SELECT TASK Operator Table for information about how to flush a task using the Operator Table.

To flush a task using the Flush a Task screen:

#### Procedure

1. Select option TF from the Administrative Options Menu to access the Flush a Task screen.

node.name	FLUSH A TASK				hh:mm
CMD ==>					
SERVER ==>	_____				
TASK NUMBERS:					
==>	_____	==>	_____	==>	_____
==>	_____	==>	_____	==>	_____
FORCE: ('Y'-YES, 'N'-NO)	FORCE FLUSH A TASK ON A LU 6.2 SESSION MAY				
==>	TERMINATE THE SESSION IMMEDIATELY AND NO				
	STATISTICS OF THE TASK WILL BE EXCHANGED				

2. If you are running in a Sterling Connect:Direct/Plex environment, type the Sterling Connect:Direct/Plex server name. If you leave this field blank, the Flush Task is performed on the Sterling Connect:Direct Manager.  
Leave this field blank if you are running in a Sterling Connect:Direct/Stand-alone Server.
3. Type the numbers of the tasks you want to flush.
4. In the FORCE field, type Y to force the flush. Type N if you do not want to force the flush. The default is N.

A list of the requested tasks is displayed to indicate a successful flush.

---

## Sterling Connect:Direct Secure Plus Commands

When you select the Execute Sterling Connect:Direct Secure Plus Commands on the Connect:Direct Administrative Options Menu, the following screen is displayed:

node.name	Execute Secure+ Commands	hh:mm
CMD ==>		
	CR - Execute Certificate Expiration Validation Command	
	RF - Execute Refresh Secure Plus Environment Command	
	SA - Execute Secure Plus Admin Tool	

### Certificate Validity Check Used by Sterling Connect:Direct Secure Plus

To check the validity of certificates using Sterling Connect:Direct Secure Plus, define the following initialization parameters:

- SECURE.DSN - the name of the parameter file
- CHECK.CERT.EXPIRE to perform a certificate validation check
- CHECK.CERT.EXPIRE.TIME to perform certificate validation checks
- CHECK.CERT.EXPIRE.WARNING.DAYS to indicate how many days before certificate expiration to issue a warning message

After certificate validation check is enabled, Sterling Connect:Direct automatically monitors the status of the certificates as specified and whenever Sterling Connect:Direct and Sterling Connect:Direct Secure Plus are initialized.

When the certificate validation checks are performed, Sterling Connect:Direct verifies the label name and node name of all certificates in the Sterling Connect:Direct Secure Plus parameter file. After verifying all trusted certificates in the key store, Sterling Connect:Direct reads and validates each individual certificate label. When a certificate expires, Sterling Connect:Direct displays a CSPA601E error message indicating which certificate expired so that you can take appropriate action to generate or obtain a new certificate. When a certificate is soon to expire, Sterling Connect:Direct displays a CSPA600W warning message indicating the specific certificate and the date it will expire.

In addition to issuing messages, Sterling Connect:Direct generates statistic records to document the status of the certificate and which node name the certificate is defined for. You can use IBM® Sterling Control Center or the SELECT STATS command to audit the certificates and nodes that need attention. On the SELECT STATISTICS panel, type Y in the CHANGE EXTENDED OPTS field, and then specify CX as a RECORD TYPE on the SELECT STATISTICS EXTENDED OPTIONS panel.

**Note:** If Sterling Connect:Direct cannot validate the contents of the Sterling Connect:Direct Secure Plus parameter file, it displays a CSPA607W message indicating that it could not retrieve the necessary information for a particular certificate. Make sure that the certificate exists along with the correct label name—note that the label is case-sensitive and must match exactly.

Note that expiration dates in certificates include both a date and time. Sterling Connect:Direct uses both date and time to validate the exact expiration period when checking a certificate's validity. For example, if the certificate is set to expire

on 12/31/2010 at 10:00:00 and the validation check is performed on 12/01/2010 at 09:00:00 with CHECK.CERT.EXPIRE.WARN.DAYS=30, this certificate will not be flagged with a warning message until a check is performed after 10:00:00 on 12/01/2010.

### The Certificate Expiration Validation Command

To perform a certificate validation check upon demand, select the CR option, Execute Certificate Expiration Validation Command, when the Execute Secure Plus Commands menu is displayed.

Sterling Connect:Direct displays any warning or error messages related to certificates should such a condition exist.

## A TLS/SSL Environment Refresh

When you update security-related information effecting the SSL/TLS environment or database, such as updating the path to the key database or key ring or a certificate's label, use the Execute Refresh Secure Plus Environment Command. By updating the SSL and TLS environment, you ensure that all changes can take effect immediately. To execute this on-demand command, type RF and press Enter when the Execute Secure Plus Commands menu is displayed.

## Sterling Connect:Direct Secure Plus Display

To display the Sterling Connect:Direct Secure Plus Admin Tool: Main Screen when the Execute Secure Plus Commands menu is displayed, type SA and press Enter.

```

File Edit Help
-----
CD.ZOS.NODE          Secure+ Admin Tool: Main Screen          Row 1 of 7
Option ==>> _____ Scroll CSR

                          Table Line Commands are:

U Update node          H View History          D Delete node
I Insert node          V View node

Node Filter : * _____

LC Node Name          Secure+          External Client
Type Protocol Override Encryption Auth Auth
-----
. CLIENT              R *              N *              *
.EASERVER             R TLSV10         N *              N *
.PASSWORD             R Disabled      * *              * *
CD.UNIX.NODE         R TLSV10         * *              * *
CD.UNIX.NODE2        R TLSV12         * *              * *
CD.ZOS.NODE          L Disabled      Y N              N N
CD.ZOS.NODE2         R *              * *              * *
***** BOTTOM OF DATA *****

```

## Displaying Initialization Parameter Settings

Use the INQUIRE INITPARM command to view the current global and local initialization parameter settings.

The INQUIRE INITPARM command has the following format and parameter.

Label	Command	Parameter
(optional)	INQUIRE INITparm	WHERE (SERVER=server name)

The INQUIRE INITPARM parameter is:

Parameter	Description
WHERE (SERVER=server name)	This parameter is optional. This parameter specifies the Sterling Connect:Direct/Plex server initialization parameters you want to view. The server name parameter is the 1–8 character name assigned to a Sterling Connect:Direct/Server by the CDPLEX.SERVER initialization parameter. If you do not specify this parameter, the Sterling Connect:Direct/Manager initialization parameters are displayed. You do not need this parameter for a Sterling Connect:Direct/Stand-alone Server.

### Sample Report

The following figure shows a partial sample report.

```
=====
node.name          *INQUIRE INITPARM*  DATE: mm.dd.yyyy TIME: hh:mm:ss
                   C:D/Plex *** MANAGER ** in Group SDWGRP
=====
ABEND.CODES.NODUMP => (SX37 SX13 U0728 SXD9 S9FC)
ABEND.RUNTASK      => ABEND.CODES.NODUMP
ALLOC.CODES        => (020C 0210 0218 0220 0234)
ALLOC.RETRIES      => 3
ALLOC.WAIT         => 00:00:30
ALLOCATION.EXIT     =>
CDPLEX            => Yes
CKPT              => 0
CKPT.DAYS         => 4
CKPT.MODE         => (RECORD  BLOCK  PDS  NOPDS  VSAM  VSAM)
CTCA              => No
DATEFORM          => MDY
DEBUG             => '00003001'
DESC.CRIT         => (2)
=====
```

## Using the INQUIRE INITPARM Command from the Batch Interface

### About this task

To use the INQUIRE INITPARM command from the batch interface:

### Procedure

1. Place your commands in a batch job stream.
2. Submit the job while Sterling Connect:Direct is running.



**Note:** You must set the fifth character of the DGADBATC output parameter specification to Y to print the result of the command that is in the temporary data set.

3. Verify the results.

## Issuing the INQUIRE INITPARM Command through the IUI

### About this task

To display the DTF initialization parameters from the IUI:

#### Procedure

1. Select option INQ from the Administrative Options Menu.  
The Inquire DTF Internal Status screen is displayed.
2. If you are running in a Sterling Connect:Direct/Plex environment and want to view the initialization parameters of a Sterling Connect:Direct/Server, type the server name in the Server field. If you want to view the initialization parameters of a Sterling Connect:Direct/Manager, leave the Server field blank. If you are running in a Sterling Connect:Direct/Stand-alone Server, leave this field blank.
3. Select the **IPRM** option.
4. Press ENTER.  
The current DTF initialization parameter settings are displayed.

## Modifying Initialization Parameter Settings while Sterling Connect:Direct is Running

You can update certain initialization parameters in the initialization parameter member, and then use the MODIFY INITPARMS command to update Sterling Connect:Direct with the new initialization parameter settings without restarting, using one of the following methods:

- Using the MODIFY INITPARMS Command from the Batch Interface
- Issuing the MODIFY INITPARMS Command through the IUI
- Performing Configuration Management of Initparms through Sterling Control Center. For more information, refer to the documentation for Sterling Control Center.

You cannot update local initialization parameters with the MODIFY INITPARMS command using the first two methods listed above but you can using Sterling Control Center.

To ensure continuity in operation, create backup copies of the global and local initialization parameter members to use if Sterling Connect:Direct cannot initialize after initparm updates. For information, see Backing up the Global and Local Initialization Parameter Files.

The following list contains the initialization parameters that you can update while Sterling Connect:Direct is running:

**Note:** The MODIFY INITPARMS command updates parameters in the following list, after all parameters (global and local) are parsed for correct syntax. Since the MODIFY INITPARMS command reads the entire global initialization parameter member (and local INITPARM members), the entire global initialization parameter

member is used for the update (along with local INITPARM members). Therefore, you cannot update individual parameters with the MODIFY INITPARMS command.

ABEND.CODES.NODUMP	ABEND.RUNTASK	ALLOC.CODES
ALLOC.RETRIES	ALLOC.WAIT	CDPLEX.WLM.GOAL
CHECK.CERT.EXPIRE	CHECK.CERT.EXPIRE.TIME	CHECK.CERT.EXPIRE.WARN.DAYS
CKPT	CKPT.DAYS	CKPT.MODE
COMPRESS.EXT	COMPRESS.NEGO.FAIL	COMPRESS.NETMAP.OVERRIDE
COMPRESS.NETMAP.STD	CRC	DESC.CRIT
DESC.NORM	DESC.TAPE	ECZ.COMPRESSION.LEVEL
ECZ.MEMORY.LEVEL	ECZ.WINDOWSIZE	ESF.WAIT
EXPDT	GDGALLOC	GDGENQ
INVOKE.ALLOC.EXIT	INVOKE.ALLOC.EXIT.ON.RESTART	MAX.AGE
MAX.AGE.TOD	MAXRETRIES	MAXSTGIO
MULTI.COPY.STAT.RCD	NETMAP.CHECK.ON.CALL	PDSE.SHARING
PDSSEQ	PRTYDEF	REQUEUE
RESET.ORIGIN.ON.SUBMIT	REUSE.SESIONS	ROUTCDE.CRIT
ROUTCDE.NORM	ROUTCDE.TAPE	RUNTASK.RESTART
SNMP	SNMP.DSN	SNMP.MANAGER.ADDR
SNMP.MANAGER.PORTNUM	STAT.EXCLUDE	SYSOUT
TAPE.PREMOUNT	TCP.API.TIMER	TCP.CONNECT.TIMEOUT
TCQ.THRESHOLD	THIRD.DISP.DELETE	TRANS.SUBPAS
WTMESSAGE	WTRETRIES	ZEDC
ZEDC.EXCLUDE	ZFBA	ZIIP.EXTCOMP.DATASIZE.THRESHOLD

The MODIFY INITPARMS command has the following format.

Label	Command	Parameter
(optional)	MODify INITparms	

This command has no parameters.

## Using the MODIFY INITPARMS Command from the Batch Interface

### About this task

To use the MODIFY INITPARMS command features from the batch interface: 1. 2.

### Procedure

1. Update the initialization parameters in the initialization parameter data set.
2. Place the MODIFY INITPARMS command in a batch job stream.

- Submit the job while Sterling Connect:Direct is running. A message is displayed indicating the results of the refresh action.

**Note:** You must set the fifth character of the DGADBATC output parameter specification to Y to print the result of the command that is in the temporary data set.

## Issuing the MODIFY INITPARMS Command through the IUI

### About this task

To use the MODIFY INITPARMS command features through the IUI:

### Procedure

- Update the initialization parameters in the initialization parameter data set.
- Request option MD from the Connect:Direct Administrative Options Menu to access the MODIFY COMMAND screen.

node.name	MODIFY COMMAND		14:34
CMD ==>			
Server ==> _____	00000000	(Current DEBUG Settings)	
MODIFY DEBUG	==> _____	(nnnnnnnn)	
MODIFY BITS.ON	==> _____	(nnnnnnnn)	
MODIFY BITS.OFF	==> _____	(nnnnnnnn)	
MODIFY DDNAME	==> _____	(ddname,nn)	
MODIFY CLOSE	==> _____	(ddname)	
MODIFY MODDIR.TRACE	==> _____	(YES)	
MODIFY DYN ==>			
MODIFY SESSIONS	==> _____	(Quiesce or Resume) NODE ==> _____	
MODIFY NODE.TRACE.ON	==> ( _____ )		
MODIFY NODE.TRACE.OFF	==> _____		
MODIFY NODES	==> _____	(YES)	
MODIFY INITPARMS	==> _____	(YES)	
MODIFY ZIIP	==> _____	(ALL NONE EXTCOMP SSLTLS PROJECT)	
MODIFY ZFBA	==> _____	(YES or NO)	

- Type YES in the MODIFY INITPARMS field.
- Press ENTER. A report is displayed indicating the results of the action.
- Review the report and perform any corrections if necessary.
- Press ENTER to clear the report.

Repeat the procedure if you updated the initialization parameter data set.

## Backing up the Global and Local Initialization Parameter Files

Sterling Control Center has the ability to configure global initialization parameters for stand-alone Sterling Connect:Direct servers and local initialization parameters for members of a Sterling Connect:Direct/Plex environment. As part of the possible changes, the original INITPARM member is overwritten with the updates Sterling Control Center makes.

### About this task

You can save a copy of the global initialization parameter file and local initialization parameter files using the INITPARM.BACKUP and CDPLEX.INITPARM.BACKUP initialization parameters. These backup files protect against inappropriate or failed updates from Sterling Control Center or other facilities capable of updating initialization parameters. Sterling Connect:Direct will

use these members to complete a backout of failed updates. This also provides you with the ability to manually back out using the specified backup members which contain the last set of initialization parameters used to successfully start Sterling Connect:Direct.

**Note:** If you commented out the members names for the backup initialization parameters, specify new names.

### **Procedure**

1. Specify the name of the backup member using the global initialization parameter, INITPARM.BACKUP = member. In a Sterling Connect:Direct/Plex environment, you also specify the local initialization parameter, CDPLEX.INITPARM.BACKUP = member for the Sterling Connect:Direct Plex/Manager and each Sterling Connect:Direct/Plex server.

2. Start Sterling Connect:Direct for z/OS.

When Sterling Connect:Direct initializes successfully, it places a copy of the initialization parameter member in the backup member specified in the INITPARM.BACKUP parameter (and does the same thing for each Sterling Connect:Direct/Plex-related backup parameter). If ISPF STATS are ON for the PDS, the statistics for the initparms are also copied to the backup member. If ISPF STATS are not ON, the backup member will have ISPF statistics generated based on the current date and time.

### **Space Requirements for Using the Backup Feature**

The PDS containing the initialization parameters must have enough directory and disk space for the following items:

- The backup members for the global initialization parameter file and local initialization parameter files
- Changes to the global and local initialization parameters
- ISPF formatted statistics

If the initparm PDS runs out of space, an Sx37 (or similar) ABEND occurs and the system attempts to back out the changes by copying the initparm backup file (including the ISPF STAT information). To recover space inside the PDS, compress the PDS prior to starting Sterling Connect:Direct.

## **Manually Backing Out or Restoring**

### **About this task**

In the event a manual backout or restore of the original initialization parameters is required, follow this procedure:

### **Procedure**

1. Restore the damaged global initialization parameter member using the backup global initialization parameter member. Do the same thing for the backup initialization parameter members for all Sterling Connect:Direct/Plex members.
2. Start Sterling Connect:Direct for z/OS.

---

## Displaying Sterling Connect:Direct/Plex Status

The INQUIRE CDPLEX command displays Sterling Connect:Direct/Plex status information. It has the following format.

Label	Command	Parameter
(optional)	INQUIRE CDPLEX	

The INQUIRE CDPLEX command has no parameters.

### Example

The following figure shows a partial sample report.

```
=====
Inquire CDPLEX          mm.dd.yyyy hh:mm:ss
=====
XCF Group Name   : TPXCFGRP   When Activated   : mm.dd.yyyy hh:mm:ss
SYSPLEX Sys Name : CSGB       JOB/STC Name     : CD$MGR
Active Servers   : 1          Maximum Servers  : 32
Server           : SERVER1    When Activated   : mm.dd.yyyy hh:mm:ss
SYSPLEX Sys Name : CSGB       JOB/STC Name     : CD$SRV1
Active Processes : 0          Maximum Processes : 250
Server Supports  : CTCA   SNA   IPv4
Server PLEXCLASSES:(A     B     1     *     )
=====
```

## Using the INQUIRE CDPLEX Command from the Batch Interface

### Procedure

1. Place your command in a batch job stream.
2. Submit the job while Sterling Connect:Direct is running.

A report is displayed.

**Note:** You must set the fifth character of the DGADBATC output parameter specification to a Y to print the result of the command that is in the temporary data set.

## Issuing the INQUIRE CDPLEX Command through the IUI

### Procedure

1. Select option INQ from the Administrative Options Menu. The Inquire DTF Internal Status screen is displayed.
2. Select the IPLX option.
3. Press **ENTER**.

A report is displayed.

---

## Stopping Sterling Connect:Direct

The STOP CD command stops Sterling Connect:Direct through one of five types of shutdowns:

- Force
- Immediate
- Step

- Quiesce
- Run Task Immediate

This command is usually used for system maintenance.

If you are running Sterling Connect:Direct/Plex, shut down the individual Sterling Connect:Direct/Servers or the Sterling Connect:Direct/Plex environment. Specify if the extended recovery standby system processes the work performed by the system being shut down.

The STOP CD command has the following format and parameters.

Label	Command	Parameters
(optional)	STOP CD	[Force   Immediate   <u>Quiesce</u>   Runtaskimm   Step]
		[CDPLEX   WHERE (SERVER=server name)]
		RECOVER

The parameters for the STOP CD command are:

Parameter	Description
Force	Stops Sterling Connect:Direct through a user U4082 ABEND, and produces a dump. Use this option only when problems occur.
Immediate	Terminates all active transmissions immediately after any executing Run Task Processes complete. Sterling Connect:Direct writes the statistics record, closes the files, and shuts down. All Processes resume execution when Sterling Connect:Direct is reinitialized. If a Process is set for checkpointing and Sterling Connect:Direct stops with this parameter, Sterling Connect:Direct starts from the last checkpoint and resumes transferring data when the Process resumes. <b>Note:</b> You can change how the Immediate parameter interprets the shutdown command by using the IMMEDIATE.SHUTDOWN initialization parameter. If IMMEDIATE.SHUTDOWN=I (the default), an immediate shutdown functions as described in the preceding paragraph. However, if IMMEDIATE.SHUTDOWN=R, an immediate shutdown functions as a runtaskimm shutdown, terminating any executing Run Task Processes before shutting down Sterling Connect:Direct.  Refer to IMMEDIATE.SHUTDOWN = I   R   (I, nnn   60)   (R, nnn   60) for more information.
Quiesce	Enables all active transmissions to run until all executing Process steps complete. No new transmissions are started, and no additional Processes are accepted. All interactive sessions are terminated except for the issuer of the STOP CD command. All active Processes must complete and then you must sign off before Sterling Connect:Direct stops. QUIESCE is the default.
Runtaskimm	Terminates any Run Task Processes before stopping Sterling Connect:Direct. After the Processes are terminated, Sterling Connect:Direct writes the statistics record, closes the files, and shuts down. This parameter is provided because the Immediate parameter does not terminate a Run Task until it reaches an interrupt point, such as a checkpoint. A long-running Run Task could delay Sterling Connect:Direct shutdown until it completes. When Sterling Connect:Direct restarts, if the RUNTASK.RESTART initialization parameter is YES, the checkpoint records for the terminated Run Task restart the Run Task.
Step	Enables active transmissions to run until the current step of each executing Process finishes. Sterling Connect:Direct then writes the statistics records, closes the files, and shuts down. All Processes resume execution when Sterling Connect:Direct is reinitialized.
CDPLEX	Shuts down the entire Sterling Connect:Direct/Plex environment. You cannot use this parameter in a Sterling Connect:Direct/Stand-alone Server.

Parameter	Description
WHERE (SERVER=server name)	<p>Specifies which Sterling Connect:Direct/Server in a Sterling Connect:Direct/Plex environment to shut down. The server name parameter is the 1–8 character name assigned to a Sterling Connect:Direct/Server by the CDPLEX.SERVER initialization parameter. You cannot use this parameter in a Sterling Connect:Direct/Stand-alone Server.</p> <p>Use this parameter if you only want to shut down a particular Sterling Connect:Direct/Server, but leave the rest of the Sterling Connect:Direct/Plex environment running. (Use the INQUIRE CDPLEX command described in “Displaying Sterling Connect:Direct/Plex Status” on page 19 to find the name of a server.)</p> <p><b>Note:</b> When you shut down a Sterling Connect:Direct/Plex environment, you must specify CDPLEX. If you are shutting down a server, you must also specify WHERE(SERVER=).</p>
RECOVER	Specifies if the extended recovery standby system continues processing work from the system that is shutting down.

## Examples

The following example stops Sterling Connect:Direct, and terminates all transactions immediately.

```
STOP CD I
```

The following example stops an entire Sterling Connect:Direct/Plex environment after all Processes are complete.

```
STOP CD Q CDPLEX
```

The following example force stops a Sterling Connect:Direct/Server named WALTER, but continues processing on the extended recovery standby system.

```
STOP CD F WHERE(SERVER=WALTER) RECOVER
```

## Stopping Sterling Connect:Direct through the Batch Interface

### About this task

To use the STOP CD command from the batch interface:

#### Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify the results.

## Stopping Sterling Connect:Direct through the IUI

### About this task

To issue the STOP CD command through the Sterling Connect:Direct IUI:

## Procedure

1. Select SN from the Connect:Direct Administrative Options Menu.

node.name	STOP Connect:Direct	hh:mm
CMD ==>		
Q ==>	Continue active transmissions until the end of process	
S ==>	Continue active transmissions until the end of a step	
I ==>	Immediately stop all active transmissions (wait for RUN TASKS to complete)	
R ==>	Immediately stop all active transmissions (do not wait for RUN TASKS to complete)	
F ==>	Force Connect:Direct to stop via an ABEND	
	EXTENDED.RECOVERY and C:D/Plex Options:	
Server ==>	_____	(C:D/Plex server name or '*' for entire C:D/Plex)
Recover =>	___	(Yes No) Should EXTENDED.RECOVERY standby takeover?

2. Type one of the five options on the command line. Refer to “Stopping Sterling Connect:Direct” on page 19 for a description of these options. Quiesce (Q) is the default.
3. If you want to shut down a Sterling Connect:Direct/Server in Sterling Connect:Direct/Plex environment, type the server name in the SERVER field. If you want to shut down the entire Sterling Connect:Direct/Plex environment, leave the SERVER field blank.
4. If you want the extended recovery standby system to continue processing work, type Yes in the RECOVER field. Type No or leave the field blank if you do not want the extended recovery standby system to continue processing work.
5. Press **ENTER**.  
A shutdown message is displayed for Immediate, Quiesce, and Step shutdowns. No message is displayed for Force shutdowns.

---

## Suspending and Resuming Quiesce and Trace Settings

Sterling Connect:Direct uses a node table to manage Quiesce and Trace settings for the adjacent nodes in the Netmap. When Sterling Connect:Direct is initialized, it adds all Netmap adjacent node records to a new node table. It applies the Quiesce and Trace INITPARMs to the node table entries. After Sterling Connect:Direct is initialized, node table entries are added or deleted by Netmap updates.

If a Netmap update adds a new node, the node is added to the node table with TRACE OFF and QUIESCE OFF. If a Netmap update does a \$\$REPLACE or a \$\$DELETE followed by a \$\$INSERT in the same run, any pre-existing node table settings for that node are preserved. If a Netmap update does a \$\$DELETE and a subsequent Netmap update run adds the node back, the node is added to the node table with TRACE OFF and QUIESCE OFF.

The Modify Nodes screen and the output from the INQUIRE DEBUG command list all of the adjacent nodes in the node table.



For information about Trace and Debug settings, refer to Sterling Connect:Direct Function Traces.

Use the MODIFY SESSIONS command to suspend processing on a single node, multiple nodes, or all nodes, and to resume processing on any or all suspended nodes. For example, you can suspend processing on a node because of problems but leave other nodes operating. Another example would be the suspension of a node that you know will be down for some time.

**Note:** Node-level MODIFY commands only apply to existing nodes in the node table. If you add a node using Netmap update, enter a node-level MODIFY command to change that node's default settings.

The MODIFY SESSIONS command has the following format and parameters.

Label	Command	Parameters
(optional)	MODIFY	SESSIONS = Quiesce   Resume (WHERE(NODE=node name))

The following table describes the parameters of the MODIFY SESSIONS command.

Parameter	Description
<p>SESSIONS = Quiesce   Resume</p> <p>(WHERE(NODE=node name))</p>	<p>Controls the automatic establishment of DTF-to-DTF sessions.</p> <p>Quiesce specifies that no new DTF-to-DTF sessions are started after executing Processes complete. Interactive users can sign on. Any Processes that normally execute are placed in the WAIT queue.</p> <p>Resume terminates a quiesce state and returns to normal operation.</p> <p>The WHERE(NODE=) parameter enables you to suspend or resume processing on a single node, multiple nodes, or all nodes. You can use this parameter in the following circumstances:</p> <ul style="list-style-type: none"> <li>• To suspend processing on specific nodes because of problems, but allow other nodes to continue processing.</li> <li>• If you know that a node will be down for some time</li> <li>• To suspend or resume processing on all nodes.</li> </ul> <p>The node name subparameter is the 1–16 character local node name specified in the network map of the affected node. You can also use the * (for a string) and ? (for an individual character) wildcard characters to specify a generic node name. For example, the following command suspends processing on all node names that begin with NODE.CHICAGO.</p> <p>SESSIONS=QUIESCE (WHERE(NODE=NODE.CHICAGO*))</p> <p><b>Note:</b> If you use the * wildcard, and it is not the last character, you must put the entire node name in single quotes. Otherwise, the node name is truncated at the first *, and more nodes may be selected than was intended. For example, node names starting with NODE.CHICAGO can be specified either as NODE.CHICAGO* or 'NODE.CHICAGO*'. But node names containing NODE.CHICAGO must be specified as '*NODE.CHICAGO*', not *NODE.CHICAGO* which would be functionally equivalent to all nodes since the first character is *.</p> <p>You can specify * as the node name to suspend or resume processing on all nodes. For example, the following command suspends processing on all nodes.</p> <p>SESSIONS=QUIESCE (WHERE(NODE=*))</p> <p>If you omit the WHERE(NODE=) parameter, the command applies to the entire Sterling Connect:Direct system. However, a system-wide RESUME command does not override the processing of any individually suspended nodes. You must issue the SESSIONS=RESUME command with WHERE(NODE=*) to resume processing on individually suspended nodes.</p> <p><b>Note:</b> If the command is issued on an SNODE to quiesce processing with a PNODE, the session with the PNODE is established. However, as soon as the PNODE node name is determined, the session is terminated. No processing of data occurs.</p>

## Suspending or Resuming Processing on a Node through the Batch Interface

### Procedure

1. Place the MODIFY SESSIONS command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify the results by issuing an INQUIRE DEBUG command.

**Note:** For information about INQUIRE DEBUG, see Displaying DEBUG Settings .

The following example suspends processing on all nodes that begin with NODE.CHICAGO.

```
SESSIONS=QUIESCE (WHERE(NODE=NODE.CHICAGO*))
```

The resulting output from the INQUIRE DEBUG command follows.

```
=====
NODE.NEWYORK      *INQ DEBUG/QUIESCE* DATE: mm.dd.yyyy  TIME: hh:mm:ss
SYSTEM INITIALIZED -----(0000)-----  mm.dd.yyyy  hh:mm:ss
=====

DEBUG           => '00200000'
QUIESCE         => No
TCQ DSN         => PROD.CD.ABC.TCQ
TCX DSN         => PROD.CD.ABC.TCX
TCQ Threshold   => No
TCQ File        0% Full. Max.# CI:      1000 # Used CI:      0

NODE TABLE     => NODE NAME      QUIESCE  DEBUG
NODE ENTRY     1 => CD.BOSTON      No       B0BB0BB0
NODE ENTRY     2 => CD.CHICAGO1   Yes
NODE ENTRY     3 => CD.CHICAGO2   Yes
NODE ENTRY     4 => CD.DFW.LU0    No
NODE ENTRY     5 => CD.DFW.LU62   No
NODE ENTRY     6 => CD.DFW.UDT    No
```

## Suspending or Resuming Processing on a Node through the IUI

### Procedure

1. Request option MD from the Connect:Direct Administrative Options Menu to access the MODIFY COMMAND screen.
2. Type Q in the MODIFY SESSIONS field to suspend processing.  
Type R in the MODIFY SESSIONS field to resume processing on a suspended node.
3. Type the 1–16 character node name in the NODE field. (If you use the \* wildcard, and it is not the last character, put the entire node name in single quotes.)
4. Press **ENTER**.

The results will be displayed, for example, MODIFY SESSIONS QUIESCE successful.

## Suspending or Resuming Processing on Nodes through the Modify Nodes Screen

To suspend or resume processing on nodes through the Modify Nodes screen:

### Procedure

1. Request option MD from the Connect:Direct Administrative Options Menu to access the MODIFY COMMAND screen.
2. Type YES in the MODIFY NODES field.
3. Press **ENTER**. The Modify Nodes screen is displayed.

CD.PRD		MODIFY NODES (Unsaved Changes)		Row 1 of 1054
CMD ==>				SCROLL ==> PAGE
(CMD commands: CLEAR DEBUG/TRACE QUIESCE RESUME; CANCEL END REFRESH SAVE)				
(SEL commands: C=Clear Q=Quiesce R=Resume. Overtyp e Debug setting.)				
SEL	Node Name	Quiesce	Debug	Chg
---	-----	-----	-----	---
	CD.PRD.CSGA	OFF	11111111	
	CD.PRD.CSGB	OFF	11111111	
	CD.PRD.CSGD	OFF	11111111	
	CD.PRD.CSGE	OFF	11111111	
	CD.PRD.CSGF	ON	11111111	Y
	CD.PRD.CSGG	OFF	11111111	
	CD.PRD.VIPA	OFF	11111111	
	CD.TST	OFF	FFFFFFEF	
	CD.TST.%%%%	OFF	FFFFFFEF	
	CD.TST.CSGA	ON		Y
	CD.TST.CSGB	OFF	FFFFFFEF	
	CD.TST.CSGD	OFF	FFFFFFEF	
	CD.TST.CSGG	OFF	FFFFFFEF	
	CD.TST.CTCA1	OFF	FFFFFFEF	
	CD.TST.CTCA2	OFF	FFFFFFEF	
	CD.TST.CTCA3	OFF	FFFFFFEF	

4. Use one of the following methods to suspend processing of specific nodes:
  - Type Q in the SEL column next to the matching node names. The Chg field for those nodes will display Y.
  - From the CMD line, use the QUIESCE nodename command to turn the Quiesce setting on for the rows with matching nodenames.

**Note:** You can use the \* (for a string) and ? (for an individual character) wildcard characters to specify a generic node name. Unlike the MODIFY SESSIONS command, you do not have to enclose the node name in single quotes if you use the \* wildcard and it is not the last character. In fact, if you do, as a result, there will probably not be any matches since quotes are treated like any other character.

Use one of the following methods to resume processing of a specific node:

- Type R in the SEL column next to the matching node names. The Chg field for those nodes will display Y.
  - From the CMD line, use the RESUME nodename command to turn the Quiesce setting off for the rows with matching nodenames. Refer to the Suspending and Resuming Quiesce and Trace Settings for information about the RESUME command.
5. Type SAVE in the CMD field and press **ENTER** to save your changes.

## Modify Nodes Screen

Use the Modify Nodes screen to Quiesce or Resume processing for all nodes or specified nodes. (To access the Modify Nodes screen, follow Steps 1 through 3 in Suspending or Resuming Processing on Nodes through the Modify Nodes Screen.) You can also use the Modify Nodes screen to modify debug and trace settings for all nodes or specific nodes. See Modify Trace and Debug Settings Through the Modify Nodes Screen for more information.

### CMD Commands

CMD commands are entered in the CMD input field. Unknown commands and syntax errors will result in error messages. CMD commands operate on all nodes in the node table, not just the rows that are currently being displayed.

The CMD input field accepts the following commands:

CMD	Description
CANCEL	Unconditionally exits the Modify Nodes screen, discarding any changes. You can abbreviate CANCEL to CAN, CANC or CANCE.
END	Exits the Modify Nodes screen if there are no unsaved changes outstanding. If there are unsaved changes, the END command causes the dialog to issue an error message and redisplay the ISPF table.
SAVE	Commits all changes en masse to the DTF. It then gets a new copy of the node table from the DTF. Also, all the ISPF table change indicators are cleared.
CLEAR nodename	Clears the quiesce and debug settings for the rows with a matching node name. It is equivalent to doing both a DEBUG OFF and a RESUME against the node name.  The nodename operand can have any number of * or ? wildcard characters in any position.
DEBUG <i>nodename</i> ON <i>debug-bits</i>	Turns on debugging and completely replaces the Debug setting with the debug-bits operand for the rows with a matching node name. This overrides the global DEBUG setting for the individual nodes. 00000000 is a valid debug-bits value and is not the same as DEBUG OFF.  The nodename operand can have any number of * or ? wildcard characters in any position.
DEBUG <i>nodename</i> OFF	Turns off debugging and blanks out the debug setting for the rows with a matching nodename. This allows the nodes to revert to using the global DEBUG setting.  The nodename operand can have any number of * or ? wildcard characters in any position.
DEBUG <i>nodename</i> BITSON <i>debug-bits</i>	Turns on the bits in the debug-bits operand without changing any other bits in the row's debug setting, but only if the rows with a matching node name have a non-blank debug setting. It does not turn debugging on or off. Though 00000000 is a valid debug-bits value, if it is specified in DEBUG BITSON, the command has no effect.  The nodename operand can have any number of * or ? wildcard characters in any position.

<b>CMD</b>	<b>Description</b>
DEBUG <i>nodename</i> BITSOFF <i>debug-bits</i>	Turns off the bits in the debug-bits operand without changing any other bits in the row's debug setting, but only if the rows with a matching node name have a non-blank debug setting. It does not turn debugging on or off. Though 00000000 is a valid debug-bits value, if it is specified in DEBUG BITSOFF, the command has no effect.  The nodename operand can have any number of * or ? wildcard characters in any position.
QUIESCE <i>nodename</i>	Turns the Quiesce setting on for the rows with a matching nodename. See the CLEAR command above for the description of the nodename operand.  The nodename operand can have any number of * or ? wildcard characters in any position.
REFRESH	Discards any pending changes and gets a new copy of the node table from the DTF. It is the equivalent of doing a CANCEL, and then reentering the dialog.
RESUME <i>nodename</i>	Turns the Quiesce setting off for the rows with a matching nodename. See the CLEAR command above for the description of the nodename operand.  The nodename operand can have any number of * or ? wildcard characters in any position.
TRACE <i>nodename</i> BITSOFF <i>debug-bits</i>	TRACE is an alias of DEBUG. They have the same syntax and can be used interchangeably.

SEL commands are entered in the SEL input field for each row. Change the Debug field on each row by overtyping. You can update as many rows as are displayed when you press Enter.

The SEL input field accepts the following line commands:

- C - Clear all settings for the node (same as Debug OFF plus RESUME)
- Q - Quiesce the node (turn Quiesce ON)
- R - Resume the node (turn Quiesce OFF)

Type over the Debug field in any row to change its value. The Debug field accepts the same debug bits as the DEBUG command. Blanking out the Debug field in a row is equivalent to issuing a DEBUG OFF on that nodename.

If you change the Debug field to a non-blank value in a specific row, issue a CMD command for the same row, and press Enter, only the CMD command will take effect.

If you enter a CMD command and one or more SEL commands, when you press Enter, the SEL commands are processed before the CMD command.

## Node Table Processing

When you issue a CMD command, a SEL command, or change the Debug field, the node table is not updated until you enter the SAVE command. Until then, MODIFY commands generated by these actions are stacked in first-in-first-out (FIFO) order in a MODIFY command queue.

You can enter a SAVE command only if one or more nodes are flagged as changed. If you change a node and then change it back before you issue the SAVE command, the change flag is cleared. If you back out all node changes, the MODIFY command queue is cleared. However, if any rows remain flagged as changed, SAVE sends all MODIFY commands queued since the last time there were no changed rows.

If multiple IUI users work on a copy of the node table simultaneously, it can be difficult to determine what changes are in effect. If you suspect that another user has changed the node table, discard your changes and retrieve a fresh copy of the node table by issuing the REFRESH command.

---

## Global Signon Defaults

The Sterling Connect:Direct administrator can define global signon defaults so that users do not have to individually alter their signon default values to increase their allocation. By implementing a global signon default, you can avoid insufficient space being allocated for the temporary data set upon signon as well as SB37 ABENDs.

A temporary data set (TEMP DSN) is allocated at SIGNON to the IUI, which uses the system default allocation parameters. At times, this allocation is insufficient causing SB37 failures if a large amount of data is returned for a command request. The facilities available via SIGNON defaults (SD) are not global and each individual user must alter their default values to increase the allocation.

To change the default values, use member DGAXCXDF from \$CD.SDGASAMP to assemble and link module DGAXCXDF with the new values for TEMP DSN. You can edit and submit \$CD.SDGAJCL member DGAJCXDF to do the assembly and link edit. Once the module is assembled and linked, all user who sign on via an API will be subject to the new default global signon settings.

After you implement global signon defaults, allocation parameters during SIGNON can come from the following three sources, which are listed from lowest to highest precedence:

- Defaults from macro DGA\$UICB specified by programs such as DGADBATC, DGADCHLA, and DGADCMDP. This is considered a legacy source of SIGNON allocation parameters.

**Note:** Users including the administrator, do not have control over these programs.

- The SIGNON command, whose parameters come from wherever the invoker of the command decides, for example, the IUI can save SIGNON parameters in a user's ISPF profile dataset. Like the DGA\$UICB macro, this is considered a legacy source of SIGNON allocation parameters.
- The DGAXCXDF load module, which the administrator can control.

### DGA\$XDEF Syntax and Parameters

\$CD.SDGASAMP member DGAXCXDF consists of one statement, the macro DGA\$XDEF, in \$CD.SDGAMAC and should not be changed. To modify the DGAXCXDF member, first copy it and then alter it to suit your installation's requirements.

The following shows the DGA\$XDEF syntax and defaults:

name	DGA\$XDEF OVERRIDE=ALLOW,	/* SIGNON allowed to override? */	X
	ALOCTYPE=CYL,	/* ALLOCATION TYPE CYL,TRK	*/ X
	ALOCPRI=1,	/* ALLOCATION PRIMARY SPACE	*/ X
	ALOCSEC=1,	/* ALLOCATION SECONDARY SPACE	*/ X
	ALOCUNIT=,	/* ALLOCATION UNITNAME	*/ X
	ALOCVOL=	/* ALLOCATION VOLSER	*/

The following table lists the parameters that make up the DGA\$XDEF load module:

Parameter	Description
OVERRIDE	<p>Specifies when a legacy source can override the global signon defaults assigned by the DGA\$XDEF load module. If not specified, defaults to ALLOW (a null value is invalid).</p> <p>Values are:</p> <ul style="list-style-type: none"> <li>• DISALLOW— DGA\$XDEF always supplies all allocation parameters.</li> <li>• ALLOW—A legacy source can override DGA\$XDEF parameter by parameter.</li> <li>• ALLOWGT—A legacy source can override DGA\$XDEF if the maximum space it would allocate is greater than the maximum space that DGA\$XDEF would allocate. Otherwise, DGA\$XDEF overrides the legacy source. With this setting, whichever source can supply more space will be used. Note that, unlike ALLOW, the winning source in ALLOWGT is required to supply all allocation parameters.</li> <li>• ALLOWLT—A legacy source can override DGA\$XDEF if the maximum space it would allocate is less than the maximum space that DGA\$XDEF would allocate. Otherwise, DGA\$XDEF overrides the legacy source. With this setting, whichever source limits the maximum space more is used. Note that, like ALLOWGT, the winning source in ALLOWLT is required to supply all allocation parameters.</li> </ul>
ALOCTYPE	Specifies the allocation type. If not specified, defaults to space allocation by cylinder—CYL (a null value is invalid).
ALOCPRI	Specifies the primary allocation of storage. If not specified, defaults to a o1.
ALOCSEC	Specifies the secondary allocation of storage. If not specified, defaults to a o1.
ALOCUNIT	Specifies the unit type of the TEMP DSN. If not specified, has no default.
ALOCVOL	Specifies volume serial number of the TEMP DSN. If not specified, has no default.

The other DGA\$XDEF macro parameters are converted into the well known JCL space parameters. All DGA\$XDEF macro parameters other than OVERRIDE are converted into the JCL SPACE parameters and can take any valid value that can be specified in JCL. The one exception is that the ALOCTYPE parameter supports only TRK (Track) and CYL (Cylinder).

## ISPF Messages Displayed During Signon

If the DGAXCXDF load module was successfully located at IUI SIGNON, new ISPF messages are displayed upon entry to the IUI Signon Defaults (SD) panel. (If DGAXCXDF was not present at IUI SIGNON, no ISPF message is displayed.) Both the short and long message components are generated. The exact text of the ISPF messages is based on:

- Settings in the DGAXCXDF load module, at the time of SIGNON



- The user's ISPF profile variables for the temporary data set

The user will see one of the following ISPF short messages, which states what the DGAXCXDF OVERRIDE setting was when they signed on:

- OVERRIDE=DISALLOW
- OVERRIDE=ALLOW
- OVERRIDE=ALLOWGT
- OVERRIDE=ALLOWLT

The ISPF long message component provides the following information:

- The values for the current temporary data set allocation
- An explanation of where the values came from and why

The following is an example of a typical ISPF long message example:

```
The current Temporary Data Set space settings are: ALLOCATION
TYPE=CYL, PRIMARY SPACE=00000001, SECONDARY SPACE=00000001, UNIT
TYPE=, VOL=SER=. These are from the Global Signon Default
module DGAXCXDF, because OVERRIDE=ALLOWGT is specified, and the
maximum space specified by this panel/DGA$UICB was not greater
than that specified by DGAXCXDF.
```

**Note:** In the message above, the phrase, this panel/DGA\$UICB, is the same thing as saying a legacy source of SIGNON allocation parameters.



---

## Chapter 2. Implementing Security

Sterling Connect:Direct provides a range of security options to meet diverse security requirements. These options can be part of Sterling Connect:Direct, part of interfaces to other security software, sample exits, or available from user-customized exit routines.

Sterling Connect:Direct provides support for passwords and/or passphrases up to 64 characters. Password can also mean passphrase in most instances with exception when referencing dataset passwords.

When PROCESSEs supply PNODEID and/or SNODEID passwords, password longer than 8 characters cannot be used when the PROCESS is using PNODE-SNODE, SNA or SNUF protocols.

**Note:** Be aware that when a user signs on to Connect:Direct using a passphrase (a password that is longer than 8 characters), all PROCESSEs submitted must override the PNODEID and SNODEID userids and passwords if the process is to use PNODE-SNODE, SNA or SNUF protocols (these protocols only support passwords of 8 characters or less).

**Note:** All sample exits define the proper AMODE and RMODE settings within the source member themselves. All user exits should be link-edited with AMODE=ANY and capable of executing in 31-bit mode. Each user exit should preserve the mode in which it was invoked and return to the caller in the proper mode. Modules written to execute in 31-bit mode can be link-edited with RMODE=ANY or RMODE=24. Check the source for the sample exits to see how Sterling Connect:Direct defines the proper AMODE and RMODE settings.

Sterling Connect:Direct for z/OS provides the following security features:

Security Option	Description
Security exits	Secures signon processing, job streams, and application programs. Sterling Connect:Direct provides four security exits and includes samples in the sample library. See Security Exits for more information.
SECURITY.EXIT initialization parameter	Specifies a stage 2 security exit. This exit is invoked during signon and Process start and data set access. Signon or file access requests are passed directly to the security exit for authorization checking. For more information, see "SECURITY.EXIT   SECURITY" on page 375.
Sterling Connect:Direct Authorization Facility	Provides signon security and assigns Sterling Connect:Direct functional authority if you do not specify or comment out the SECURITY.EXIT initialization parameter. Use this facility if your installation does not have a security package. See Sterling Connect:Direct Functional Authority for information. <b>Note:</b> The Sterling Connect:Direct Authorization Facility provides no data set access security checking. Authorization File describes the User Authorization file in detail.
Sterling Connect:Direct Secure Point-of-Entry	Secures the entry of an outside user to your system. Point-of-entry processing occurs before security exits are called. See Sterling Connect:Direct Secure Point-of-Entry for more information.

Security Option	Description
Trusted Node Security	Enables you to enforce more restrictive security parameters on specific nodes in your network. For example, each adjacent node can be defined as internal or external in its relationship to the local node of that network map. See Trusted Node Security for more information.

Sterling Connect:Direct supports the following security options:

Security Option	Description
Sterling Connect:Direct Secure Plus	Provides enhanced security for Sterling Connect:Direct. It uses cryptography to secure data during transmission. You select the security protocol, cipher suites, and other encryption options to use with the Sterling Connect:Direct Secure Plus product. One such option is Strong Password Encryption (SPE), which you can use to secure passwords at rest within the TCQ and AUTH files. SPE uses the TDESCBC112 encryption algorithm of Sterling Connect:Direct Secure Plus so if you have the Sterling Connect:Direct Secure Plus component configured, and then take the necessary steps to enable the SPE feature, SPE will be in effect. See the <i>IBM Sterling Connect:Direct Secure Plus for z/OS Implementation Guide</i> for more information.
CA-ACF2	External security package that secures files, users, and Sterling Connect:Direct functions.
IBM® Resource Access Control Facility (RACF®)	External security package that secures files, users, and Sterling Connect:Direct functions.
CA-TOP SECRET	External security package that secures files, users, and Sterling Connect:Direct functions.
Firewall Navigation	Enables you to control access to a Sterling Connect:Direct system running behind a firewall. See Configuring Firewall Navigation.

## Security Exits

Sterling Connect:Direct provides the following security exits:

- Stage 1 signon security exit
- Stage 2 security exit
- Run Job security exit
- Run Task security exit

The Sterling Connect:Direct sample library provides the following security exit routines for use with CA-ACF2, IBM RACF, and CA-TOP SECRET. The High-Level Assembler is required to assemble the sample security exits.

Exit	Description
DGACXSIG	Stage 1 signon security exit interface
DGAXACRJ	RUN JOB security exit
DGAXACFT	RUN TASK security exit
DGAXRACJ	RUN JOB security exit for SAF or IBM RACF
DGAXRACT	RUN TASK exit
DGAMGSAF	Security Exit Stage 2

Exit	Description
DGAXSAFT	RUN TASK security interface

The DGA\$SAFW macro in \$CD.SDGAMAC provides maps of the security and interface work area used by the security exits. This area allows for information that can be passed between the exits. Sterling Connect:Direct has two major processing flows that invoke security exits, the SIGNON command sequence and the Process execution sequence. This section describes how security exits are invoked during these two Processes.

---

## SIGNON Command Sequence

The SIGNON command sequence is the first flow through which a Sterling Connect:Direct terminal user, console operator, or batch application gains access to Sterling Connect:Direct functions. During this sequence, one or more of the following control points is invoked:

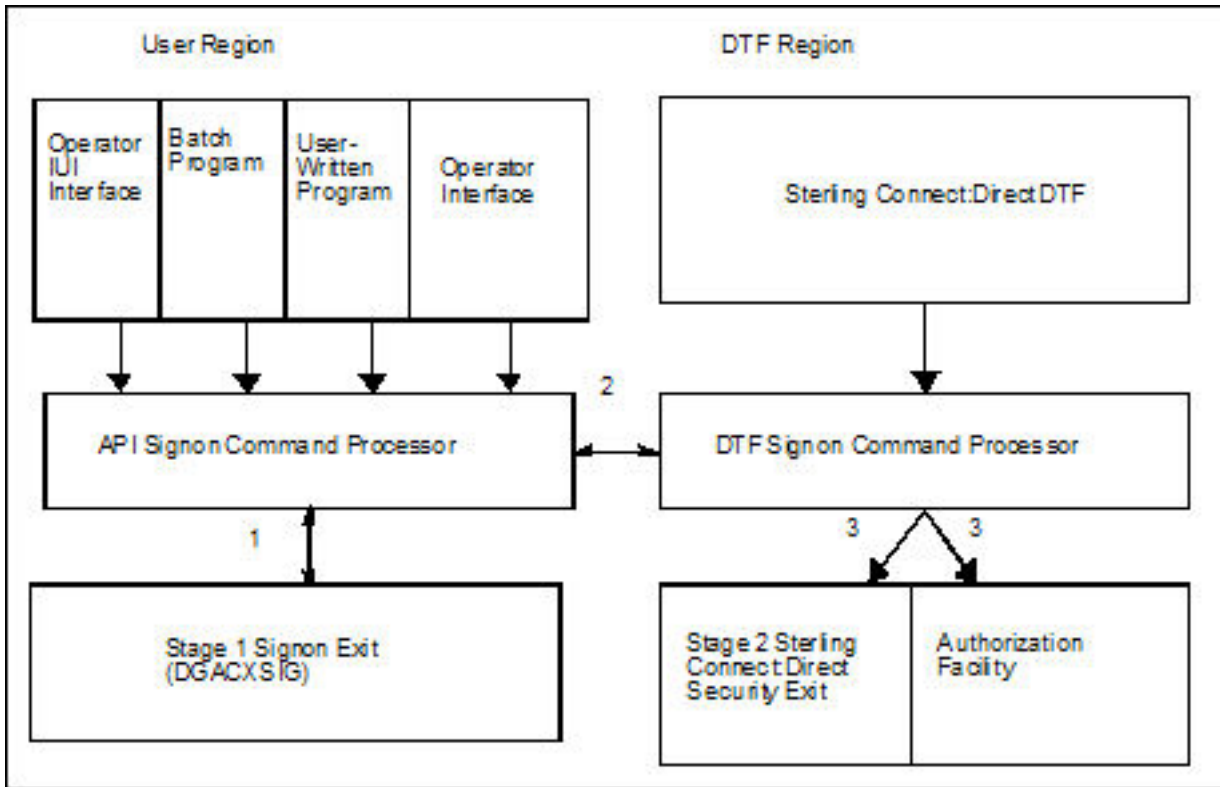
- Stage 1 signon security exit
- Sterling Connect:Direct Authorization Facility
- Stage 2 security exit

### Security during Signon Command

When you execute a SIGNON command through the batch, interactive, or operator interface, security control points exist in the Sterling Connect:Direct user region (or API) and the Sterling Connect:Direct DTF region.

The stage 1 signon security exit is the initial control point, as shown in the following figure. This optional control point is a user exit that gains control in the region of the user. The exit can inspect and modify the SIGNON command parameters.

The next control point occurs in the DTF region and can be a stage 2 security exit or the Sterling Connect:Direct Authorization Facility.



The following SIGNON command flow traces the security flow. The step numbers correspond to the steps in the illustration.

1. When you issue a SIGNON command, the API SIGNON command processor calls the stage 1 signon exit. If the stage 1 exit is not found, normal signon processing continues.

When invoked, the stage 1 exit receives a pointer to the User Interface Control Block (UICB) that contains information regarding the signon attempt. For a listing of UICB fields, refer to the chapter on the application programming interface in *IBM Sterling Connect:Direct for z/OS User Guide*.

If you specify a password on the SIGNON command, the stage 1 exit returns control to Sterling Connect:Direct without making any modifications to the UICB, and signon processing proceeds. The stage 2 exit verifies the USERID and PASSWORD that are coded on the SIGNON command for system entry validation and all subsequent security calls.

If you do not specify a password on the SIGNON command, Sterling Connect:Direct extracts the USERID from the security system control block built for this address space (when the TSO user logged on to TSO or when the BATCH job began execution) and puts that USERID into the UICB.

**Note:** Stage 1 exit keys off the password, not the user ID. So, if you do not specify a password but do specify a user ID, the stage 1 exit ignores that user ID and overlays it with the address space user ID that is picked up from the security system control block.

When the user ID is moved to the UICB, the exit fills in a special password of IUI, BATCH, or STC, depending upon what environment the signon comes from (Sterling Connect:Direct cannot access the password for the address space user ID), and control returns to Sterling Connect:Direct.

The benefit of running with a stage 1 signon exit is that Sterling Connect:Direct batch jobs do not need hardcoded passwords in their SYSIN data streams.

The sample stage 1 exit is shipped with the dummy passwords of IUI, BATCH, and STC coded in the exit. Change these passwords for each installation to avoid the chance that another site is using the same dummy passwords. You can change these passwords by editing the source for DGACXSIG and the appropriate validation in the macro DGASECUR (for the stage 2 exit). If a user id has a security subsystem password that matches one of the dummy passwords, that user id will be unable to sign on to Sterling Connect:Direct under some circumstances until the password is changed.

2. If the stage 1 processing is successful, the API SIGNON command processor passes the SIGNON command to the DTF where the DTF SIGNON command processor is invoked.
3. The DTF SIGNON command processor calls the stage 2 security exit or the Sterling Connect:Direct Authorization Facility. The stage 2 exit recognizes special passwords of IUI, BATCH, and STC as being assigned by the stage 1 exit. All calls to the security system for verifications verify authorizations by user ID only.

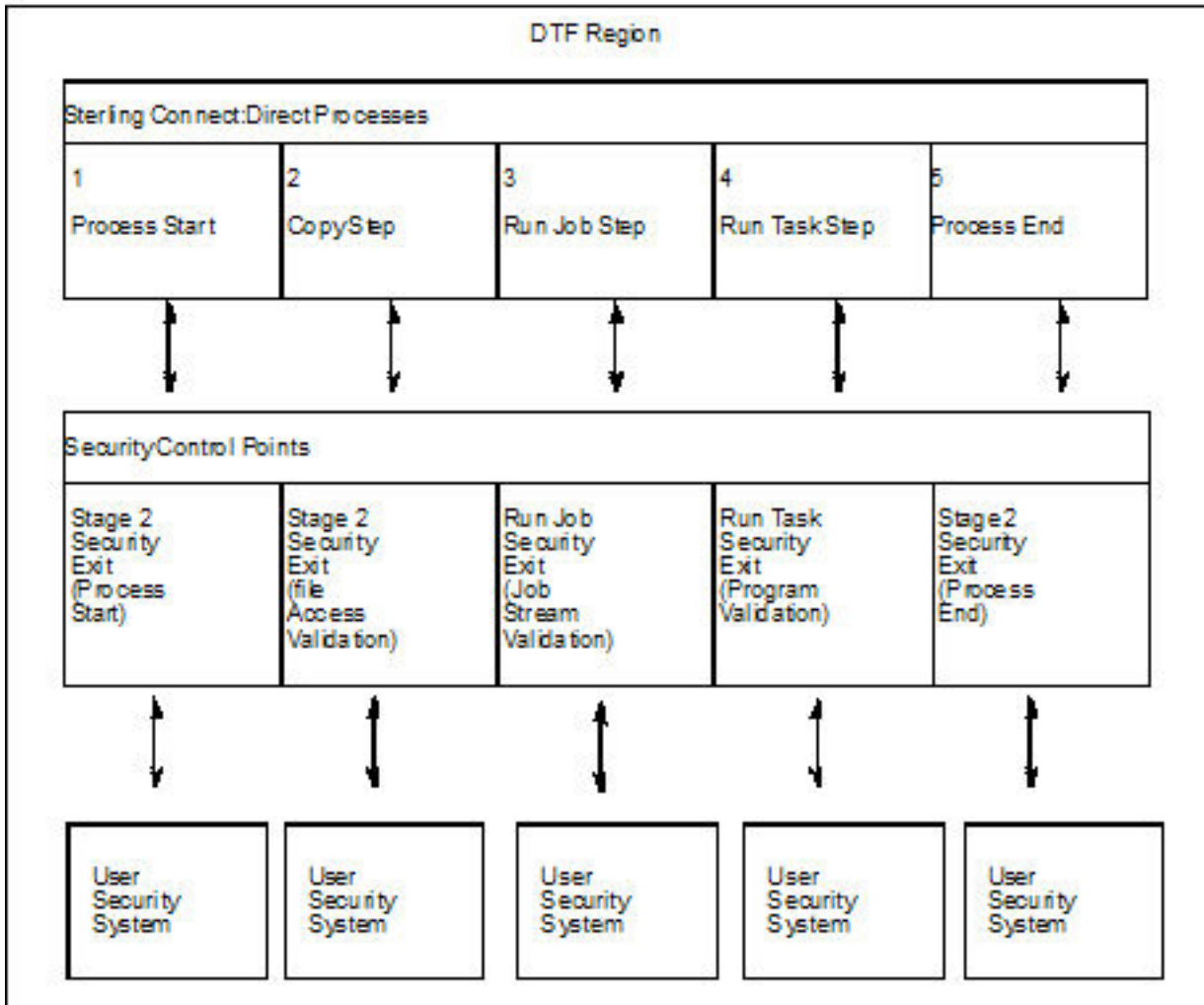
Regardless of how your system is implemented, this processing flow verifies the authority of the requesting user to perform Sterling Connect:Direct functions by checking the ABM (Authorization Bit Mask) for this user. The ABM is built through the stage 2 security exit or through the Sterling Connect:Direct Authorization Facility at signon and Process start.

## Process Execution Sequence

The Process execution sequence is the second flow through which Sterling Connect:Direct services execute a user request. During this sequence, one or more of the following control points is invoked:

- Process start invokes the stage 2 security exit.
- Copy statement invokes the stage 2 security exit.
- Run Task statement invokes the Run Task security exit.
- Process end invokes the stage 2 security exit.
- Run Job statement invokes the stage 2 security exit or the Run Job security exit.

When Sterling Connect:Direct executes a Process for a user, several DTF security control points exist, as shown in the following figure:



Refer to the numbers in the illustration as you trace the following Process flow:

1. Process start—This point in the stage 2 security exit gains control whenever a Process begins initial execution or restart execution, and enables verification of the authority of the requesting user to perform the Sterling Connect:Direct functions contained in the Process.
2. File access—This point in the stage 2 security exit gains control during Process execution whenever a COPY or RUN JOB statement is encountered. It enables verification of the access of the user to read or write the file defined in the COPY statement.  
With the RUN JOB statement, the exit enables verification of the user to read the file containing the job stream to be submitted.
3. Run Job—This exit point enables job stream validation and gains control when the following conditions exist:
  - RUN JOB statement is encountered during Process execution
  - RUN.JOB.EXIT initialization parameter is specified



4. Run Task—This exit point enables program validation and gains control when the following conditions exist:
  - RUN TASK statement is encountered during Process execution
  - RUN.TASK.EXIT initialization parameter is specified
5. Process end—This point in the stage 2 security exit gains control whenever a Process terminates, whether normally or abnormally. This exit point assists in cleaning up the security resources involved in Process execution.

**Note:** Copy, Run Job, and Run Task exit functions are entered for every occurrence of the associated statement in a Sterling Connect:Direct Process.

---

## Implementing Security Exits

This section describes how to implement each type of security exit, and includes information for specific security environments such as, IBM RACF, CA-ACF2, and CA-TOP SECRET.

**Note:** You must have the High-level Assembler to assemble the sample exits.

**CAUTION:**

**To avoid out-of-storage ABENDS in Sterling Connect:Direct for z/OS, examine all user exits to verify that all obtained storage is freed. For each GETMAIN that an exit issues, the exit must also issue a corresponding FREEMAIN to avoid accumulating storage; also, if an exit opens a file, you may need to issue a FREEPOOL after the file is closed.**

### Stage 1 Signon Security Exit

This control point enables the verification of the format and contents of the SIGNON command. The following requirements and restrictions apply:

- Implement the Sterling Connect:Direct® stage 1 signon exit as an executable load module.
- Name the load module DGACXSIG. To assemble, link-edit the appropriate name with an alias of DMCXSIGN by using DGAJCSG.
- Link-edit the module with the attribute AMODE=ANY.
- Link-edit the module as NORENT and NOREUS. Do not specify NCAL.
- The module must come from an authorized library.
- For the TSO IUI, the module must come from a library in the LNKLST or ISPLLIB.

**Note:** Do not put the module in a STEPLIB. The only time a STEPLIB works under ISPF is when ISPLLIB is not allocated. For additional information, see the sample JCL member DGAJCSG in SDGAJCL.

- The \$CD.SDGASAMP library contains a sample source module exit called DGACXSIG. Edit this module and modify the variable &SECTYPE to reflect the security system in use. Assemble and link-edit the exit.

For IBM RACF or CA-TOP SECRET, use the character string RACF for &SECTYPE. For CA-ACF2, use the character string ACF2.

All Sterling Connect:Direct nodes in cross-domain signon (or multi-session signon) with a Sterling Connect:Direct node that uses the stage 1 signon exit must also use the stage 1 signon exit.

## Signon Errors

If you are receiving signon errors about the stage 1 exit, allocate the special DDNAME APISECUR in the batch job for special diagnostic output using one of the following methods.

If you are using the IUI to route trace output to the screen, issue the following TSO command.

```
TSO ALLOC F(APISECUR) DA(*)
```

To route the output to a data set, issue the following TSO command.

```
TSO ALLOC F(APISECUR) SHR DSN('data-set')
```

To route the output to spool, issue the following TSO command:

```
TSO ALLOC F(APISECUR) SYSOUT(*)
```

You must preallocate the data set with the following DCB attributes.

```
DSORG=PS  
RECFM=VBA  
LRECL=121,  
BLKSIZE=125 or greater
```

## Sample SIGNON Panel

The \$CD.SDGASAMP library contains a member called DGA@NPAN that you can use to replace the existing signon panel (DGA@GNON) after you implement the Stage 1 Signon Security exit. This sample panel in conjunction with the Stage 1 Signon Security exit allows you to bypass the Signon panel when starting the TSO/IUI interface. Refer to \$CD.SDGASAMP member called DGAUPAN for a sample SMP/E USERMOD which contains instructions to implement this sample panel.

## Stage 2 Security Exit

This control point applies to all environments and is implemented as a user-supplied exit. It provides a standard interface for user ID and password verification and for establishing Sterling Connect:Direct functional authority and file access verification. Although you can use it for many different purposes, the stage 2 security exit is designed to provide the interface to your security system. You can also use it to invoke an exit to test new applications and customer connections. For more information, see Process Exit for Testing (DGAXPRCT).

The following requirements and restrictions apply:

- The stage 2 security exit is implemented as an executable load module.
- The name of the load module is user-defined, but it cannot conflict with any Sterling Connect:Direct load modules.
- Specify the SECURITY.EXIT initialization parameter to activate the stage 2 security exit. This parameter also specifies whether the exit is used for ALL security checking or just DATASET access validation.

- You must link-edit the module as re-entrant and reusable and place it in a load library that the Sterling Connect:Direct DTF can access. Do not specify NCAL. For more information, see Chapter 9, “Sterling Connect:Direct Exits,” on page 183.
- To prevent a remote node's security from using Signon dummy passwords, you can use the initialization parameter, REMOTE.DUMMY.PASSWORD. See REMOTE.DUMMY.PASSWORD=[ YES | INTERNAL ] for more details.
- Because information passed to the exit by Sterling Connect:Direct is located above 16 megabyte line, you must link-edit the module with AMODE 31 to make it capable of executing in 31-bit mode.

## Considerations for Systems with z/OS UNIX System Services

The following considerations apply to systems with z/OS UNIX System Services:

- Access to HFS files is controlled by UNIX System Services. The user ID under which the DTF runs must have UPDATE authority to the BPX.SERVER facility. In addition, the submitter ID/password, the PNODEID/password, or SNODEID/password must be valid. z/OS UNIX System Services enables or denies access based on the UNIX permission rules.
- Sterling Connect:Direct can also check HFS access without requiring a password. To use a password length of zero, you must set up an IBM ACF profile BPX.SRV.userid in class SURROGAT and make sure that the Sterling Connect:Direct started task userid has READ access to this profile. For more information, see Defining a Surrogate for User IDs with No Password.
- UNIX System Service (BPX) calls are executed in the Sterling Connect:Direct IUI under the TSO or Batch User ID. BPX calls require that a user ID has an OMVS segment defined to it within the external security product, such as IBM RACF, ACF2 or CA-TOP SECRET. The BPX calls are used to resolve the TCP/IP name or address for reporting purpose in Select Statistics. See *Special Considerations in IBM Sterling Connect:Direct for z/OS Release Notes* for more detailed information on adding an OMVS segment to a user ID.

## Sample Source Modules in the SDGASAMP Library

The \$CD.SDGASAMP library contains sample source modules for several release levels of z/OS security systems. These sample routines invoke a common macro called DGASECUR. This macro is the actual source code for the sample exits and is conditionally assembled based on the security system in use. Samples are provided for CA-ACF2, IBM RACF, and CA-TOP SECRET. You can accommodate other systems by using the sample code as a model.

DGAMGSAF is a sample exit routine for all security software supporting the RACROUTE interface. It uses the z/OS Security Access Facility (SAF). The TCB Extensions Feature (TCBSENV) must be present for correct operation.

## DGASECUR Parameters

You can edit the parameters in the DGASECUR macro to select the appropriate parameters.

The parameters are described in each source module and summarized in the following table. The parameters related to functional authority levels are listed separately in Sterling Connect:Direct Functional Authority.

Parameter	Description
TYPE=[SAF]	Identifies the type of exit.

Parameter	Description
STAGE1=[YES, <u>NO</u> ]	Identifies whether the stage 1 signon exit is implemented.
NOPASS=[YES, <u>NO</u> ]	Specifies if data set validity calls to the security subsystem are to be made without using passwords.
SECSYS=[ACF,TSS,RACF]	Identifies the security system package installed on your z/OS system.
NEWPASS=[YES, <u>NO</u> ]	Specifies whether the security system password of a user can be changed at signon time.
PNODEID=[YES, <u>NO</u> ]	Specifies if this exit enables security override of a PNODE user ID if one is used in a Process statement.
SNODEID=[YES, <u>NO</u> ]	Specifies if this exit enables an incoming node to use a SNODEID.
RESTRICT=[YES, <u>NO</u> ]	<p>(ACF2 only) Indicates if a user can specify a restricted ID (an ID with no ACF2 password) to access Sterling Connect:Direct.</p> <p>When running a stage 1 signon exit, this parameter has no meaning. The stage 1 exit inserts a dummy password into the user security record. In an environment with a stage 1 exit, all data set validity calls to the security subsystem are made with a NOPASS option. Therefore, the security subsystem does not differentiate between a restricted ID and an ID with a valid password.</p>
PROTECTD=[ <u>NO</u> ,YES, AUTH]	<p>(IBM RACF only) Indicates if a user can specify a protected ID (an ID with no IBM RACF password) to access Sterling Connect:Direct. The User IDs are defined to IBM RACF with the NOPASSWORD and NOIDCARD parameters. A protected ID cannot be used in situations requiring a password.</p> <p>Specifying SECSYS=RACF, PROTECTD=YES, allows users to access Sterling Connect:Direct using User IDs without passwords when the Sterling Connect:Direct DTF is started with a protected User ID. Therefore, Processes may specify PNODEID=   SNODEID without specifying a password.</p> <p>Specifying SECSYS=RACF, PROTECTD=AUTH, works like specifying PROTECTD=YES, except that the RACF protected ID must be specified as the SEC ID in an AUTH file entry for the USERID/NODE being verified. Also, the initialization parameter INVOKE.SPOE.ON.SNODEID must be YES.</p> <p><b>Note:</b> For PNODEID protected id processing, specifying PROTECTD=AUTH is the same as specifying PROTECTD=YES and no entry needs to be in the AUTH file as no SPOE processing is performed for PNODEID overrides.</p> <p>Specifying SECSYS=RACF, PROTECTD=NO generates no support for IBM RACF Protected User IDs.</p>
NPFAIL=[YES, <u>NO</u> ]	Specifies whether to refuse a request to copy a file that is not protected. This parameter is only valid for IBM RACF and CA-TOP SECRET users.

Parameter	Description
TRACE=[NO,DEBUG]	Specifies whether tracing will be turned on for this security exit.  TRACE=NO corresponds to TEST=NO in releases prior to 5.0. TRACE=DEBUG turns control of tracing over to the DEBUG bit settings and/or the existence of the SECURITY DD statement. You can direct the SECURITY DD to SYSOUT or a disk file with attributes of RECFM=VBA, LRECL=121, and BLKSIZE=125 or greater.  For more information about starting a security trace, see Security Traces.
CICSID=name	Specifies the dummy USERID name for establishing the initial session between the CICS Interface and a Sterling Connect:Direct DTF. Use this parameter for security when using the CICS interface.
PASSTK=[YES   <u>NO</u> ]	Results in the generation of a routine in the Stage 2 exit. This routine generates an IBM RACF PassTicket for PNODE processing and receives a PassTicket for SNODE processing. If PASSTK=NO is coded, no IBM RACF PassTicket processing is done. For more information, see Generating IBM RACF PassTickets.
PROCEXIT=[DGAXPRCT, <u>NO</u> ]	Specifies if the DGAXPRCT exit (Process Exit for testing) is to be used or not. To invoke the exit, specify DGAXPRCT. To prevent the DGAXPRCT exit from being invoked, specify NO. For more information, see Setting Up and Using the DGAXPRCT Exit.
UID=local ID	Specifies the local identifier which appears in NDMLOG output along with the PTF maintenance listing.
CLASS= <u>DATASET</u>   FACILITY	Provides ability to use the IBM RACF DATASET or FACILITY class to define user authorization profiles within Sterling Connect:Direct. For more information, see Sterling Connect:Direct Functional Authority.

## Generating IBM RACF PassTickets

An IBM RACF PassTicket is a temporary one-time password that is good for only a short period of time. The generation of the PassTicket requires a Userid and an Application Profile Name. To validate the PassTicket, the same Userid and same Application Profile Name must be used. The Application Profile Name must be defined to IBM RACF as the name of a PTKTDATA profile. Sterling Connect:Direct allows the specification of a PassTicket Application ID in the AUTH file.

To identify a node as capable of generating PassTickets, the third parameter in the SECURITY.EXIT initialization parm must specify PSTKT as shown in the following example:

```
SECURITY.EXIT=(module name,DATASET|ALL,PSTKT)
```

If a session is established with another Sterling Connect:Direct for z/OS node that also supports PassTicket generation, a PassTicket is generated under the following conditions:

- The PNODE is PassTicket capable.

- The SNODE is PassTicket capable.
- SNODEID= is specified without a password.
- The AUTH file contains an entry for this SNODEID/SNODE and PassTicket information is defined. The Application Profile Name is passed to the Stage 2 security exit to generate the PassTicket.
- The PassTicket is generated using the Application Profile Name and the SNODEID userid.

A generated PassTicket is passed to the SNODE as the Security Password for the SNODEID, along with an indication that a PassTicket is being used. When the SNODE receives a session start with an indication that a PassTicket is being used, it attempts to retrieve the Application Profile Name by looking in the AUTH file for an entry for the SubmitterID/PNODE with the PassTicket information defined. The Application Profile Name and SNODEID userid are used to validate the PassTicket.

PassTickets can also be used to access HFS files.

### Return Codes

The following table describes the valid return codes from the stage 2 exit for signon, Process start, or security delete.

RC	Description
0	No error
8	Insufficient access authority; an SAFB008I is issued
20	Security system inactive (ACF only); an SAFB020I is issued

If none of the return codes in the previous table are returned, Sterling Connect:Direct issues the message SAFB003I.

**Note:** If SQMSGYES is on, Sterling Connect:Direct does not overlay the message ID set by the exit, and the Process ends with the message set by the exit.

The valid return codes for the data set create security call are:

RC	Description
0	No error
8	Insufficient access authority; an SVSA908I ABEND is issued
12	Invalid data in SQCB; a U2250 ABEND is issued
16	No storage available for GETMAIN; a U2251 ABEND is issued
20	Security system inactive; Sterling Connect:Direct performs a STOP IMMEDIATE
24	ADJ node not allowed to send (RACF100I) or receive (RACF101I) and the node executing the exit is PNODE
28	ADJ node not allowed to send (RACF100I) or receive (RACF101I) and the node executing the exit is SNODE

After control is returned from the exit to the DTF, the return code is set to 8 if the exit was run from PNODE and to 12 if the exit was run from SNODE.

If none of the return codes in the previous table are returned, Sterling Connect:Direct ends abnormally with a U2252 ABEND.

### **Sterling Connect:Direct Functional Authority**

When you sign on to a Sterling Connect:Direct running with security (or when a Process you submit begins executing), you are assigned a 20-byte authorization bit mask (ABM) based on a recommendation by the stage 2 security exit or the Sterling Connect:Direct Authorization file. The ABM describes your unique functional authority within Sterling Connect:Direct.

Sterling Connect:Direct provides four standard security levels in the DGAMGSAF exit described in the following table. The ADMVOL, OPRVOL, DBAVOL, and GENVOL parameters indicate the volumes on which these data sets reside. If you do not specify volume names in the DGAMGSAF stage 2 security exit, the exit provides default volume names for monitoring by your security subsystem.

**Note:**

If the CLASS=DATASET is defaulted or specified, Sterling Connect:Direct uses the four standard security levels described above. If the CLASS=FACILITY is specified, Sterling Connect:Direct uses these same four standard security levels as defined in the following table. However, the volser information is not needed or used by the STAGE2 security exit (as provided with the installation media).

**Note:** To add new functional authority levels or change the privileges in the standard functional authority levels, see Functional Authority Privileges. There are also 10 user-defined functional levels (US0DSN-US9DSN). For more information about these functional levels, see “Example 3 - Defining Additional Levels of Functional Authority” on page 53 and “Example 4 - Assigning Read-Only Authority to a User Authorization Level” on page 54.

<b>Parameter</b>	<b>Description</b>
ADMDSN=file name ADMVOL=volser	Specifies full administrator authority. The specified user is authorized to execute all Process language statements and commands.
DBADSN=file name DBAVOL=volser	Specifies DB2 Data Base Administrator.
OPRDSN=file name OPRVOL=volser	Specifies operator authority. The specified user is authorized to delete, change, display, flush, and submit Processes; stop Sterling Connect:Direct; start and stop traces; and display, add, delete, and update type.
GENDSN=NULLFILE   filename GENVOL=volser	Specifies general authority. The specified user is authorized to delete, change, display, and flush his own Processes, submit Processes, and display, add, delete, and update Type.  If NULLFILE is coded, a user who logs on to Sterling Connect:Direct without specific administrator or operator authorization is, by default, classified as a general user. The following is a sample User Authorization screen, showing commands available to a general user.

If a bit in one of these standard ABMs is set to one, you are authorized to perform the Sterling Connect:Direct command that is associated with that bit, according to the security levels.

For example, if you have the authority to read the ADMDSN, you are given the administrator bit mask that enables you to perform administrator functions. If you do not have ADMDSN authority, OPRDSN read authority is checked, and so on, according to the sequence described in Functional Authority Validation Sequence.

To assign Sterling Connect:Direct functional authority, define four data sets or resources on your system to correspond to the administrator, operator, database administrator, and general user data sets.

You can specify Sterling Connect:Direct functional authority to individual users by verifying access to one of the named resources. These resource names refer to Sterling Connect:Direct functional authority grouped by the four categories. Sterling Connect:Direct users are given access to the particular resource that corresponds to their level of authority.

In addition, you can modify the standard ABMs provided by Sterling Connect:Direct to changed the default privileges for a particular functional authority level. See Functional Authority Privileges. In addition, you can expand the number of functional authority levels by creating authorization bit masks for new user-defined levels. See Defining Additional Levels of Functional Authority.

### Example Functional Authority Profiles

In the sample screens below, YES next to a command means that the security level is authorized to execute the command, NO means that the security level is not authorized to execute the command, and SUB means that the security level is authorized to execute the command only if the Process was submitted by the particular user.

The following example shows the User Authorization screen for the administration authority (ADMDSN=file name, ADMVOL=volser).

**Note:** You can access the User Authorization screen through the IBM Sterling Connect:Direct Primary Options Menu. This menu and its options are discussed in the chapter on the Interactive User Interface in *IBM Sterling Connect:Direct for z/OS User Guide*. The User Authorization screen lists all the commands a particular user is authorized and not authorized to execute.

node.name		USER AUTHORIZATION		13:30	
CMD ==>					
AUTH	COMMAND	AUTH	COMMAND		
-----		-----			
1) YES	- CHANGE PROCESS	15) YES	- SELECT TASK		
2) YES	- DELETE PROCESS	16) YES	- SELECT TYPE		
3) YES	- DELETE TYPE	17) YES	- SELECT USER		
4) YES	- DELETE USER	18) YES	- SUBMIT PROCESS		
5) YES	- FLUSH PROCESS	19) YES	- SUBMIT WITHIN PROC		
6) YES	- FLUSH TASK	20) YES	- SUSPEND PROCESS		
7) Y/Y	- INSERT/UPDATE TYPE	21) YES	- STAT COMMAND		
8) Y/Y	- INSERT/UPDATE USER	22) YES	- EVENT COMMAND		
9) YES	- MODIFY (TRACE)	23) YES	- VIEW PROCESS		
10) YES	- STOP Connect:Direct	24) YES	- PERFORM CRC OVERRIDES		
11) YES	- UPDATE NETWORK MAP	25) NO	- CONFIRM DELETE		
12) YES	- SELECT NETWORK MAP	26) NO	- CONFIRM DEL OFF		
13) YES	- SELECT PROCESS	27) YES	- SECURE+ ADMIN		
14) YES	- SELECT STATISTICS	28) NO	- UPDATE INITPARM		



The following example shows the User Authorization screen for the DB2 data base administrator authority (DBADSN=file name, DBAVOL=volser).

node.name	USER AUTHORIZATION				hh:mm
CMD ==>					
	AUTH	COMMAND	AUTH	COMMAND	
	-----		-----		
	1)	SUB - CHANGE PROCESS	15)	SUB - SELECT TASK	
	2)	SUB - DELETE PROCESS	16)	YES - SELECT TYPE	
	3)	YES - DELETE TYPE	17)	NO - SELECT USER	
	4)	NO - DELETE USER	18)	YES - SUBMIT PROCESS	
	5)	SUB - FLUSH PROCESS	19)	YES - SUBMIT WITHIN PROC	
	6)	SUB - FLUSH TASK	20)	SUB - SUSPEND PROCESS	
	7)	Y/Y - INSERT/UPDATE TYPE	21)	NO - STAT COMMAND	
	8)	N/N - INSERT/UPDATE USER	22)	NO - EVENT COMMAND	
	9)	NO - MODIFY (TRACE)	23)	SUB - VIEW PROCESS	
	10)	NO - STOP Connect:Direct	24)	YES - PERFORM CRC OVERRIDES	
	11)	NO - UPDATE NETWORK MAP	25)	NO - CONFIRM DELETE	
	12)	NO - SELECT NETWORK MAP	26)	NO - CONFIRM DEL OFF	
	13)	SUB - SELECT PROCESS	27)	NO - SECURE+ ADMIN	
	14)	YES - SELECT STATISTICS	28)	NO - UPDATE INITPARM	

The following example shows the User Authorization screen for the operator authority (OPRDSN=file name, OPRVOL=volser).

node.name	USER AUTHORIZATION				hh:mm
CMD ==>					
	AUTH	COMMAND	AUTH	COMMAND	
	-----		-----		
	1)	YES - CHANGE PROCESS	15)	YES - SELECT TASK	
	2)	YES - DELETE PROCESS	16)	YES - SELECT TYPE	
	3)	YES - DELETE TYPE	17)	NO - SELECT USER	
	4)	NO - DELETE USER	18)	YES - SUBMIT PROCESS	
	5)	YES - FLUSH PROCESS	19)	YES - SUBMIT WITHIN PROC	
	6)	YES - FLUSH TASK	20)	YES - SUSPEND PROCESS	
	7)	Y/Y - INSERT/UPDATE TYPE	21)	NO - STAT COMMAND	
	8)	N/N - INSERT/UPDATE USER	22)	NO - EVENT COMMAND	
	9)	YES - MODIFY (TRACE)	23)	YES - VIEW PROCESS	
	10)	YES - STOP Connect:Direct	24)	YES - PERFORM CRC OVERRIDES	
	11)	NO - UPDATE NETWORK MAP	25)	NO - CONFIRM DELETE	
	12)	NO - SELECT NETWORK MAP	26)	NO - CONFIRM DEL OFF	
	13)	YES - SELECT PROCESS	27)	NO - SECURE+ ADMIN	
	14)	YES - SELECT STATISTICS	28)	NO - UPDATE INITPARM	

The following example shows the User Authorization screen for the general user authority (GENDSN=NULLFILE | filename, GENVOL=volser).

node.name	USER AUTHORIZATION				hh:mm
CMD ==>					
	AUTH	COMMAND	AUTH	COMMAND	
	1)	SUB - CHANGE PROCESS	15)	SUB - SELECT TASK	
	2)	SUB - DELETE PROCESS	16)	YES - SELECT TYPE	
	3)	YES - DELETE TYPE	17)	NO - SELECT USER	
	4)	NO - DELETE USER	18)	YES - SUBMIT PROCESS	
	5)	SUB - FLUSH PROCESS	19)	YES - SUBMIT WITHIN PROC	
	6)	SUB - FLUSH TASK	20)	SUB - SUSPEND PROCESS	
	7)	Y/Y - INSERT/UPDATE TYPE	21)	NO - STAT COMMAND	
	8)	N/N - INSERT/UPDATE USER	22)	NO - EVENT COMMAND	
	9)	NO - MODIFY (TRACE)	23)	SUB - VIEW PROCESS	
	10)	NO - STOP Connect:Direct	24)	YES - PERFORM CRC OVERRIDES	
	11)	NO - UPDATE NETWORK MAP	25)	NO - CONFIRM DELETE	
	12)	NO - SELECT NETWORK MAP	26)	NO - CONFIRM DEL OFF	
	13)	SUB - SELECT PROCESS	27)	NO - SECURE+ ADMIN	
	14)	YES - SELECT STATISTICS	28)	NO - UPDATE INITPARM	

## Functional Authority Validation Sequence

The security-checking sequence follows:

1. When the stage 2 security exit is called to determine Sterling Connect:Direct functional authority for a user (at signon or Process start), it first checks with the security subsystem (that is, CA-ACF2, IBM RACF, or CA-TOP SECRET) to determine if that user is allowed to read the Administrator data set. If so, the authority of the user is set as an Administrator.
2. If the user is not allowed to read the Administrator data set, the exit checks to see if the user can read the Operator data set. If yes, the user is given Operator authority.
3. If the user is not allowed to read the Operator data set, the exit checks to see if the user can read the Data Base Administrator data set. If so, the user is given Data Base Administrator authority.
4. If the user is not allowed to read the Data Base Administrator data set, and the stage 2 exit includes GENDSN=NULLFILE, the user is given General User authority. If you specify a data set name for GENDSN, the exit either assigns the user General User authority if the user can read the data set, or disables the Sterling Connect:Direct function requested (signon or Process execution) if the user cannot read the data set.

## Functional Authority Privileges

The privileges set for each of the four standard Sterling Connect:Direct functional authority levels are the default privileges provided in the base product. This section describes how to change the privileges in the standard functional authority levels or add new functional authority levels.

You can modify the Sterling Connect:Direct stage 2 security exit macro, DGASECUR, to change the functions a user can perform in a particular authorization level. The \$CD.SDGAMAC library contains a macro called DGA\$MFLG that describes each of the 20 bytes of functional authorization.

The following is the generic 20 byte mask that is mapped by a dummy section (DSECT) in the DGA\$MFLG macro along with a definition of each byte, the general function that the bits represent, and the specific settings:

Byte	Function	Setting
BYTE00	Reserved for future use	
BYTE01	Display, Add, Update, and Delete User Commands	ADDUSR–Add user UPDUSR–Update user DELUSR– Delete user DSPUSR–Display user
BYTE02	Reserved for future use	
BYTE03	Reserved for future use	
BYTE04	Display, Add, Update, and Delete Network Map Commands	ADDNET–Add network map UPDNET–Update network map DELNET–Delete network map DSPNET–Display network map
BYTE05	Change and Delete Process Commands	CHGPCRC–Change Process DELPRC–Delete Process
BYTE06	Display Process, Statistics, and Traces, Flush Process, and Use Stats commands	DSPPRC–Display Process DSPSTA–Display Statistics DSPTRC–Display Trace FLSPRC–Flush Process STATCMD–Use Statistics Commands
BYTE07	Start/Stop Sterling Connect:Direct, Start/Stop Traces, Modify Init parms, Suspend/Resume Sessions, Use Event Services, Update APKey commands, and Update initialization parameters in Sterling Control Center	STPNDM–Start/Stop Sterling Connect:Direct SSTRAC–Start/Stop Traces and Modify Initparms EVENTCMD–Use Event Services Commands REFSH–Update init parms UPDKEY–Update license key
BYTE08	Perform Sterling Connect:Direct Secure Plus Parm file and netmap administration functions in Sterling Control Center	S#RNCR–Read Sterling Connect:Direct Secure Plus parm file S#WNCR–Write to Sterling Connect:Direct Secure Plus parm file DSPNCR–Display netmap control functions in Sterling Control Center UPDNCR–Allow netmap update functions in Sterling Control Center

Byte	Function	Setting
BYTE09	Display, Add, Update, and Delete Type commands	ADDTYP–Add type UPDTYP–Update type DELTYP–Delete type DSPTYP–Display type
BYTE10	Use COPY, RUN JOB, MODALS, and SUBMIT Statements, and View Process and CRC Override commands	GCOPY–Use COPY statement GRUNJ–Use RUN JOB statement GMODALS–Use MODAL statement GSUBMIT–Use SUBMIT statement VIEWPR–View Process GOVCRC–Perform CRC overrides
BYTE11	Use Submit within a Process and RUN TASK statements,  display Confirm Delete prompt, and turn Confirm Delete  prompt off for a session. <b>Note:</b> The Confirm Delete function also includes the Flush and Suspend commands, that is, the user is prompted to confirm before the Flush and Suspend Commands in addition to the Delete command.	GSUB–Use Submit within a Process statement GRUNT–Use RUN TASK statement GCDEL–Display Confirm Delete, Flush, and Suspend prompts GCDELOFF–Turn off Confirm delete prompt off for session
BYTE12	General User Functions– Select, Delete, Flush, Change, and View Process, and Display Statistics, and Display Plex environment (the last command for an Administrator only). <b>Note:</b> The General User functions enable you to restrict applying each command to Processes associated with a submitter ID.	GDSPPRC–Display Process GDELPRC–Delete Process GDFLSPRC–Flush Process GDSPSTA–Display Statistics GCHGPRC–Change Process GVIEWPR–View Process DSPPLX–Display Plex Environment
BYTE13	Reserved for future use	
BYTE14	Reserved for future use	
BYTE15	Reserved for future use	
BYTE16	Reserved for future use	
BYTE17	Reserved for future use	
BYTE18	Reserved for future use	
BYTE19	Reserved for future use	

The sample exit macro DGASECUR contains authorization bit masks for the four standard Sterling Connect:Direct authority groups. The default settings shown in the following ABMs are in the DGASECUR macro in the \$CD.SDGAMAC library. The DGA\$MFLG bit mask contains all possible functions for each byte whereas the bit masks for a particular Sterling Connect:Direct authority group may contain only a subset of the available functions. For example, BYTE 10 (DBA10) in the DB2 data base authority level authorization bit mask (DBAABM) below does not contain the View Process function (VIEWPR) while BYTE 10 in the ABM for the Operator authority level does. (Bytes reserved for future use are not shown.)

### DGASECUR Authorization Bit Mask Examples

The following example shows the authorization bit mask for the Administrator authority level (ADMABM).

ABYTE1	DC	AL1(ADDUSR+UPDUSR+DELUSR+DSPUSR)
ABYTE4	DC	AL1(ADDNET+UPDNET+DELNET+DSPNET)
ABYTE5	DC	AL1(CHGPRC+DELPRC)
ABYTE6	DC	AL1(DSPPRC+DSPSTA+FLSPRC+STATCMD)
ABYTE7	DC	AL1(STPNDM+SSTRAC+EVENTCMD+UPDKEY)
ABYTE8	DC	AL1(UPDNCR+DSPNCR+S#WNCR+S#RNCR)
ABYTE9	DC	AL1(ADDTYP+UPDTYP+DELTYP+DSPTYP)
ABYTE10	DC	AL1(GCOPY+GRUNJ+GMODALS+GSUBMIT+VIEWPR+GOVCRC)
ABYTE11	DC	AL1(GSUB+GRUNT)
ABYTE12	DC	AL1(DSPPLX)

The following example shows the authorization bit mask for the Operator authority level (OPERABM).

OPER1	DC	XL1(00)	NULL - Not Set
OPER4	DC	XL1(00)	NULL - Not Set
OPER5	DC	AL1(CHGPRC+DELPRC)	DELETE/CHANGE PROCESS
OPER6	DC	AL1(DSPPRC+DSPSTA+FLSPRC)	DISPLAY/FLUSH PROCESS
*			DISPLAY STATISTICS
OPER7	DC	AL1(STPNDM+SSTRAC)	STOP START-STOP TRACE
OPER9	DC	AL1(ADDTYP+UPDTYP+DELTYP+DSPTYP)	
*			DISPLAY/ADD/DELETE TYPE
OPER10	DC	AL1(GCOPY+GRUNJ+GMODALS+GSUBMIT+VIEWPR+GOVCRC)	
*			COPY/RUN JOB/MODALS/SUBMIT
OPER11	DC	AL1(GSUB+GRUNT)	REMOTE SUBMIT/RUN TASK

The following example shows the authorization bit mask for the DB2 data base authority level (DBAABM).

DBA1	DC	XL1(00)	NULL - Not Set
DBA4	DC	XL1(00)	NULL - Not Set
DBA9	DC	AL1(ADDTYP+UPDTYP+DELTYP+DSPTYP)	
*			DISPLAY/ADD/DELETE TYPE
DBA10	DC	AL1(GCOPY+GRUNJ+GMODALS+GSUBMIT+GOVCRC)	
*			COPY/RUN JOB/MODALS/SUBMIT
DBA11	DC	AL1(GSUB+GRUNT)	REMOTE SUBMIT/RUN TASK
DBA12	DC	AL1(GDSPPRC+GDELPRC+GFLSPRC+GDSPSTA+GCHGPRC+GVIEWPR)	
*			DISPLAY/CHANGE/FLUSH/STATS FOR
*			SUBMITTERS PROCESS ONLY

The following example shows the authorization bit mask for the General User authority level (GUSRABM).

GUSR1	DC	XL1(00)	NULL - Not Set
GUSR4	DC	XL1(00)	NULL - Not Set
GUSR9	DC	AL1(ADDTYP+UPDTYP+DELTYP+DSPTYP)	
*			DISPLAY/ADD/DELETE TYPE
GUSR10	DC	AL1(GCOPY+GRUNJ+GMODALS+GSUBMIT+GOVCRC)	
*			COPY/RUN JOB/MODALS/SUBMIT
GUSR11	DC	AL1(GSUB+GRUNT)	REMOTE SUBMIT/RUN TASK
GUSR12	DC	AL1(GDSPPRC+GDELPRC+GFLSPRC+GDSPSTA+GCHGPRC+GVIEWPR)	
*			DISPLAY/CHANGE/FLUSH/STATS FOR
*			SUBMITTERS PROCESS ONLY

To change the bits in any given authorization byte, locate the bit labels in the DGA\$MFLG macro and update the DGASECUR macro. To implement any changes made and put your new exit into effect, you must stop and restart Sterling Connect:Direct.

### Example 1 - Broadening Privileges for General Users

To authorize general users to perform a SELECT PROCESS command and a SELECT STATISTICS command for Processes submitted with any user ID rather than just with the ID of the submitter, perform the following steps:

#### Procedure

1. Look in the DGA\$MFLG macro for the bits that allow the user to perform these two commands. These bits are located in BYTE06 of DGA\$MFLG.
2. Find the bits that allow these two commands only for the ID of the submitter. These bits are located in BYTE12 of DGA\$MFLG.
3. Locate the label in the DGASECUR macro that indicates the General User authorization bit mask (GUSRABM). General user BYTES 06 and 12 are currently set to the following values.

GUSR6	DC	XL1'00'
GUSR12	DC	AL1(GDSPPRC+GDELPRC+GFLSPRC+GDSPSTA+GCHGPRC+GVIEWPR)

4. To allow general users to perform SELECT PROCESS and SELECT STATISTICS commands for any user ID, remove GDSPPRC and GDSPSTA from BYTE12 and copy the DSPPRC and DSPSTA bits from BYTE06 in the DGA\$MFLG authorization bit mask and put them in BYTE06 in the GUSRABM, changing these bytes to the following values:

GUSR6	DC	AL1(DSPPRC+DSPSTA)
GUSR12	DC	AL1(GDELPRC+GFLSPRC+GCHGPRC+GVIEWPR)

5. Reassemble and link-edit your security module that uses the DGASECUR macro.
6. To put the new exit into effect, stop and restart Sterling Connect:Direct.

### Example 2 - Forcing the Confirm Prompt for General Users

If a user has the authority to delete, flush or suspend a Process, the default setting allows the user to perform the action automatically. As soon as the user enters the command, it is executed instantly. However, you can modify this default privilege and require a user to confirm the action before it is executed. In addition, you can specify whether a user can turn off the Confirm Delete/Flush/Suspend Command prompt for a particular session after the prompt displays at least one time.

## About this task

The following sample procedure shows you how to turn on the Confirm Delete/Flush/Suspend Command prompt for users in the general user authority category but at the same time allow them to turn off the prompt for a particular session.

### Procedure

1. Locate BYTE11 in the DGA\$MFLG macro. Two of the four bits, GCDEL and GCDELOFF, turn on the Confirm Delete/Flush/Suspend Command prompt and if turned on, permit a user to turn off the Confirm Delete/Flush/Suspend Command prompt temporarily for the current session. (The other two bits pertain to the Submit within a Process and RUN TASK commands.)
2. Locate the label in the DGASECUR macro that indicates the General User authorization bit mask setting (GUSRABM). General user BYTE 11 is currently set to the following values.

```
GUSR11 DC AL1 (GSUB+GRUNT)
```

3. To ensure that the Confirm/Delete/Suspend Command prompt displays for all users in the general user category, add GCDEL to change BYTE11 as follows:

```
GUSR11 DC AL1 (GSUB+GRUNT+GCDEL)
```

4. To let users in the general user category turn off the Confirm/Delete/Suspend Command prompt for a particular session, add GCDELOFF to change BYTE11 as follows:

```
GUSR11 DC AL1 (GSUB+GRUNT+GCDEL+GCDELOFF)
```

5. Reassemble and link-edit your security module that uses the DGASECUR macro.
6. To put the new exit into effect, stop and restart Sterling Connect:Direct.

### Example 3 - Defining Additional Levels of Functional Authority

Sterling Connect:Direct provides additional authorization bit masks (US0DSN through US9DSN) that you can use to expand the number of functional authority levels beyond the standard four levels.

## About this task

The following example shows the authorization bit mask for the user-definable ABM.

U0BYTE1	DC	XL1(00)	NULL - Not Set
U0BYTE4	DC	XL1(00)	NULL - Not Set
U0BYTE5	DC	AL1(CHGPRC+DELP RC)	DELETE/CHANGE PROCESS
U0BYTE6	DC	AL1(DSPPRC+DSPSTA+FLSPRC)	DISPLAY/FLUSH PROCESS
*			DISPLAY STATISTICS
U0BYTE7	DC	AL1(STPNDM+SSTRAC)	STOP START-STOP TRACE
U0BYTE8	DC	XL1'00'	NOT USED
U0BYTE9	DC	AL1(ADDTYP+UPDTYP+DELTYP+DSPTYP)	
*			DISPLAY/ADD/DELETE TYPE
U0BYTE10	DC	AL1(GCOPY+GRUNJ+GMODALS+GSUBMIT+VIEWPR+GOVCRC)	
*			COPY/RUN JOB/MODALS/SUBMIT
U0BYTE11	DC	AL1(GSUB+GRUNT)	REMOTE SUBMIT/RUN TASK

## Procedure

1. To create your own authorization level, locate the user-definable ABM you want to use, and change the bytes.
2. Add the data set and volume names to the DGAMGSAF stage 2 security exit.
3. Assemble and link-edit the DGAMGSAF exit.
4. To implement the new authorization level, into effect, you must stop and restart Sterling Connect:Direct.

## Example 4 - Assigning Read-Only Authority to a User Authorization Level

To define a new security profile to allow read-only authority for users, follow this procedure. After you implement it, when a user signs on to Sterling Connect:Direct, they are assigned an authorization bit mask that allows them to display and view Processes, and display statistics but they cannot submit or run a Process.

## Procedure

1. Modify the DGASECUR macro by locating the USR0ABM label and making the following changes:
  - a. Delete both the CHGPRC and DELPRC bits in BYTE05.
  - b. Delete the FLSPRC bit in BYTE06.
  - c. Delete both the STPNDM and SSTRAC bits in BYTE07.
  - d. Delete all bits in BYTE09.
  - e. Delete all bits in BYTE10 except for VIEWPR.
  - f. Delete all bits in BYTE11.

The USR0ABM should look like the following:

USR0ABM	DS	0XL20	DEFINES	User Group Zero ABM Flags
U0BYTE0	DC	XL1'00'		NOT USED
U0BYTE1	DC	XL1'00'		NOT USED
U0BYTE2	DC	XL1'00'		NOT USED
U0BYTE3	DC	XL1'00'		NOT USED
U0BYTE4	DC	XL1'00'		NOT USED
U0BYTE5	DC	XL1'00'		DELETE/CHANGE PROCESS
U0BYTE6	DC	AL1(DSPPRC+DSPSTA)		Display Process
*				DISPLAY STATISTICS
U0BYTE7	DC	XL1'00'		STOP START-STOP TRACE
U0BYTE8	DC	XL1'00'		NOT USED
U0BYTE9	DC	XL1'00'		DISPLAY/ADD/DELETE TYPE
U0BYTE10	DC	AL1(VIEWPR)		View Process only
U0BYTE11	DC	XL1'00'		REMOTE SUBMIT/RUN TASK
U0BYTE12	DC	XL1'00'		NOT USED

2. Modify the DGAMGSAF example in the \$CD.SDGASAMP library to assign a file name to the new US0DSN parameter and indicate which volume it resides on.



DGAMGSAF	DGASECUR TYPE=SAF,	X
	.	X
	.	X
	ADMDSN=\$CD.ADMIN,	X
	ADMVOL=VOLSER,	X
	OPRDSN=\$CD.OPER,	X
	OPRVOL=VOLSER,	X
	DBADSN=\$CD.DBA,	X
	DBAVOL=VOLSER,	X
	GENDSN=\$CD.GUSER,	X
	GENVOL=VOLSER,	X
	US0DSN=\$CD.NEW.USER.LEVEL,	X
	US0VOL=VOLSER	

3. Assemble and link-edit the DGAMGSAF module using the sample JCL in \$CD.SDGAJCL(DGAJSAF).

```
//ASM EXEC PGM=ASMA90,
// PARM='OBJECT,NODECK,XREF(SHORT),RENT,USING(WARN(0),NOMAPX
// ),FLAG(NOCONT),SYSPARM(GEN),NOTEST'
//SYSIN DD DISP=SHR,DSN=connect.direct.SDGASAMP(DGA*****)
//SYSLIB DD DISP=SHR,DSN=connect.direct.samplib
// DD DISP=SHR,DSN=SYS1.MACLIB
// DD DISP=SHR,DSN=SYS1.AMODGEN
// DD DISP=SHR,DSN=SYS1.AMACLIB
// DD DISP=SHR,DSN=security.maclib
//SYSLIN DD DISP=(,PASS),DSN=&&OBJ,
// UNIT=SYSDA,SPACE=(CYL,(1,1)),
// DCB=(DSORG=PS,RECFM=FB,LRECL=80,BLKSIZE=3120)
//SYSPRINT DD SYSOUT=*
//SYSTEM DD SYSOUT=*
//SYSUT1 DD UNIT=SYSDA,SPACE=(CYL,(1,1))
//*****
//* LKED *
//*****
//LKED EXEC PGM=IEWL,COND=(0,LT,ASM),
// PARM=('SIZE=(256K,13K),LIST,LET,XREF,RENT',
// 'REUS')
//SYSLIB DD DISP=SHR,DSN=connect.direct.SDGALINK
// DD DISP=SHR,DSN=security.loadlib
//SYSLIN DD DISP=(OLD,DELETE),DSN=&&OBJ
//SYSLMOD DD DISP=SHR,DSN=connect.direct.SDGALINK(DGA*****)
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD UNIT=SYSDA,SPACE=(CYL,(1,1)),,CONTIG)
```

4. If necessary, update the Sterling Connect:Direct initialization parameter, SECURITY.EXIT, to specify the new exit.

```
SECURITY.EXIT = (mod-name,ALL)
```

5. Initialize Sterling Connect:Direct.

### Example 5 - Defining a New Administrator Level

To define a new security profile giving the administrator all normal administrator functions except the ability to run Processes, follow this procedure. Authorization BYTES 10 and 11 represent the functions that are to be disallowed. If you have used USR0DSN to define another level, use USR1DSN for this new profile.

#### Procedure

1. Modify the DGASECUR macro by locating the USR1ABM label and updating the various bytes as follows:

ADMABM	DC	0XL20	
ABYTE0	DC	XL1'00'	NOT USED
ABYTE1	DC	AL1(ADDUSR+UPDUSR+DELUSR+DSPUSR)	
ABYTE2	DC	XL1'00'	NOT USED
ABYTE3	DC	XL1'00'	NOT USED
ABYTE4	DC	AL1(ADDNET+UPDNET+DELNET+DSPNET)	
ABYTE5	DC	AL1(CHGPRC+DELPRC)	
ABYTE6	DC	AL1(DSPPRC+DSPSTA+FLSPRC+STATCMD)	
ABYTE7	DC	AL1(STPNDM+SSTRAC+EVENTCMD+UPDKEY)	
ABYTE8	DC	AL1(UPDNCR+DSPNCR)	
ABYTE9	DC	AL1(ADDTYP+UPDTYP+DELTYP+DSPTYP)	
ABYTE10	DC	AL1(VIEWPR)	
ABYTE11	DC	XL1'00'	
ABYTE12	DC	AL1(DSPPLX)	
ABYTE13	DC	XL1'00'	
ABYTE14	DC	XL1'00'	NOT USED

2. Modify the DGAMGSAF example in THE \$CD.SDGASAMP library to define the new US1DSN parameter with the new security profile as follows:

DGAMGSAF	DGASECUR TYPE=SAF,	X
	.	X
	.	X
	ADMDSN=\$CD.ADMIN,	X
	ADMVOL=VOLSER,	X
	OPRDSN=\$CD.OPER,	X
	OPRVOL=VOLSER,	X
	DBADSN=\$CD.DBA,	X
	DBAVOL=VOLSER,	X
	GENDSN=\$CD.GUSER,	X
	GENVOL=VOLSER,	X
	US0DSN=\$CD.NEW.USER.LEVEL,	X
	US0VOL=VOLSER,	X
	US1DSN=\$CD.NEW.ADMIN,	X
	US1VOL=VOLSER	

3. Assemble and link-edit the DGAMGSAF module using the sample JCL in \$CD.SDGAJCL(DGAJSAF).
4. If necessary, update the initialization parameter, SECURITY.EXIT, to specify the new exit.
5. Initialize Sterling Connect:Direct in the normal manner.

### Example 6 - Defining a Surrogate for User IDs with No Password

Use the BPX.SERVER profile to set the scope of z/OS resources that the server can access when acting as a surrogate for its clients. BPX.SERVER UPDATE access lets the server establish a thread level (task-level) security environment for clients connecting to the server. When the IBM RACF identity of the application server is granted UPDATE authority to BPX.SERVER in the IBM RACF FACILITY class, the server can act as a surrogate for the client.

#### About this task

This procedure contains sample IBM RACF commands. For more information, refer to IBM RACF manuals. For more information about how to define SURROGAT in other external security products, such as ACF2 or CA-TOP SECRET, refer to the manuals of the specific vendor.

## Procedure

1. Make sure that the Stage 2 Security exit can verify if Stage 1 has set the dummy password in SQCB. The DGASECUR macro contains label STG1NPW which includes the following instruction:

```
0I SQFLAG,SQDUMMY DUMMY PASSWORD USED P768101
```

2. Identify all user IDs that will access HFS without supplying their password.
3. To activate the SURROGAT class support in IBM RACF, if it has not already been set up on your system, issue the following command:

```
SETRPTS CLASSACT(SURROGAT)
```

**Note:** You only have to activate this feature one time.

4. If you want to cache the SURROGAT profiles in storage to enable you to refresh and immediately put all IBM RACF changes in effect immediately, issue the following command:

```
SETRPTS RACLIST(SURROGAT)
```

**Note:** If you do not use the RACLIST option, the changes made during this procedure will not take effect until the next IPL.

5. To create the SURROGAT class profile for a particular user, issue the following command:

```
RDEFINE SURROGAT BPX.SRV.UUUUUUUU UACC(NONE)
```

where UUUUUUUU is the user ID you are creating a profile for.

6. Repeat Step 5 for each user ID that requires HFS support without a password with a SURROGAT profile.

**Note:** To define all users in one command, you can specify BPX.SRV.\* .

7. To give a user the authority to create a thread-level security environment for another user, issue the following command:

```
PERMIT BPX.SRV.UUUUUUUU CLASS(SURROGAT) ID(CDIRECT) ACCESS(READ)
```

where the DTF user called CDIRECT is the user you are granting permission to create the security environment for another user called UUUUUUUU.

8. Repeat Step 8 for each user ID that requires HFS support without a password with a SURROGAT profile.

**Note:** To define all users in one command, you can specify BPX.SRV.\* .

9. Verify that the DTF User ID has sufficient access to HFS files along with both IBM RACF access and z/OS UNIX System Services permissions.
10. If you are using the RACLIST option, issue the following command to refresh and put your changes in effect for the SURROGAT class:

```
SETRPTS RACLIST(SURROGAT) REFRESH
```

11. To check whether the DTF Userid has been defined to the BPX.SRV.uuuuuuuu SURROGAT class profile, use the following RLIST command:

where aaaaaaaaa is the user ID whose requests Sterling Connect:Direct needs to process.

The system displays the user ID (which should be the DTF Userid) and access rights of the user ID that can act as a surrogate for aaaaaaaaa.

**CAUTION:**

**Be aware of the REMOTE.DUMMY.PASSWORD and Adjacent Node settings for Node to Node communication.**

SAFB022I - DGADABMB - Dummy password usage by Adjacent Node rejected.  
 An attempt was made by an Adjacent Node to use a dummy password to authorize access to the Connect:Direct local node. If the Init Parm REMOTE.DUMMY.PASSWORD setting is INTERNAL, only Adjacent Nodes having the INTERNAL attribute in the Netmap may use a dummy password for this purpose.

## Run Job Security Exit

The Run Job security exit control point provides a standard interface for security verification of job streams before they are submitted to the job entry system. Specific implementation details include the following:

- The Run Job exit is implemented as an executable load module.
- The name of the load module is user-defined and cannot conflict with any Sterling Connect:Direct load module names.
- Specify RUN.JOB.EXIT=(modname) in the initialization parameters to activate the Run Job exit.
- You must link-edit the module as re-entrant and place it in a load library that the Sterling Connect:Direct DTF can access.
- Because information passed to the exit is located above the 16 megabyte line, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode.

For additional information about exits, see Chapter 9, "Sterling Connect:Direct Exits," on page 183.

## Sample Run Job Security Exits

The \$CD.SDGASAMP library contains a sample source module for the most used z/OS security systems. Sample exit routines are:

- DGAXRACJ for IBM RACF and CA-TOP SECRET
- DGAXACRJ for CA-ACF2

The sample exits are designed to ensure that correct security information is coded on each JOB statement in the job stream.

- For IBM RACF and CA-TOP SECRET, a check is made for a valid USER and PASSWORD on each JOB card. If not found, a USER=submitter keyword is added to each JOB card.
- For CA-ACF2, a JOBFROM=submitter keyword is added immediately following each JOB card to ensure that the correct security information is transferred to each submitted job:

If you use one of these exits without coding a value for the RUN.JOB.EXIT initialization parameter, Sterling Connect:Direct does not use the default for the RUNJOBID initialization parameter.

**Note:** Use the Run Job security exit to achieve user propagation for security checks when the job that executes is submitted by the user ID assigned to Sterling Connect:Direct rather than the user ID that submitted the job. In most environments, this Exit is not needed.

## Run Task Security Exit

The Run Task security exit control point provides a standard interface to verify that the user is authorized to run the specified program. Sterling Connect:Direct passes the exit security information about the user, the program name, and the parameters being passed to the program. Specific implementation details include the following:

- The Run Task exit is implemented as an executable load module.
- The name of the load module is user-defined, but cannot conflict with any Sterling Connect:Direct load module names.
- Specify RUN.TASK.EXIT=(modname) in the initialization parameters to activate the Run Task exit.
- You must link-edit the module as re-entrant and place it in a load library that the DTF can access.
- Because information passed to the exit by Sterling Connect:Direct is located above the 16 megabyte line, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode.

For additional information about exits, see Chapter 9, “Sterling Connect:Direct Exits,” on page 183.

## Sample Run Task Security Exit

The \$CD.SDGASAMP library contains a sample source module for the most used security systems. Sample exit routines are:

- DGAXRACT for IBM RACF and CA-TOP SECRET
- DGAXACFT for CA-ACF2
- DGAXSAFT for CA-ACF2 using the Security Access Facility

You can use the sample exit as a model to implement specific requirements.

---

## Sterling Connect:Direct Secure Point-of-Entry

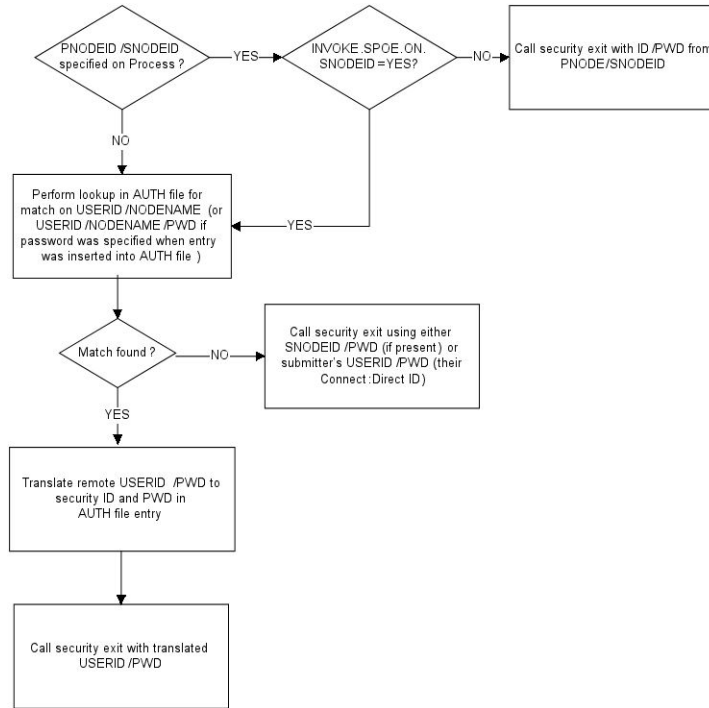
You need security on the local node because adjacent nodes need access to local nodes in order to transfer files. Sterling Connect:Direct administrators have three options for security on transfers initiated at a remote node:

- No security for either functional authority or data protection
- Matching user ID/password combinations for all adjacent nodes
- SNODEID/SNODE password overrides on incoming access requests

Point-of-entry security secures the entry of an outside user to your system. It works with your current security setup (including all current exits) to provide additional security that addresses concerns about users from other nodes knowing a user ID and password combination on your system. Both data protection and Sterling Connect:Direct functional authority are accomplished with exits.

Point-of-Entry Processing is internal within Sterling Connect:Direct, and happens prior to calling the security exit for validations.

The following figure illustrates the flow of security checking for secure point-of-entry:



Security checks are made automatically for every incoming Process, so no parameter is needed to activate point-of-entry security. To implement point-of-entry, add user ID and node name combinations to your Authorization file. For instructions on manipulating the Sterling Connect:Direct Authorization file, see Authorization File.

The security exit determines if a secure point-of-entry translation was performed on a user ID by checking the bit SQIDXLAT of the SQCB control block (DGA\$SQCB macro in the \$CD.SDGAMAC library).

## Point-of-Entry Concept

When a Process is submitted by another node, the receiving Sterling Connect:Direct node has access to the user ID of the person who submitted the Process and the name of the node of the submitted Process.

For example, the local node is CD.HOUSTON, and a user SMITH submits a Process on CD.CHICAGO to copy a file to CD.HOUSTON. By placing an entry of SMITH/CD.CHICAGO into the local Sterling Connect:Direct authorization file, the security administrator for CD.HOUSTON can associate this user with a valid user ID and password on the local system. The Sterling Connect:Direct Authorization file has the following values:

USERID	= SMITH
NODE	= CD.CHICAGO
SECURITY ID	= JONES
SECURITY PSWD	= DALLAS

In this scenario, when user ID SMITH on node CD.CHICAGO submits a Process to run with node CD.HOUSTON, the functional authority and the data set validation for that Process are done under the authority of user ID JONES, which is a valid user ID on CD.HOUSTON. The user from the Chicago node never needs to know the related valid user ID and password on the CD.HOUSTON node.

USERID	= SMITH
NODE	= CD.HOUSTON
SECURITY ID	= JONES
SECURITY PSWD	= DALLAS

## Secure Point of Entry Optional Variations

Note the following variations:

- If the CD.HOUSTON node enables SNODEID overrides and user SMITH puts an SNODEID parameter in the Process, the Authorization file is not checked and the translation of the user ID and password is not done.

**Note:** If the INVOKE.SPOE.ON.SNODEID initialization parameter is set to YES, then the Authorization file is checked and the user ID and password are translated.

For example, if the incoming Process in the previous example is coded with SNODEID=(BROWN,PWB), even if it is submitted by SMITH from CD.CHICAGO, the CD.HOUSTON node will send the user ID BROWN, not user ID JONES to the security subsystem for validation.

**Note:** To produce a completely secure point-of-entry security system, disable SNODEID overrides. To disable SNODEID overrides, specify SNODEID=NO in your stage 2 security exit.

- Although the point-of-entry system requires some maintenance of the Security ID and Security Password fields in the Authorization file, you can assign the same user ID and password combination on your system to multiple incoming users.

For instance, you can specify JONES/DALLAS as the user ID and password for all users coming into your node from CD.CHICAGO. In addition, if you are running a stage 1 signon exit, you can specify the security password for all users as IUI, BATCH, or STC, and avoid the need to update the Authorization file as the password changes.

- IBM® Sterling Connect:Direct® for OpenVMS is not able to pass an OpenVMS password with the OpenVMS user ID. If you are using secure point-of-entry with incoming OpenVMS nodes, you must leave the User Password field blank in your z/OS authorization file, or all incoming OpenVMS Processes will fail.

---

## Trusted Node Security

The Trusted Node Security feature enables you to enforce more restrictive security parameters when dealing with specific nodes in your network, enabling you to define each adjacent node in the network map as internal or external in its relationship to the local node of that network map.

When a Process begins execution, the security exit gets control and a bit in the Security Control Block (SQCB), SQEXTNOD, turns on if the adjacent node is defined as EXTERNAL. If the adjacent node is defined as INTERNAL, the bit turns off. Based on this information, the administrator can code the security exit to take the appropriate action.

In the adjacent node definition, the fifth positional parameter is required for the Trusted Node Security option. The parameter description follows:

Parameter	Description
EXTERNAL   EXT	Indicates that the node is external.
INTERNAL   INT	Indicates that the node is internal. This value is the default.

## Cross-Domain Signon Environment

The cross-domain signon environment is an extension of the Trusted Node Security feature. This feature enables you to easily identify whether a signon is entering from an internal or external node.

You can use the same network map parameters for cross-domain Trusted Node Security as the node-to-node Trusted Node Security enhancement, for example, EXTERNAL or INTERNAL in the adjacent node definition. The SQEXTSGN bit in the security exit Control Block (SQCB) designates this feature.

When a cross-domain signon is entering from a node defined as EXTERNAL in the local node network map definition, the SQEXTSGN bit is on when the security exit gets control during signon processing. You can then modify the security exit to take whatever action is appropriate for that installation.

The DGASECUR macro, included in the \$CD.SDGASAMP library, contains the code to implement this enhancement. The lines of code with 117200 identify the Trusted Node Security feature in the cross-domain signon environment.

## Data Direction Restriction

In addition to the Trusted Node feature, the Data Direction Restriction specifies whether each adjacent node can initiate a RECEIVE, SEND, or RECEIVE and SEND to or from the local node in the network map. The bits located in the SQCB, SQRECV, and SQSEND indicate the sending and receiving status.

In the adjacent node definition, the sixth positional parameter enables you to restrict the direction of data on a transfer with a specific adjacent node. This security applies regardless of where the Process is submitted, for example, local or remote node. The parameter descriptions follow:

Parameter	Description
RECEIVE   RECV	Indicates that when the adjacent node initiates a transfer, it is only allowed to receive data from this node. It is never allowed to send data to this node.
SEND	Indicates that when the adjacent node initiates a transfer, it is only allowed to send data to this node. It is never allowed to receive data from this node.
BOTH	Indicates that when the adjacent node initiates a transfer, it is allowed to both send and receive data from this node. This value is the default.



Parameter	Description
NONE	Indicates that when the adjacent node initiates a transfer, it is neither allowed to send or receive data from this node.

The following example represents the Trusted Node Security and Data Direction Restriction features defined in the network map. The parameters are the fifth and sixth positional parameters in the adjacent node definition.

```
LOCAL.NODE=(CD.LOCAL LOCAPPL,,SUPUSRPW) -
TCQ=(CD.TCX CD.TCQ)
ADJACENT.NODE=(PARSESS=(4 2) (CD.LOCAL LOCAPPL -
APPLIDS=(1011 1012 1013))
ADJACENT.NODE=(PARSESS=(4 2) -
(CD.REMOTE RMTAPPL , , , EXTERNAL, RECV) -
APPLIDS=(1011 1012 1013))
```

The following two bits are identified in the security exit:

Bit	Description
SQSNODE	Identifies if the node where the security exit is running is the SNODE for this Process. The bit is on if the node is the SNODE and off if the node is the PNODE.
SQIDXLAT	Identifies if a point-of-entry security ID translation was performed prior to calling the security exit. If a PNODEID/SNODEID is not specified when the Process is submitted and a match is found in the Authorization file for that USERID and NODE combination, then the bit turns on when the security exit gets control.

## Implementing a CA-ACF2 Environment

When assembling both the stage 1 signon exit and the stage 2 security exit, you must provide the following data definition (DD) statements.

### Procedure

1. For the assembly step, ensure that the SYSLIB concatenation contains the following information:

```
//SYSLIB DD DSN=ACF.MACLIB
// DD DSN=$CD.SDGAMAC
// DD DSN=SYS1.MODGEN
// DD DSN=SYS1.MACLIB
```

2. Replace \$CD with the high-level qualifier for your Sterling Connect:Direct data sets.
3. For the link-edit step, provide the following DD statements.

```
//SYSLIB DD DSN=SYS1.ACFMOD
// DD DSN=SYS1.ACFAMOD
// DD DSN=$CD.SDGALINK
```

**Note:** You must have the High-Level Assembler for correct assembly. Do not specify NOALIGN as an option. The correct option is ALIGN.

- Specify the Sterling Connect:Direct DTF logon ID (LID) with the following attributes:

LID Attribute	Comment
MUSASS	Required for ACF2.
NON-CNCL	Only required if you are not running DGAMGSAF.
NO-SMC	Required.
SECURITY	Only required if NEWPASS=YES is specified for the stage 2 security exit.
ACCOUNT	Only required if NEWPASS=YES is specified for the stage 2 security exit.
JOBFROM	Only required if the Run Job statement is allowed and the Run Job exit is active.
STC	Required if Sterling Connect:Direct is run as a started task.
RESTRICT	Optional.
PROMPT	Optional. Enables Sterling Connect:Direct to receive prompts from the operating system. For example, with the PROMPT attribute, Sterling Connect:Direct receives a prompt for the password of a password protected data set if it was not supplied in the COPY statement DSN=filename/password.

If you are executing Sterling Connect:Direct as a started task, CA-ACF2 monitors started tasks and the Sterling Connect:Direct logon ID specifies STC=YES. See the GSO OPTS field STC/NOSTC in the CA-ACF2 Administrator's Guide for more information.

If you are using the program-pathing facility of CA-ACF2 that requires that the user logon ID be defined with the RESTRICT and SUBAUTH attributes, then the program name specified in the PROGRAM attribute for the user logon ID must be BPXPTATT for Sterling Connect:Direct authorization.

The SAF interface requires definitions (SAFDEF) for both BPXPTATT and DGADRNT\$.

---

## Implementing an IBM RACF Environment

When assembling both the stage 1 signon exit and the stage 2 security exit, you must provide the following DD statements.

### Procedure

- For the assembly step, ensure that the SYSLIB concatenation contains the following information:

```
//SYSLIB DD DSN=$CD.SDGAMAC
// DD DSN=SYS1.MODGEN
// DD DSN=SYS1.MACLIB
```

- Replace \$CD with the high-level qualifier for your Sterling Connect:Direct data sets.
- For the link-edit step, provide the following DD statement.

```
//SYSLIB DD DSN=$CD.SDGALINK
```

**Note:** You must have Assembler H or the High Level Assembler for correct assembly. Do not specify NOALIGN as an option. The correct option is ALIGN.

- Observe the following restrictions or requirements:

- If the z/OS Task Control Block (TCB) Extension Feature is installed, give Sterling Connect:Direct update authority to Sterling Connect:Direct system files, such as the TCQ and network map. These prerequisites allow Sterling Connect:Direct to use the Security Access Facility (SAF) of z/OS.
- If you are using the IBM RACF PROGRAM ACCESS authority to set up access authority by program name and user ID, define the program name DMGATTIS to IBM RACF for Sterling Connect:Direct.

## Providing Program Access to Data Sets (PADS)

If your system has z/OS UNIX System Services or if you use PADS functionality in your security system, include all data sets in the Sterling Connect:Direct JCL STEPLIB DD concatenation in your Program Control List (PCL). If any data set in the STEPLIB concatenation is not in the PADS list, the “dirty bit” will be turned on, and Sterling Connect:Direct initialization will fail and display message SITA997I. Use the following procedure:

### Procedure

1. Type the following command to display the data sets (libraries) in the PCL.

```
rlist program *
```

The access-controlled data sets are displayed.

CLASS NAME		
-----		
PROGRAM	*	
MEMBER	CLASS	NAME
-----		
PMBR		
DATA SET NAME	VOLSER	PADS CHECKING
-----		
CEE.SCEERUN		NO
TCPIP.SEZALINK		NO
USER01.HOST4100.LOADLIB		NO

2. Define the Sterling Connect:Direct libraries to IBM RACF PADS. The following screen is an example of a definition.

```
RDEFINE PROGRAM ** UACC(READ) ADDMEM +
                ('$CD.LOADLIB'//NOPADCHK)
SETROPTS WHEN(PROGRAM) REFRESH
```

**Note:** Refer to the IBM RACF documentation to verify IBM RACF command formats and keywords.

---

## Implementing a CA-TOP SECRET Environment

### About this task

When assembling both the stage 1 signon exit and the stage 2 security exit, you must provide the following DD statements.

### Procedure

1. For the assembly step, ensure that the SYSLIB concatenation contains the following information:

```
//SYSLIB DD DSN=$CD.SDGAMAC
// DD DSN=SYS1.MODGEN
// DD DSN=SYS1.MACLIB
```

2. Replace \$CD with the appropriate high-level qualifier for your Sterling Connect:Direct data sets.
3. For the link-edit step, provide the following DD statements.

```
//SYSLIB DD DSN=$CD.SDGALINK
```

**Note:** You must have Assembler H or the High-Level Assembler for correct assembly. Do not specify NOALIGN as an option. The correct option is ALIGN.

4. Add Sterling Connect:Direct as a CA-TOP SECRET Facility.
5. Observe the following restrictions or requirements:
  - If you are using CA-TOP SECRET Release 4 or later, issue the following commands.

```
TSS CREATE(NDM) NAME('...') DEPT(...)
MASTFAC(NDM) FAC(STC) PASSWORD(NOPW)
TSS ADDTO(STC) PROC(NDM) ACID(NDM)
TSS PERMIT(NDM) DSN(NDM) ACCESS(ALL)
```

Issue TSS MODIFY commands to obtain the following list of attributes.

```
NDM PGM=DMG ID=your choice
ATTRIBUTES=ACTIVE, SHRPRF, ASUBM, MULTIUSER, NOXDEF,
SIGN(M) NORNDPW NOAUDIT, RES, NOABEND,
NOPROMPT, NOTSOC
```

- If you are using CA-TOP SECRET Release 4 or later, and if the z/OS TCB Extension Feature is installed, Sterling Connect:Direct only needs update authority to its system files, such as TCQ and network map. These prerequisites allow Sterling Connect:Direct to use the SAF of z/OS. Otherwise, the ACID referenced previously must provide full access authority to all files Sterling Connect:Direct accesses. Alternatively, you can identify Sterling Connect:Direct in the privileged program name table as having access to all files by setting bit 6 (bypass password checking) in the program properties table (IEFSDPPT) to 1.

---

## Configuring Firewall Navigation

Firewall navigation enables controlled access to a Sterling Connect:Direct system running behind a packet-filtering firewall without compromising your security policies or those of your trading partners. You control this access by assigning a specific TCP or UDT source port number or a range of source port numbers with a specific destination address (or addresses) for Sterling Connect:Direct sessions.

### About this task

Before you configure source ports in the Sterling Connect:Direct initialization parameters, you need to review the information in this section, especially if you are implementing firewalls for UDT.

## Procedure

1. Coordinate IP address and associated source port assignment with the local firewall administrator before updating the firewall navigation record in the initialization parameters file.
2. Add the following parameters to the Sterling Connect:Direct initialization parameters file as needed, based on whether you are using TCP or UDT:
  - TCP.SRC.PORTS
  - TCP.SRC.PORTS.LIST.ITERATIONS
  - UDP.SRC.PORTS
  - UDP.SRC.PORTS.LIST.ITERATIONS

In a Sterling Connect:Direct/Plex environment, specify these parameters in the local initialization parameters file of the Sterling Connect:Direct/Plex member that communicates with an external firewall.
3. Reinitialize Sterling Connect:Direct for z/OS.
4. Coordinate the specified port numbers with the firewall administrator at the remote site. These ports must also be available for Sterling Connect:Direct communications on the firewall of your trading partner.

## Firewall Navigation Rules Overview

Firewall rules need to be created on the local firewall to allow the local Sterling Connect:Direct node to communicate with the remote Sterling Connect:Direct node. A typical packet-filtering firewall rule specifies that the local firewall is open in one direction (inbound or outbound) to packets from a particular protocol with particular local addresses, local ports, remote addresses, and remote ports. Firewall navigation differs between TCP and UDT; as a result, firewall rules for TCP and UDT should be configured differently.

### TCP Firewall Navigation Rules

In the following table, the TCP rules are presented in two sections: the first section applies to rules that are required when the local node is acting as a PNODE; the second section applies to rules that are required when the local node is acting as an SNODE. A typical node acts as a PNODE on some occasions and an SNODE on other occasions; therefore, its firewall will require both sets of rules.

TCP PNODE Rules			
Rule Name	Rule Direction	Local Ports	Remote Ports
PNODE session	Outbound	Local Sterling Connect:Direct source ports	Remote Sterling Connect:Direct listening port
TCP SNODE Rules			
Rule Name	Rule Direction	Local Ports	Remote Ports
SNODE session	Inbound	Local Sterling Connect:Direct listening port	Remote Sterling Connect:Direct source ports

### UDT Firewall Navigation Rules

UDT firewall rules are applied to the UDP protocol. The recommended default firewall rule for UDP packets is to block packets inbound to the local system and

outbound from the local system to prevent the confusion that could occur due to the callback feature of UDT session establishment.

In the following table, the UDT rules are presented in two sections: the first section applies to rules that are required when the local node is acting as a PNODE; the second section applies to rules that are required when the local node is acting as an SNODE. A typical node acts as a PNODE on some occasions and an SNODE on other occasions; therefore, its firewall will require both sets of rules.

UDT PNODE Rules			
Rule Name	Rule Direction	Local Ports	Remote Ports
PNODE Session Request	Outbound	Local Sterling Connect:Direct source ports	Remote Sterling Connect:Direct listening port
PNODE Session	Outbound	Local Sterling Connect:Direct source ports	Remote Sterling Connect:Direct source ports
UDT SNODE Rules			
Rule Name	Rule Direction	Local Ports	Remote Ports
SNODE listen	Inbound	Local Sterling Connect:Direct listening port	Remote Sterling Connect:Direct source ports
SNODE session	Inbound	Local Sterling Connect:Direct source ports	Remote Sterling Connect:Direct source ports

## Firewall Configuration Examples

In the firewall configuration examples for TCP and UDT, the following IP addresses and source ports will be used:

**Note:** The IP addresses in the examples are samples and are not intended to be valid IP addresses.

- The **local node** has IP address 222.222.222.222 and listening port 2264. Its source ports for communicating with the remote node are 2000–2200.
- The **remote node** has IP address 333.333.333.333 and listening port 3364. Its source ports for communicating with the local node are 3000–3300.

See Session Establishment for the differences between establishing a UDT or TCP session.

### TCP Firewall Configuration Example

The Sterling Connect:Direct administrator configures the **local node** to listen on port 2264, and the following initialization parameter settings are used to configure the local node's source ports:

- TCP.SRC.PORTS = (333.333.333.333, 2000–2200)
- TCP.SRC.PORTS.LIST.ITERATIONS = 1

This configuration specifies to use a source port in the range 2000–2200 when communicating with the remote node's address 333.333.333.333 and to search the port range one time for an available port. The local node will act as both a PNODE and an SNODE when communicating with the remote node.

Based on this scenario, the firewall rules for the local node are the following:

Rule Name	Rule Direction	Local Ports	Remote Ports
PNODE session request	Outbound	2000–2200	3364
SNODE session	Inbound	2264	3000–3300

## UDT Firewall Configuration Example

The Sterling Connect:Direct administrator configures the **local node** to listen on port 2264, and the following initialization parameter settings are used to configure the local node's source ports:

- UDP.SRC.PORTS = (333.333.333.333, 2000-2200)
- UDP.SRC.PORTS.LIST.ITERATIONS = 1

This configuration specifies to use a source port in the range 2000–2200 when communicating with the remote node's address 333.333.333.333 and to search the port range one time for an available port. The local node will act as both a PNODE and an SNODE when communicating with the remote node.

Based on this scenario, the firewall rules for the local node are the following:

Rule Name	Rule Direction	Local Ports	Remote Ports
PNODE session request	Outbound	2000–2200	3364
PNODE session	Outbound	2000–2200	3000–3300
SNODE listen	Inbound	2264	3000–3300
SNODE session	Inbound	2000–2200	3000–3300

## Blocking Outbound Packets

The recommended default rule for outbound UDP packets from the local system is to block the packets. If you do not follow this recommendation, port usage may, at first sight, appear to violate the firewall's inbound rules.

An example will help illustrate this situation. Suppose that in the example in the previous section:

- The local node is the SNODE.
- The default outbound rule allows all outbound UDP packets from the local system.
- The “SNODE session” rule is accidentally omitted.

Because of the callback feature of UDT session establishment, SNODE sessions are still likely to succeed on ports 2000–2200. This may cause confusion because ports 2000–2200 are blocked to inbound UDP packets.

If you use the recommended default outbound rule and apply the PNODE and SNODE rules described in the previous section, there will be no confusion about which port to use, and the UDT callback feature will function as designed, thus supporting reliability.

## Session Establishment

Session establishment differs between TCP and UDT; these differences affect how you set up firewall rules and configure the firewall navigation initialization parameters in Sterling Connect:Direct.

### TCP Session Establishment

A Sterling Connect:Direct TCP client contacts a Sterling Connect:Direct TCP server on its listening port. The Sterling Connect:Direct client scans the list of ports (specified using the TCP.SRC.PORTS initialization parameter) and looks for a port to bind to. The number of times Sterling Connect:Direct scans the list is specified using the TCP.SRC.PORTS.LIST.ITERATIONS initialization parameter. If Sterling Connect:Direct finds an available port, communication with the remote node proceeds.

### UDT Session Establishment

When a Sterling Connect:Direct UDT client contacts a Sterling Connect:Direct UDT server on its listening port to request a session, the UDT server responds with a different server port to use for the session. The client attempts to contact the server on the session port. The Sterling Connect:Direct client scans the list of ports (specified in the UDP.SRC.PORTS initialization parameter) and looks for an available port to bind to. The number of times Sterling Connect:Direct scans the list is specified using the UDP.SRC.PORTS.LIST.ITERATIONS initialization parameter. If the Sterling Connect:Direct client finds an available port, communication with the remote Sterling Connect:Direct server proceeds. If a session cannot be established after a certain time interval, the server attempts to contact the client.

### Common Problems in Establishing a Session

The following message indicates that Sterling Connect:Direct cannot find an idle port.

```
SCPA001I - TGT.ADDR=nnn.nnn.nnn.nnn, TCP.SRC.PORTS exhausted
```

If this message occurs frequently, increase the pool of available ports.

You may need to reserve ports in TCP/IP to ensure they are available for firewall navigation. Reserve ports with the PORT statement in IBM TCP/IP. An example follows:

```
PORT
5000 TCP connect-jobname NOAUTOLOG ; Connect:Direct Firewall pool
5001 TCP connect-jobname NOAUTOLOG ; Connect:Direct Firewall pool
5002 TCP connect-jobname NOAUTOLOG ; Connect:Direct Firewall pool
5003 TCP connect-jobname NOAUTOLOG ; Connect:Direct Firewall pool
5004 TCP connect-jobname NOAUTOLOG ; Connect:Direct Firewall pool
5005 TCP connect-jobname NOAUTOLOG ; Connect:Direct Firewall pool
```

A pool of available ports that is too small can affect performance because the outbound connections are limited.



---

## Troubleshooting Security Errors

Security errors can show up at signon, at Process start, or at any step of a Process. In general, a return code of 8 means that the error occurred on the PNODE, and a return code of C means the error occurred on the SNODE. This section tells you how to determine the cause of security errors.

Many Sterling Connect:Direct security-related messages begin with the prefix RACF. This fact does not mean that IBM RACF was necessarily involved with the failure. It is merely a naming convention for Sterling Connect:Direct message identifiers.

Often, it is helpful to run a security trace to determine exactly where and why a security failure occurred. See Security Traces, for information on security traces.

### Condition: Signon Denied

When you sign on from either batch or the IUI, you receive the a message that indicates the Stage 1 Signon Exit has failed.

Error	Cause	Action	Collect
RACF097I	<p>The Stage 1 Signon exit, DGACXSIG, cannot be found.</p> <p>In the Sterling Connect:Direct for z/OS IUI, verify that DGACXSIG is in the LINKLIST or in ISPLLIB of your signon CLIST and not in a STEPLIB.</p>	<p>Review both the short text and long text Sterling Connect:Direct messages. If you are receiving the message during signon to the IUI, run a batch job after verifying that DGACXSIG is available to the job (through STEPLIBs, the linklist, or globals). For either batch or interactive signon, allocate SECURITY as described in Security Traces. You will be able to view the progression of BLDLs, along with output showing where Sterling Connect:Direct looked for DGACXSIG and the results from the search.</p>	<ul style="list-style-type: none"><li>• Output written to the SECURITY DD when you allocated SECURITY as described in Security Traces.</li></ul>

### Condition: Lack Authority to Perform a Sterling Connect:Direct for z/OS Function

You attempt to perform a Sterling Connect:Direct for z/OS function but receive a message that says you are not authorized to perform that function.

Error Messages					
SCBB001I	SCBC030I	SCBD001I	SCBE001I	SCBF001I	SCBF063I
SCBF064I	SCBG001I	SCBH001I	SCBI001I	SCBJ001I	SCBK005I
SCBL001I	SCBN001I	SCBO001I	SCBP001I	SCBR002I	SCBS001I
SCBT005I	SCBU003I	SCBV001I	SCBW001I	SCBX001I	SCBY001I
SCPA008I	SFIA002I	SFIA003I	SRJA014I	SRTA008I	SSUB100I

Cause	Action	Collect
If you are running a Stage 2 security exit, your user ID is defined using an authorization bit mask that does not include the function you are attempting. A security trace will show you the general category of Sterling Connect:Direct user assigned to your userid (administrator, operator, or general user). See Security Traces for more information about how to initiate a security trace. If you are using the Sterling Connect:Direct authorization file, the functional authority of your userid does not include the function you are trying to perform.	Review both the short text and long text Sterling Connect:Direct messages. Have the Sterling Connect:Direct administrator at your site ensure that your userid has the authority necessary to perform the function, either by updating your userid record in the Sterling Connect:Direct authorization file or by assigning the authority within the Stage 2 security exit	Output from a security trace showing the validation of your authority to perform the Sterling Connect:Direct function

### Condition: Access Denied to File or Data Set on COPY Step

You are denied access to a data set or a file on a COPY step.

Error	Cause	Action	Collect
RACF095I	The security subsystem either on your node (RC=8) or the remote node (RC=C) has denied your userid access to the data set.	Review both the short text and long text Sterling Connect:Direct messages. Ensure that your userid has the correct access to the data set. If you continue getting this message, run a security trace. See Security Traces for more information about how to initiate a security trace. It might be necessary to use a PNODEID or SNODEID statement to send a valid userid and password to the security system.	<ul style="list-style-type: none"> <li>Output from a security trace</li> </ul>

## Condition: User Record not Found in the Authorization Data Set

When you sign on to Sterling Connect:Direct or submit a Process to another node, you receive message SAFA002I, The user record was not found in the Authorization Data Set.

Error	Cause	Action	Collect
SAFA002I	If you are using the Sterling Connect:Direct authorization file for security, be aware that the key to that file is a combination of userid and node name. For example, if you are signed on to node CDA with userid USERA and transmitting to node CDB (not using an SNODEID override), the authorization file on CDB must have an entry for the userid USERA and node CDA.	Review both the short text and long text Sterling Connect:Direct messages. Check the appropriate Sterling Connect:Direct authorization file and verify that the correct userid/node combination is specified. User records in the Sterling Connect:Direct authorization file can be added or modified with the Insert User or Update User commands.	None



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## Chapter 3. Maintaining User Authorization

The Sterling Connect:Direct Authorization Facility controls access to Sterling Connect:Direct functions. It is an alternative source of security information to the Stage 1 Signon and Stage 2 Security exits. If you use the Authorization Facility, you must identify all Sterling Connect:Direct users in all nodes that execute Processes.

The following example shows how the Sterling Connect:Direct Authorization Facility is used. This example includes two Sterling Connect:Direct nodes, called SYSTEMA and SYSTEMB. Joe has access to SYSTEMA under the Sterling Connect:Direct user ID of JOEA and access to SYSTEMB under the Sterling Connect:Direct user ID of JOEB.

Joe requires two entries in the Authorization Facility of each system, as illustrated in the following tables. These entries give him access to Sterling Connect:Direct on both systems and the authorization to move files between both systems.

SYSTEMA Authorization File			
Node	User ID	Password	Authorized Functions
SYSTEMA	JOEA	[pswd]	Y,Y,N,Y . . . . .
SYSTEMB	JOEB	[pswd]	N,Y . . . . .

SYSTEMB Authorization File			
Node	User ID	Password	Authorized Functions
SYSTEMA	JOEA	[pswd]	Y,Y,N,Y . . . . .
SYSTEMB	JOEB	[pswd]	N,Y . . . . .

The combination of logical node name and user ID is used to access the Authorization file on the remote node to obtain the user ID, password, and associated functional authority.

For example, if Joe sent a file from SYSTEMA to SYSTEMB, the combination of SYSTEMA and JOEA enables him to access the authorization file on SYSTEMB. This entry then determines what Sterling Connect:Direct functional authority Joe has on SYSTEMB when coming from SYSTEMA.

**Note:** The password is optional, but if specified in the Authorization Facility, you must specify it on the SIGNON command. Make the password available at Process execution time through the signon or SNODEID override.

---

### Authorization File

The Authorization file contains user attribute default records. Each record defines which Sterling Connect:Direct features the user can access for each node.

Individual users can access the User Authorization screen to display information about their own authorization record. The following table describes the User Authorization file maintenance commands:

Command	Description
INSERT USER	Inserts a User record in the Authorization file.
UPDATE USER	Updates a User record in the Authorization file.
DELETE USER	Deletes a User record from the Authorization file.
SELECT USER	Selects a User record from the Authorization file.

You can execute these commands through the batch interface, the Interactive user interface (IUI), or the operator interface.

## INSERT USER and UPDATE USER Commands

The INSERT USER and UPDATE USER commands add or update a user in the Sterling Connect:Direct Authorization file. The commands have the following format and parameters. The required parameters and keywords are in bold. (The NAME parameter is required only for INSERT USER.) Default values for parameters and subparameters are underlined.

Label	Command	Parameters
(optional)	<b>INSert USER   UPDate USER</b>	<b>USERID = (nodename, user ID)</b>
		<b>NAME = 'username'</b>
		ADD TYPE = Y   <u>N</u>
		ALTER TYPE = Y   <u>N</u>
		READ TYPE = Y   <u>N</u>
		REMOVE TYPE = Y   <u>N</u>
		ADD USER = Y   <u>N</u>
		ALTER USER = Y   <u>N</u>
		READ USER = Y   <u>N</u>
		REMOVE USER = Y   <u>N</u>
		CASE = Y   N
		CDEL = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		CDELOFF = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		CHange = Y   <u>N</u> <b>Note:</b> The setting for this parameter overrides the setting specified for the GEN.CHG.PROCESS parameter.
		COPY = Y   <u>N</u>
		DELPR = Y   <u>N</u> <b>Note:</b> The setting for this parameter overrides the setting specified for the GEN.DEL.PROCESS parameter.
		EVENTCMD = Y   <u>N</u>

Label	Command	Parameters
		FLUSH = Y   <u>N</u> <b>Note:</b> The setting for this parameter overrides the setting specified for the GEN.FLS.PROCESS parameter.
		GEN.CHG.PROCESS = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		GEN.DEL.PROCESS = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		GEN.FLS.PROCESS = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		GEN.SEL.PROCESS = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		GEN.SEL.STATISTICS = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		GVIEW = Y   <u>N</u> <b>Note:</b> Valid only in the Interactive user interface.
		MAXSA = max signon attempts
		MODALS = Y   <u>N</u>
		MODIFY = Y   <u>N</u>
		NSUBMIT = Y   <u>N</u>
		OVCRC = Y   <u>N</u>
		PASSword = initial password
		PHone = 'phone number'
		PTKTDATA = (APPL profile name, secured signon key)
		RESETSA
		RUNJOB = Y   <u>N</u>
		RUNTASK = Y   <u>N</u>
		SECURERD= Y   <u>N</u>
		SECUREWR = Y   <u>N</u>
		SECURITY = (security id, security pswd)
		SELNET = Y   <u>N</u>
		SELPR = Y   <u>N</u> <b>Note:</b> The setting for this parameter overrides the setting specified for the GEN.SEL.PROCESS parameter.
		SELSTAT = Y   <u>N</u> <b>Note:</b> The setting for this parameter overrides the setting specified for the GEN.SEL.STATISTICS parameter.
		STATCMD = Y   <u>N</u>
		STOPCD = Y   <u>N</u>
		SUBMIT = Y   <u>N</u>

Label	Command	Parameters
		SUBMITTER.CMDS = ( Y   <u>N</u> , Y   <u>N</u> , Y   <u>N</u> , Y   <u>N</u> , Y   <u>N</u> ) <b>Note:</b> This parameter is valid only in the batch interface.
		UPDNET = Y   <u>N</u>
		REFSH = Y   <u>N</u> <b>Note:</b> This parameter updates the INITPARM file.
		VIEW PROCESS = Y   N <b>Note:</b> The setting for this parameter overrides the setting specified for the GEN.VIEW.PROCESS parameter.

## Required Parameters

The following parameters are required for the INSERT USER command. The USERID parameter is required for the UPDATE USER command, but the NAME parameter is not.

Parameter	Description
USERID = (nodename, user ID)	<p>Specifies the user node and user ID of the record being added or updated.</p> <p>nodename specifies the user node of the User record. It is a 1–16 character alphanumeric string.</p> <p><b>Note:</b> Sterling Connect:Direct for z/OS does not accept the following characters for the node name:</p> <ul style="list-style-type: none"> <li>• &lt; less than</li> <li>• ~ logical not</li> <li>• , comma</li> <li>• &gt; greater than</li> <li>• = equal sign</li> <li>• / forward slash</li> <li>• * asterisk</li> <li>• \ backward slash</li> <li>• ' single quote</li> <li>• " double quote</li> <li>• ( open parenthesis</li> <li>• ) close parenthesis</li> </ul> <p><b>Important:</b> Characters used in Netmap Node Names (or Secure+ Node Names or Secure+ Alias Names) should be restricted to A-Z, a-z, 0-9 and @ # \$ . _ - to ensure that the entries can be properly managed by Sterling Control Center, Sterling Connect:Direct Browser User Interface, or Sterling Connect:Direct Application Interface for Java (AIJ) programs.</p> <p>user ID specifies the user ID of the User record. The user ID can contain 1–64 characters of any kind.</p>
NAME = 'username'	<p>Specifies the full name of the user. The NAME is a string of 1–20 characters. If blanks are embedded in the NAME parameter, you must enclose the NAME in single quotation marks. This parameter is not required by the UPDATE USER command.</p>



## Optional Authorization Record Parameters

Optional parameters for INSERT and UPDATE USER commands are separated into two categories: authorization record parameters and functional authorization parameters.

The following table describes the authorization record parameters for the INSERT USER and UPDATE USER commands. You can authorize each user to add, alter, read, or remove a record. Specify the authorization by indicating the action (ADD, ALTER, READ, REMOVE) followed by the record type. If you do not specify an action for a Type or User record, the action defaults to No.

Parameter	Description
ADD TYPE = Y   <u>N</u>	Specifies whether the user is allowed to insert new records into the Type Defaults file.
ALTER TYPE = Y   <u>N</u>	Specifies whether the user is allowed to update records in the Type Defaults file.
READ TYPE = Y   <u>N</u>	Specifies whether the user is allowed to read records from the Type Defaults file.
REMOVE TYPE = Y   <u>N</u>	Specifies whether the user is allowed to delete records from the Type Defaults file.
ADD USER = Y   <u>N</u>	Specifies whether the user can insert new records into the Authorization file.
ALTER USER = Y   <u>N</u>	Specifies whether the user is allowed to update records in the Authorization file.
READ USER = Y   <u>N</u>	Specifies whether the user is allowed to read records from the Authorization file.
REMOVE USER = Y   <u>N</u>	Specifies whether the user is allowed to delete records from the Authorization file.

## Optional Functional Authorization Parameters

Following are the functional authorization parameters for INSERT USER and UPDATE USER:

Parameter	Description
CASE = Y   N	Specifies whether accounting data, user ID, password, and data set name parameters are case sensitive. This choice overrides the case designation selected at session signon, and is in effect only for this command. The default is the designation made at session signon.
CDEL = Y   <u>N</u>	Specifies whether the Confirm Delete/Suspend/Flush Command prompt displays for a particular user.
CDELOFF = Y   <u>N</u>	Specifies whether the user can turn off the Confirm Delete/Flush/Suspend Command prompt for the current session. If you do not change the default of No to Yes, the user will always see the Confirm Delete/Flush/Suspend Command prompt and will not be given this option.
CHange = Y   <u>N</u>	Specifies whether the user is allowed to use the CHANGE PROCESS command.
COPY = Y   <u>N</u>	Specifies whether the user is allowed to use the COPY statement.

Parameter	Description
DELPR = Y   <u>N</u>	Specifies whether the user is allowed to use the DELETE PROCESS command.
EVENTCMD = Y   <u>N</u>	Specifies whether the user is allowed to use the Event Services Support commands.
FLUSH = Y   <u>N</u>	Specifies whether the user is allowed to use the FLUSH PROCESS and SUSPEND PROCESS commands.
GEN.CHG.PROCESS = Y   <u>N</u>	Specifies whether the user can change any Processes or only Processes that are submitted. If you specify GEN.CHG.PROCESS=Y, the user can only change Processes that he or she submitted (valid only in the IUI). Can be overridden by the CHange parameter setting.
GEN.DEL.PROCESS = Y   <u>N</u>	Specifies whether the user can delete any Processes or only Processes that are submitted. If you specify GEN.DEL.PROCESS=Y, the user can only delete Processes that he or she submitted (valid only in the IUI). Can be overridden by the DELPR parameter setting.
GEN.FLS.PROCESS = Y   <u>N</u>	Specifies whether the user can flush any Processes or only Processes that the user submitted. If you specify GEN.FLS.PROCESS=Y, the user can only flush Processes that he or she submitted (valid only in the IUI). Can be overridden by the FLUSH parameter setting.
GEN.SEL.PROCESS = Y   <u>N</u>	Specifies whether the user can select any Processes or only Processes that the user submitted. If you specify GEN.SEL.PROCESS=Y, the user can only select Processes that he or she submitted (valid only in the IUI). Can be overridden by the SELPR parameter setting.
GEN.SEL.STATISTICS = Y   <u>N</u>	Specifies whether the user can select any statistics or only statistics for Processes that the user submitted. If you specify GEN.SEL.STATISTICS=Y, the user can only select statistics for Processes that he or she submitted (valid only in the IUI). Can be overridden by the SELSTAT parameter setting.
GVIEW = Y   <u>N</u>	Specifies whether the user can view only Processes submitted with a matching USERID or all Processes regardless of who submitted them. Can be overridden by the VIEW PROCESS parameter setting.
MAXSA = max signon attempts	Specifies the maximum number of signon attempts the user is allowed per hour. The range is 0–99. The default is 60. Zero (0) indicates no maximum number. (See the RESETSA parameter to see how to temporarily reset this value.)
MODALS = Y   <u>N</u>	Specifies whether the user is allowed to use the modal statements IF, ELSE, EIF, GOTO, and EXIT.
MODIFY = Y   <u>N</u>	Specifies whether the user is allowed to request traces and modify initialization parameters.
NSUBMIT = Y   <u>N</u>	Specifies whether the user is allowed to use the SUBMIT statement to submit a Process.
OVCRC = Y   <u>N</u>	Specifies whether the user is allowed to use the CRC statement to override the initial CRC settings.
PASSword = initial password	Defines the initial password for the user ID. The password is a 1–64 character alphanumeric string.
PHone = 'phone number'	Phone number of the user. Enclose the phone number in single quotation marks. The quotation marks allow for a space after the area code.

Parameter	Description
PTKTDATA=(APPL prof name, secured signon key)	Specifies the values required for the Stage 2 security exit to rewrite an IBM RACF PassTicket password. APPL prof name is the value specified when the profile is defined for the PTKTDATA class. The secured signon key is the value associated with the PTKTDATA class and the name specified in the APPL Prof name. See Generating IBM RACF PassTickets .
RESETSA	Specifies that the signon attempt count is reset to 0. (See the MAXSA parameter to see how to set the signon attempt count.) This parameter enables the user to try to sign on, even if he or she has previously exceeded the maximum number of signon attempts. This parameter is used in the UPDATE USER command only.
RUNJOB = Y   <u>N</u>	Specifies whether the user is allowed to use the RUN JOB statement.
RUNTASK = Y   <u>N</u>	Specifies whether the user is allowed to use the RUN TASK statement.
SECURERD = Y   <u>N</u> or SECURE.READ =Y   <u>N</u>	Specifies whether the user can display (read) the Sterling Connect:Direct Secure Plus Parameters file in Sterling Control Center.
SECUREWR = Y   <u>N</u> or SECURE.WRITE = Y   <u>N</u>	Specifies whether the user can update (write to) the Sterling Connect:Direct Secure Plus Parameters file in Sterling Control Center.
SECURITY = (security ID, security pswd)	Specifies the security ID and security password to identify the file authorization of the user. Security support includes CA-ACF2, CA-TOP SECRET, and IBM RACF.  Security ID specifies the 1–64 character security system ID for the user. This ID must meet the standards of the security subsystem at the location of the user. The security ID is required if this parameter is specified.  Security pswd specifies the 1–64 character security system password for the user. This password must meet the standards of the security subsystem at the location of the user.
SELNET = Y   <u>N</u>	Specifies whether the user is allowed to use the SELECT NETMAP command.
SELPR = Y   <u>N</u>	Specifies whether the user is allowed to use the SELECT PROCESS command.
SELSTAT = Y   <u>N</u>	Specifies whether the user is allowed to use the SELECT STATISTICS command.
STATCMD = Y   <u>N</u>	Specifies whether the user is allowed to use the STATISTICS COMMAND command.
STOPCD = Y   <u>N</u>	Specifies whether the user is allowed to use the STOP CD command.
SUBMIT = Y   <u>N</u>	Specifies whether the user is allowed to use the SUBMIT statement to define and submit within a Process.

Parameter	Description
SUBMITTER.CMDS = (Y   <u>N</u> Y   <u>N</u> Y   <u>N</u> Y   <u>N</u> Y   <u>N</u> )	Specifies whether the user is allowed to issue certain commands concerning the Processes that he or she submitted (valid only in the batch interface). These commands are: <ul style="list-style-type: none"> <li>• SELECT PROCESS</li> <li>• DELETE PROCESS</li> <li>• FLUSH PROCESS</li> <li>• CHANGE PROCESS</li> <li>• SELECT STATISTICS</li> </ul> For more information, see the IUI definitions for these commands in Functional Authorization Parameters for the INSERT and UPDATE USER Command . (The IUI definitions begin with GEN and the command name is abbreviated.)
REFSH = Y   <u>N</u>	Specifies whether the user is allowed to update the initialization parameters file in Sterling Control Center.
UPDNET = Y   <u>N</u>	Specifies whether the user is allowed to use UPDATE NETMAP.
VIEW PROCESS = Y   N	Specifies whether the user is allowed to use VIEW PROCESS.

## Inserting and Updating Users through the Batch Interface

To use the INSERT or UPDATE USER commands from the batch interface, follow this procedure.

### Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

### Example of Adding a User Record:

The following example shows a User record for user ID Smith being added to the Authorization file:

```
SIGNON  USERID=(user ID, password)
INSERT USER      USERID=(DALLAS, SMITH)  -
NAME='RB SMITH'  PASS=XYZZY  -
PH='214 555-5555'  -
ADD USER=Y ALTER USER=Y  -
READ USER=Y REMOVE USER=Y  -
SUBMIT=Y  -
SUBMITTER.CMDS=(Y Y Y Y N Y)
SIGNOFF
```

In the example definition, the user Smith can perform the following functions:

- Add users to the Authorization file
- Update and read User records
- Delete User records
- Define and submit Processes for execution
- Select, delete, flush/suspend, and change submitted Processes

In the example, Smith cannot perform the Select Statistics command on any Processes, regardless of who submitted them. Smith's initial password is XYZZY, and Smith's phone number is (817) 555-5555.

### Example of Updating a User Record:

The following commands update the record of a user named Smith in the Authorization file.

```
UPDATE USER  USERID=(DALLAS, SMITH) -
NAME='RB SMITH' -
PASS=XYZZY -
PH='214 555-5555' -
ADD USER=Y ALTER USER=Y -
READ USER=Y REMOVE USER=Y -
CH=Y FLUSH=Y DELPR=Y
```

With these updates, Smith can perform the following functions:

- Add Users to the Authorization file
- Update and read User records
- Delete User records
- Change a Process in the TCQ
- Delete an executing Process from the TCQ
- Delete an inactive Process from the TCQ

### Inserting and Updating Users through the UI

Use the Insert/Update/Select/Delete User Record screen (following) to insert, update, select, or delete a record. Select option IU from the Administrative Options Menu to access this screen.

```
node.name          INSERT/UPDATE/SELECT/DELETE USER RECORD          hh:mm
CMD ==>

FUNCTION ==> SEL ('I'-INS, 'U'-UPD, 'S'-SEL , 'D'-DEL)
ENTER USER INFORMATION:          NAME          ==> _____
USER ID ==> _____
USERNODE==> node.name_____          PHONE          ==> _____
PASSWORD==>
SEC ID ==> _____
SEC PASS==>
MAX SIGNON ATTEMPTS====> 60          PASSTICKET DATA ==> ( _____ , _____ )

DO YOU WANT VALUES FOR THIS COMMAND TO BE CASE SENSITIVE? ==> NO_

DEFINE USER FUNCTIONS: (RESPOND WITH 'Y'-YES OR 'N'-NO)

FLUSH PROCESS => _ SELECT NETMAP          => _ UPDATE NETMAP          => _
INSERT USER   => _ SELECT PROCESS          => _ MODALS FUNCTION          => _
DELETE USER   => _ SUBMIT PROCESS          => _ RUNTASK FUNCTION          => _
SELECT USER   => _ SUBMIT WITHIN PROC => _ INSERT TYPE              => _
UPDATE USER   => _ RUNJOB FUNCTION          => _ DELETE TYPE              => _
COPY FUNCTION => _ CONTROL TRACING          => _ SELECT TYPE              => _
CHANGE PROCESS => _ STOP Connect:Direct=> _ UPDATE TYPE              => _
DELETE PROCESS => _ SELECT STATISTICS          => _ GEN.FLS.PROCESS          => _
STAT COMMAND  => _ GEN.DEL.PROCESS          => _ RESET SIGNON             => N
GEN.SEL.PROCESS => _ GEN.SEL.STATISTICS          => _ VIEW PROCESS             => _
GEN.CHG.PROCESS => _ EVENT COMMAND          => _ CRC OVERRIDES            => _
GEN.VIEW.PROC  => _ CONFIRM DELETE          => _ CONFIRM DEL OFF          => _
SECURE+ ADMIN  => _ UPDATE INITPARM          => _
```

The DEFINE USER FUNCTIONS portion of the screen is scrollable. More + or - indicates additional data. Press PF8 to scroll forward. Press PF7 to scroll back. See the description of the INSERT and UPDATE USER command parameters in “Optional Functional Authorization Parameters” on page 79 for the valid values of the fields, or press the PF1 key for Help.

## Deleting Users from the Authorization File

The DELETE USER command removes a User record from the Authorization file. Following is the command format and parameters. The required parameters and keywords appear in bold print.

Label	Command	Parameters
(optional)	<b>DELeTe USER</b>	<b>WHERE (</b>
		<b>USERID = (nodename, user ID)   (list)</b>
		<b>)</b>
		<b>CASE = YES   NO</b>

Parameter	Description
WHERE  (USERID = (nodename, user ID)   (list) )	<p>This parameter specifies which user records to delete.</p> <p>USERID = (nodename, user ID)   (list)</p> <p>This parameter specifies the record to delete from the Sterling Connect:Direct Authorization file.</p> <p>nodename specifies the node ID of the User record that is searched. The nodename is a 1–16 character alphanumeric string.</p> <p><b>Note:</b> Sterling Connect:Direct for z/OS does not accept the following characters for the node name:</p> <ul style="list-style-type: none"> <li>• &lt; less than</li> <li>• ¬ logical not</li> <li>• , comma</li> <li>• &gt; greater than</li> <li>• = equal sign</li> <li>• / forward slash</li> <li>• * asterisk</li> <li>• \ backward slash</li> <li>• ' single quote</li> <li>• " double quote</li> <li>• ( open parenthesis</li> <li>• ) close parenthesis</li> </ul> <p><b>Important:</b> Characters used in Netmap Node Names (or Secure+ Node Names or Secure+ Alias Names) should be restricted to A-Z, a-z, 0-9 and @ # \$ . _ - to ensure that the entries can be properly managed by Sterling Control Center, Sterling Connect:Direct Browser User Interface, or Sterling Connect:Direct Application Interface for Java (AIJ) programs.</p> <p>The user ID parameter specifies the user ID of the User record. The complete user ID consists of the nodename and the user ID enclosed in parentheses and separated by a comma.</p> <p>The list parameter specifies a list of user IDs.</p>

CASE is the only optional parameter.

Parameter	Description
CASE = Yes   No	This parameter specifies whether nodename and user ID parameters are case sensitive. This choice overrides the case designation selected at session signon and is in effect only for this command. The default is the designation made at session signon.

## Deleting Users through the Batch Interface

### About this task

To use the DELETE USER command from the batch interface, follow this procedure.

## Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

**Example of Deleting a User Record:** The following example shows how to delete single and multiple User records:

```
* DELETES A SINGLE User record
  DELETE USER WHERE (USERID=(MPLS, SMITH))

* DELETES MULTIPLE User records
  DELETE USER WHERE (USERID=(DALLAS, JONES), -
    (MPLS, SMITH), (CHICAGO, BROWN))
```

## Deleting Users through the UI

### About this task

You can delete a user in the Sterling Connect:Direct UI using the Delete A User Record screen or the Insert/Update/Select/Delete User Record screen.

To delete a User record using the Insert/Update/Select/Delete User Record screen, select the IU option from the Administrative Options Menu. See the description of the DELETE USER command parameters for the valid values of the fields on this screen, or press the PF1 key for Help.

You can delete up to four user records simultaneously on the Delete A User Record screen.

To delete a user from the Delete A User Record screen, follow this procedure.

### Procedure

1. Select option DU from the Administrative Options Menu to display the Delete A User Record screen.
2. Type the user ID and user node of the records to delete.
3. Press ENTER.
4. Verify your results.

## Selecting User Information from the Authorization File

The SELECT USER command displays a User record in the Authorization file. You can specify the search criteria and the format in which the information is presented.

The command has the following format and parameters. Required parameters and keywords appear in bold. Default values for parameters and subparameters are underlined>.

Label	Command	Parameters
(optional)	<b>SE</b> lect <b>USER</b>	<b>WHERE</b> (
		<b>USERID</b> = (nodename, user ID)
		(generic   (list))
		<b>EXCLUDE</b> = (AUTH)



Label	Command	Parameters
		)
		PRint   <u>TABLE</u>
		CASE = YES   <u>NO</u>

WHERE is the only required parameter for the SELECT USER command and  
USERID is the only required subparameter.

Parameter	Description
<p>WHERE</p> <p>(USERID = (nodename, user ID)   (generic   list))</p> <p>EXCLUDE = (AUTH)</p>	<p>This parameter specifies which User records you want to examine.</p> <p>USERID = (nodename, user ID)   (generic   list)</p> <p>This parameter specifies the record to search for in the Authorization file. This subparameter of the WHERE parameter is required. The complete user ID consists of the nodename and the user ID enclosed in parentheses and separated by a comma.</p> <p><b>nodename</b> specifies the node ID of the User record that is searched. Type a 1–16 character alphanumeric string. If the user node is not specified, nodename defaults to the Sterling Connect:Direct system that receives the command.</p> <p><b>Note:</b> Sterling Connect:Direct for z/OS does not accept the following characters for the node name:</p> <ul style="list-style-type: none"> <li>• &lt; less than</li> <li>• ¬ logical not</li> <li>• , comma</li> <li>• &gt; greater than</li> <li>• = equal sign</li> <li>• / forward slash</li> <li>• * asterisk</li> <li>• \ backward slash</li> <li>• ' single quote</li> <li>• " double quote</li> <li>• ( open parenthesis</li> <li>• ) close parenthesis</li> </ul> <p><b>Important:</b> Characters used in Netmap Node Names (or Secure+ Node Names or Secure+ Alias Names) should be restricted to A-Z, a-z, 0-9 and @ # \$ . _ - to ensure that the entries can be properly managed by Sterling Control Center, Sterling Connect:Direct Browser User Interface, or Sterling Connect:Direct Application Interface for Java (AIJ) programs.</p> <p>user ID specifies the user ID of the User record.</p> <p><b>generic</b> specifies generic selection of user IDs. To specify user nodes and user IDs generically, type a 1–7 character alphanumeric string with the first character alphabetic, plus an asterisk (*). For instance, if you specify a user ID of B*, examine records for BLACK, BRADFORD, and BROWN.</p> <p><b>list</b> specifies a list of user IDs.</p> <p>EXCLUDE = (AUTH)</p> <p>This parameter specifies that the function-by-function authorization description is not included in the output. This subparameter of the WHERE parameter is not required.</p>

The following table describes the optional parameters for the SELECT USER command:

Parameter	Description
PRint   TABLE	<p>This parameter specifies the output destination.</p> <p>PRINT specifies that the output of the SELECT USER command is printed rather than displayed. Printed output is in tabular format, the same as that produced by the TABLE parameter. Output is routed to the destination specified in the PRINT keyword of the Sterling Connect:Direct SIGNON command.</p> <p>TABLE specifies that the output of the SELECT USER command is stored in a temporary file in tabular format and is displayed upon successful completion of the command. The default for the output is TABLE.</p>
CASE = Yes   No	<p>This parameter specifies whether parameters associated with nodename and user ID are case sensitive. This choice overrides the case sensitivity designation selected for the session at signon and is in effect only for this command. The default is the designation made at session signon.</p>

## Selecting a User through the Batch Interface

### About this task

To use the SELECT USER command from the batch interface, follow this procedure.

#### Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

#### Results

The following command searches for user BILL at the local (default) node.

```
SELECT USER WHERE (USERID=(, BILL))
```

## Selecting a User through the UI Interface

### About this task

The Select a User Record screen enables you to simultaneously display user information and authorized functions for up to four users. You can also use the Insert/Update/Select/Delete User Record screen (option IU) to select users. See the SELECT USER command parameters in “Selecting User Information from the Authorization File” on page 86 for a description of the valid values for the fields or press PF1 for Help.

#### Procedure

1. From the Administrative Options Menu, select option SU to display the Select a User Record screen and press Enter.
2. Type the user ID and user node for the records you want to view.
3. Indicate the output destination, the case sensitivity, and whether you want to exclude the function-by-function authorization description.

4. Press Enter.

---

## Chapter 4. Maintaining the Type File

The Type file contains file attribute records. Each record is associated with a Type key. The key is used by the TYPE parameter in the COPY statement to create new files or access existing files.

The following example illustrates a Copy Process using the TYPE parameter.

```
COPY1 COPY FROM (DSN=MYFILE) -  
          TO (DSN=YOURFILE TYPE=TEXT)
```

The Type file serves two purposes:

- Saves retyping parameters such as DCB, DISP, and SPACE within Processes for files with common attributes.
- Facilitates the use of previously-defined attribute specifications of non-z/OS systems. This usage is especially useful for remote users who are not familiar with z/OS data set organizations and allocation parameters.

The Type key that is referenced in the TYPE= parameter must be in the Type file on the destination system, which is the system responsible for allocating the new file.

To maintain the Type file, use the INSERT TYPE, UPDATE TYPE, DELETE TYPE, and SELECT TYPE commands. Type these commands through the IUI, Batch or Operator interface.

---

### Overriding File Attributes

If you specify file attributes in conjunction with the TYPE parameter on the COPY statement, the parameters in the COPY statement override similar parameters in the Type record. Use this functionality when you want to override a specific Type record subparameter.

#### Type Keys

Four predefined Type keys are provided to communicate with other Sterling Connect:Direct nodes:

- TEXT
- DF
- DF2
- BINARY

The four Type keys contain file allocation information as defined in the following figure.

```

TYPE KEY => TEXT
  DISP=(RPL,CATLG,DELETE)
  DCB=(DSORG=PS,LRECL=255,BLKSIZE=2554,RECFM=VB)
  SPACE=(TRK,(10,10))
  UNIT=SYSDA

TYPE KEY => DF (Data File)
  DISP=(RPL,CATLG,DELETE)
  DCB=(DSORG=PS,LRECL=255,BLKSIZE=2554,RECFM=VB)
  SPACE=(TRK,(10,10))
  UNIT=SYSDA

TYPE KEY => DF2 (Data File 2)
  DISP=(RPL,CATLG,DELETE)
  DCB=(DSORG=PS,LRECL=80,BLKSIZE=3120,RECFM=FB)
  SPACE=(TRK,(10,10))
  UNIT=SYSDA

TYPE KEY => BINARY
  DISP=(RPL,CATLG,DELETE)
  DCB=(DSORG=PS,BLKSIZE=6144,RECFM=U)
  SPACE=(TRK,(10,10))

```

## INSERT TYPE and UPDATE TYPE Commands

Use the INSERT TYPE command to insert a new Type record into the Type file. Use the UPDATE TYPE command to update an existing Type record. These commands use the following format and parameters. The required parameters appear in bold. Default values for parameters are underlined.

Label	Command	Parameters
(optional)	<b>INS</b> ert TYPE   <b>UPD</b> ate TYPE	<b>TYPEKEY</b> =typekey
		DCB=(BLKSIZE = no. bytes ,DSORG = (DA   PS   PO   VSAM) ,LRECL = no. bytes ,RECFM = record format)
		DISP=( <u>(NEW   OLD   MOD   RPL   SHR)</u> ( <u>KEEP</u> , <u>CATLG</u> , DELETE) (KEEP , <u>CATLG</u> , DELETE))
		DSNTYPE=PDS LIBRARY BASIC LARGE EXTPREF EXTREQ
		AVGREC=(U K M)
		DATACLAS=data_class_name
		KEYLEN=bytes
		KEYOFF=offset_to_key
		LIKE=model_data_set_name
		LRECL=bytes
		MGMTCLAS=management_class_name
		RECORG=(KS ES RR LS)
		SECMODEL=(profile_name [,GENERIC])
		STORCLAS=storage_class_name
		SPACE=(CYL   TRK   <u>blk</u> , (prim, sec, (dir)) (,RLSE ,(CONTIG ),(ROUND )) (ave_rec_len,(primary_rcds,secondary_rcds))

Label	Command	Parameters
		UNIT=unit type
		VOL=SER = volume serial number
		IOEXIT=exitname   (exitname[,parameter,parameter...])

Following is the required parameter for the INSERT TYPE or UPDATE TYPE command.

Parameter	Description
TYPEKEY=typekey	Name associated with the entry being added or updated. The Type key is a 1–8 character alphanumeric string. The first character must be alphabetic.

Following are the optional parameters for the INSERT TYPE or UPDATE TYPE command:

Parameter	Description
DCB= ([BLKSIZE=no.bytes, DSORG=[DA PS PO  VSAM], LRECL=no.bytes, RECFM=record format)	<p>This parameter specifies DCB information associated with the data set name on the COPY statement.</p> <p>BLKSIZE specifies the length of the block in bytes.</p> <p>DSORG specifies the file organization. File organizations supported are DA, PS, PO, and VSAM.</p> <p>LRECL specifies the length of the records in bytes.</p> <p>RECFM specifies the format of the records in the file. Specify any valid format, such as F, FA, FB, FBA, FBM, FM, U, V, VB, VBA, VBM, and VBS.</p> <p>Any file attributes specified on the COPY statement take precedence over those in the Type file. If you do not include attributes on the COPY statement, attributes in the Type file take precedence. If attributes are defined in the COPY TO or the Type file, the attributes are taken from the FROM side (source).</p>

Parameter	Description
DISP= ([NEW OLD MOD RPL SHR] [,KEEP ,CATLG ,DELETE] [,KEEP ,CATLG ,DELETE])	<p>This parameter specifies the default destination file status on the receiving node.</p> <p>The first DISP subparameter specifies the status of the file. Only the OLD and RPL dispositions apply to VSAM files.</p> <p><u>NEW</u> (default) specifies that the Process step creates the destination file.</p> <p>OLD specifies that the destination file existed before the Process began executing and that the Process is given exclusive control of the file. The destination file can be a VSAM file, a SAM file, or a PDS (Sterling Connect:Direct for z/OS).</p> <p>MOD specifies that the Process step modifies the SAM file by adding data to the end of the file. If a system failure occurs when MOD is specified, the system is designed not to restart because data loss or duplication is difficult to detect.</p> <p>RPL specifies that the destination file replaces any existing file or allocates a new file. You can specify DISP=RPL for SAM or VSAM files. If the file is VSAM, you must define it with the REUSE attribute. You cannot specify RPL if VOL=SER is specified.</p> <p>SHR specifies that the source file existed before the Process began executing and that the file can be used simultaneously by another job or Process.</p> <p>The second subparameter specifies the normal termination disposition. It does not apply to VSAM files.</p> <p>KEEP specifies that the system keeps the file after the Process step completes. If DISP=(NEW,KEEP), you must also specify a volume serial number.</p> <p><u>CATLG</u> (default) specifies that the system keeps the file after the Process step completes and places an entry in the catalog.</p> <p>DELETE specifies that the system deletes the file after the Process step completes.</p> <p>The third subparameter specifies abnormal termination disposition. It does not apply to VSAM files. This subparameter has no default.</p> <p>KEEP specifies that the system keeps the file after the Process terminates abnormally.</p> <p><u>CATLG</u> (default) specifies that the system keeps the file after the Process step terminates abnormally and places an entry in the catalog.</p> <p>DELETE specifies that the system deletes the file after the Process if Sterling Connect:Direct terminates abnormally.</p> <p>The third subparameter specifies abnormal termination disposition. It does not apply to VSAM files. This subparameter has no default.</p>



Parameter	Description
DSNTYPE = LIBRARY   PDS   BASIC   LARGE   EXTPREF   EXTREQ	Defines a specific data set organization for an SMS controlled data set of a PARTITIONed type.  LIBRARY specifies a partitioned data set extended (PDSE).  PDS specifies a partitioned data set.  LARGE specifies specifies a sequential data set which can contain more than 65535 tracks per volume.  BASIC specifies a sequential data set which can have no more than 65535 tracks per volume.  EXTPREF specifies that the extended attribute is preferred. If an extended format data set cannot be allocated, a data set is created without the attribute.  EXTREQ specifies that the extended attribute is required. If an extended format data set cannot be allocated, a data set is not created.
AVGREC=(U   K   M)	Requests that Sterling Connect:Direct allocate the data set in records. The primary and secondary space quantities represent the number of records requested in units, thousands, or millions of records. This parameter is mutually exclusive with the TRK, CYL, and ABSTR subparameters of the SPACE keyword.  U specifies a record request where primary and secondary space quantities are the number of records requested. It is a multiple of 1.  K specifies a record request where primary and secondary space quantities are the number of records requested in thousands of records. It is a multiple of 1024.  M specifies a record request where primary and secondary space quantities are the number of records requested in millions of records. It is a multiple of 1,048,576.
DATACLAS=data_class_name	Requests the data class for a new data set. The class selected must be previously defined by the SMS administrator. You can use this keyword with VSAM data sets, sequential data sets, or partitioned data sets.  data_class_name specifies the 1–8 character name of the data class to which this data set belongs. The name of the data class is assigned by the SMS administrator.
KEYLEN=bytes	This parameter specifies the length of the keys in the file. This keyword is not restricted as a subparameter of the DCB keyword to support use with VSAM KS data sets.  bytes specifies the length in bytes of the keys used in the file. You must specify this value with a decimal integer from 0–255 for non-VSAM data sets or 1–255 for VSAM data sets.
KEYOFF=offset_to_key	This parameter specifies the offset within the record to the first byte of the key in a new VSAM KS data set. The relative first byte of the record is byte 0. The range is 0–32760.  offset_to_key specifies the position of the first byte of the key in the record.

Parameter	Description
LIKE=model_data_set_name	<p>Requests that allocation attributes for a new data set are copied from an existing cataloged data set. Any or all of the following attributes are copied to the new data set: RECORG or RECFM, LRECL, KEYLEN, KEYOFF, DSNTYPE, AVGREC, and SPACE. Any attributes specified for the data set override the values from the model data set. Neither EXPDT nor RETPD is copied from the model data set.</p> <p>model_data_set_name specifies the name of the data set from which the allocation attributes are copied.</p>
LRECL=bytes	<p>This parameter specifies the length in bytes of the records in the new data set. This parameter is allowed outside of the DCB keyword to allow use with SMS VSAM data sets. Do not specify LRECL with RECORG=LS type data sets.</p> <p>bytes specifies the length of the records in the data set. For a non-VSAM data set, this length is 1–32760 bytes. For VSAM data sets, this length is 1–32761 bytes. The LRECL must be longer than the KEYLEN value for VSAM KS data sets.</p>
MGMTCLAS=management_class_name	<p>Determines to which of the previously defined management classes this new data set belongs. The attributes in this class determine such things as when a data set is migrated and when the data set is backed up.</p> <p>management_class_name specifies the 1–8 character name of the management class to which this data set belongs. The name of the management class is assigned by the SMS administrator.</p>
RECORG=(KS ES RR LS)	<p>Defines the organization of records in a new VSAM data set. If RECORG is not specified, then SMS assumes that the data set is either a physical sequential (PS) data set or a partitioned (PO) data set.</p> <p>KS specifies a VSAM key-sequenced data set</p> <p>ES specifies a VSAM entry-sequenced data set</p> <p>RR specifies a VSAM relative record data set</p> <p>LS specifies a VSAM linear space data set</p>
SECMODEL=(profile_name,GENERIC)	<p>Copies an existing IBM RACF profile as the discrete profile for this new data set. The following information is copied along with the profile: OWNER, ID, UACC, AUDIT/GLOBALAUDIT, ERASE, LEVEL, DATA, WARNING, and SECLEVEL.</p> <p>profile_name specifies the name of the model IBM RACF profile, discrete data set profile, or generic data set profile that is copied to the discrete data set profile created for the new data set.</p> <p>GENERIC identifies that the profile_name refers to a generic data set profile.</p>
STORCLAS=storage_class_name	<p>This parameter specifies the storage class to which the data set is assigned. The SMS administrator must define the storage class name to the SMS system by the SMS administrator. The storage class defines a storage service level for the data set and replaces the UNIT and VOLUME keywords for non-SMS data sets. You cannot use JCL keywords to override any of the attributes in the storage class. You can use an Automatic Class Selection (ACS) routine to override the specified class.</p> <p>storage_class_name specifies the 1–8 character name of the storage class to which this data set is assigned.</p>

Parameter	Description
SPACE= (CYL   TRK   blk, (prim, [sec],[dir]) [,RLSE  , ] [,CONTIG  , ] [,ROUND])   (ave_rec_len,[primary_rcds, secondary_rcds])	<p>This parameter specifies the amount of storage allocated for new files on the destination node. If SPACE is specified, the DISP of the destination file must be NEW. If SPACE is not specified and the DISP is either NEW or CATLG, space allocation defaults to the value obtained from the source file. The default is blk (blocks) with the ROUND option, which provides device-independent space allocation.</p> <p>If the AVGREC keyword is specified, the allocation of the data set is done on a record size basis instead of TRK, CYL, or BLK. This restriction is also true when the AVGREC keyword is present in the COPY statement.</p> <p>CYL specifies that space is allocated in cylinders.</p> <p>TRK specifies that space is allocated in tracks.</p> <p>blk specifies that space is allocated by the average block length of the data. The system computes the number of tracks to allocate. If the subparameter ROUND is also specified, the system allocates the space in cylinders. ROUND is preferred because allocation is performed on cylinders in a device-independent manner.</p> <p>prim specifies the primary allocation of storage.</p> <p>sec specifies the secondary allocation of storage.</p> <p>dir specifies the storage allocated for the PDS directory.</p> <p>RLSE releases the unused storage allocated to the output file.</p> <p>CONTIG specifies that the storage for the primary allocation must be contiguous.</p> <p>ROUND specifies that the storage allocated by average block length be rounded to an integral number of cylinders.</p> <p>ave_rec_len specifies the average record length, in bytes, of the data. Sterling Connect:Direct computes the BLKSIZE and the number of tracks to allocate. The record length must be a decimal value from 1–65535.</p> <p>primary_rcds specifies the number of records that the data set contains. Sterling Connect:Direct uses this number and the value of the AVGREC keyword to compute the primary space allocation.</p> <p>secondary_rcds specifies the number of additional records to allocate space for when the primary space is exhausted. Sterling Connect:Direct uses this value and the AVGREC keyword to compute the number of tracks to allocate.</p>
UNIT = unit type	<p>This parameter indicates the unit address, device type, or user-assigned group name that contains the data. For SAM-to-SAM copies, where the destination file is new and the UNIT parameter is not coded with the TO parameter, the device type from the source file is used.</p>
VOL = SER = volume serial number	<p>This parameter specifies the volume serial number containing the file. If VOL=SER is not specified with the FROM parameter, you must catalogue the file.</p>

Parameter	Description
IOEXIT = exitname   (exitname [,parameter, parameter,...])	<p>This parameter indicates that a user-written program is given control to perform I/O requests for the associated data.</p> <p>exitname specifies the name of the user-written program given control for I/O related requests for the associated data. The character length for IOEXIT is a variable of 1–510 characters.</p> <p>parameter   (parameter,parameter,...) specifies a parameter, or list of parameters, passed to the specified exit. A parameter consists of a data type followed by the value in single quotes, for example C'ABC'. For a full description of valid parameter formats, see the RUN TASK statement parameters in the Connect:Direct Process Language help.</p>

## Inserting and Updating Type Files through the Batch Interface

### About this task

To use the INSERT TYPE or UPDATE TYPE command from the batch interface, perform the following steps:

### Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

The following example adds a Type record named NEWALLOC to the Type file:

```
INSERT TYPE TYPEKEY=NEWALLOC -
          DCB=(DSORG=PS) -
          DISP=(NEW,CATLG) -
          UNIT=3380
```

Sterling Connect:Direct users can then use the NEWALLOC Type key in a COPY command to allocate a new physical sequential file on a 3380 unit device that is cataloged on normal termination.

The following example updates a record in the Type file. When referring to the NEWALLOC Type key, the destination file is an existing PS file allocated on a 3390 disk pack:

```
UPDATE TYPE TYPEKEY=NEWALLOC -
          UNIT=3390
```

## Inserting and Updating Type Files through the UI

### About this task

To access the Type Record Selection List to perform this procedure, follow these steps.

### Procedure

1. Select option IT from the Administrative Options Menu. The Insert/Update Type Record screen is displayed.

The Insert/Update Type Record screen prompts you for the action to perform (Insert or Update) and the Type key.

2. Type the requested information and press ENTER. Sterling Connect:Direct displays an error message if you attempt to insert an existing record or update a nonexistent record.
3. From the Type Record Selection List screen, select either the General Dataset Attributes, IOEXIT Parameters, or the SMS/VSAM Attributes option by typing an S next to the appropriate entry and pressing **Enter**.

```

node.name          Type Record Selection List          hh:mm
CMD ==>

Operation ==> UPDATE
Type Key ==> X

-   General Dataset Attributes
-   IOEXIT Parameters
-   SMS/VSAM Attributes

Select the entries above to view and/or update the
respective parameters.

Enter the END command to perform the updates.
-or-
Enter the CANCEL command to abandon your changes.

```

4. Type END from the Type Record Selection List or select another option to perform the update.
5. From the Type Record General Dataset Attributes screen, define or update the file attributes for the Type record. See "INSERT TYPE and UPDATE TYPE Commands" on page 92 for a description of the fields on this screen you can update.

```

node.name          Type Record General Dataset Attributes          hh:mm
CMD ==>

(DISP=)  Initial file status ==> ____ (SHR,NEW,OLD,MOD,RPL)
         Normal step termination ==> ____ (KEEP,CATLG,DELETE)
         Abend step termination ==> ____ (KEEP,CATLG,DELETE)

(DCB=)   DSORG ==> ____ LRECL ==> ____ BLKSIZE ==> ____ RECFM ==> ____

(SPACE=) Allocation type ==> ____ (CYL,TRK,Average blksize)
         Primary extent ==> ____ (Required with CYL,TRK,BLK)
         Secondary extent ==> ____ (Optional)
         Directory storage ==> ____ (Optional)
         RLSE,CONTIG,ROUND ==> ( _ , _ , _ ) ('Y'-Yes, 'N'-No)

(UNIT=)  Unitname ==> ____

(VOL=SER=) Volume serial ==> ____

```

Type the END command to return to the Type Record Selection List.

6. The Type Record IOEXIT Parameters screen (a TSO edit screen) enables you to define or update the IOEXIT parameters associated with the Type record. Refer to the IOEXIT parameter description for valid values.

```

node.name          Type Record IOEXIT Parameters          hh:mm
Cmd ==>                               Scroll ==> PAGE

          IOEXIT Specifications (exitname,{parameter,parameter,...})
-----
***** ***** Top of Data *****
          RIOEX01,(C'MAXLEN',X'0120')

***** ***** Bottom of Data *****

```

After defining or updating the IOEXIT specifications, type the END command to return to the Type Record Selection List.

- The Type Record SMS/VSAM Parameters screen enables you to define or update parameters related to SMS controlled data sets and VSAM files.

```

node.name          Type Record SMS/VSAM Attributes          hh:mm
CMD ==>

Operation ==> UPDATE
Type Key ==> NEWTYPE

(SMS=)  Data Class ==> _____
        Management Class ==> _____
        Storage Class ==> _____
        DSNTYPE ==> _____ (PDS, LIBRARY, LARGE, BASIC, EXTREQ,
                                EXTPREF)

(MODEL=) Like Data Set Name ==> _____
          Security Profile ==> _____
          Generic Profile ==> ___ (YES or NO)

(SPACE=) Average Record Value ==> _ (U, K or M)

(VSAM=)  Organization ==> ___ (ES - ESDS, KS - KSDS, RR - RRDS, LS - LDS)
          Key Offset ==> ___ (0 - 32760)
          Key Length ==> ___ (1 - 255)

```

After defining or updating the SMS/VSAM attributes, type the END command to return to the Type Record Selection List.

## DELETE TYPE Command

Use the DELETE TYPE command to delete a Type record from the Type file. Use the following format and parameters. The required parameters and keywords are in bold print.

Label	Command	Parameters
(optional)	<b>DELeTe TYPE</b>	<b>WHERE</b> (TYPEKEY = typekey   (list))

WHERE is the required parameter for the DELETE TYPE command. No optional parameters exist for this command.

Parameter	Description
WHERE  (TYPEKEY = typekey   (list))	This parameter specifies which records in the Type file to delete. You can specify one Type key or a list of Type keys.  typekey specifies the name associated with the record being deleted. The Type key is a 1–8 character alphanumeric string, with the first character alphabetic.  list specifies multiple Type keys. Specify a list of keys by enclosing them in parentheses.

## Deleting Types Files through the Batch Interface

### About this task

To use the DELETE TYPE command from the batch interface, follow this procedure.

### Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

For example, the following commands delete the records under the Type keys MYALLOC, NEWALLOC, and RPLALLOC from the Type file.

```

/* DELETES A SINGLE TYPE RECORD                               */
DELETE TYPE WHERE (TYPEKEY=MYALLOC)
/* DELETES MULTIPLE TYPE RECORDS                             */
DELETE TYPE WHERE (TYPEKEY=(NEWALLOC RPLALLOC))

```

## Deleting Type Files through the IUI

### About this task

To issue the DELETE TYPE command from the Sterling Connect:Direct IUI, follow this procedure.

### Procedure

1. Select DT from the Administrative Options Menu.
2. Type in the names of the TYPE KEYS that you want to delete.
3. Press ENTER.  
A list of the deleted records is displayed. If the delete is unsuccessful, a list of the records not deleted is displayed.
4. Press PF3/END to return to the Delete Data Set Type Defaults screen.
5. Use the Delete Data Set Type Defaults message display to verify a successful delete request.

---

## SELECT TYPE Command

The SELECT TYPE command enables you to examine a record in the Type file. You can specify the search criteria and the form in which the information is presented.

The SELECT TYPE command uses the following format and parameters. The required parameters and keywords are in bold print. Default values for parameters and subparameters are underlined>.

Label	Command	Parameters
(optional)	<b>SELECT TYPE</b>	<b>WHERE (</b>
		<b>TYPEKEY = typekey   generic   (list)</b>
		<b>)</b>
		<b>PRint   <u>TABLE</u></b>

WHERE is the required parameter for the SELECT TYPE command.

Parameter	Description
WHERE  (TYPEKEY = typekey   generic   (list))	<p>This parameter specifies which records in the Type file to select.</p> <p>TYPEKEY = typekey   generic   (list) specifies the key or list of keys of the records to select.</p> <p>typekey specifies the name associated with the record selected. You created the typekey name when originally adding the entry to the Type file. The typekey is a 1–8 character alphanumeric string, with the first character alphabetic.</p> <p>generic specifies generic selection of type keys. To specify type keys generically, type a 1–7 character alphanumeric string, with the first character alphabetic, plus an asterisk (*). For instance, if your network includes the type keys SENDDAY, SENDMO, and SENDWK, a specification of SEND* provides information about those keys.</p> <p>list specifies multiple type keys. A list of keys is specified by enclosing them in parentheses.</p>

The optional parameter is described below.

Parameter	Description
PRInt   TABLE	<p>Parameters specify the method of display for the output of the select.</p> <p>PRInt specifies that the output to a printer in tabular format. Output is routed to the destination specified in the PRINT keyword of the SIGNON command.</p> <p>TABLE specifies that the output is stored in a temporary file in tabular format and is displayed upon successful completion of the command. This parameter is the default.</p>

## Example of Selecting a Type Record

The following command selects a record in the TYPE file.

```
SELREC  SELECT TYPE  WHERE (TYPEKEY=DF*)
```

The output follows.



```
=====
                        SELECT TYPE DEFAULTS
=====
Type Key  => DF           Date Created => mm/dd/yyyy

DISP=(RPL,CATLG,DELETE)
DCB=(DSORG=PS,LRECL=255,BLKSIZE=2554,RECFM=VB)
SPACE=(TRK,(10,10))
UNIT=SYSDA
```

## Selecting Type Records through the Batch Interface

### About this task

To use the SELECT TYPE command from the Batch Interface, follow this procedure.

#### Procedure

1. Place your command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Use the output to verify your results.

## Selecting Type Records through the IUI

### About this task

To issue the SELECT TYPE command through the Sterling Connect:Direct IUI, follow this procedure.

#### Procedure

1. Access the Select Data Set Type Defaults screen by selecting option ST from the Administrative Options Menu.
2. Type the typekey for each member you want to select. Refer to the description of parameters for the "SELECT TYPE Command" on page 101, or press PF1 to view the Help.
3. Indicate the output destination in the Output Destination field.
4. Provide the requested information and press ENTER.

An output destination of DIS produces a display similar to the following figure.

```

BROWSE -- XXXXXXXX.XXXXXX.XXXXX.XXXXX.XXXXX ---- LINE 00000000 COL 001 080
COMMAND ==>                                SCROLL ==> CSR
***** TOP OF DATA *****
=====
                        SELECT TYPE DEFAULTS
=====
Type Key  => BINARY      Date Created => mm/dd/yyyy

DISP=(RPL,CATLG,DELETE)
DCB=(DSORG=PS,BLKSIZE=6144,RECFM=U)
SPACE=(TRK,(10,10))
-----

Type Key  => DF          Date Created => mm/dd/yyyy

DISP=(RPL,CATLG,DELETE)
DCB=(DSORG=PS,LRECL=255,BLKSIZE=2554,RECFM=VB)
SPACE=(TRK,(10,10))
UNIT=SYSDA
-----

```

---

## Chapter 5. Maintaining the Network Map

The network map (also known as the NETMAP) identifies the local Sterling Connect:Direct node and the nodes it communicates with. It consists of one local node entry and one or more adjacent node entries that identify the communication name and protocol of each Sterling Connect:Direct node.

The network map source is generated during installation. The network map load utility, DGADNTLD, uses this source to create a network map (a VSAM file).

See the *IBM Sterling Connect:Direct for z/OS Configuration Guide* for rules governing the network map for cross-domain VTAM definitions.

---

### Local Node Entry

The local node entry specifies the logical name of the local Sterling Connect:Direct and its associated communications name. The local node entry also contains the name of the Transmission Control Queue (TCQ) and the SUPERUSR ID password, if specified. The syntax is displayed in the following figure.

```
LOCAL.NODE=(
    (node name,communications name,,superuser password)
    TCQ=(tcxdsn, tcqdsn)
    CONTACT.NAME="name"
    CONTACT.PHONE="phone information"
    DESCRIPTION="description information"
)
```

## Local Node Positional Parameters

The network map local node entry contains the following positional parameters.

Parameter	Description
node name	<p>The first positional parameter is the 1–16 alphanumeric character node name. It specifies the logical name of the local Sterling Connect:Direct DTF.</p> <p><b>Note:</b> Sterling Connect:Direct for z/OS does not accept the following characters for the node name:</p> <ul style="list-style-type: none"> <li>• &lt; less than</li> <li>• ¬ logical not</li> <li>• , comma</li> <li>• &gt; greater than</li> <li>• = equal sign</li> <li>• / forward slash</li> <li>• * asterisk</li> <li>• \ backward slash</li> <li>• ' single quote</li> <li>• " double quote</li> <li>• ( open parenthesis</li> <li>• ) close parenthesis</li> </ul> <p><b>Important:</b> Characters used in Netmap Node Names (or Secure+ Node Names or Secure+ Alias Names) should be restricted to A-Z, a-z, 0-9 and @ # \$ . _ - to ensure that the entries can be properly managed by Sterling Control Center, Sterling Connect:Direct Browser User Interface, or Sterling Connect:Direct Application Interface for Java (AIJ) programs.</p>
communications name (for SNA only)	<p>The second positional parameter is the 1–8 character communications name. It specifies the VTAM APPLID that Sterling Connect:Direct uses to communicate over the network.</p> <p>If the node uses only TCP/IP communications (SNA=NO is specified in the initialization parameters), define this parameter as NO-VTAM. Refer to <i>Initializing Sterling Connect:Direct without SNA Support</i> in the <i>IBM Sterling Connect:Direct for z/OS Configuration Guide</i> for more information.</p>
null	The third positional parameter is not used.
superuser password	<p>The fourth positional parameter is the 1–8 character SUPERUSR ID password. The initial value for this parameter is specified during installation.</p> <p>The SUPERUSR ID is provided to bypass your usual security system at signon. This bypass can be necessary if Sterling Connect:Direct is configured improperly, resulting in the inability to signon. SUPERUSR still goes through usual data set verification done by the Stage 2 security exit.</p>

## Local Node Keyword Parameters

The network map local node entry contains the following keyword parameters:

Parameter	Description
TCQ= (tcxdsn,tcqdsn)	<p>The TCQ is a VSAM relative record data set (RRDS) that holds all Processes submitted to Sterling Connect:Direct.</p> <p>The TCQ parameter specifies the two files that comprise the Transmission Control Queue (TCQ). This parameter is required.</p> <p><b>Note:</b> Use the correct order (tcxdsn,tcqdsn) when you specify the two files.</p> <p>tcxdsn identifies the data set name of the TCQ index (TCX).</p> <p>tcqdsn identifies the data set name of the TCQ.</p>
CONTACT.NAME="name" CONTACT.PHONE="phone information" DESCRIPTION= "description information"	<p>These are free-form text parameters, which provide additional general information about an adjacent node entry. The CONTACT.NAME and CONTACT.PHONE parameters are limited to a maximum of 40 characters. The DESCRIPTION parameter is limited to a maximum of 255 characters.</p>

---

## When to Define the Local Node as the Adjacent Node

You must also define the local node as an adjacent node to:

- Specify the VTAM application IDs that are used for IUI and batch sessions. The APPLIDS match those defined during installation preparation as described in the *IBM Sterling Connect:Direct for z/OS Configuration Guide*.
- Provide the ability to run Processes where the local node is both the initiating and target node (PNODE and SNODE). The communications name matches the APPLID defined during installation preparation.
- Supply the communication address for a TCP API, if it is not specified during the user signon.

If you are using TCP/IP only and SNA is set to NO, refer to *Initializing Sterling Connect:Direct without SNA Support* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide*.

## Adjacent Node Entry

Adjacent node entries specify network nodes that the local Sterling Connect:Direct can communicate with. Each entry specifies a locally used Sterling Connect:Direct name, its associated network communications name, and session control parameters for these nodes. The syntax in the following figure is for a typical adjacent node entry.

```

ADJACENT.NODE=(
    (nodename,
      communications name | channel-range-start-addr,
      remote library name | IP address or Alias | addr-count,
      session type,
      security node type,
      data direction restriction)
    PARSESS=(max,default)
    ZFBA = (addr1 , addrn)
    COMPRESS.EXT=ALLOW | DISALLOW | FORCE
    COMPRESS.STD=ALLOW | DISALLOW | FORCE
    COMPRESS.STD.PRIMECHAR=C'x' | X'xx'
    SOURCEIP=IP address
    SESS.SNODE.MAX = 255
    LDNS=hostname
    ENVIRONMENT=operating environment
    LOGMODE=logmode entry name
    APPLIDS=(vtam applid1 [,vtam applid2,...] )
or
    BATCHAPPLIDS=(batch.applid1 [,batch.applid2,...] )
    TSO.APPLIDS=(tso.applid1 [,tso.applid2,...] )
    INTERACTIVE.APPLIDS=(interactive.applid1 [,interactive.applid2,...] ) -
    CICS.APPLIDS=(cics.applid1 [,cics.applid2,...] )
    NETID=networkid | CTCA server name
    PNODE.LUS=(luname1 [,luname2,...] )
    SNODE.LUS=(luname1 [,luname2,...] )
    USE.SERVER.NODE = NO | YES
    TCPAPI= (port number, IP address)
    CRC= (OFF | ON | DEFAULT)
    PLEXCLASS= (* | plexclass, * | plexclass)
    BUFFER.SIZE= 3072-262144|3K-256K
    ALTernate.COMMinfo = (ALTernate.RESTART=YES | NO,
      ALTernate.DIRection=BALANCE | TOP,
      (ALTernate.ADDRess= | ALTernate.NODEDEF=, ALTernate.PORT=,
      SOURCEIP=IP address,
      ALTernate.TYPE=SNA | TCP | UDT33 | LU62
      ALTernate.LOGmode=logmode entry name,
      ALTernate.USE.OUTbound=YES | NO)
    )
    CDFTP.PLUGIN="name or location of the plugin"
    CDFTP.TEMPFILE"fully qualified file path and name"
    CONTACT.NAME="name"
    CONTACT.PHONE="phone information"
    DESCRIPTION="description information")

```

In an environment when one Sterling Connect:Direct system (either a Sterling Connect:Direct/Plex environment or Sterling Connect:Direct/Stand-alone Server) communicates with a Sterling Connect:Direct/Plex environment, add the following statement to each ADJACENT.NODE entry that defines the other Sterling Connect:Direct/Manager.

```

ENVIRONMENT=ZOS

```

**Note:** When a Sterling Connect:Direct for z/OS system communicates with another Sterling Connect:Direct system in a Sterling Connect:Direct/Plex environment and ENVIRONMENT=ZOS|OS390 is not specified, Process redirection does not function correctly.

See "TCP/IP Addressing" on page 121 for network map entry requirements for TCP/IP nodes.

See Channel-to-Channel Support for a discussion of channel-to-channel support.

## Positional Parameters for Adjacent Node Entries

The network map adjacent node entry contains positional and keyword parameters. Following are the positional parameters for the network map adjacent node entry:

Parameter	Description
nodename	<p>The first positional parameter is the 1–16 alphanumeric character node name. This name represents the partner Sterling Connect:Direct and is used in communications with the local Sterling Connect:Direct. This parameter is required. The node name is always changed to upper case in the network map, regardless of the remote platform.</p> <p><b>Note:</b> Sterling Connect:Direct for z/OS does not accept the following characters for the node name:</p> <ul style="list-style-type: none"><li>• &lt; less than</li><li>• ¬ logical not</li><li>• , comma</li><li>• &gt; greater than</li><li>• = equal sign</li><li>• / forward slash</li><li>• * asterisk</li><li>• \ backward slash</li><li>• ' single quote</li><li>• " double quote</li><li>• ( open parenthesis</li><li>• ) close parenthesis</li></ul> <p><b>Important:</b> Characters used in Netmap Node Names (or Secure+ Node Names or Secure+ Alias Names) should be restricted to A-Z, a-z, 0-9 and @ # \$ . _ - to ensure that the entries can be properly managed by Sterling Control Center, Sterling Connect:Direct Browser User Interface, or Sterling Connect:Direct Application Interface for Java (AIJ) programs.</p>

Parameter	Description
communications name   channel-range-start-addr	<p>The second positional parameter is the 1–8 alphanumeric character communications name. It specifies the network name of the partner Sterling Connect:Direct. It can be an SNA VTAM APPLID or a TCP/IP port number. This parameter is optional.</p> <p>For SNA, this field must contain the VTAM APPLID of the remote Sterling Connect:Direct node that the local DTF uses for DTF-to-DTF communications with the remote node.</p> <p>This name is the same name that is defined for the communications name in the network map of the remote Sterling Connect:Direct node.</p> <p>For OpenVMS and HP NonStop, use the PNODE.LUS and SNODE.LUS parameters and leave this field blank.</p> <p>For TCP/IP, this field contains the TCP/IP port number of the remote partner Sterling Connect:Direct. You do not need to use this field if the partner Sterling Connect:Direct is initialized using the default TCP/IP port number. This port number does not change the port number for the host Sterling Connect:Direct that is defined at initialization. See “Multiple Port TCP/IP Listen” on page 124 for more information about the TCP/IP port number.</p> <p>For CTCA, this field contains the CCUU of the first CTCA address used by this node.</p>
remote library name   IP address or Alias   addr-count	<p>The third positional parameter is for the Host ID (DNS), or IP Address, or Library name. This is for I5/OS or TCP/IP nodes only.</p> <p>For I5/OS nodes, this parameter specifies the name of the library where the IBM® Sterling Connect:Direct for I5/OS program SMMAIN resides for the partner Sterling Connect:Direct.</p> <p>For TCP/IP nodes, this parameter specifies the IPv4 address (1-15 dotted decimal characters), DNS alias name (1-16 alphanumeric characters) or the IPv6 address (1-39 colon separated hexadecimal characters) to establish the TCP/IP session.</p> <p>For DNS alias names greater than 16 characters, leave this field blank and use the LDSN parameter.</p> <p>For CTCA, this parameter specifies the number of CTCA addresses used by this node. Specify this with an even number value (a minimum of 2).</p>
session type	<p>The fourth positional parameter is the session type. It specifies the type of session communications protocol to use for communications with this adjacent node. This parameter is required for i5/OS adjacent nodes and any node using a protocol other than LU0. Valid values are:</p> <p>SNA (for LU0 protocol)</p> <p>SNUF (for LU0 protocol for the i5/OS)</p> <p>LU62 (for LU6.2 protocol)</p> <p>TCP (for TCP/IP protocol)</p> <p>UDT33 (for UDT protocol)</p> <p>CTCA (for Channel to Channel connections)</p>



Parameter	Description
security node type	<p>The fifth positional parameter is the security node type. It classifies the node as an internal or external node. Specify this parameter for Trusted Node security. It is optional if you do not use Trusted Node security.</p> <p>For further information on Trusted Node security refer to Trusted Node Security .</p> <p>EXTERNAL EXT specifies an external security classification for this node.</p> <p>INTERNAL INT specifies an internal security classification for this node. This is the default.</p>
data direction restriction	<p>The sixth positional parameter is the data direction restriction. It identifies the copy initiation abilities of this adjacent node with the local node. For further information on data direction restriction, refer to Data Direction Restriction. This parameter is optional. Valid data direction values are:</p> <p>RECEIVE RECV indicates that when the adjacent node initiates a transfer, it is only allowed to receive data from this node. It is never allowed to send data to this node.  <b>Note:</b> For CTCA, RECEIVE RECV indicates that the first address in channel-range-start-addr is used for inbound traffic; the next address is used for outbound traffic. For two Sterling Connect:Direct systems to communicate through CTCA, one adjacent node must specify SEND and the other must specify RECEIVE RECV.</p> <p>SEND indicates that when the adjacent node initiates a transfer, it is only allowed to send data to this node. It is never allowed to receive data from this node.  <b>Note:</b> For CTCA, SEND specifies that the first address specified in channel-range-start-addr is used for outbound traffic; the next address is used for inbound traffic.</p> <p>For two Sterling Connect:Direct systems to communicate through CTCA, one adjacent node must specify SEND and the other must specify RECEIVE RECV.</p> <p>BOTH indicates that when the adjacent node initiates a transfer, it is allowed to both send and receive data from this node. This value is the default.</p> <p>NONE indicates that when the adjacent node initiates a transfer, it is neither allowed to send or receive data from this node.</p>

## Keyword Parameters for Adjacent Node Entries

The network map adjacent node entry contains keyword parameters. The following are the positional parameters for the network map adjacent node entry:

Parameter	Description
PARSESS=(max,default)	<p>This is an optional parameter that uses two CLASS values for each session between the PNODE and SNODE to define the total number of concurrent sessions or classes defined by the NETMAP entry. Each session is assigned a range of session class values that are obtained from the ADJACENT.NODE entry of the SNODE, which is specified by the PROCESS statement keyword, SNODE. The PARSESS parameter also defines the default Process CLASS value used by the Process when the PROCESS statement does not specify the CLASS keyword.</p> <p>max specifies the maximum number of simultaneous DTF-to-DTF sessions that the local Sterling Connect:Direct node can initiate with this adjacent node. The range of this subparameter is 2–255. Each session is represented by a corresponding class value. This class value determines the execution eligibility of a Process. Leave this field blank if parallel sessions are not available.</p> <p>default specifies the class assigned to a Process if one is not specified on the Process statement or when the Process is submitted. The range of this subparameter is 1-the value coded for parallel sessions. If you do not code this parameter, the node is not parallel session-capable, and the max and default values are set to 1. This parameter is required if you do PNODE=SNODE processing.</p> <p><b>Note:</b> If you do not specifically code the PARSESS parameter on an adjacent node definition, a default of PARSESS=(1,0) is set by the system, which allows only a single session. The default class 0 means no CLASS which shows up as a CLASS of NONE in an executing Process. You cannot code the default PARSESS=(1,0) on an ADJACENT.NODE definition—the minimum value that can be coded is PARSESS=(2,1).</p> <p>The following formula is a quick way to determine the number of parallel sessions available when class is not defined for a Process (the default class is used): Default Parsess value = (max – default) +1</p> <p>For CTCA connections, this value is automatically set to 1/2 of the addr-count. Do not try to reset this parameter for CTCA connections.</p> <p>For best results when using Independent LU6.2, code PARSESS the same for both the local and remote nodes.</p> <p><b>Selecting a Class</b></p> <p style="padding-left: 40px;">Sterling Connect:Direct selects a class in which a Process is to run by starting with the default class, or the coded class, and proceeds upward in class values until an available class slot is found, or until all possible class values are tested.</p> <p>For more information on Process class, see the Connect:Direct Process Language help.</p> <p>For more information about parallel sessions, refer to <i>Building, Modifying, and Submitting Processes Queueing</i> in the <i>IBM Sterling Connect:Direct for z/OS User Guide</i>.</p>
ZFBA=(addr,addm)	<p>The ZFBA parameter has no default value; if it is not specified, the node will be ineligible for ZFBA transfers.</p> <p>The range is specified as a pair of 4-hex-digit device addresses separated by a comma or space; the second value must be greater than the first value. Adjacent.node example defines 4 devices, 2000-2003 as the zFBA device range.</p>

Parameter	Description
COMPRESS.EXT=ALLOW   DISALLOW   FORCE	<p>This is an optional parameter that can be used to control how extended compression will be used on a node.</p> <p>ALLOW specifies that extended compression may be used. In this case, extended compression may be specified within the Process or by the other node.</p> <p>DISALLOW specifies that extended compression cannot be used.</p> <p>FORCE specifies that extended compression is required.</p>
COMPRESS.STD=ALLOW   DISALLOW   FORCE	<p>This is an optional parameter that can be used to control how standard compression will be used on a node.</p> <p>ALLOW specifies that standard compression may be used. Standard compression may be specified within the Process or by the other node.</p> <p>DISALLOW specifies that standard compression cannot be used.</p> <p>FORCE specifies that standard compression is required.</p>
COMPRESS.STD.PRIMECHAR=C'x'   X'xx'	<p>This is an optional parameter used for text data or single-character repetitive data to specify the primary compression character. It is specified as either two hex digits preceded by "X" or a single character preceded by "C", for example, COMPRESS.STD.PRIMECHAR=C'\$', or this can also be expressed as COMPRESS.STD.PRIMECHAR=X'4B'</p> <p><b>Note:</b> If COMPRESS.STD is specified and COMPRESS.STD.PRIMECHAR is not specified, then the Process default for COMPRESS.STD.PRIMECHAR, which is X'40' (an EBCDIC space), will be in effect.</p>
SOURCEIP = IP address	<p>If an IPv6 or IPv4 address is required for the network map, this parameter defines the source IP address that is bound to during outbound connection requests. If the SOURCEIP parameter is not specified, the local or default address is bound. The destination address is obtained from the network map. The source port is obtained as assigned by TCP/IP, or through the TCP.SRC.PORTS or UDP.SRC.PORTS table. If the address from the SOURCEIP cannot be bound during Process execution, the Process is placed in a TI RE state (Timer Queue Retry Status).</p> <p><b>Note:</b> If the network map adjacent node contains a IPv6 address, but Sterling Connect:Direct is not enabled to support it, the submit of a Process for that network map entry fails. For information about enabling Sterling Connect:Direct for IPv6 support, refer to TCP/IP Addressing.</p>
SESS.SNODE.MAX = nnn	<p>This is an optional parameter that can be used to control the number of concurrent sessions that an adjacent node can initiate as the SNODE. The range for SESS.SNODE.MAX is 1 to 255. The default value is 255. In a Sterling Connect:Direct/Plex environment, this parameter controls the number of sessions that an adjacent node can initiate with each Sterling Connect:Direct/Plex server.</p> <p><b>Note:</b> For use with TCP/IP and LU6.2 only.</p>
LDNS=hostname	<p>LDNS is an optional parameter that specifies the host name in the network map adjacent node entry.</p> <p>If you use this parameter, you can leave the third positional parameter (IP address or alias) blank. You must also specify TCP as the fourth positional parameter (session type).</p> <p>hostname specifies the host name for this node. The host name length is from 1–256 characters.</p> <p>To see an example of a long DNS record, see <i>The Network Map</i> in the <i>IBM Sterling Connect:Direct for z/OS User Guide</i>.</p>

Parameter	Description
ENVIRONMENT= operating environment	<p>The ENVIRONMENT parameter identifies the adjacent node operating system environment. This parameter is required when the session type positional parameter specifies LU6.2 protocol for i5/OS systems and when one Sterling Connect:Direct system (either a Sterling Connect:Direct/Plex environment or Sterling Connect:Direct/Stand-alone Server) communicates with a Sterling Connect:Direct/Plex environment. Other protocols can use it for documentation purposes.</p> <p>Valid values are: GIS, HPNONSTOP, I5OS, LINUX, OPENVMS, OS400, SELECT, VOS, STRATUS, UNIX, VM, VMS, WINDOWS, and ZOS.</p> <p><b>Note:</b> When an OS/390 or z/OS Sterling Connect:Direct system communicates with another Sterling Connect:Direct system in a Sterling Connect:Direct/Plex environment and ENVIRONMENT=ZOS OS390 is not specified, Process redirection does not function correctly.</p>
LOGMODE=logmode entry name	<p>Identifies the VTAM logmode entry that defines the communication protocol for this node.</p> <p>This parameter is only required for LU6.2 nodes.</p> <p>It is optional for LU0 connections. If you specify this parameter for LU0 connections, the RUSIZE defined within this LOGMODE is used for any transfer with this node. For a host-to-host transfer, the LOGMODE entry in the VTAM MODETAB of the SNODE determines the RUSIZE. For a host-to-PC transfer, the LOGMODE entry in the host VTAM MODETAB is used.</p> <p>This parameter is not valid for TCP/IP nodes or CTCA connections.</p> <p>Refer to <i>Sample VTAM Definitions</i> in the <i>IBM Sterling Connect:Direct for z/OS Configuration Guide</i> for information about VTAM definitions.</p>
APPLIDS=(vtam applid1 [,vtam applid2,...] ) or BATCHAPPLIDS=(batch.applid1 [,batch.applid2,...] ) TSO.APPLIDS=(tso.applid1 [,tso.applid2,...] ) INTERACTIVE.APPLIDS=(interactive.applid1 [,interactive.applid2,...] ) CICS.APPLIDS=(cics.applid1 [,cics.applid2,...] )	<p>The APPLIDS parameter specifies the VTAM APPLIDS that establish a session between the local Sterling Connect:Direct SNA LU0 API and the Sterling Connect:Direct DTF. APPLIDs are defined on the local nodes adjacent node record for LU0 sessions to this DTF and are defined on the remote nodes adjacent node record for SNA LU0 multi-session signon to those remote DTFs. This parameter is valid only for z/OS and VM/ESA nodes, and the actual VTAM APPLIDs must be defined and active on the VTAM system where the SNA LU0 IUI / API signon is taking place.</p> <p>To isolate or separate the VTAM pools to specific user interfaces, you can use the following parameters to define special-purpose APPLIDS or just use the APPLIDS parameter itself:</p> <ul style="list-style-type: none"> <li>• BATCH.APPLIDS with the batch user interface</li> <li>• INTERACTIVE or TSO APPLIDS with the ISPF user interface</li> <li>• CICS.APPLIDS with the CICS user interface</li> </ul>

Parameter	Description
NETID=networkid   servername	<p>The NETID parameter specifies the 1–8 character network ID for this node. When a Process starts, the network ID provided at the session start is verified against the network ID in the network map for the adjacent node. If they do not match, the Process is terminated with an error.</p> <p>For multiple session signons, the network ID of the node signing on is verified against the network map network ID of the node being signed on to. If they do not match, the signon fails.</p> <p>If this keyword is not coded or the Sterling Connect:Direct initialization parameter NETMAP.CHECK is set to NO, the network ID is not checked at Process start or multiple session signon.</p> <p>For a CTCA connection in a Sterling Connect:Direct/Plex environment, this parameter specifies the 1–8 character name of the Sterling Connect:Direct/Server.</p>
PNODE.LUS=(luname1 [,luname2,...] )	<p>The PNODE.LUS parameter specifies the logical units used by a remote node to initiate a session with this local node. Do not specify the communications name when you use this parameter.</p> <p>Applies to OpenVMS nodes. It is not valid for CTCA connections.</p>
SNODE.LUS=(luname1 [,luname2,...] )	<p>For OpenVMS, the SNODE.LUS parameter specifies the logical unit names used by the local node to initiate a session with this remote node. For all other platforms, it specifies the logical units used for all communications with the remote node.</p> <p>Communications to nodes that cannot handle parallel sessions can require a pool of logical units. If an adjacent node is defined in its host environment to use more than one logical unit for communications, then each of the logical unit names that can communicate with the local node must be defined to the local node on the corresponding adjacent node network map entry.</p> <p>HP NonStop and i5/OS adjacent node entries use the SNODE.LUS keyword only to define the LU pool.</p> <p>OpenVMS nodes assign the logical units in the pool as either ACTIVE, session initiating, PASSIVE, or listening for session requests. This distinction in function is defined to the z/OS node by specifying the ACTIVE logical units with the PNODE.LUS keyword and the PASSIVE logical units with the SNODE.LUS keyword.</p> <p>This parameter is not valid for CTCA connections.</p>
USE.SERVER.NODE = YES   <u>NO</u>	<p>Setting this parameter to YES tells a Sterling Connect:Direct/Server to use its CDPLEX.SERVER.NODE initialization parameter as identification when communicating with this adjacent node. If this parameter is set to NO, the Sterling Connect:Direct/Server identifies itself to this adjacent node as the same local node as all other members of the Sterling Connect:Direct/Plex environment. (See CDPLEX.SERVER.NODE = node name for more information.)</p> <p>This parameter is required if a remote Sterling Connect:Direct system, using NETMAP checking, communicates with more than one Sterling Connect:Direct/Server in a Sterling Connect:Direct/Plex environment.</p> <p>This parameter is ignored in a Sterling Connect:Direct/Stand-alone Server.</p>

Parameter	Description
TCPAPI=(port number, IP address)	<p>This parameter defines the adjacent node communication address used by an external API that uses TCP to communicate with the node. This value must be the same as the TCPAPI.PORTNUM initialization parameter of the node that you are communicating with.</p> <p>If the adjacent node is an SNA node, include both the port number and IP address.</p> <p>If the adjacent node is a TCP node, you must supply the port number, but do not need to supply the IP address. If you do not supply the IP address, you must define the IP address in the Adjacent node record. See API Signons for more information.</p>
CRC =(OFF   ON   DEFAULT)	<p>Determines if you will perform CRC checking for any TCP/IP Process sending to this node. If overrides are allowed, this parameter enables you to override the CRC setting in the initialization parameters for this node.</p> <p><b>Note:</b> Although DEFAULT is an acceptable value, it is the equivalent of not specifying the network map parameter at all and would normally only be seen in the output of the network map file unload when no CRC specification had been supplied previously.</p>
PLEXCLASS= (*   plexclass, *   plexclass)	<p>This parameter specifies a default PLEXCLASS for the PNODE (the first parameter) and SNODE (the second parameter). This Sterling Connect:Direct/Server checks this PLEXCLASS to determine if it can run the Process. (See the initialization parameter, “CDPLEX.PLEXCLASSES = (*,plexclass,...,plexclass)” on page 401, for more information.)</p> <p>Each PLEXCLASS name is 1–8 characters long. An asterisk (*) is also an acceptable entry, which indicates that the Sterling Connect:Direct/Server supports any Process that does not specify a PLEXCLASS, or specifies a PLEXCLASS of '*’.</p>
BUFFER.SIZE=V2.buffer override	<p>V2.buffer override defines the buffer size for the adjacent node. It is only valid for TCP and CTCA session types. Use it to dynamically override the V2.BUFSIZE value of the local node during TCP buffer size negotiation. This parameter is generally coded to reduce the V2.BUFSIZE value so that Sterling Connect:Direct provides less data on each TCP send. Valid values are 3072–262144   3K–256K.</p>

Parameter	Description
<p>ALTernate.COMMinfo= (ALTernate.RESTART=YES   <u>NO</u> ALTernate.DIRection= <u>BALANCE</u>   TOP, (ALTernate.ADDRes=   ALTernate.NODEDEF= ALTernate.PORT=, SOURCEIP=,</p>	<p>This parameter enables you to specify multiple remote node addresses for NETMAP checking.</p> <p>ALTernate.RESTART indicates if an alternate communications path is considered when a Process must restart.</p> <p><b>Note:</b> Do not specify ALT.NODEDEF if you use ALT.RESTART. All alternate communications paths must use ALT.ADDR and the same protocol (all Version 1 or Version 2). For example, if you use ALT.TYPE=SNA, specify all alternate addresses as ALT.TYPE=SNA (Version 1 protocol). Since LU62, TCP, and UDT33 are all Version 2 protocols, you can use LU62, TCP, or UDT33 when specifying alternate addresses.</p> <p>ALTernate.DIRection specifies the direction of the communications paths. BALANCE (default) indicates a balanced approach; that is, all current sessions are scanned for this same adjacent node and the least used path is selected as the primary path for the Process. The list is processed forward from there. TOP indicates the paths are processed from the top.</p> <p>ALTernate.ADDRes specifies either a TCP/IP address or an SNA address as appropriate.</p> <p>If ALT.TYPE=SNA or ALT.TYPE=LU62, ALT.ADDR must be a 1–8 character APPLID. If ALT.TYPE=TCP, ALT.ADDR must be a 15-character IPv4 or 39-character IPv6 TCP/IP address or a 1–256 character LDNS name. This subparameter is required if you do not specify ALTernate.NODEDEF.</p> <p>ALTernate.NODEDEF specifies an alternate node definition to use for NETMAP checking. This subparameter references another entry in the NETMAP. This subparameter is required if you do not specify ALTernate.ADDRes.</p> <p>ALTernate.PORT specifies the alternate address port number if the alternate address is TCP/IP. This field is not required. This subparameter defaults to the port of the adjacent node record. If not specified in the adjacent node record, the default is 1364. You can only use this subparameter if ALT.TYPE=TCP.</p> <p><b>Note:</b> If ALTernate.DIRection=BALANCE is specified, the value specified for ALTernate.PORT is not used.</p> <p>SourceIP, which has no short form, specifies an alternate IPv6 or IPv4 address that is bound to during outbound connection requests. The source port is obtained as assigned by TCP/IP, or through the TCP.SRC.PORTS or UDP.SRC.PORTS table. If the address from the SOURCEIP cannot be bound during Process execution, the Process is placed in WA or WT state.</p> <p>If SourceIP is specified for this ADJACENT NODE but not in the ALT.COMM entry, the value specified for the ADJACENT NODE also applies to this ALT.COMM entry.</p> <p><b>Note:</b> If the network map adjacent node contains a IPv6 address, but Sterling Connect:Direct is not enabled to support it, the submit of a Process for that network map entry fails. For information about enabling Sterling Connect:Direct for IPv6 support, refer to TCP/IP Addressing.</p>

Parameter	Description
<p>ALternate.COMMinfo= . . . ALternate.TYPE=SNA   TCP   LU62, ALternate.LOGmode= logmode entry name, ALternate.USE.OUTbound=<u>Yes</u>   No) (Continued from previous page)</p>	<p>ALternate.TYPE specifies the protocol used for the alternate address. This value defaults to that of the adjacent node record. Valid values are SNA, TCP, UDT33, LU62. This subparameter is only used with ALternate.ADDress. This subparameter is required if you do not specify ALternate.NODEDEF.</p> <p>ALternate.LOGmode specifies an SNA logmode used when ALternate.TYPE=SNA or LU62. This parameter is required for LU62 if the adjacent node is not defined as LU62.</p> <p>ALternate.USE.OUTbound specifies whether the alternate communications path is used for outbound Processes, providing session failover for Processes sent to this adjacent node. Valid values are Yes (default) and No.</p> <p>For more information on this parameter, refer to Using Alternate Communications Paths.</p>
<p>CDFTP.PLUGIN="fully qualified installation directory of the Sterling Connect:Direct FTP+ Plug-in"</p>	<p>Sterling Connect:Direct FTP+ for z/OS gets its configuration information about remote systems it connects to from the Netmap.</p> <p>Configure the CDFTP.PLUGIN parameter in your Netmap to specify where the Sterling Connect:Direct FTP+ Plug-in is installed on each remote system that Sterling Connect:Direct FTP+ for z/OS connects to.</p> <p>This parameter is not required when the Netmap entry defines a z/OS system.</p>
<p>CDFTP.TEMPFILE="fully qualified name of the Sterling Connect:Direct FTP+ temporary file"</p>	<p>Sterling Connect:Direct FTP+ for z/OS gets its configuration information about remote systems it connects to from the Netmap.</p> <p>Configure the CDFTP.TEMPFILE parameter in your Netmap to specify the fully qualified name of the Sterling Connect:Direct FTP+ temporary file for each remote system that Sterling Connect:Direct FTP+ for z/OS connects to.</p> <p>The FTP+ Plug-in creates a temporary file to store the results of a directory command RUNTASK operation.</p> <p><b>Note:</b> If no variables are used in the CDFTP.TEMPFILE, then Sterling Connect:Direct FTP+ for z/OS appends the job userid and the ASID and CPUID to the CDFTP.TEMPFILE parameter as a further specification of uniqueness.</p> <p>Several variables are available for substitution in the CDFTP.TEMPFILE specification. These variables are:</p> <ul style="list-style-type: none"> <li>• &amp;userid;—The remote userid (lower case)</li> <li>• &amp;USERID;—The remote userid (upper case)</li> <li>• &amp;luserid;—The local userid padded to 8 characters with '\$'</li> <li>• &amp;lcuid;—The first 8 bytes of the local CPUID with "C" overlaying the first byte</li> <li>• &amp;asid;—The 4 character Address Space ID prefixed with "AS"</li> </ul> <p>For example, you can specify CDFTP.TEMPFILE= with a variable, such as "&amp;userid;". Sterling Connect:Direct FTP+ for z/OS inserts the remote userid in place of the "&amp;userid;".</p>
<p>CONTACT.NAME="name" CONTACT.PHONE="phone information" DESCRIPTION="description information"</p>	<p>These are free-form text parameters, which provide additional general information about an Adjacent Node entry. The CONTACT.NAME and CONTACT.PHONE parameters are limited to a maximum of 40 characters. The DESCRIPTION parameter is limited to a maximum of 255 characters.</p>



## Using Alternate Communications Paths

Use the ALT.COMM parameter to set up alternate communications paths. To see an example of using alternate communications paths in a Sterling Connect:Direct/Plex environment, see Strategies for Communicating with Non-Plex Servers.

Note the following:

- The protocol and number of alternate communications paths depend on the capability of the remote node.
- If you use alternate communications paths, the remote Sterling Connect:Direct node must have the capability to support the ALT.COMM parameter and have a defined method of performing network map checking for these alternate paths.
- If you are using either the SSL or TLS protocol with Sterling Connect:Direct Secure Plus, all communications paths must be TCP or UDT.

### Alternate Communications Paths with Current Node as Primary Node

The adjacent node record defines a communications path. You can use the ALT.COMM parameter in the adjacent node record to define alternate communications paths.

When a Process is submitted, the primary communications path is selected based on the alternate.direction subparameter. If alternate.direction=BAL, all communications paths are scanned to find the least used path, including the path defined in the adjacent node record, and all paths defined in the alternate.address subparameters. The least used path becomes the primary path. If alternate.direction=TOP, the communications path defined in the adjacent node record is used as the primary path.

If the Process fails to establish a session using the primary communications path, the network map is processed to determine the next eligible communications path. This is repeated until the session is successfully established or the number of communications paths is reached. When this number is reached, the primary communications path is restored and the Process is placed in timer retry (TI RE) status. After all session retries (MAXRETRIES) are reached, the original communications path is restored and the Process is placed in hold (HO WC) status.

Each failed attempt to establish a session produces the following result:

- Message SVTM310I SESSION NOT ESTABLISHED WITH SNODE=xxxx
- Session Begin statistics record that identifies the communications path used at the time of the failure
- Diagnostics to RPLERRCK
- When the MAXRETRIES is reached and the Process is placed in the HOLD queue, the following message is issued in addition to the previous message(s):

```
SVTM105I PNAME=pnam, PNUM=pnum MOVED TO Q=HOLD, QSTATUS=WC
```

When the Process establishes a session, the Session Begin statistics record identifies the communications path that was successfully used. That communications path is used for the life of the Process, unless ALTernate.RESTART is coded for the node, in which case the communications path may change if the Process restarts. For

more information on ALTERNATE.RESTART, see the description for the ALTERNATE.COMMINFO parameter.

## Alternate Communications Paths with Current Node as Secondary Node

When the current Sterling Connect:Direct node is the secondary node (SNODE), the ALT.COMM parameter is used for network map checking purposes only.

### Outbound Processes

The ALT.COMM parameter is used for outbound Processes when following conditions are true:

- The current Sterling Connect:Direct is the PNODE.
- The Process is not in restart (the default ALT.RESTART=NO is in effect).

**Note:** If you specify ALT.RESTART=YES, Sterling Connect:Direct considers alternate communications paths if the path used to establish a session fails and the Process restarts. For an example, see Restarting Processes using an Alternate Communications Path

- The Process is not PNODE=SNODE.
- The Process is not SNODE=TCPNAME=.

## PNODE=SNODE Processing

Sterling Connect:Direct can initiate Processes where the local node is both the initiating and target node (PNODE and SNODE). You enable PNODE=SNODE processing by creating an adjacent node entry with the same node name as the local node entry in the network map.

If the PNODE=SNODE connection is SNA, SNA=YES is specified in the initialization parameters.

Observe the following rules when setting up the adjacent node:

- Define an LU0 communications name for the PNODE=SNODE network map entry. Do not specify an LU6.2 logmode entry name for the common name of the adjacent node entry.
- The communications name for the adjacent node must be different from the communications name of the local node. If the names are the same, PNODE=SNODE processing is disabled at initialization.
- Code the PARSESS=(max, default) parameter in the network map adjacent node entry to govern the number of simultaneous PNODE=SNODE connections.

## TCP/IP Considerations

A network map entry is not required for every TCP/IP node. A default entry provides a standard set of parameters. If the standard set of parameters is not adequate, you can code a network map entry for that node to override the default entry. If network map entries are not used for TCP/IP, you must submit Processes by using the SNODE=TCPNAME keyword. For more information, see Example - Defining a TCP/IP Default Entry.

**Note:** If you set the NETMAP.CHECK=TCP initialization parameter, you must define the TCP/IP node in the local network map.

The APPLIDS and LOGMODE keywords and the remote library name positional parameter are not used on any TCP/IP node and cannot be coded in the network map. A warning is generated for any unnecessary keyword or subparameter, and the coded value is ignored when the network map is loaded.

## TCP/IP Addressing

Each host on a TCP/IP network is assigned a unique address known as an IP address. Applications running on a TCP/IP host that connect to the network are assigned one or more port numbers of the IP address.

Sterling Connect:Direct supports both IPv4 and IPv6 protocols, where IPv6 allows a much larger address range than IPv4. During product initialization, Sterling Connect:Direct determines if the TCP Stack is IPv6 or only IPv4. (IPv6 must be enabled within TCP/IP itself.) If Sterling Connect:Direct initializes on a system where IPv6 is not enabled, any function involving an IPv6 address fails, including attempts to resolve an address or name using the DNS name resolution. For information about enhancing and extending IPv6 through the TCP.LISTEN parameter, see Multiple Port TCP/IP Listen.

## Command Syntax for IPv4 AND IPv6 Addressing

The following examples demonstrate the command syntax for IPv4 and IPv6 addressing.

**Note:** The address must be specified on a single line. You cannot break the address between lines.

The following example demonstrates how to break the command syntax over an additional line:

```
(SC.DUB.MWATL3,4399, -  
1111:2222:3333:4444:5555:6666:7777:8888,TCP)
```

The following example demonstrates the appropriate network syntax:

```
/*          */  
/* IPV6 ADDRESS */  
/*          */  
  ADJACENT.NODE=( -  
    (SC.DUB.MWATL3,4399,1111:2222:3333:4444:5555:6666:7777:8888,TCP) -  
    PARSESS=(00000255 00000001) -  
    APPLIDS=(M1CDI7P6 M1CDI7P7 M1CDI7P8) )  
/*          */  
/* IPV4 ADDRESS */  
/*          */  
  ADJACENT.NODE=( -  
    (SC.DUB.MWATL3,4399,111.222.333.444,TCP) -  
    PARSESS=(00000255 00000001) -  
    APPLIDS=(M1CDI7P6 M1CDI7P7 M1CDI7P8) )
```

The following example demonstrates the appropriate command syntax for a multiple listen for IPv6 addresses through the initialization parameter:

```
TCP.LISTEN=((10.20.201.2,4199), -  
            (fd00:0:0:20cc::2,4299), -  
            (10.20.201.2,4399), -  
            (10.20.201.2,4499) )
```

The following two examples represent a simple specification for a single listen for either an IPv4 or IPv6 address through the initialization parameters:

```
/*          */  
/* IPV4 address */  
/*          */  
TCP.LISTEN = (111.222.333.444,01364)
```

```
/*          */  
/* IPV6 address */  
/*          */  
TCP.LISTEN = (1111:2222:3333:4444:5555:6666:7777:8888,01364)
```

The following example represents a simple specification for a single listen for either an IPv4 or IPv6 address through the Process syntax:

```
/*          */  
/* IPV6 Address */  
/*          */  
label PROCESS -  
    SNODE=TCPNAME=1111:2222:3333:4444:5555:6666:7777:8888;nnnnn  
/*          */  
/* IPV4 Address */  
/*          */  
label PROCESS SNODE=TCPNAME=111.222.333.444;nnnnn  
(where nnnnn is the 5 digit port number)
```

## Domain Name Resolution

Because IP addresses are difficult to remember and not descriptive of the host it is assigned to, TCP/IP enables meaningful names to map to the IP address. TCP/IP provides a function called Domain Name Resolution to map the name to the IP address. Refer to your TCP/IP implementation documentation for information on the setup and use of Domain Name Resolution.

## Releasing Processes Serially from Sterling Connect:Direct for Microsoft Windows

To support dial-up connections from a Sterling Connect:Direct for Microsoft Windows node and release Processes serially, define the Sterling Connect:Direct for Microsoft Windows node in an adjacent node entry using a null IP address of 0.0.0.0. You can let the port number default to 1364 on the node where the network map resides since it will be resolved at connection time. Processes submitted to nodes defined in this manner default to HOLD=CALL status and are not executed since the connection cannot be resolved.

To release the HOLD=CALL Processes, create a NULL or ENABLE Process from the Sterling Connect:Direct for Microsoft Windows SNODE. (A NULL Process is an empty one with no steps and is only valid in Sterling Connect:Direct for Microsoft Windows.) When the Sterling Connect:Direct for Microsoft Windows node establishes a connection with Sterling Connect:Direct for z/OS and sends the

NULL Process, Sterling Connect:Direct for z/OS uses the same session and runs any HOLD=CALL Processes one at a time. Checkpoint restart is supported for such nodes.

## **TCP/IP Port Number**

The initialization parameters TCP.LISTEN and TCP.API.LISTEN provide the best method for defining a single port or multiple ports for Sterling Connect:Direct to listen to and accept incoming TCP/IP connection requests. You can define a single port for establishing a listen task, or define multiple ports by establishing a list of IP address and port number combinations. Multiple port listening allows Sterling Connect:Direct to accept incoming traffic from a variety of addresses.

## **Single Port TCP/IP Listen**

Sterling Connect:Direct implements a standard TCP client/server architecture. Each Sterling Connect:Direct node in the TCP/IP network can function as both the client and the server simultaneously.

The server establishes a connection with the TCP/IP network and waits for session requests from other Sterling Connect:Direct clients. When a session request is received and validated, the server accepts the connection to the client.

The Sterling Connect:Direct client requests a session that is established through the TCP/IP network to a Sterling Connect:Direct server. When the session is accepted by the server, a dynamic port is assigned by the network. This dynamically assigned port is used for the actual data transfer. When the data transfer is complete, the port is released back to the network and the session is terminated.

Sterling Connect:Direct uses a predefined TCP/IP port number for both client and server. This port is defined in TCP/IP as a TCP service and requires the same port for all Sterling Connect:Direct TCP/IP nodes in your network. Refer to your TCP/IP implementation documentation for how to define TCP servers. If you cannot use the predefined TCP/IP port, you can override it for both the client and server.

This initialization parameter defaults to port number 1364.

## **Client Override Port Number**

The client override port number is coded on the adjacent node record in the second positional parameter. The Sterling Connect:Direct client requests sessions to the Sterling Connect:Direct server through this port. The port number coded on the adjacent node record is used by client functions only and does not change the port number used by the server functions. You must code the network map entry in your network map in order to use the override port number.

## **Server Override Port Number**

The server override port number is coded in the TCP.LISTEN initialization parameter. The Sterling Connect:Direct server waits for a Sterling Connect:Direct client request on this port. The initialization port number is used by server functions only and does not change the port number used by the client functions.

## Multiple Port TCP/IP Listen

Sterling Connect:Direct accepts incoming traffic from a variety of addresses. The initialization parameters TCP.LISTEN and TCP.API.LISTEN support both IPv4 and IPv6 protocols. They also allow for a list of IP address and port number combinations.

The first address defined in the parameter becomes the local or default address. Up to eight different addresses/ports can be defined for each server.

For information about multiple port listening in a Sterling Connect:Direct/Plex environment, refer to A New Sterling Connect:Direct/Plex Environment.

## Viewing a TCP Listen Status Report

To view the TCP listening status through the IUI interface, follow this procedure.

### Procedure

1. Access the INQUIRE TCP command by selecting option INQ from the Connect:Direct Administrative Options Menu. The Inquire DTF Internal Status screen is displayed.
2. Type ITCB and press ENTER to display the status report. The following report is an example of the output.

```
CD.ZOS.NODE      *INQUIRE TCP      * DATE: yyyy.mm.dd TIME: hh:mm:ss
SYSTEM INITIALIZED -----          yyyy.mm.dd          hh:mm:ss
=====
Address          Port Num   Status    Type      Address Family
=====
10.20.201.2 (Default) 1364      LISTEN    NODE      IPV4
10.20.129.3         4100      LISTEN    NODE      IPV4
10.20.129.3         4101      ERROR     NODE      IPV4
10.20.201.2         1363      LISTEN    API       IPV4
=====
```

## VTAM Independence

VTAM independence enables Sterling Connect:Direct to initialize without SNA support. It also enables Sterling Connect:Direct to continue functioning if VTAM is not available, and to reattach to VTAM when it is restored.

To use VTAM independence, you must specify SNA=YES in the initialization parameters. You must also specify a valid VTAM APPLID in the network map local node record.

If SNA= YES is specified in the initialization parameters and you try to start Sterling Connect:Direct when SNA is not available, or if SNA becomes unavailable during a session, the system prompts the operator for action.

See “SNA = YES | NO” on page 376 for more information about the SNA initialization parameter. See API Signons for more information about operator actions.

## TCP/IP API Communications

The TCP.API.LISTEN initialization parameter enables an API to communicate with a Sterling Connect:Direct using TCP/IP via OE sockets. Also, you can use the TCPAPI keyword parameter in the adjacent node record to define a default protocol for API communication.

The API must also use the SIGNON command to specify a transport type and communications address. See API Signons for more information. Additionally, refer to *Managing Sessions in IBM Sterling Connect:Direct for z/OS User Guide* for more information about the SIGNON command.

### Example - Defining a TCP/IP Default Entry

The following example defines a TCP/IP default record using ADJACENT.NODE entries.

```
/*                                                    */
/* The following entry is for the TCP/IP default entry */
/*                                                    */
ADJACENT.NODE=(PARSESS=(8,1)                -
ENVIRONMENT=ZOS                             -
(TCP.IP.DEFAULT, 2048,, TCP))
```

**Note:** For a TCP/IP default entry, the nodename parameter must be TCP.IP.DEFAULT and the session type must be TCP. A TCP/IP default entry is required if any Process uses SNODE=TCPNAME=.

In the previous example, nodename=TCP.IP.DEFAULT, communications name=2048, and session type=TCP. For information about adjacent node entries, see Adjacent Node Entry.

When a Process is submitted, the port number value is determined in the following order:

1. If the node name within a Process is defined in the network map, the port number associated with the node name entry is used.
2. If the node name within a Process is not defined in the network map, the port number associated with the default entry is used.
3. If no port number exists in the communications name field of the default entry, the TCP.LISTEN initialization parameter is used.
4. If the TCP.LISTEN initialization parameter is not defined, the port number defaults to 1364.

The PARSESS value for the SNODE is determined in the following order:

1. If the node name within a Process is defined in the network map, the PARSESS value associated with the nodename entry is used.
2. If the node name within a Process is not defined in the network map, the PARSESS value associated with the default entry is used.
3. If no PARSESS value is in the default entry, the PARSESS value defaults to (1,0). A PARSESS value of (1,0) means that Processes to the nodes for which the default entry is used are single-threaded.

## Channel-to-Channel Support

Sterling Connect:Direct for z/OS provides channel-to-channel support for direct channel links between z/OS platforms using the IBM ESCON CTCA or IBM 3088 CTCA support.

The syntax for creating a CTCA adjacent node follows.

```

ADJACENT.NODE=(
  (nodename,
    channel-range-start-addr, addr-count, CTCA,,
    SEND| RECV))
NETID=server name
PARSESS=(max default)
ENVIRONMENT=operating environment
)

```

## API Signons

The communication address used to establish a connection to Sterling Connect:Direct is determined by the TRANSPORT parameter defined in the SIGNON command. The default for the TRANSPORT parameter is NET (NETMAP), which means that the protocol defined in the NETMAP adjacent node entry is used.

Parameter Value	Description
TRANSPORT = NET	Default. When TRANSPORT is defined as NET, the signon process retrieves the adjacent node entry to determine if the TCPAPI parameter has been defined. If TCPAPI exists, then a TCP connection is attempted using the communications address defined. The communications port number is obtained from the TCPAPI parameter, and if the IP address exists in the TCPAPI parameter, it is also used. If the IP address does not exist in the TCPAPI parameter, it must be obtained from either the adjacent node or the LDNS parameter.  If the TCPAPI does not exist, the APPLID parameter is retrieved, and SNA is used as the protocol.
TRANSPORT = SNA	When TRANSPORT is defined as SNA, the signon process retrieves the adjacent node entry to determine the APPLID parameter, and SNA is used as the protocol. If the APPLID parameter does not exist, then an ESF SIGNON is performed.
TRANSPORT = TCP	When the TRANSPORT is defined as TCP, the communications address must be specified on the SIGNON command.  To initialize Sterling Connect:Direct without SNA support, refer to Initializing Sterling Connect:Direct without SNA Support in the <i>IBM Sterling Connect:Direct for z/OS Configuration Guide</i> .

## Adjacent Node API Signon Definition Examples

To only allow SNA API signons:

```

/* PNODE=SNODE WITH SNA API SIGNON ONLY */
ADJACENT.NODE=(( CD.ZOS.NODE,M1DEV93C) PARSESS=(53 2) -
  APPLIDS=(M1CDI701 M1CDI702 M1CDI703) -
)

```

To only allow TCP API signons using the IP address:

```

/* PNODE=SNODE WITH TCP API SIGNON ONLY USING IP ADDRESS */
ADJACENT.NODE=(( CD.ZOS.NODE,M1DEV93C) PARSESS=(53 2) -
  TCPAPI=(4198,111.222.333.444) -
)

```



To only allow TCP API signons using the LDNS parameter:

```
/* PNODE=SNODE WITH TCP API SIGNON ONLY USING LDNS */
  ADJACENT.NODE=(( CD.ZOS.NODE,M1DEV93C) PARSESS=(53 2) -
  TCPAPI=(4198,) -
  LDNS=long.domain.name -
  )
```

To allow both SNA and TCP API signons:

```
/* PNODE=SNODE WITH BOTH SNA AND TCP API SIGNON */
  ADJACENT.NODE=(( CD.ZOS.NODE,M1DEV93C) PARSESS=(53 2) -
  TCPAPI=(4198,111.222.333.444) -
  APPLIDS=(M1CDI701 M1CDI702 M1CDI703) -
  )
or
/* PNODE=SNODE WITH BOTH SNA AND TCP API SIGNON */
  ADJACENT.NODE=(( CD.ZOS.NODE,M1DEV93C) PARSESS=(53 2) -
  TCPAPI=(4198,) -
  LDNS=long.domain.name -
  APPLIDS=(M1CDI701 M1CDI702 M1CDI703) -
  )
```

---

## Examples of Local and Adjacent Node Records

This section contains examples of local and adjacent node records for various platforms.

### Local Node and Corresponding Adjacent Node Record

The following is an example of a local node entry and its corresponding adjacent node entry. Notice that the local and adjacent node names are the same (CD.DALLAS), but the local and adjacent communications names (NDMAPP1 and NDMAPP2) are different. The local node shows a superuser password of XYZZY.

```
LOCAL.NODE=((CD.DALLAS,NDMAPP1,,XYZZY) -
  TCQ=(DSC.DALLAS.TCX DSC.DALLAS.TCQ))
/*
/* THE FOLLOWING ENTRY IS FOR THE LOCAL NODE
/*
/*
ADJACENT.NODE=(PARSESS=(12,2) (CD.DALLAS,NDMAPP2) -
  APPLIDS=(NAI01 NAI02 NAI03 CDDD12 -
  CDDD17 CDDD18 CDDD32 CDDD41 CDDD42))
```

The following is an example of a local node entry and its corresponding adjacent node entry where TCPAPI is defined.

```
LOCAL.NODE=((CD.DALLAS,NDMAPP1,,XYZZY) -
  TCQ=(DSC.DALLAS.TCX DSC.DALLAS.TCQ))
/*
/* THE FOLLOWING ENTRY IS FOR THE LOCAL NODE WHERE TCPAPI IS DEFINED
/* FOR USE BY Connect:Direct APIs TO SIGNON USING TCP/IP
/*
/*
ADJACENT.NODE=(PARSESS=(12,2) (CD.DALLAS,NDMAPP2) -
  TCPAPI=(1363,111.222.333.444) -
  APPLIDS=(NAI01 NAI02 NAI03 CDDD12 -
  CDDD17 CDDD18 CDDD32 CDDD41 CDDD42))
```

The following is an example of an adjacent node entry that defines a pool of zFBA devices.

```
ADJACENT.NODE=((CD.UNIX , 1364 , UNIXHOST , TCP ) -  
  ENVIRONMENT=UNIX PARSESS=(8,2) -  
  ZFBA=(2000,2003) -  
  APPLIDS=(M1CDI7P6 M1CDI7P7 M1CDI7P8) )
```

## Alternate Communications Path Examples

The following examples show two different ways of using alternate communications paths.

### Specifying ALT.ADDR and ALT.NODEDEF

The following is an example of an adjacent node entry that has four alternate communications paths that can be used if the main communication path specified for the adjacent node (TCP/IP address of 199.1.4.1) cannot be used. Three of the alternate communications paths are defined using the ALT.ADDR parameter while one uses the ALT.NODEDEF parameter.

```
ADJACENT.NODE=((CD.NODE,1364,199.1.4.1,TCP) -  
  PARSESS=(6 2) -  
  ALT.COMM=((ALT.ADDR=1.1.1.2,ALT.TYPE=TCP), -  
            (ALT.ADDR=VTAMAPL1,ALT.TYPE=SNA), -  
            (ALT.NODEDEF=TEST.NODE), -  
            (ALT.ADDR=1.1.1.3,ALT.PORT=4374,ALT.TYPE=TCP)) -  
  )
```

### Restarting Processes using an Alternate Communications Path

In the following example, the adjacent node in the previous example has been redefined to allow an alternate communications path which only supports Version 2 flows. In this system, Sterling Connect:Direct is running on a machine with two Network Interface Cards (NICs), which means that there are two IP addresses for this machine. On the remote Sterling Connect:Direct system, both of these IP addresses are included in the network map adjacent node entry for the original Sterling Connect:Direct system.

In this example, assume a Process is started by the remote Sterling Connect:Direct to this adjacent node with two NICs. The first path (TCP/IP address of 1364,199.1.4.1) is selected when the Process establishes its session. For some reason, the NIC fails on the machine, and the Process fails and goes into retry.

If ALT.RESTART=NO (the default), this Process can never restart successfully because it will always use the path used during initial session establishment (in this case, the failed NIC). When ALT.RESTART=YES is specified, the Process will try all ALT.COMM paths and will restart successfully because an additional path is available (ALT.ADDR=1.1.1.3,ALT.PORT=4374). ALT.NODEDEF points to another network entry and only uses the primary address for that node. Connection failure using the primary address does not result in running that node's ALT.COMM parameters (assuming it has any).

```

ADJACENT.NODE=((CD.NODE,1364,199.1.4.1,TCP) -
PARSESS=(6 2) -
ALT.COMM=(ALT.RESTART=YES,ALT.DIR=TOP,-
(ALT.ADDR=1.1.1.3,ALT.PORT=4374,ALT.TYPE=TCP)) -
)

```

## Sterling Connect:Direct for z/OS Adjacent Node Examples

The following examples show how to define adjacent z/OS nodes.

### SNA LU0

The following example shows an adjacent z/OS node named CD.NYCZOS, with the communications name (VTAM APPLID) of CDDD10. The APPLIDS parameter indicates nine API sessions are possible.

```

ADJACENT.NODE=(PARSESS=(4,2) (CD.NYCZOS,CDDD10) -
APPLIDS=(CDAPI01 CDAPI02 CDAPI03 CDAPI04 -
CDAPI05 CDAPI06 CDAPI07 CDAPI08 CDAPI09))

```

### CTCA

The following example shows an adjacent z/OS node named CD.DALLAS.ZOS1 which uses channel-to-channel adapter addresses E001-E008. The first address of each pair is used for outbound traffic; the second address is used for inbound traffic.

```

ADJACENT.NODE=(PARSESS=(4,1) -
ENVIRONMENT=ZOS -
(CD.DALLAS.ZOS1,E001,8,CTCA,,SEND))

```

In the network map entry of the adjacent node, an adjacent node entry for the other side of the CTCA connection is in the following example. The z/OS node named CD.DALLAS.ZOS2 uses channel-to-channel adapter addresses F001-F008. The first address of each pair is used for inbound traffic. The second address of each pair is used for outbound traffic.

```

ADJACENT.NODE=(PARSESS=(4,1) -
ENVIRONMENT=ZOS -
NETID=SERVER1 -
(CD.DALLAS.ZOS2,F001,8,CTCA,,RECV))

```

### TCP/IP and UDT

The following example shows three adjacent node entries with session protocol types of TCP/IP and UDT:

- The first, with a TCP/IP net name of ZOS.CD.CHICAGO, specifies the default TCP/IP port number by leaving the communications name positional parameter null.
- The second, with a TCP/IP net name of ZOS.CD.DALLAS, specifies a UDT port number of 4444. The IP address will default to the node name, to be resolved by domain name resolution.
- The third, with a TCP/IP net name of ZOS.CD.AUSTIN, specifies a TCP/IP port number of 4443 and an IP address of 199.8.8.8.

- The fourth defines a TCPAPI to use port number 4442 and obtain the IP address from the adjacent node record.
- The fifth defines the LDNS parameter.

```

ADJACENT.NODE=(PARSESS=(4,2) -
(ZOS.CD.CHICAGO,,199.1.4.51,TCP) -
ENVIRONMENT=ZOS)

ADJACENT.NODE=(PARSESS=(4,2) -
(ZOS.CD.DALLAS,4444,,UDT33) -
ENVIRONMENT=ZOS)

ADJACENT.NODE=(PARSESS=(4,2) -
(ZOS.CD.AUSTIN,4443,199.8.8.8,TCP) -
ENVIRONMENT=ZOS)

ADJACENT.NODE=(PARSESS=(4,2) -
(ZOS.CD.AUSTIN,4443,199.8.8.8,TCP) -
TCPAPI=(4442,) -
ENVIRONMENT=ZOS)

ADJACENT.NODE=(PARSESS=(4,2) -
(ZOS.CD.AUSTIN,4443, , TCP) -
LDNS=(TCP.AUSTIN.DOMAIN) -
TCPAPI=(4442, ) -
ENVIRONMENT=ZOS)

```

## SNA LU6.2

The following example shows an adjacent node entry for a node named CD.LAZOS with a communications name (APPLID) of APPLAI and a session protocol type of LU6.2. The operating environment of this adjacent node is z/OS, and the VTAM logmode entry which defines the session protocol used when communicating with this node is LU62MOD4. The LOGMODE parameter is required for LU6.2.

```

ADJACENT.NODE=(PARSESS=(4,2) -
(CD.LAZOS,APPLAI,,LU62) -
ENVIRONMENT=ZOS LOGMODE=LU62MOD4 -
APPLIDS=(CDDD2,CDDD3,CDDD4))

```

## Trusted Node

The following example shows an adjacent node entry for a node named SC.NODE.A with a security type of external (EXT) and data direction restriction of SEND.

```

ADJACENT.NODE=(PARSESS=(4,2) -
(SC.NODE.A,NZOSD20,,,EXT,SEND) -
APPLIDS=(NZOSA36,NZOSA37,NZOSA38))

```

## VM/ESA SNA LU0 Adjacent Node Example

The following example shows an adjacent node named CD.BOSTON.VM, with a communications name (APPLID) of CDDD16.

```

ADJACENT.NODE=(PARSESS=(4,2) (CD.BOSTON.VM,CDDD16) -
APPLIDS=(CDAPI01 CDAPI02 CDAPI03 CDAPI04 -
CDAPI05 CDAPI06 CDAPI07 CDAPI08 CDAPI09))

```

## OpenVMS Adjacent Node Example

The following example shows an adjacent node named CD.DALLAS.VMS. The SNODE.LUS parameter specifies the logical unit names used by the local node to initiate a session with this remote node.

```
ADJACENT.NODE=((CD.DALLAS.VMS) -  
PARSESS=(8,1) -  
PNODE.LUS=(N91LU09 N91LU0A N91LU0B N91LU0C -  
N91LU0D N91LU0E N91LU0F N91LU10) -  
SNODE.LUS=(N91LU07 N91LU08))
```

## Microsoft Windows Adjacent Node Example

The following example show how to define adjacent Microsoft Windows nodes.

```
ADJACENT.NODE=(  
    (WIN.TCPIP.NODE,1364,123.4.5.67,TCP) -  
    PARSESS=(20,1) -  
    ENVIRONMENT=WINDOWS -  
)
```

## UNIX Adjacent Node Examples

The following examples show how to define adjacent UNIX nodes.

### TCP/IP Adjacent Node Example

The following example shows two adjacent node entries with session protocol types of TCP/IP:

- The first entry specifies the default TCP/IP port number by leaving the communications name positional parameter null. The IP address will default to the node name to be resolved by domain name resolution.
- The second entry specifies a TCP/IP port number of 5555 and an IP address of 199.5.5.5.

```
ADJACENT.NODE=(PARSESS=(6,2) -  
    (UNIX.CD.CHICAGO,,TCP) -  
    ENVIRONMENT=UNIX)  
ADJACENT.NODE=(PARSESS=(6,2) -  
    (UNIX.CD.DALLAS,5555,199.5.5.5,TCP) -  
    ENVIRONMENT=UNIX)
```

Notice that no APPLID or LOGMODE keywords are used for any TCP/IP node. A warning is generated for any unneeded keyword or subparameter, and the coded value is ignored.

### LU6.2 Adjacent Node Example

The following example shows an adjacent UNIX node with a communications name (APPLID) of D1UNIX and a session protocol type of LU6.2. The logmode entry name is LU62MODE. The LOGMODE parameter is required for LU6.2.

```
ADJACENT.NODE=(PARSESS=(6,2) -  
    (UNIX.LU62.DALLAS,D1UNIX,,LU62) -  
    LOGMODE=LU62MODE -  
    ENVIRONMENT=UNIX)
```

## Stratus VOS Adjacent Node Examples

The following examples show how to define adjacent Stratus VOS nodes.

### TCP/IP

The following example shows an adjacent node entry with a session protocol type of TCP/IP, a TCP net name of CD.STRAT, a TCP port number of 3333, and an IP address of 199.1.1.11.

```
ADJACENT.NODE=(PARSESS=(12,1) -  
  (CD.STRAT,3333,199.1.1.11,TCP) -  
  ENVIRONMENT=STRATUS)
```

### LU0

The following example shows an adjacent Stratus VOS node with a communications name (APPLID) of M1T20404 and a session protocol type of LU0. The logmode entry name is CDPCLU0. The LOGMODE parameter is optional for LU0.

```
ADJACENT.NODE=(( CD.STRAT,M1T20404,,SNA) -  
  LOGMODE=CDPCLU0)
```

## i5/OS Adjacent Node Examples

The following examples show how to define adjacent i5/OS nodes.

### i5/OS SNUF

The following example shows an adjacent node named AS400.CD.TX with a remote library name of LBNAME and session protocol type of LU0 (SNUF). The SNODE.LUS parameter defines the dependent LU pool.

```
ADJACENT.NODE=(PARSESS=(4,2) -  
  (AS400.CD.TX,,LBNAME,SNUF) -  
  SNODE.LUS=(N11LU01,N11LU02,N11LU03,N11LU04))
```

### LU6.2 with Independent LU

The following example shows an adjacent node named AS400.CD.LA with an independent LU communications name of APPLLA1, a remote library name of CDLIB1, a session protocol type of LU6.2, and a logmode entry name of LU62MOD2. The ENVIRONMENT=OS400 parameter is required for i5/OS nodes using the LU6.2 protocol. The LOGMODE parameter is required for the LU6.2 protocol.

```
ADJACENT.NODE=(PARSESS=(6,2) -  
  (AS400.CD.LA,APPLLA1,CDLIB1,LU62) -  
  ENVIRONMENT=OS400 LOGMODE=LU62MOD2)
```

### LU6.2 with Dependent LU

The following example shows an adjacent i5/OS node named AS400.CD.NY with a remote library name of CDLIB1, a session protocol type of LU6.2, and a logmode entry name of LU62MOD3. The SNODE=LUS parameter defines the dependent LU

pool. The ENVIRONMENT=OS400 parameter is required for i5/OS nodes using the LU6.2 protocol. The LOGMODE parameter is required for the LU6.2 protocol.

```
ADJACENT.NODE=(PARSESS=(4,2) -  
(AS400.CD.NY,,CDLIB1,LU62) -  
ENVIRONMENT=OS400 LOGMODE=LU62MOD3 -  
SNODE.LUS=(NYLU01,NYLU02,NYLU03,NYLU04))
```

## TCP/IP

The following example shows an adjacent node entry with a node name of OS400.TCP.NODE, session protocol type of TCP, a TCP port number of 1364, and an IP address of 199.1.1.11.

```
ADJACENT.NODE=( -  
(OS400.TCP.NODE,1364,199.1.1.11,TCP,INT,BOTH) -  
CRC=DEFAULT -  
PARSESS=(20,2))
```

---

## How to Update the Network Map

The network map is created during installation, when the network map source is input to the network map load program.

The network map source contains one local node entry and multiple adjacent node entries. It can contain \$\$ACTION verbs added during previous maintenance.

You can update the network map source while Sterling Connect:Direct is not executing or dynamically while Sterling Connect:Direct is executing.

**Note:** To test connectivity to an ADJACENT.NODE, use the DGAPHTBT Process (see \$CD.SDGASAMP). This Process makes a connection to the ADJACENT.NODE and executes a RUN TASK at the local node. Be aware that the connection causes both Sterling Connect:Direct nodes to search their TCQ files for Processes destined for the other node.

## Updating the Network Map while Sterling Connect:Direct is Not Executing

### About this task

You can update the network map using the network map source and the DGAJLOAD JCL which loaded the source at initialization.

You can only update the local node by performing the following steps:

### Procedure

1. Change the network map source. The network map source is loaded at installation into \$CD.SDGACNTL(NETMAP01)
2. Stop Sterling Connect:Direct.
3. Delete and redefine the network map. Refer to the JCL in \$CD.SDGAJCL(DGAJJDEF).
4. Reload the network map. Refer to the JCL in \$CD.SDGAJCL(DGAJLOAD).
5. Restart Sterling Connect:Direct.

## Updating the Network Map while Sterling Connect:Direct is Running

You can update the network map without deleting and redefining it. In addition, you can update the network map source without stopping Sterling Connect:Direct, by using the UPDATE NETMAP command.

After updating the network map with UPDATE NETMAP, you can refresh the network map for any Processes in the Wait queue with the Change Process command. See the *IBM Sterling Connect:Direct for z/OS User Guide* for a description of the Change Process command.

**Note:** Any changes to the CTCA definition do not take effect until Sterling Connect:Direct is reinitialized.

As with most commands, you can execute the command through a batch job or through the IUI. Both methods use \$\$ACTION verbs as part of the network map source.

**Note:** This method of updating the network map is only available for adjacent nodes.

The format of the UPDATE NETMAP command follows.

Label	Command	Parameters
(optional)	UPDATE NETMAP	WHERE (
		NETINput= filename(member name)
		NETLOG =[ddname   NONE]
		)
		DIS   PRT

**Note:** Reinitialize Sterling Connect:Direct before CTCA definition changes or additions are effective.



## Required Parameter

WHERE is the only required parameter for the UPDATE NETMAP command.

Parameter	Description
WHERE  (NETINPUT = filename (member name)  NETLOG = [ddname   NONE] )	<p>Network map source file and where the update activity is reported.</p> <p>NETINPUT = filename (member name) specifies the name of the network map source file. This file can be sequential or a PDS member. The network map source can contain multiple basic action verbs, multiple special purpose action verbs, or a combination of both. The source that updates the network map can be the entire network map source or a subset of it.</p> <p>NETLOG = [ddname   NONE] specifies where the update activity is reported.</p> <p>ddname specifies the data definition name allocated to the Sterling Connect:Direct DTF where the update activity is reported. The first two characters of the ddname must be CD.</p> <p>NONE specifies that no update activity is reported.</p> <p>If the parameter is left blank the update activity is reported to the CDLOG data set. Regardless of which option is selected the activity is recorded in the Statistics file as WTO records.</p>

## Optional Parameter

Parameter	Description
DIS   PRT	<p>Use the DIS or the PRT optional parameter to specify the output destination.</p> <p>DIS indicates that the activity is reported in display format, either to the screen for IUI requests or to the DDNAME for batch requests.</p> <p>PRT indicates that the output is routed to SYSOUT for batch requests and to the print destination specified by the PRINT FILE DESTINATION parameter in the signon defaults.</p>

## Using \$\$ACTION VERBS in the Network Map

Add \$\$ACTION verbs to the network map source as described in the description of the NETINPUT parameter of the UPDATE NETMAP command. For more information on the required parameter, NETINPUT, refer to “Updating the Network Map while Sterling Connect:Direct is Running” on page 134. Each verb defines the action to take for the node entry immediately following the action verb. Three basic action verbs and three special purpose action verb pairs exist. The following table describes the action verbs:

Action Verb	Description
\$\$INSERT	Inserts the following node entry into the network map.

Action Verb	Description
\$\$UPDATE	Updates the following existing network map node entry. Node entry updates are performed as a replacement at the keyword level. Therefore, updates of list-type keywords, like APPLIDS, require that you specify the entire list.  Do not use \$\$UPDATE to update network map node entries which already contain the LDNS parameter. Use \$\$DELETE and \$\$ INSERT to change nodes which contain an LDNS parameter. <b>Note:</b> You can use \$\$UPDATE to add the LDNS parameter to a node which did not previously contain one.
\$\$REPLACE	Replaces the present node entry with the one following the \$\$REPLACE verb. This verb first does a \$\$DELETE and then a \$\$INSERT. It can be used in place of \$\$UPDATE.
\$\$DELETE	Deletes the following existing network map node entry.
\$\$SYNTAX and \$\$ENDSYNTAX	Performs a syntax check of the network map control statements following this verb.
\$\$VERIFY and \$\$ENDVERIFY	Verifies that the node definitions following this verb match those in the network map.
\$\$BLKxxxxxx and \$\$ENDxxxxxx	Performs the basic action verb defined by xxxxxx for the block of node entries following this verb. Replace xxxxxx with either INSERT, UPDATE, or DELETE.

## Updating the Netmap through the Batch Interface

### About this task

To issue the UPDATE NETMAP command through the Sterling Connect:Direct batch utility, follow this procedure.

### Procedure

1. Change the network map source using the \$\$ACTION verbs.
2. Place the UPDATE NETMAP commands in the batch job stream.
3. Ensure that Sterling Connect:Direct is running.
4. Submit the job.
5. Correct any errors identified on the activity report and resubmit if necessary.
6. Verify the results.

## Updating the Netmap through the IUI Interface

### About this task

To issue the UPDATE NETMAP command through the Sterling Connect:Direct IUI, perform the following steps.

**Note:** Updating the network map through the IUI can take significant time. For mass updates, consider batch processing.

### Procedure

1. To change or create a new member with your updates, change the network map source to use the \$\$ACTION verbs.
2. Ensure that Sterling Connect:Direct is running.

3. Access the Update network map screen by selecting option UNM from the Administrative Options Menu.

```

node.name          UPDATE NETWORK MAP          hh:mm
CMD ==>

          WARNING: THIS COMMAND CAN TAKE SIGNIFICANT TIME. FOR MASS
          UPDATES, BATCH PROCESSING SHOULD BE CONSIDERED!

ENTER NETMAP INPUT FILE NAME:
==> _____

ENTER MEMBER NAME:      (OPTIONAL)
==> _____

ENTER DDNAME FOR LOG FILE OR "NONE":      (DEFAULTS TO "CDLOG",
==> _____      FIRST TWO CHARACTERS MUST BE "CD")

          OUTPUT DESTINATION ==> DIS (DIS-DISPLAY,PRT-PRINT)

```

4. Type the network map source file name and appropriate optional parameters and press ENTER. Unless you select PRINT on the Update NETMAP screen, the report routes to your terminal.
5. Verify the results on the activity report.
6. Correct any errors identified on the activity report and re-type if necessary.

## \$\$ACTION Verb Examples

The following are examples of updating the network map through the use of action verbs.

### \$\$INSERT Example

The following \$\$INSERT command inserts an adjacent node into the network map.

```

$$INSERT
ADJACENT.NODE=((CD.NODE2  APPLID2  ) -
              PARSESS=(5,2) -
              APPLIDS=(RAPPL1))

```

The output follows.

```

= = > * * *   START NETMAP UPDATE           * * *
= = >   DATE:  02/27/2003 TIME=14:59:26
= = >   SMUPNLGI NETLOG=NONE REQUIRED, LOGGING INACTIVE
=====
*INSERT THE FOLLOWING NODE DEFINITION
$$INSERT
ADJACENT.NODE=(( CD.NODE2  APPLID2  ) -
              PARSESS=(5,2) -
              APPLIDS=(RAPPL1  ))
= = >   SMUP032I APPLIDS RECORD INSERTED
= = >   SMUP034I ADJACENT.NODE RECORD INSERTED
= = >   SMUP008I REQUEST SUCCESSFUL FOR NODE=CD.NODE2
=====

```

The first message, SMUPNLGI, shows that logging is not requested; therefore, Sterling Connect:Direct does not keep a record of the transaction (except in the

statistics file). The last messages indicate that the information for the specified adjacent node was successfully inserted.

### **\$\$UPDATE Example**

The following \$\$UPDATE command updates an adjacent node in the network map by adding the RAPPL2 APPLID and changing the maximum parallel sessions to four.

```
$$UPDATE
  ADJACENT.NODE=(( CD.NODE2  APPLID2 )  -
                PARSESS=(4,2)          -
                APPLIDS=(RAPPL1 RAPPL2 ))
```

The output follows.

```
= = > * * *  START NETMAP UPDATE      * * *
= = >  DATE:  02/27/2003 TIME=14:59:26
= = >  SMUPNLGI LOGGING ACTIVE - LOG DDNAME=CDLOG
=====

*UPDATE THE FOLLOWING NODE DEFINITION ADDING RAPPL2
*CHANGING MAXIMUM PARALLEL SESSIONS TO FOUR (4).
$$UPDATE
  ADJACENT.NODE=(( CD.NODE2  APPLID2 )  -
                PARSESS=(4,2)          -
                APPLIDS=(RAPPL1 RAPPL2))

= = >  SMUP032I APPLIDS RECORD UPDATED
= = >  SMUP034I ADJACENT.NODE RECORD UPDATED
= = >  SMUP008I REQUEST SUCCESSFUL FOR NODE=CD.NODE2
=====
```

The first message, SMUPNLGI, shows that logging is requested and that a record of the transaction is recorded in CDLOG. The last messages indicate that the adjacent node information was successfully updated.

### **\$\$REPLACE Example**

The following \$\$REPLACE command deletes then inserts an adjacent node in the network map.

```
$$REPLACE
ADJACENT.NODE=((CD.NODE2 APPLID2) -
PARSESS=(4,2) -
APPLIDS=(RAPPL1 RAPPL2))
```

The output follows.

```

==> * * *   S T A R T   N E T M A P   U P D A T E   * * *

==> DATE: 04.02.2010  TIME=14:18:47

==> SMUPLOGI LOGGING ACTIVE - LOG DDNAME=CDLOG

=====

$$REPLACE
  ADJACENT.NODE=( -
    (CD.NODE2,APPLID2) -
    PARSESS=(6 2) -
    APPLIDS=(RAPPL1 RAPPL2) -
  )

==> SMUP034I ADJACENT.NODE record "DELETED ".
==> SMUP032I APPLIDS record "INSERTED".
==> SMUP034I ADJACENT.NODE record "INSERTED".
==> SMUP008I Request successful for Node=CD.NODE2
=====

==> SMUP000I C:D Network Map processing completed.

==> DATE: 04.02.2010  TIME=14:18:47

==> * * *   E N D   N E T M A P   U P D A T E   * * *

```

## \$\$DELETE Example

The following \$\$DELETE command deletes an adjacent node from the network map.

```

$$DELETE
  ADJACENT.NODE=((CD.NODE2  APPLID2) -
    PARSESS=(4,2) -
    APPLIDS=(RAPPL1 RAPPL2))

```

The output follows.

```

= = > * * *   S T A R T   N E T M A P   U P D A T E   * * *
= = >   DATE: 02/27/2003  TIME=15:09:36
= = >   SMUPNLGI NETLOG=NONE REQUIRED, LOGGING INACTIVE
=====
$$DELETE
  ADJACENT.NODE=((CD.NODE2  APPLID2) -
    PARSESS=(4,2) -
    APPLIDS=(RAPPL1 RAPPL2 ))
= = >   SMUP032I APPLIDS RECORD DELETED
= = >   SMUP034I ADJACENT.NODE RECORD DELETED
= = >   SMUP008I REQUEST SUCCESSFUL FOR NODE=CD.NODE1
=====

```

The first message, SMUPNLGI, indicates that logging is not requested, so Sterling Connect:Direct does not keep a record of the transaction. The last messages indicate that the APPLIDs and adjacent node records are successfully deleted.

## \$\$SYNTAX Example

The following \$\$SYNTAX command performs a syntax check on the specified nodes.

```
$$SYNTAX
LOCAL.NODE=((CD.NODE1 APPLID1 ,, SUPERUSR) -
            TCQ=( TCQ TCX ))
ADJACENT.NODE=((CD.NODE1 APPLID1) -
              PARSESS=(5,2) -
              APPLIDS=(LAPPL1 LAPPL2 LAPPL3))
ADJACENT.NODE=((CD.NODE2 APPLID2) -
              PARSESS=(5,2) -
              APPLIDS=(RAPPL1 ))
$$ENDSYNTAX
```

The output follows. The messages are numbered in the example for clarification; they are not numbered on the actual output.

```
=====
= = > * * *          START NETMAP UPDATE          * * *
= = > DATE: 02/27/2003 TIME=13:49:16
(1)  = = > SMUPNLGI NETLOG=NONE REQUIRED, LOGGING INACTIVE
=====
      $$SYNTAX
(2)  = = > SMUP011I 'SYNTAX ' ACTION STARTED
=====
      LOCAL.NODE=(( CD.NODE1 APPLID1 ,, SUPERUSR) -
                  TCQ=( TCQ TCX ))
(3)  = = > SMUP005I LOCAL.NODE RECORD PROCESSING NOT ALLOWED
      BYPASSED
=====
      ADJACENT.NODE=(( CD.NODE1 APPLID1 ) -
                    PARSESS=(5,2) -
                    APPLIDS=(LAPPL1 LAPPL2 LAPPL3))
(4)  = = > SMUP008I REQUEST SUCCESSFUL FOR NODE=CD.NODE1
=====
      ADJACENT.NODE=(( CD.NODE2 APPLID2 ) -
                    PARSESS=(5,2) -
                    APPLIDS=(RAPPL1))
(4)  = = > SMUP008I REQUEST SUCCESSFUL FOR NODE=CD.NODE2
=====
      $$ENDSYNTAX
(5)  = = > SMUP012I 'SYNTAX ' ACTION STOPPED
=====
```

The numbers in parentheses indicate:

1. Logging is not requested; therefore, no record is kept of the transaction.
2. Syntax check of network map control statements starts.
3. No processing is allowed against the local node record.
4. Requests for syntax checking on nodes are successful.
5. Syntax checking completes.

## \$\$VERIFY Example

The following \$\$VERIFY command verifies the definition of the specified adjacent node record prior to updating the network map.

```

$$VERIFY
  ADJACENT.NODE=((CD.NODE2 APPLID2) -
    PARSESS=(5,2) -
    APPLIDS=(RAPPL1))
$$ENDVERIFY

```

The output follows. The messages are numbered in the example for clarification; they are not numbered on the actual output.

```

=====
(1)  == > * * *      START NETMAP UPDATE      * * *
      == > DATE:   02/27/2003 TIME=15:35:16
      == > SMUPNLGI NETLOG=NONE REQUIRED, LOGGING INACTIVE
=====
      $$VERIFY
(2)  == > SMUP011I 'VERIFY ' ACTION STARTED
=====
      ADJACENT.NODE=(( CD.NODE2  APPLID2 ) -
        PARSESS=(5,2) -
        APPLIDS=(RAPPL1 ))
(3)  == > SMUP092I  APPLIDS RECORD DID NOT MATCH
      == > SMUP094I  ADJACENT.NODE RECORD DID NOT MATCH
      == > SMUP096I  RECORDS DO NOT MATCH - VERIFICATION FAILED
          FOR NODE
          =CD.NODE2
=====
      $$ENDVERIFY
(4)  == > SMUP012I 'VERIFY ' ACTION STOPPED
=====

```

The number in parentheses indicate the following steps:

1. Logging is not requested; therefore, no record is kept of the transaction.
2. Verification of the node definition to the network map file has started.
3. The APPLIDs and adjacent node records did not match the network map file definitions.
4. Verification is complete.

---

## Viewing the Network Map

You can view the network map online through the IUI interface or view the contents of the network map by unloading the network map VSAM file source.

### Viewing the Netmap through the IUI Interface

To view the network map using the Sterling Connect:Direct IUI, select option **NM** from the Primary Options Menu to display the **SELECT NETMAP OR TCP INFORMATION** screen. For more information, see *The Network Map* in the *IBM Sterling Connect:Direct for z/OS User Guide*.

### Unloading the Network Map to the Source Format

Sterling Connect:Direct provides a utility to unload the network map to its source format. You can then view the source format to see network map settings. This utility is provided in the DGAJUNLD member in the \$CD.SDGAJCL data set.

To unload the network map, submit the DGAJUNLD member. You can unload the network map while Sterling Connect:Direct is running.

An example of the JCL follows.

//STEP	EXEC	PGM=DGADNTLD, PARM='UNLOAD'
//NETMAP DD		DSN=NETMAP.DATASET, DISP=SHR
//UNLOAD DD		DSN=NETMAP.UNLOAD, DISP=(NEW, CATLG),
//		DCB=(DSORG=PS, RECFM=FB, BLKSIZE=0, LRECL=80),
//		UNIT=SYSDA, SPACE=(TRK, (4, 2, ))

The network map source is unloaded to the data set specified in the JCL.



---

## Chapter 6. Configuring a Sterling Connect:Direct/Plex Environment

Sterling Connect:Direct runs in two configurations:

- Sterling Connect:Direct Stand-alone Server, which is a single Sterling Connect:Direct system operating within an IBM z/OS environment.
- Sterling Connect:Direct/Plex, which is a Sterling Connect:Direct system operating in an IBM z/OS sysplex or parallel sysplex environment consisting of a Sterling Connect:Direct Manager and one or more Sterling Connect:Direct Servers.

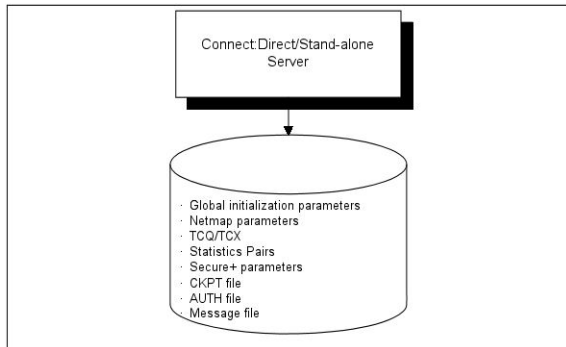
### Differences Between Stand-Alone and Plex Environments

A Sterling Connect:Direct Stand-alone Server and a Sterling Connect:Direct/Plex environment have the following configuration differences:

- Initialization parameters

The two sets of initialization parameters in Sterling Connect:Direct are global and local.

A Sterling Connect:Direct Stand-alone Server uses only global initialization parameters to set system-wide values, as shown in the following illustration.



A Sterling Connect:Direct/Plex environment uses both global and local initialization parameters. Global initialization parameters apply to each member of the Sterling Connect:Direct/Plex environment. Local initialization parameters apply to specific members of the Sterling Connect:Direct/Plex environment and can override some global initialization parameters affecting that member. Each Sterling Connect:Direct/Plex member must have its own local initialization parameter member with the parameter, "CDPLEX.MANAGER = NO | YES" on page 400, as the first statement in the member.

**Note:** You can save a copy of a local initialization parameters member to have as a backup in case Sterling Connect:Direct cannot start up successfully after initparm updates have been applied. Specify the name of the backup file using the local initialization parameter, CDPLEX.INITPARM.BACKUP = member. Be sure to specify this parameter for each Sterling Connect:Direct/Plex member.

If an update of the global and local initialization parameter files is performed using Sterling Control Center and fails during the process, Sterling Connect:Direct will use the backup members to restore the parameters. Sterling Connect:Direct

will never use the backup members to initialize the DTF unless you explicitly instruct the system to do so by updating the JCL to use the backup members as the `initparm` members.

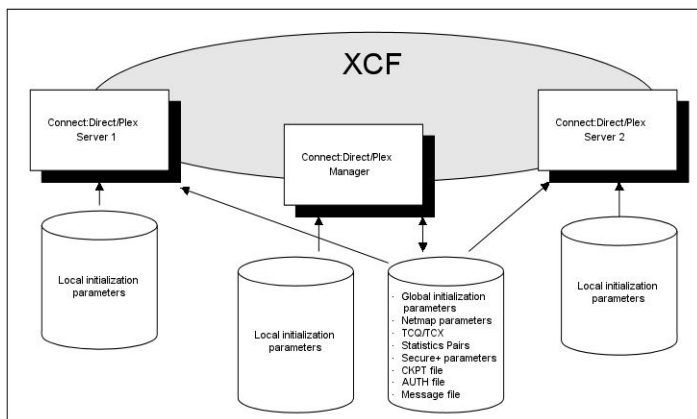
Global initialization parameters are stored in a file shared by all Sterling Connect:Direct/Plex members. In the EXEC statement (Sterling Connect:Direct Stand-alone Server and Sterling Connect:Direct/Plex), the `PARM=` keyword specifies the name and location of the global initialization parameters file.

The local initialization parameters of each Sterling Connect:Direct/Plex member are stored in a unique PDS member for each system (one for the Plex Manager and one for each server). The location of the local initialization parameters file is specified by the `//CDPLEX DD` in the startup JCL of each member.

In a Sterling Connect:Direct/Plex environment, you can override only the initialization parameters allowed in the local initialization parameters member by using the `PARM=` keyword in the EXEC statement at system startup.

In a Sterling Connect:Direct Stand-alone Server environment, however, you can override global initialization parameters with the `PARM=` keyword in the EXEC statement.

The following illustration shows how global and local initialization parameters are used in a Sterling Connect:Direct/Plex environment.



- VTAM APPLIDs

A Sterling Connect:Direct Stand-alone Server obtains its VTAM APPLIDs from the network map.

In a Sterling Connect:Direct/Plex environment, the Sterling Connect:Direct/Manager obtains its VTAM APPLIDs from the network map, but each Sterling Connect:Direct Server obtains its VTAM APPLIDs from its local initialization parameters file.

- TCP/IP addresses and ports

A Sterling Connect:Direct Stand-alone Server obtains its TCP/IP listen ports from the global initialization parameters file.

In a Sterling Connect:Direct/Plex environment, the Sterling Connect:Direct Manager and Sterling Connect:Direct Servers obtain their TCP/IP addresses and listen port numbers from their local initialization parameters members. However, if the TCP port number is not specified in the local initialization parameters

member of the Sterling Connect:Direct Manager, the Sterling Connect:Direct Manager obtains its listen port number from the global initialization parameters member.

Each server overrides the global initialization parameters by specifying those parameters in that server's local initialization parameters. The first address defined in the parameter becomes the local or default address. For more information about defining TCP/IP listening tasks, see TCP/IP Port Number.

The CDPLEX.REDIRECT local initialization parameter is used by the Sterling Connect:Direct/Plex Manager in the Sterling Connect:Direct/Plex environment to determine the redirection address that is presented to the remote node. This parameter allows you to specify redirection addresses based on the security node type (internal or external) and session type (TCP/IP or UDT) of the adjacent node in the network map.

When an address is specified, an internal address and external address is defined and each can have a specified redirection port defined. When the adjacent node entry is defined with the INT flag, the appropriate internal address is returned. Conversely, when the EXT flag is defined, the appropriate external address is returned.

**Note:** If your environment requires additional external redirection addresses to enable a remote Sterling Connect:Direct server to run Processes, see the local initialization parameter, CDPLEX.REDIRECT.EXCEPTION = ((Mgr-IP, Ext\_Svr-IP, Ext\_Svr-port, Exception-IP, Exception-port),...).

Up to eight different addresses or ports can be defined for each server. However, in the Sterling Connect:Direct/Plex server that defines CDPLEX.REDIRECT only two are effectively used when Process redirection occurs. To use the additional port in the Sterling Connect:Direct/Plex servers, those servers must be contacted directly by the remote node.

**Note:** A special consideration exists if the Sterling Connect:Direct/Plex Manager is initialized on a system that is not IPv6 enabled, and one or more of the servers supports IPv6. When the Sterling Connect:Direct/Plex is the SNODE, the Sterling Connect:Direct/Plex Manager accepts connection requests for IPv4 only. However, if the Sterling Connect:Direct/Plex is the PNODE, the Sterling Connect:Direct/Plex Manager can assign outbound processes to a Sterling Connect:Direct Server that supports IPv6.

- System files

In a Sterling Connect:Direct Stand-alone Server, the system files (network map, Statistics Pairs, CKPT, AUTH, Message, TCQ and TCX files) are stored in one location and apply to the entire DTF. If two Sterling Connect:Direct Stand-alone Server systems operate in a sysplex environment, each system must have its own system files.

In a Sterling Connect:Direct/Plex environment, the system files are also stored in one location and are shared by all Sterling Connect:Direct/Plex members, as in the earlier illustration. Only one set of system files is needed for all Sterling Connect:Direct/Plex members.

---

## A New Sterling Connect:Direct/Plex Environment

Use this setup for a new installation. The setup in this section assumes the following:

- You have installed and started a single Sterling Connect:Direct DTF.

- You are changing a Sterling Connect:Direct Stand-alone Server into a Sterling Connect:Direct/Plex environment with three members: the Sterling Connect:Direct Manager and two Sterling Connect:Direct Servers. The Sterling Connect:Direct Servers are named SERVER1 and SERVER2. SERVER1 has tape drive access for copy Processes requiring tapes.
- The global and local initialization parameters files are located in \$CD.PLEX.INITPARM (a PDS, not a PDSE, that you have built). The JCL to bring up the Sterling Connect:Direct/Plex environment is located in \$CD.PLEX.JCL. You can either allocate these data sets or use existing data sets in their place. Do not use Sterling Connect:Direct's SMP/E target PDSes for these data sets.

## Setting Up a New Sterling Connect:Direct/Plex Environment

To set up a Sterling Connect:Direct/Plex environment:

### Procedure

1. Create a PDS (which will be referred to as \$CD.PLEX.INITPARM in this procedure) to hold the initialization parameters for the Sterling Connect:Direct Plex environment, with sufficient directory space to handle ISPF Statistics. Create a PDS (which will be referred to as \$CD.PLEX.JCL) to hold the JCL.
2. Copy your current DTF's INITPARM member into \$CD.PLEX.INITPARM as member CDPLX.
3. Copy your current Sterling Connect:Direct Stand-alone Server startup JCL into \$CD.PLEX.JCL as member CDMGR.
4. Add the following initialization parameters to the CDPLX member in \$CD.PLEX.INITPARM. This member becomes the Sterling Connect:Direct/Plex global initialization parameters file.

```
CDPLEX=YES
CDPLEX.MAXSERVER = number of servers | 4
XCF.NAME=8-char-name
```

The CDPLEX=YES parameter indicates a Sterling Connect:Direct/Plex environment. It also directs the DTF to read its local initialization parameters from the file specified in the //CDPLEX DD statement in the startup JCL.

The CDPLEX.MAXSERVER parameter specifies the maximum number of servers the Connect:Direct/Plex Manager will manage. For more information, see *Storage Requirements in a Sterling Connect:Direct Environment* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide*.

The XCF.NAME parameter specifies a unique name used by the z/OS Cross Systems Communication Facility (XCF) to assist communications among Sterling Connect:Direct/Plex members. This name indicates that the Sterling Connect:Direct Manager and Sterling Connect:Direct Servers are part of the same XCF group.

5. Add the following optional parameters to the CDPLX member in \$CD.PLEX.INITPARM.
  - CDPLEX.TIMER specifies the time-out value for XCF communications in minutes.
  - CDPLEX.WLM.GOAL specifies whether IBM Workload Manager (WLM) Goal Mode queries are made. This parameter is optional.
6. Create a local initialization parameters file for the Sterling Connect:Direct Manager:

- a. Copy the MANAGER sample local initialization parameters member from the Sterling Connect:Direct installation \$CD.SDGAPARM(DGAIPMGR) into \$CD.PLEX.INITPARM.
- b. Change the TCP.LISTEN parameter of the MANAGER member to specify the TCP/IP stack address used by the Sterling Connect:Direct Manager.

```
CDPLEX.MANAGER=YES
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
CDPLEX.SERVER.JOBDSN=$CD.PLEX.JCL
CDPLEX.SERVER.JOBMEM=((CDSRV1,SERVER1), -
                      (CDSRV2,SERVER2))
```

7. Create a local initialization parameters file for Sterling Connect:Direct SERVER1:

- a. Copy the sample local initialization member \$CD.SDGAPARM(DGAISRV1), into \$CD.PLEX.INITPARM as member SERVER1.
- b. Change the CDPLEX.VTAM parameter in the SERVER1 member as follows:
  - Replace the applid11 value with the VTAM APPLID used by this Sterling Connect:Direct Server for SNA (Node to Node/PROCESS use, as opposed to API use).
  - Replace the applid12 value with the PNODE-SNODE APPLID.

These APPLIDs must be unique across the Sterling Connect:Direct/Plex environment and cannot be the same as those specified in the network map.

```
CDPLEX.MANAGER=NO
CDPLEX.SERVER=SERVER1
CDPLEX.VTAM=(applid11,applid12)
CDPLEX.PLEXCLASSES=(TAPE,*)
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
UDT33.LISTEN=(nnn.nnn.nnn.nnn,port)
```

The TCP.LISTEN and UDT33.LISTEN initialization parameters specify the TCP and UDT listen address and port number combinations. Use a different listen port number than the one used in the existing initialization parameters file.

**Note:** The CDPLEX.PLEXCLASSES parameter in SERVER1 specifies a 'TAPE' PLEXCLASS. For Processes that require tape drives, specify the 'TAPE' PLEXCLASS in their Process definitions. These Processes run on SERVER1. (See the chapters about building Processes and controlling Processes in the TCQ in *IBM Sterling Connect:Direct for z/OS User Guide* for more information on using PLEXCLASS in a Process.)

8. Create a local initialization parameters file for Sterling Connect:Direct SERVER2:

- a. Copy the sample local initialization member \$CD.SDGAPARM(DGAISRV2), into \$CD.PLEX.INITPARM as member SERVER2.
- b. Change the CDPLEX.VTAM parameter in the SERVER2 member as follows:
  - Replace the applid21 value with the VTAM APPLID used by this Sterling Connect:Direct Server for SNA copy Processes.
  - Replace the applid22 value with the PNODE-SNODE APPLID.

These APPLIDs must be unique across the Sterling Connect:Direct/Plex environment and cannot be the same as those specified in the network map.

```
CDPLEX.MANAGER=NO
CDPLEX.SERVER=SERVER2
CDPLEX.VTAM=(applid21,applid22)
CDPLEX.PLEXCLASSES=(*)
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
UDT33.LISTEN=(nnn.nnn.nnn.nnn,port)
```

9. Add the CDPLEX DD statement in the following example to the CDMGR member in \$CD.PLEX.JCL.

This statement directs the startup JCL to the global initialization parameters file.

```
//DTF EXEC DGADINIT,
// PARM='$CD.PLEX.INITPARM(CDPLX)'
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(MANAGER)
```

10. Create CDSRV1 in \$CD.PLEX.JCL and copy the CDMGR member into it.
11. Make the following changes to the CDSRV1 member:
  - a. Change the job name so that this job can run simultaneously with the CDMGR JCL.
  - b. Change the member name in the CDPLEX DD statement to SERVER1, as follows. This change directs the CDSRV1 JCL to its local initialization parameters file.

```
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(SERVER1)
```

12. Create a CDSRV2 member and copy CDSRV1 into it.
13. Make the following changes to the CDSRV2 member:
  - a. Change the job name so that this job can run simultaneously with the CDMGR JCL and CDSRV1 JCL.
  - b. Change the member name in the CDPLEX DD statement to SERVER2, as follows. This change directs the CDSRV2 JCL to its local initialization parameters file.

```
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(SERVER2)
```

**Note:** Route jobs to a different z/OS image by specifying the local node name of the other system in an XEQ statement in the Sterling Connect:Direct Manager or Server startup JCL, as follows:

```
/*XEQ njenode
[JES2]
//*ROUTE XEQ njenode [JES3]
```

This example routes the job to the z/OS image identified by the local node name NJENODE.

14. Submit the CDMGR JCL to bring up the Sterling Connect:Direct/Plex server. After the Sterling Connect:Direct Manager initializes, it submits the CDSRV1 JCL and CDSRV2 JCL to bring up the two Sterling Connect:Direct Servers.
15. After Sterling Connect:Direct Manager initializes, use the IUI to signon.

You can then submit Processes and perform other functions through the IUI.

---

## Advanced Sterling Connect:Direct:/Plex Configuration Considerations

Examples of complex configurations include:

- Converting an Existing Stand-Alone Server to a Plex Environment
- Converting Two Existing Stand-Alone Server Systems to a Plex Environment

Before attempting a complex configuration, be aware of the following issues.

### Sterling Connect:Direct/Plex System File Considerations

All Sterling Connect:Direct:/Plex members share a single set of Sterling Connect:Direct system files. If you combine multiple existing Sterling Connect:Direct systems into one Sterling Connect:Direct/Plex environment, you may need to merge some Sterling Connect:Direct system files from the individual systems.

Do not merge system files that are listed in the following table.

File	Comment
CKPT file	You cannot merge the CKPT files from multiple Sterling Connect:Direct images. You must either: <ul style="list-style-type: none"><li>• Define a new CKPT file using the DGAXCKPD JCL in the \$CD.SDGASAMP library, or</li><li>• Use the CKPT file from one of the existing Sterling Connect:Direct systems. However, the existing CKPT file size may not be sufficient for a Sterling Connect:Direct/Plex environment.</li></ul>
Message file	You can use any existing Sterling Connect:Direct Message file. You do not need to combine Message files from the individual Sterling Connect:Direct systems.
Statistics files	You cannot merge statistics files from multiple Sterling Connect:Direct images. You can reference them using a Sterling Connect:Direct/Plex environment as archived statistic files. You can create new statistics file pairs by using the DGAXSTAD JCL in the \$CD.SDGASAMP library.
TCQ and TCX files	You cannot merge TCQ and TCX files from multiple Sterling Connect:Direct images. You must either: <ul style="list-style-type: none"><li>• Define new TCQ and TCX files using the DGAXTCQD JCL in the \$CD.SDGASAMP library, or</li><li>• Use the TCQ and TCX files from one of the existing Sterling Connect:Direct systems. However, the existing TCQ and TCX file sizes may not be sufficient for a Sterling Connect:Direct/Plex environment.</li></ul>

The following table lists system files that you need to merge.

File	Comment
AUTH file	<p>If unique entries exist in the existing Sterling Connect:Direct systems' AUTH files:</p> <ol style="list-style-type: none"> <li>1. Define a new AUTH file using the DGAXAUTD JCL found in the \$CD.SDGASAMP library.</li> <li>2. Copy the existing AUTH files into the new AUTH file using the DGAXAUTC JCL found in the \$CD.SDGASAMP library.</li> </ol> <p>PLEXAUTC is an IDCAMS REPRO that specifies NOREPLACE. If any duplicate records exist, only the first one is saved.</p> <p>If unique entries do not exist, use one of the existing AUTH files.</p>
NETMAP file	<p>You need to create a new network map source file.</p> <p>The new network map source file uses information from the existing systems' network maps. (If the existing Sterling Connect:Direct systems' network map source is not available, create the source files by performing network map unloads for the existing systems' network map files. See Unloading the Network Map to the Source Format for more information.)</p> <p>To create a new network map source file:</p> <ol style="list-style-type: none"> <li>1. Copy the network map source from an existing Sterling Connect:Direct system as NETMAPLX.</li> <li>2. Copy the remote definitions from all other existing network map source files into NETMAPLX.</li> <li>3. Remove all duplicate entries.</li> <li>4. Define the new network map file using the DGAXNETD JCL found in the \$CD.SDGASAMP library.</li> <li>5. Load the new network map file using the DGAXNETL JCL found in the \$CD.SDGASAMP library.</li> <li>6. Check the output from network map load and correct any errors.</li> <li>7. Rerun the network map load if necessary.</li> </ol>
TYPE file	<p>If unique entries exist in the existing Sterling Connect:Direct systems' TYPE files:</p> <ol style="list-style-type: none"> <li>1. Define a new TYPE file using the DGAXTYPD JCL found in the \$CD.SDGASAMP library.</li> <li>2. Copy the existing TYPE files into the new TYPE file using the DGAXTYPC JCL found in the \$CD.SDGASAMP library.</li> </ol> <p>The PLEXTYPC is an IDCAMS REPRO that specifies NOREPLACE. If any duplicate records exist, only the first one is saved.</p> <p>If unique entries do not exist, use one of the existing TYPE files.</p>

## Local Node Naming Considerations

The network map contains the local node name for the Sterling Connect:Direct/Plex environment. The node name used in the network map varies according to the type of configuration:

- Installing a new Sterling Connect:Direct/Plex environment

If you are installing a new Sterling Connect:Direct/Plex environment, you must create a new local node name. You must provide the new node name, along with the APPLID and/or TCP/IP address and port number to all Sterling Connect:Direct partner nodes. The partner Nodes must provide you the same information for use in your local network map.



- Replacing an existing Sterling Connect:Direct system with a Sterling Connect:Direct/Plex environment

If you are replacing an existing Sterling Connect:Direct system with a Sterling Connect:Direct/Plex environment, use the existing system node name as the Sterling Connect:Direct/Plex local node name, you must provide new APPLIDs for the Sterling Connect:Direct Manager. One Sterling Connect:Direct Server uses the existing APPLIDs in its local initialization parameters. Any additional servers require new APPLIDs.

- Replacing multiple existing Sterling Connect:Direct systems with a Sterling Connect:Direct/Plex environment

If you are replacing multiple Sterling Connect:Direct systems with a Sterling Connect:Direct/Plex environment, create a new local node name for the Sterling Connect:Direct/Plex. Use the existing node names in the CDPLEX.SERVER.NODE initialization parameter of the Sterling Connect:Direct Server. All adjacent node entries in the network map must include USE.SERVER.NODE=YES. You must provide new APPLIDs for the Sterling Connect:Direct Manager. Each Sterling Connect:Direct Server uses the existing APPLID from its corresponding Sterling Connect:Direct Stand-alone Server image in its local initialization parameters.

Refer to the setup procedure in “Converting Two Existing Stand-Alone Server Systems to a Plex Environment” on page 156 for more details.

## Strategies for Communicating with Non-Plex Servers

A Sterling Connect:Direct/Plex environment can perform workload balancing among the Sterling Connect:Direct Servers. However, if the Sterling Connect:Direct/Plex environment communicates with an external non-Sterling Connect:Direct/Plex system, the other Sterling Connect:Direct system may have problems with Processes from the same Sterling Connect:Direct adjacent node, but with a different VTAM APPLID or TCP/IP address than specified in their network map.

Sterling Connect:Direct/Plex offers three ways of avoiding this problem.

### Using Alternate Communication Paths to Define the Sterling Connect:Direct/Plex

The advantages to this approach are you only have to define one entity, the Sterling Connect:Direct/Plex, and then copy that same definition to the network maps of the non-Plex Servers and you can still use the NETMAP-checking feature.

#### About this task

To define a Sterling Connect:Direct/Plex that has servers running on several hosts, you can use the ALT.COMM parameter in the network map of each non-Plex Server that will communicate with the Sterling Connect:Direct/Plex.

#### Procedure

1. Specify USE.SERVER.NODE=NO in the Sterling Connect:Direct/Plex network map entry of each non-Plex Server so that all servers in the Sterling Connect:Direct/Plex environment appear as one node.
2. Define the Sterling Connect:Direct/Plex node as an adjacent node with all possible IP addresses of the hosts that the Manager can run on specified using the ALT.COMM definition.

The following is an example of the ALT.COMM parameter:

```

ADJACENT.NODE=( -
(CDMGR,1366,10.1.1.1,TCP,EXT,BOTH) -
ENVIRONMENT=ZOS -
PARSESS=(00000010 00000002) -
ALT.COMM=(ALT.DIR=TOP -
(ALT.ADDR=10.1.1.2,ALT.PORT=1366,-
ALT.TYPE=TCP , ALT.USE.OUT=NO )
(ALT.ADDR=10.1.1.3,ALT.PORT=1366,-
ALT.TYPE=TCP , ALT.USE.OUT=NO )
(ALT.ADDR=10.1.1.4,ALT.PORT=1366,-
ALT.TYPE=TCP , ALT.USE.OUT=NO )) -

```

3. Copy this ALT.COMM definition and put it in the network map of each non-Plex Server that will communicate with the Sterling Connect:Direct/Plex.

### Forcing All Processes to One Sterling Connect:Direct Server

This approach does not take advantage of Sterling Connect:Direct/Plex workload balancing. To direct all Processes between the Sterling Connect:Direct/Plex environment and the external Sterling Connect:Direct to one Sterling Connect:Direct Server, follow this procedure.

#### Procedure

1. Specify a default PLEXCLASS parameter in the Sterling Connect:Direct/Plex network map adjacent node entry for the external Sterling Connect:Direct system.
2. Specify that PLEXCLASS parameter in only one local initialization parameter of the Sterling Connect:Direct Server.
3. Specify the VTAM APPLID or TCP/IP address of the specific Sterling Connect:Direct Server all Processes are being forced to in the network map of the external non-Plex Sterling Connect:Direct system.

### Define a Unique Node Name for Each Sterling Connect:Direct Server

By defining a node name for each Sterling Connect:Direct Server, the Sterling Connect:Direct/Plex environment can initiate Processes to the external Sterling Connect:Direct system through any available Sterling Connect:Direct/Plex server. For each Sterling Connect:Direct server, you must define a USE.SERVER.NODE network map parameter and a CDPLEX.SERVER.NODE initialization parameter.

To avoid making your Sterling Connect:Direct/Plex configuration more complex than necessary, use the USE.SERVER.NODE and CDPLEX.SERVER.NODE parameters only if your system meets all of the following conditions:

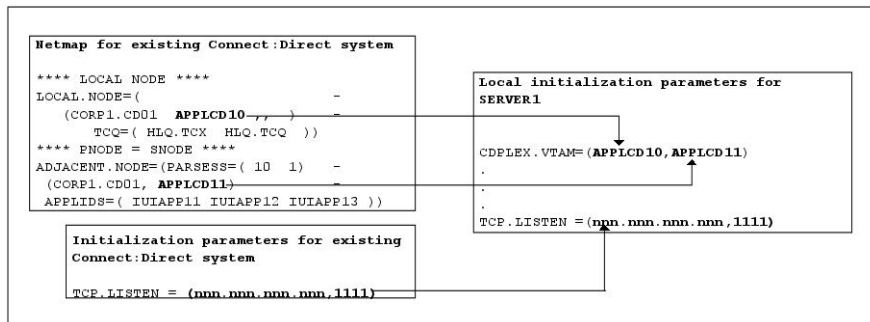
- The external Sterling Connect:Direct system must connect to two or more Sterling Connect:Direct Servers in the Sterling Connect:Direct/Plex environment.
- The external Sterling Connect:Direct system uses network map checking.
- The external Sterling Connect:Direct system has non-Plex servers, which cannot communicate directly with the Sterling Connect:Direct Manager.

The disadvantages of this approach are you must manually direct Processes initiated by the external Sterling Connect:Direct system to each Sterling Connect:Direct Server and you may need to create additional network map entries for remote systems.

## Converting an Existing Stand-Alone Server to a Plex Environment

You can convert an existing production Sterling Connect:Direct Stand-alone Server into a Sterling Connect:Direct/Plex environment with two servers. This configuration takes advantage of the Sterling Connect:Direct/Plex single image and workload balancing capability for Processes initiated by this Sterling Connect:Direct/Plex environment. This configuration also supports external Sterling Connect:Direct systems without requiring any changes to the external systems.

The following illustration shows how the network map and initialization parameter values from the existing stand-alone Sterling Connect:Direct system map to the new Sterling Connect:Direct/Plex environment for this configuration.



The setup example assumes the following:

- You are currently running a production Sterling Connect:Direct Stand-alone Server.
- The global and local initialization parameter files for the Sterling Connect:Direct/Plex environment are located in \$CD.PLEX.INITPARM (a PDS that you set up for this purpose). The JCL to bring up the Sterling Connect:Direct/Plex environment is located in \$CD.PLEX.JCL (a PDS that you set up for this purpose).  
You can either allocate these data sets or use existing data sets in their place.
- The Sterling Connect:Direct/Plex environment identifies itself to external systems with the same node name as the production Sterling Connect:Direct Stand-alone Server.

## Converting an Existing Production Server to a Plex Environment

To convert an existing production Sterling Connect:Direct Stand-alone Server into a Sterling Connect:Direct/Plex environment:

### Procedure

1. Copy the existing INITPARMs member into \$CD.PLEX.INITPARM as member CDPLX.
2. Copy the existing Sterling Connect:Direct Stand-alone Server startup JCL into \$CD.PLEX.JCL as member CDMGR.
3. Change the network map source to specify new APPLIDs for the LOCAL.NODE and the PNODE/SNODE ADJACENT.NODE.

The existing APPLIDs are used for the Sterling Connect:Direct SERVER1, which means that you do not need to change the connections to external Sterling Connect:Direct systems.

4. Add the following initialization parameters to the CDPLX member in \$CD.PLEX.INITPARM. This member becomes the Sterling Connect:Direct/Plex global initialization parameters file.

```
CDPLEX=YES
CDPLEX.MAXSERVER = number of servers | 4
XCF.NAME=8-char-name
```

The CDPLEX=YES parameter indicates a Sterling Connect:Direct/Plex environment. It also directs the DTF to read its local initialization parameters from the file specified in the //CDPLEX DD statement in the startup JCL.

The CDPLEX.MAXSERVER parameter specifies the maximum number of servers the Connect:Direct/Plex Manager will manage. For more information, see *Storage Requirements in a Sterling Connect:Direct Environment* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide*.

The XCF.NAME parameter specifies a unique name used by the z/OS Cross Systems Communication Facility (XCF) to assist communications among Sterling Connect:Direct/Plex members. This name indicates that the Sterling Connect:Direct Manager and Sterling Connect:Direct Servers are part of the same XCF group.

5. You can add the following optional parameters to the CDPLX member in \$CD.PLEX.INITPARM.
  - “CDPLEX.TIMER = 5 | number of minutes” on page 343 specifies the time-out value for XCF communications in minutes.
  - “CDPLEX.WLM.GOAL = (NO | YES, exitname)” on page 343 specifies whether IBM Workload Manager (WLM) Goal Mode queries are made. This parameter is optional.
6. Create the local initialization parameter files for each Sterling Connect:Direct/Plex member:
  - a. Copy the DGAITMGR, DGAISRV1, and DGAISRV2 sample local initialization parameters members from the Sterling Connect:Direct \$CD.SDGAPARM library into \$CD.PLEX.INITPARM as members MANAGER, SERVER1, and SERVER2.
  - b. Change the TCP.LISTEN parameter (following in bold) to specify the TCP/IP stack address that is used by the Sterling Connect:Direct Manager. You do not need to change any other parameters in the MANAGER member.

```
CDPLEX.MANAGER=YES
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
UDT33.LISTEN=(nnn.nnn.nnn.nnn,port)
CDPLEX.SERVER.JOBDSN=$CD.PLEX.JCL
CDPLEX.SERVER.JOBMEM=((CDSRV1,SERVER1), -
                      (CDSRV2,SERVER2))
```

- c. Change the CDPLEX.VTAM parameter in the SERVER1 member as follows:
    - Replace the applid11 value with the VTAM APPLID that is defined in the existing network map for SNA copy Processes.
    - Replace the applid12 value with the VTAM APPLID that is defined in the existing network map for the PNODE-SNODE APPLID.
 These APPLIDs must be unique across the Sterling Connect:Direct/Plex environment and cannot be the same as specified in the new network map.

```
CDPLEX.MANAGER=NO
CDPLEX.SERVER=SERVER1
CDPLEX.VTAM=(applid11,applid12)
CDPLEX.PLEXCLASSES=(TAPE,*)
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
UDT33.LISTEN=(nnn.nnn.nnn.nnn,port)
```

- d. The TCP.LISTEN and UDT33.LISTEN initialization parameters specify the TCP and UDT listen address and port number combinations. Use a different listen port number than the one used in the existing initialization parameters file.

**Note:** The CDPLEX.PLEXCLASSES parameter in SERVER1 specifies a 'TAPE' PLEXCLASS. For Processes that require tape drives, specify the 'TAPE' PLEXCLASS in their Process definitions. These Processes run on SERVER1. (See *Building, Modifying, and Submitting Processes* in the *IBM Sterling Connect:Direct for z/OS User Guide* for more information on using PLEXCLASS in a Process.)

- e. Change the CDPLEX.VTAM parameter in the SERVER2 member as follows:

- Replace the applid21 value with a new VTAM APPLID you have defined for SNA copy Processes.
- Replace the applid22 value with a new VTAM APPLID you have defined for the PNODE-SNODE APPLID.

These APPLIDs must be unique across the Sterling Connect:Direct/Plex environment and cannot be the same as specified in the new network map or used for SERVER1.

```
CDPLEX.MANAGER=NO
CDPLEX.SERVER=SERVER1
CDPLEX.VTAM=(applid21,applid22)
CDPLEX.PLEXCLASSES=(*)
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
UDT33.LISTEN=(nnn.nnn.nnn.nnn,port)
```

- f. The TCP.LISTEN and UDT33.LISTEN initialization parameters specify the TCP and UDT listen address and port number combinations. Use a different listen port number than the one used in the existing initialization parameters file.

7. Add the CDPLEX DD statement in the following example to the CDMGR member in \$CD.PLEX.JCL (this JCL is the startup JCL copied earlier).

```
//DTF EXEC DGADINIT,
// PARM='$CD.PLEX.INITPARM(CDPLX)'
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(MANAGER)
```

8. Create the CDSRV1 member and copy CDMGR into it.

9. Make the following changes to the CDSRV1 member:

- a. Change the job name so that this job can run simultaneously with the CDMGR JCL.
- b. Change the member name in the CDPLEX DD statement to SERVER1, as follows. This change directs the CDSRV1 JCL to its local initialization parameters file.

```
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(SERVER1)
```

10. Create the CDSRV2 member and copy CDSRV1 into it.
11. Make the following changes to the CDSRV2 member:
  - a. Change the job name so that this job can run simultaneously with the CDMGR JCL and CDSRV1 JCL.
  - b. Change the member name in the CDPLEX DD statement to SERVER2, as follows. This change directs the CDSRV2 JCL to its local initialization parameters file.

```
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(SERVER2)
```

**Note:** You can route jobs to a different z/OS image by specifying the local node name of the other system name in an XEQ statement in the Sterling Connect:Direct Manager or Server JCL as follows:

```
/*XEQ njenode
[JES2]
//*ROUTE XEQ njenode [JES3]
```

This example routes the job to the z/OS image identified by the local node name NJENODE.

12. Submit the CDMGR JCL to bring up Sterling Connect:Direct/Plex.
 

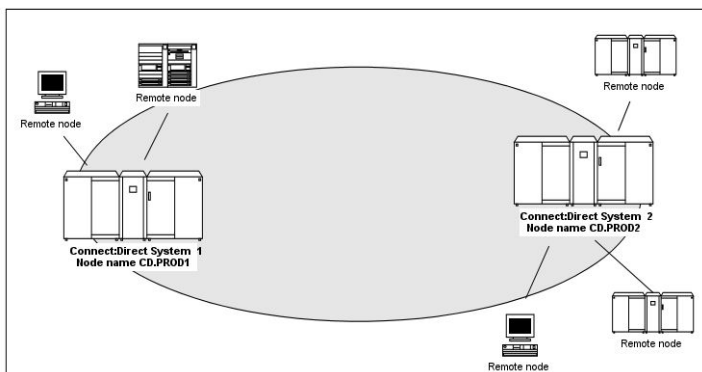
After the Sterling Connect:Direct Manager initializes, it submits the CDSRV1 JCL and CDSRV2 JCL to bring up the two Sterling Connect:Direct Servers.
13. After the Sterling Connect:Direct Manager initializes, use the IUI to signon to the Sterling Connect:Direct Manager.
 

You can then submit Processes and perform other functions through the IUI.

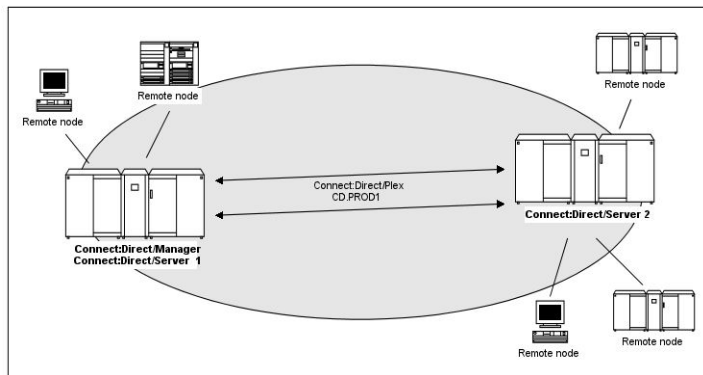
## Converting Two Existing Stand-Alone Server Systems to a Plex Environment

This section illustrates how to convert existing production Sterling Connect:Direct Stand-alone Server systems into a Sterling Connect:Direct/Plex environment with two servers. This configuration takes advantage of the Sterling Connect:Direct/Plex single image and workload balancing capability for Processes initiated by this Sterling Connect:Direct/Plex environment. This configuration also supports external Sterling Connect:Direct systems without requiring any changes to the external systems.

In the following illustration, two separate Sterling Connect:Direct Stand-alone Server systems run in a z/OS sysplex environment.



The procedure in this section combines the separate systems into the single Sterling Connect:Direct/Plex environment as follows.

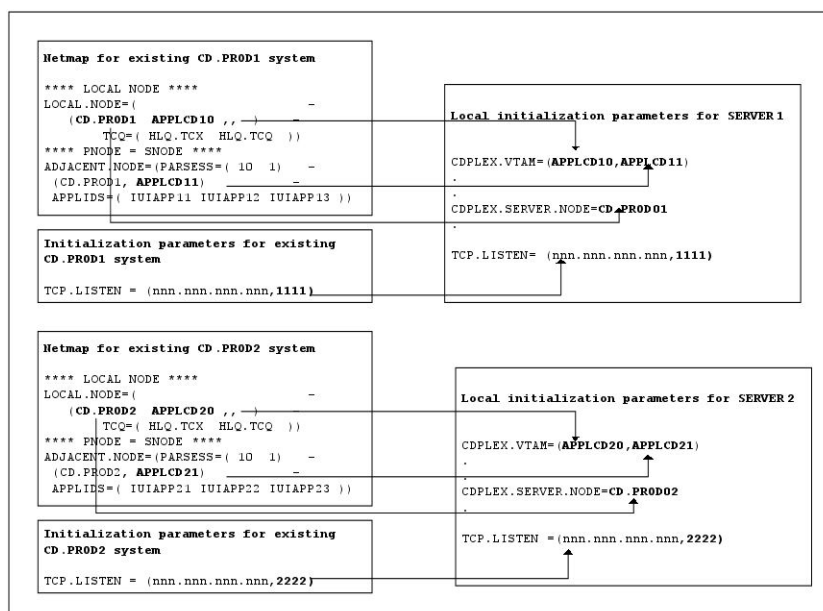


In this configuration, the original CD.PROD1 system becomes the Sterling Connect:Direct Manager and Sterling Connect:Direct Server1. The CD.PROD2 system becomes the Sterling Connect:Direct Server2. The Sterling Connect:Direct/Plex environment is given the node name CD.PROD1. No changes are made to the remote nodes' network maps. The remote nodes communicate with the Sterling Connect:Direct/Plex environment as if they communicated with a single Sterling Connect:Direct image.

To create this configuration you must:

- Define new APPLIDs for the Sterling Connect:Direct/Plex environment
- Use the APPLIDs from the existing CD.PROD1 system in the Server1 local initialization parameters
- Use the APPLIDs from the existing CD.PROD2 system in the Server2 local initialization parameters

The following illustration shows how the network map and initialization parameter values from the existing Sterling Connect:Direct systems map to the Sterling Connect:Direct/Plex environment.



This example assumes the following:

- You are running two production Sterling Connect:Direct Stand-alone Server systems: CD.PROD1 and CD.PROD2.
- The global and local initialization parameter files are located in \$CD.PLEX.INITPARM. The JCL that brings up the Sterling Connect:Direct/Plex environment is located in \$CD.PLEX.JCL. You can either allocate these data sets or use existing data sets in their place.
- The Sterling Connect:Direct/Plex environment identifies itself to external systems with a new node name. Each Sterling Connect:Direct Server identifies itself to external systems with the same node name it used as a Sterling Connect:Direct Stand-alone Server.

## Converting a Standalone Server System into One Sterling Connect:Direct/Plex Setup

To convert two existing Sterling Connect:Direct Stand-alone Server systems into one Sterling Connect:Direct/Plex environment:

### Procedure

1. Copy the the INITPARMs member for CD.PROD1 into \$CD.PLEX.INITPARM as member CDPLX.
2. Resolve any differences (other than data set names) between the new INITPARMS member and the CD.PROD2 INITPARMS member.
3. Copy the CD.PROD1 Sterling Connect:Direct/Plex startup JCL into \$CD.PLEX.JCL as member CDMGR.
4. Resolve any differences, such as trace DDs, with the CD.PROD2 startup JCL.
5. Merge the existing AUTH and TYPE files from both systems as described in Sterling Connect:Direct/Plex System File Considerations, using the same file names used for CD.PROD1.
6. Create new TCQ/TCX, CKPT, and statistics file pairs as discussed in CKPT file, using the same file names used for CD.PROD1.
7. Merge the source from the individual network map files as described in NETMAP file.
8. Change the new network map source (created from the merged network map files) as follows:
  - a. Specify new APPLIDs and a new LOCAL.NODE name for the LOCAL.NODE and the PNODE/SNODE ADJACENT.NODE.  
Because the existing APPLIDs are used for SERVER1, you do not need to change the external Sterling Connect:Direct connections.
  - b. Specify USE.SERVER.NODE=YES on all ADJACENT.NODE records.
  - c. Use the same local node name that is used for CD.PROD1.
  - d. Load the network map.
9. Add the following initialization parameters to the CDPLX member in \$CD.PLEX.INITPARM. This member becomes the Sterling Connect:Direct/Plex global initialization parameters file.

```
CDPLEX=YES  
CDPLEX.MAXSERVER = number of servers | 4  
XCF.NAME=8-char-name
```



The CDPLEX=YES parameter indicates a Sterling Connect:Direct/Plex environment. It also directs the DTF to read its local initialization parameters from the file specified in the //CDPLEX DD statement in the startup JCL.

The CDPLEX.MAXSERVER parameter specifies the maximum number of servers the Connect:Direct/Plex Manager will manage. For more information, see *Storage Requirements in a Sterling Connect:Direct Environment* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide*.

The XCF.NAME parameter specifies a unique name used by the z/OS XCF to assist communications among Sterling Connect:Direct/Plex members. This name indicates that the Sterling Connect:Direct Manager and Sterling Connect:Direct Servers are part of the same XCF group.

10. You can add the following optional parameters to the CDPLX member in \$CD.PLEX.INITPARM:
  - “CDPLEX.TIMER = 5 | number of minutes” on page 343 specifies the time-out value for XCF communications in minutes.
  - “CDPLEX.WLM.GOAL = (NO | YES, exitname)” on page 343 specifies whether IBM Workload Manager (WLM) Goal Mode queries are made. This parameter is optional.
11. Create the local initialization parameters files for each Sterling Connect:Direct/Plex member:
  - a. Copy the DGAITMGR, DGAISRV1, and DGAISRV2 sample local initialization parameters members from the Sterling Connect:Direct \$CD.SDGAPARM library into \$CD.PLEX.SDGAPARM as members MANAGER, SERVER1, and SERVER2.
  - b. Change the TCP.LISTEN parameter of the MANAGER member to specify the TCP/IP stack address used by the Sterling Connect:Direct/Plex Manager.

You need not change any other parameters need in the MANAGER member.

```
CDPLEX.MANAGER=YES
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
CDPLEX.SERVER.JOBDSN=$CD.PLEX.JCL
CDPLEX.SERVER.JOBMEM=((CDSRV1,SERVER1), -
                      (CDSRV2,SERVER2))
```

- c. Change the CDPLEX.VTAM parameter in the SERVER1 member as follows:
      - Replace the applid11 value with the VTAM APPLID from CD.PROD1 for SNA copy Processes.
      - Replace the applid12 value with the VTAM APPLID from CD.PROD1 for the PNODE-SNODE APPLID.

These APPLIDs must be unique across the Sterling Connect:Direct/Plex and cannot be the same as those specified in the new network map.

```
CDPLEX.MANAGER=NO
CDPLEX.SERVER=SERVER1
CDPLEX.VTAM=(applid11,applid12,applid13)
CDPLEX.PLEXCLASSES=(TAPE,*)
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
```

- d. Change the TCP.LISTEN parameter in the SERVER1 member to specify the TCP/IP stack address used by this Sterling Connect:Direct/Plex server.
      - e. Change the TCP.LISTEN parameter in SERVER1 member to the TCP.LISTEN value from CD.PROD1.

**Note:** The CDPLEX.PLEXCLASSES parameter in SERVER1 specifies a 'TAPE' PLEXCLASS. For Processes that require tape drives, specify the 'TAPE' PLEXCLASS in their Process definitions. These Processes run on SERVER1. (See *Building, Modifying, and Submitting Processes* in *IBM Sterling Connect:Direct for z/OS User Guide* for more information on using PLEXCLASS in a Process.)

- f. Add the following statement to the SERVER1 member.

```
CDPLEX.SERVER.NODE=CD.PROD1
```

- g. Change the CDPLEX.VTAM parameter in the SERVER2 member as follows:

- Replace the applid21 value with the VTAM APPLID from CD.PROD2 for SNA copy Processes.
- Replace the applid22 value with the VTAM APPLID from CD.PROD2 for the PNODE-SNODE APPLID.

These APPLIDs must be unique across the Sterling Connect:Direct/Plex and cannot be the same as those specified in the new network map or used for SERVER1.

```
CDPLEX.MANAGER=NO  
CDPLEX.SERVER=SERVER2  
CDPLEX.VTAM=(applid21,applid22)  
CDPLEX.PLEXCLASSES=(*)  
TCP.LISTEN=(nnn.nnn.nnn.nnn,port)
```

- h. Change the TCP.LISTEN parameter in the SERVER2 member to specify the TCP/IP stack address used by this Sterling Connect:Direct/Plex server.
- i. Change the TCP.LISTEN parameter in the SERVER2 member to the TCP.LISTEN value from CD.PROD2.
- j. Add the following statement to the SERVER2 member.

```
CDPLEX.SERVER.NODE=CD.PROD2
```

12. Add the CDPLEX DD statement in the following example to the CDMGR member in \$CD.PLEX.JCL. (This JCL is the startup JCL copied earlier.)

```
//DTF EXEC DGADINIT,  
// PARM='$CD.PLEX.INITPARM(CDPLX)'  
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(MANAGER)
```

13. Create the CDSRV1 member and copy CDMGR into it.
14. Make the following changes to the CDSRV1 member:
- a. Change the job name so that this job can run simultaneously with the CDMGR JCL.
  - b. Change the member name in the CDPLEX DD statement to SERVER1 as follows. This directs the CDSRV1 JCL to its local initialization parameters file.

```
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(SERVER1)
```

15. Create the CDSRV2 member and copy CDSRV1 into it.
16. Make the following changes to the CDSRV2 member:
- a. Change the job name so that this job can run simultaneously with the CDMGR JCL and CDSRV1 JCL.

- b. Change the member name in the CDPLEX DD statement to SERVER2 as follows. This directs the CDSRV2 JCL to its local initialization parameters file.

```
//CDPLEX DD DISP=SHR,DSN=$CD.PLEX.INITPARM(SERVER2)
```

**Note:** You can route jobs to a different z/OS image by specifying the local node name of the other system name in an XEQ statement in the Sterling Connect:Direct Manager or Server JCL as follows:

```
/*XEQ njenode  
[JES2]  
//*ROUTE XEQ njenode [JES3]
```

This example routes the job to the z/OS image identified by the local node name NJENODE.

17. Submit the CDMGR JCL to bring up the Sterling Connect:Direct/Plex.  
After the Sterling Connect:Direct Manager initializes, it submits the CDSRV1 JCL and CDSRV2 JCL to bring up the two Sterling Connect:Direct Servers.
18. After the Sterling Connect:Direct Manager initializes, use the IUI to signon to the Sterling Connect:Direct Manager.  
You can then submit Processes and perform other functions through the IUI.

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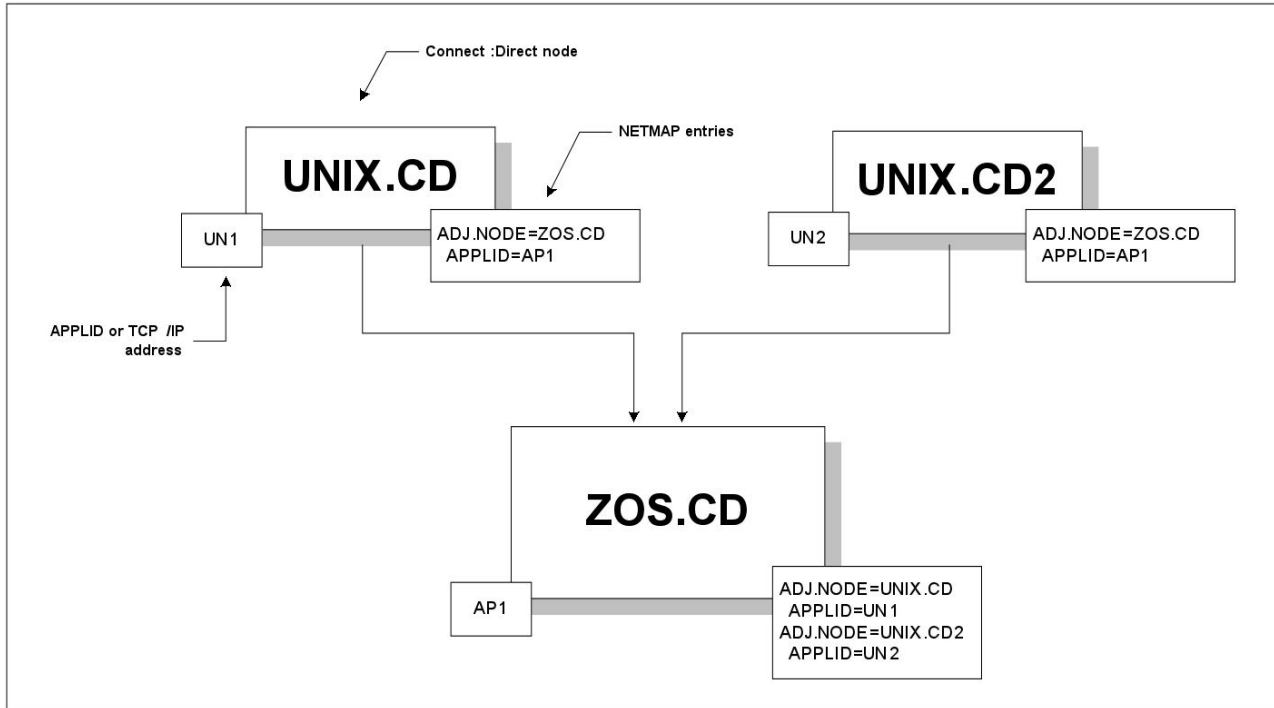
## Additional Sterling Connect:Direct/Plex Configuration Examples

This topic illustrates additional Sterling Connect:Direct/Plex configuration examples. While your site configuration can vary due to the number of external nodes, use this topic as a guide in determining the best way to configure a Sterling Connect:Direct/Plex.

**Note:** The examples in this section are high-level descriptions for use as a configuration model. They do not describe all configuration changes to set up a Sterling Connect:Direct/Plex. See A New Sterling Connect:Direct/Plex Environment for detailed information.

### Configuration Examples Using One Sterling Connect:Direct for z/OS System

This section assumes the following Sterling Connect:Direct environment exists.



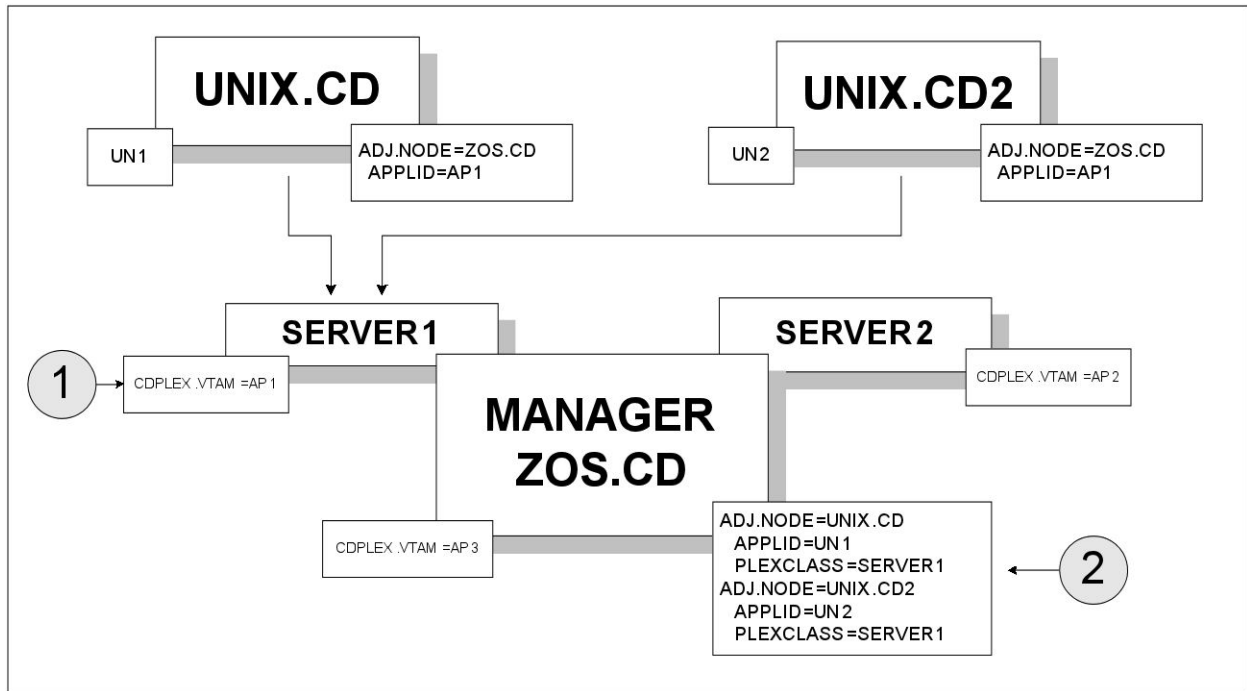
In this environment, two Sterling Connect:Direct for UNIX nodes (UNIX.CD and UNIX.CD2) communicate with a Sterling Connect:Direct for z/OS system (ZOS.CD). The ZOS.CD system uses the APPLID “AP1.” The UNIX.CD system uses the APPLID “UN1”, while the UNIX.CD2 system uses the APPLID “UN2.” The network map entries define the adjacent nodes.

Although this example uses Sterling Connect:Direct for UNIX as the external nodes, the relationship is the same when any Sterling Connect:Direct platform is an external node.

### Scenario 1 – External Nodes Communicate with One Sterling Connect:Direct Server

This section describes the simplest Sterling Connect:Direct/Plex configuration – both external nodes communicate with the same Sterling Connect:Direct Server.

In the following illustration, the ZOS.CD system is configured as a Sterling Connect:Direct/Plex consisting of a Sterling Connect:Direct Manager and two Sterling Connect:Direct Servers. Both external Sterling Connect:Direct for UNIX systems communicate only with SERVER1.



To accomplish this setup, assign the APPLID from the original Sterling Connect:Direct system (AP1) to SERVER1 through the local initialization parameters of SERVER1 (callout 1 in the preceding illustration). Note that you must create new APPLIDs for the SERVER2 (AP2) and the Sterling Connect:Direct Manager (AP3).

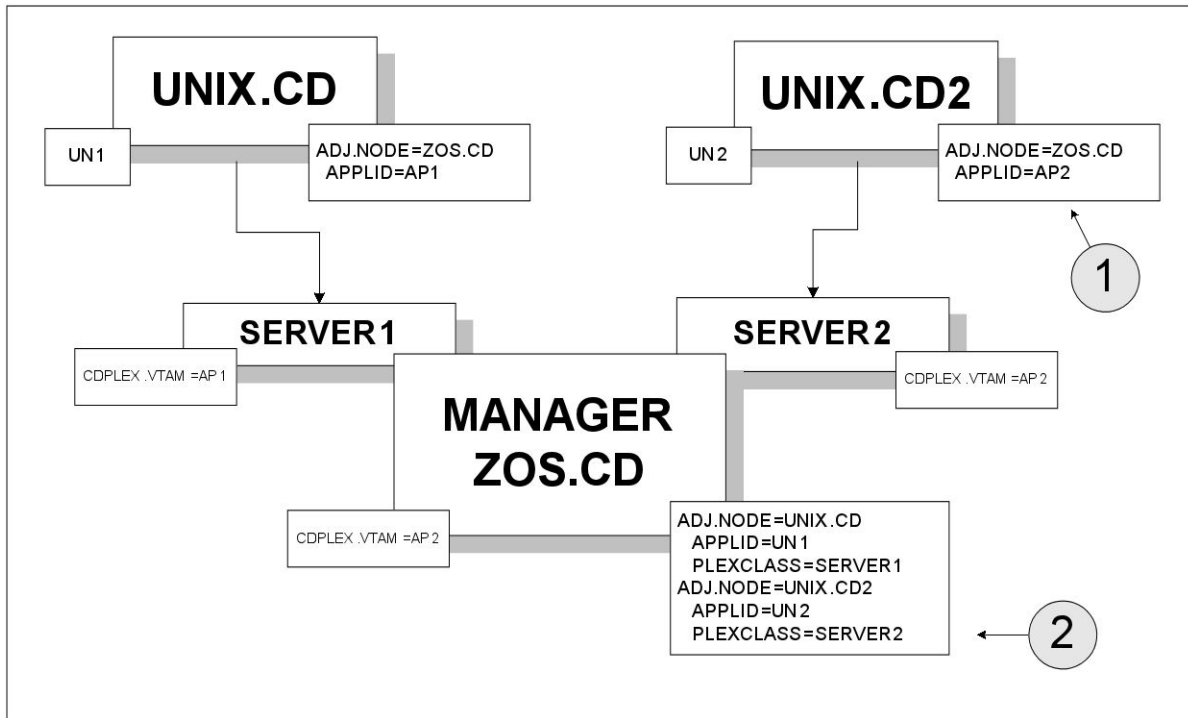
Then, specify SERVER1 as the default PLEXCLASS in the Sterling Connect:Direct/Plex network map (callout 2). This routes all work and communication among the nodes through SERVER1.

The advantage of this approach is that the Sterling Connect:Direct for UNIX nodes do not need to change any initialization parameter or network map definitions. They do not have any knowledge of the change to the z/OS node.

The disadvantage of this approach is that you cannot use Sterling Connect:Direct/Plex workload balancing to its full potential. You cannot perform workload balancing on Processes received from or sent to the external nodes. However, work originating and done entirely on the Sterling Connect:Direct for z/OS system can use Sterling Connect:Direct/Plex workload balancing.

### Scenario 2 – External Nodes Communicate with Individual Sterling Connect:Direct Servers

In this configuration, each external Sterling Connect:Direct node communicates with a specific Sterling Connect:Direct Server. This configuration makes better use of the Sterling Connect:Direct/Plex environment by spreading the work from the external nodes between the Sterling Connect:Direct Servers.



To accomplish this configuration, change the UNIX.CD2 network map to point to the APPLID for SERVER2 (callout 1).

Then, make the following changes to the Sterling Connect:Direct/Plex network map (callout 2):

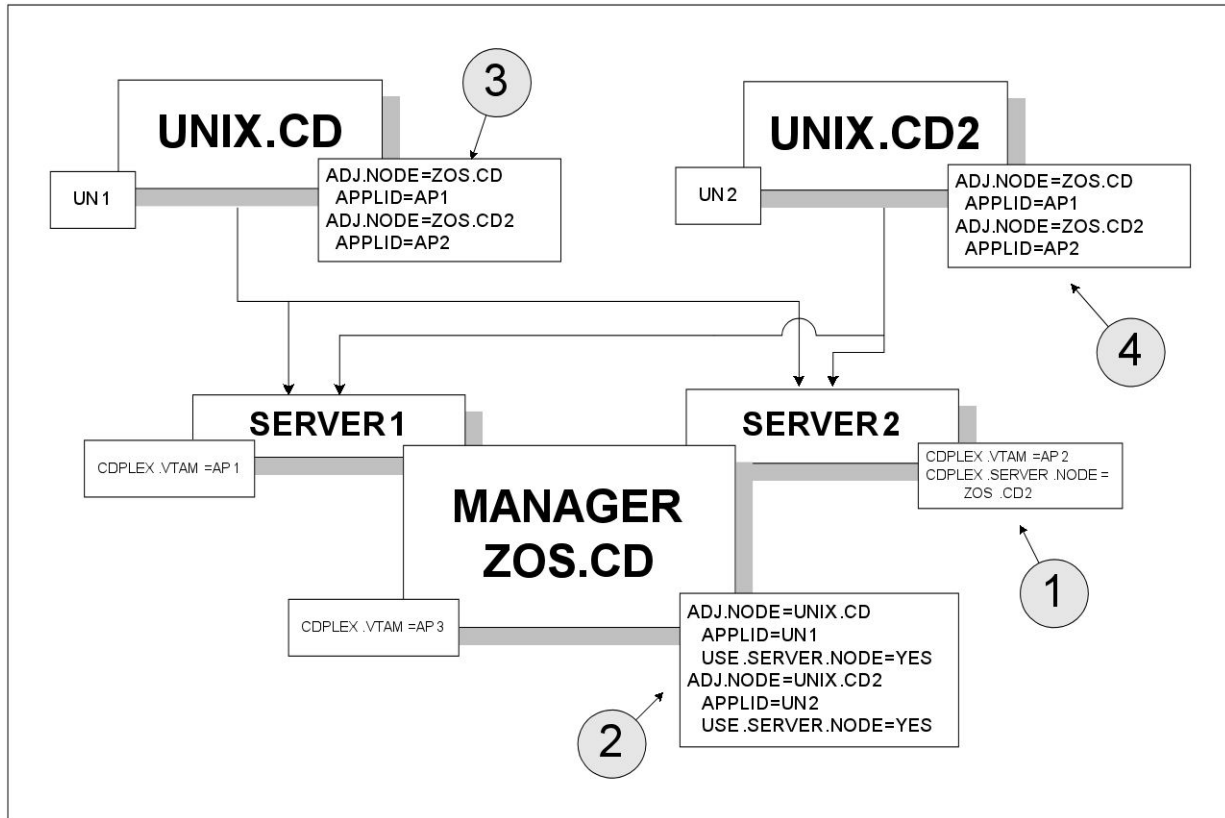
- Route all Processes from UNIX.CD to SERVER1 by defining SERVER1 as the default PLEXCLASS in the adjacent node definition for UNIX.CD.
- Route all Processes from UNIX.CD2 to SERVER2 by defining SERVER2 as the default PLEXCLASS in the adjacent node definition for UNIX.CD2.

The advantage of this approach is that work from each UNIX node runs on a different Sterling Connect:Direct Server, so work from one node does not interfere with work from the other. You do not need to change Processes submitted from either node to run on the specified servers (unless the Process itself specifies a TCP/IP address).

The disadvantage of this approach is that you still cannot use the Sterling Connect:Direct/Plex workload balancing to its full potential. You cannot perform workload balancing on Processes received from or sent to the external nodes. Work originating and done entirely on the Sterling Connect:Direct for z/OS system can use Sterling Connect:Direct/Plex workload balancing.

### Scenario 3 – External Nodes Communicate with Both Sterling Connect:Direct Servers

This configuration uses the Sterling Connect:Direct/Plex workload balancing capability. In this environment, both external nodes can communicate with either Sterling Connect:Direct Server.



In this configuration, the network map of each Sterling Connect:Direct for UNIX node is changed to point to both Sterling Connect:Direct Servers. However, because the Sterling Connect:Direct/Plex normally is displayed as a single node to external systems, you must first create a unique node name for SERVER2. To create a unique node name, specify:

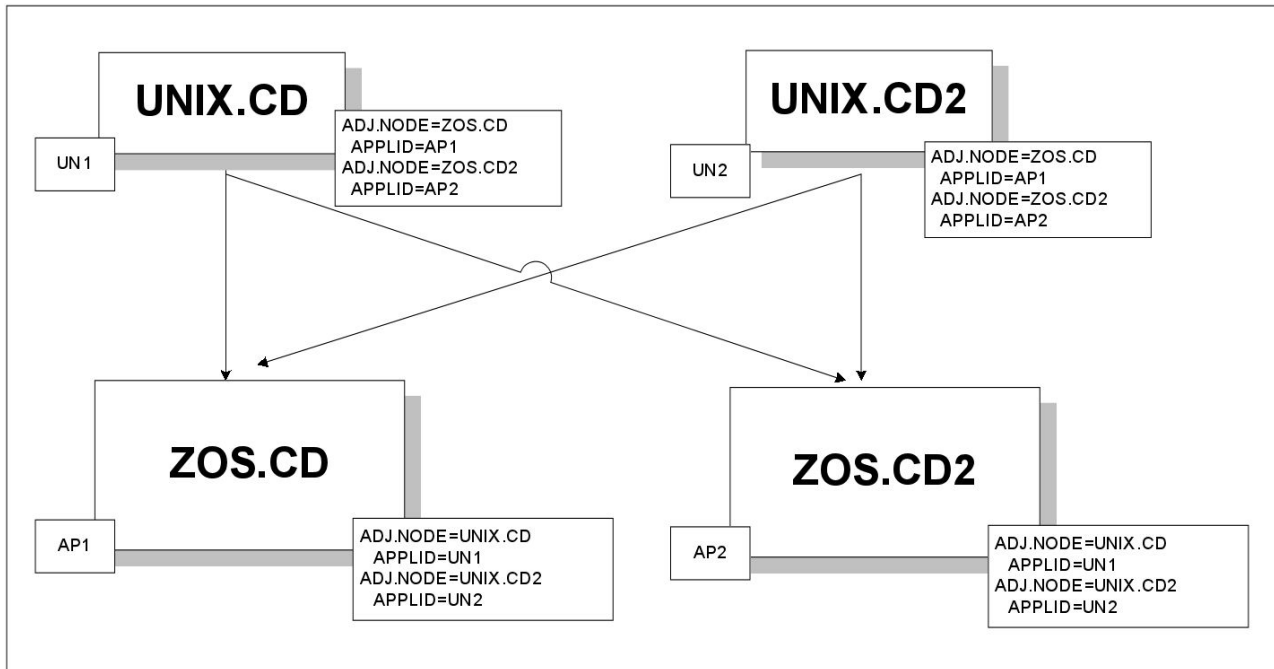
- `CDPLEX.SERVER.NODE = ZOS.CD2` in the SERVER2 local initialization parameters (callout 1)  
You do not need to specify the `CDPLEX.SERVER.NODE` initialization parameter for SERVER1 because it uses the local node (`ZOS.CD`).
- `USE.SERVER.NODE=YES` in the Sterling Connect:Direct/Plex network map adjacent node definitions (callout 2)

The `ZOS.CD2` node name is then added to the external nodes' network maps (callouts 3 and 4).

The advantage of this configuration is that you can perform workload balancing on outgoing Processes from the Sterling Connect:Direct/Plex. However, you cannot perform automatic workload balancing on Processes received from the external nodes; you must manually balance them by changing the `SNODE`.

## Configuration Example Using Two Sterling Connect:Direct for z/OS Systems

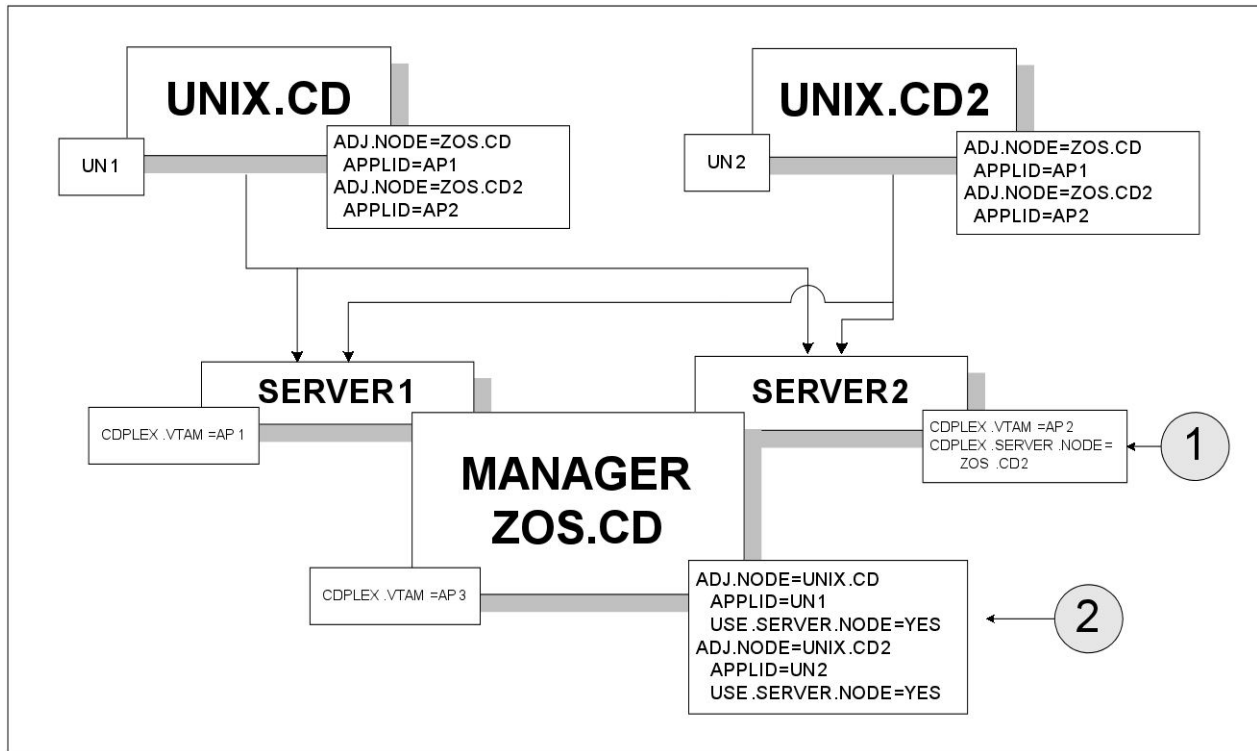
This configuration describes a more complex Sterling Connect:Direct/Plex configuration. It assumes that the following Sterling Connect:Direct environment exists.



In this environment, two Sterling Connect:Direct for UNIX nodes (UNIX.CD and UNIX.CD2) communicate with two different Sterling Connect:Direct for z/OS systems (ZOS.CD and ZOS.CD2).

To change this setup to a Sterling Connect:Direct/Plex, the ZOS.CD and ZOS.CD2 systems are merged into a single Sterling Connect:Direct/Plex. ZOS.CD is designated as the Sterling Connect:Direct Manager and SERVER1, while ZOS.CD2 is designated as the Sterling Connect:Direct Server SERVER2. (See Converting Two Existing Stand-Alone Server Systems to a Plex Environment for more information.)





The initialization parameter CDPLEX.SERVER.NODE=ZOS.CD2 is added to the SERVER2 local initialization parameter (callout 1). USE.SERVER.NODE=YES is added to the Sterling Connect:Direct/Plex network map adjacent node definitions (callout 2).

The advantages of this approach are:

- Changes to the z/OS nodes have no affect on the Sterling Connect:Direct for UNIX nodes. Therefore, no changes are required to the Sterling Connect:Direct for UNIX nodes.
- You can perform workload balancing on Processes sent from ZOS.CD to the Sterling Connect:Direct for UNIX nodes.
- The Sterling Connect:Direct/Plex provides a single administrative and operating environment.

The disadvantage of this approach is that you must manually balance Processes coming from the Sterling Connect:Direct for UNIX nodes.



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## Chapter 7. Configuring Extended Recovery

Sterling Connect:Direct uses the IBM Extended Recovery Facility (XRF) to quickly recover and resume processing after an abnormal termination. This recovery is accomplished by using a standby Sterling Connect:Direct system that waits to resume processing if the active Sterling Connect:Direct system fails.

Both the Sterling Connect:Direct/Stand-alone Server and Sterling Connect:Direct/Plex configurations support extended recovery. This chapter describes how to set up extended recovery in either environment.

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### Setting Up Extended Recovery for a Sterling Connect:Direct/Stand-Alone Server

#### About this task

To configure a Sterling Connect:Direct/Stand-alone Server to use extended recovery, follow this procedure.

#### Procedure

1. Specify the XCF.NAME in the initialization parameters file. The XCF.NAME is a unique 8-character string that identifies a Sterling Connect:Direct/Stand-alone Server using extended recovery.

The following example shows a Sterling Connect:Direct/Stand-alone Server assigned the XCF.NAME of MNPLS.

```
XCF.NAME=MNPLS
```

**Note:** XCF group names cannot begin with the letters A through J or with the letters SYS because these are reserved by IBM.

2. Add the following parameter to the initialization parameters file.

```
EXTENDED.RECOVERY=YES
```

3. Add the XRFJOB DD statement to the Sterling Connect:Direct startup JCL as follows.

```
//XRFJOB DD DISP=SHR,DSN=$CD.SDGAJCL(CDJOBX)
```

\$CD.SDGAJCL is the PDS where the active (current) Sterling Connect:Direct startup JCL is located. The CDJOBX member is the standby Sterling Connect:Direct startup JCL or startup command to run as a started task, which will be created later.

4. To run as a started task, use one of the following, or skip to step 5 if you are not running as a started task.
  - To run the Sterling Connect:Direct standby image as a started task on the same z/OS image as the Sterling Connect:Direct active image, use the following as the first statement in the JCL.

```
START=membername,parms
```

In this example, START= indicates to issue a START command. When the START command is issued, the equal sign (=) is replaced with a blank, and the entire statement is passed to z/OS as a command.

For example, if START=HOSTJCL,X is the first statement, then START HOSTJCL,X is issued to z/OS.

- To run the Sterling Connect:Direct standby image as a started task on an z/OS image in the sysplex that is not the Sterling Connect:Direct active image, use the following as the first statement in the JCL.

```
/*$VS, 'command'
```

Where command is the command you want the Job Entry Subsystem (in this case, a JES2 environment) to send to z/OS. Because the statement does not begin with START=, Sterling Connect:Direct submits the statement to JES. JES identifies the /\*\$VS and issues the command to z/OS rather than placing it in the job queue.

For example, if /\*\$VS,'RO CSGB,S CDICOMB' is submitted in the JCL, the RO CSGB,S CDICOMB command is issued rather than placed in the job queue.

5. Copy the Sterling Connect:Direct startup JCL to the CDJOBX member if you are submitting the JCL.
6. Change the job name in CDJOBX so that it runs simultaneously with the active Sterling Connect:Direct image. (Both the active Sterling Connect:Direct image and the standby Sterling Connect:Direct image run at the same time.)
7. Change the XRFJOB DD statement in the standby Sterling Connect:Direct startup JCL to reference the Sterling Connect:Direct startup JCL or command to run as a started task, as in the following example (where CDJOB is replaced by the member name that actually has your Sterling Connect:Direct JCL).

```
//XRFJOB DD DISP=SHR,DSN=$CD.SDGACNTL(CDJOB)
```

8. Submit the CDJOB JCL to bring up Sterling Connect:Direct using extended recovery.

After Sterling Connect:Direct initializes, the JCL specified in the startup JCL initializes the standby Sterling Connect:Direct system. The standby Sterling Connect:Direct image partially initializes, then begins monitoring the active Sterling Connect:Direct image.

If the active Sterling Connect:Direct image terminates abnormally, the standby Sterling Connect:Direct image resumes initialization, becomes the active Sterling Connect:Direct image, and submits the JCL in its XRFJOB DD statement. This JCL initializes the original active Sterling Connect:Direct image, which now becomes the standby system.

If the active Sterling Connect:Direct image shuts down normally, the standby Sterling Connect:Direct image also terminates normally.

---

## Setting Up Extended Recovery for a Sterling Connect:Direct/Plex Environment

### About this task

This section describes how to configure the Sterling Connect:Direct/Plex environment to use extended recovery.

## Procedure

1. Add the following parameter to the initialization parameters file.

```
EXTENDED.RECOVERY=YES
```

2. Add the XRFJOB DD statement to the Sterling Connect:Direct startup JCL as follows:

```
//XRFJOB DD DISP=SHR,DSN=$CD.PLEX.JCL(CDMGRX)
```

\$CD.PLEX.JCL is the PDS where the active (current) Sterling Connect:Direct startup JCL is located. The CDMGRX member is the standby Sterling Connect:Direct startup JCL or command to run as a started task, which will be created later.

3. To run as a started task, use one of the following, or skip to step 4 if you are not running as a started task.
  - To run the Sterling Connect:Direct standby image as a started task on the same z/OS image as the Sterling Connect:Direct active image, use the following as the first statement in the JCL.

```
START=membername,parms
```

In this example, START= indicates to issue a START command. When the START command is issued, the equal sign (=) is replaced with a blank, and the entire statement is passed to z/OS as a command.

For example, if START=HOSTJCL,X is the first statement, then START HOSTJCL,X is issued to Sterling Connect:Direct for z/OS.

- To run the Sterling Connect:Direct standby image as a started task on a different z/OS image in the sysplex as the Sterling Connect:Direct active image, use the following as the first statement in the JCL.

```
/*$VS, 'command'
```

Where command is the command you want JES to send to z/OS (in this case, a JES2 environment). Because the statement does not begin with "START=", Sterling Connect:Direct submits the statement to JES. JES identifies the /\*\$VS and issues the command to z/OS rather than placing it in the job queue.

For example, if /\*\$VS,'RO CSGB,S CDICOMB' is submitted as JCL, the RO CSGB,S CDICOMB command is issued rather than placed in the job queue.

4. Create the CDMGRX member from the member that contains your MANAGER's JCL..
5. Change the job name in CDMGRX so that it runs simultaneously with other Sterling Connect:Direct/Plex members.
6. Change the XRFJOB DD statement in CDMGRX to point to the CDMGR member, as in the following example.

```
//XRFJOB DD DISP=SHR,DSN=$CD.PLEX.JCL(CDMGR)
```

7. Add the following DD statement to the CDSRV1 member (the SERVER1 startup JCL) in \$CD.PLEX.JCL.

```
//XRFJOB DD DISP=SHR,DSN=$CD.PLEX.JCL(CDSRV1X)
```

8. Copy the changed CDSRV1 JCL member to CDSRV1X.
9. Change the job name in CDSRV1X so that it runs simultaneously with other Sterling Connect:Direct/Plex members.
10. Change the XRFJOB DD statement in CDSRV1X to point to CDSRV1, as in the following example.

```
//XRFJOB DD DISP=SHR,DSN=$CD.PLEX.JCL(CDSRV1)
```

11. Add the following DD statement to the CDSRV2 member (the SERVER2 startup JCL) in \$CD.PLEX.JCL.

```
//XRFJOB DD DISP=SHR,DSN=$CD.PLEX.JCL(CDSRV2X)
```

12. Copy the changed CDSRV2 member to CDSRV2X.
13. Change the job name in CDSRV2X so it can run simultaneously with other Sterling Connect:Direct/Plex members.
14. Change the XRFJOB DD statement in CDSRV2X to point to CDSRV2, as in the following example.

```
//XRFJOB DD DISP=SHR,DSN=$CD.PLEX.JCL(CDSRV2)
```

15. Submit the CDMGR JCL to bring up the Sterling Connect:Direct image using extended recovery.

Each Sterling Connect:Direct member initializes using extended recovery and submits the JCL specified in its XRFJOB DD statement. This JCL starts the standby Sterling Connect:Direct members. Each standby Sterling Connect:Direct member partially initializes, then begins monitoring its active Sterling Connect:Direct member.

If the active member terminates abnormally, or is shut down with a STOP CD CDPLEX RECOVER command, the standby member resumes initialization, becomes the active member, and submits the JCL in its XRFJOB DD statement. This JCL initializes the original active Sterling Connect:Direct/Plex member, which now becomes the standby member.

**Note:** If you want the standby member to run on a different z/OS image in the sysplex, you must define the VTAM APPLID as dynamic in both z/OS images, and you must define TCP/IP addresses as dynamic VIPA addresses.

---

## Chapter 8. Configuring SNMP Support

The Simple Network Management Protocol (SNMP) defines a set of protocols that describe management data and the protocols for exchanging that data between systems. This management data is a set of defined variables called the Management Information Base (MIB).

Three primary functional entities are defined for SNMP: managers, agents, and subagents. A manager is a network management application, like Netview or HP OpenView. The agent is a server that responds to request for management data from a network manager. Subagents provide support for particular MIBS to the agent.

The primary function of an SNMP environment and the communication between these functional entities is to enable the network manager to poll a device or application to inquire about specific management data that the device or application is monitoring. The device or application can alert the network manager of certain conditions by sending traps to reflect the status of that condition. Traps are asynchronous, unsolicited messages sent to the network manager, when the agent and/or subagent detect certain conditions.

Sterling Connect:Direct provides support for an SNMP agent to send SNMP traps to alert a network manager of certain events. An event is any Sterling Connect:Direct message that is written to the console using Sterling Connect:Direct members. Each event is triggered by the Sterling Connect:Direct message ID and the trap text (short message text of that Sterling Connect:Direct message). The Sterling Connect:Direct events generated are defined by category and type.

The Sterling Connect:Direct Trap Table can hold up to 127 entries. It is built using the input from the data set specified by the SNMP.DSN initialization parameter. One entry is generated for each message that can trigger a SNMP trap. The predefined Sterling Connect:Direct traps are triggered by 61 messages. A maximum of 66 additional user messages can be used to trigger SNMP traps. To see information about each SNMP trap defined in the table along with its status, see INQUIRE SNMP Command Displays the SNMP Trap Table.

Define message traps using the SNMP.DSN initialization parameter and a data set that contains the variables associated with traps.

---

### Identifying Trap Variables

Traps are defined as alarm or status alerts which enable the network manager to display the trap in the appropriate color on the network manager console. Alarm trap variables signal events that are critical to the operation of Sterling Connect:Direct. Status trap variables signal events that are not critical to the operation of Sterling Connect:Direct, but show valuable information. The tables in the following sections describe the predefined traps, the message that triggers the trap, and a description of the trap and associated text.

Following are the six categories for trap variables:

- Type events
- Initialization events

- Shutdown events
- API events
- Execution events
- STATS events
- Miscellaneous events

Valid values for all events is YES to enable and NO to disable.

## Type Events

Use the events in the following table to enable or disable all alarm events or all status events.

Trap Event	Description	Event
sendAlarmTraps	NO disables all Alarm Trap Variables regardless of settings. YES enables all Alarm Trap Variables, allowing you to disable individual Alarm Trap Variables	Alarm
sendStatusTraps	NO disables all Status Trap Variables regardless of settings. YES enables all Status Trap Variables, allowing you to disable individual Status Trap Variables	Status

## Initialization Events

The following table details alarm and status events that occur at initialization.

Trap Event	Description	Trap Trigger	Short Message Text	Event
Initializationcomplete	The initialization of this node completed successfully. In a Sterling Connect:Direct Plex environment, the member is named in the message text.	SITA036I	Sterling Connect:Direct rel-level for z/OS Initialization Complete.	Status
snaNotAvailable	The SNA support is temporarily unavailable, because the SNA=NO initialization parameter is specified, the VTAM ACB is inactive and could not be opened, the VTAM ACB is disabled during Sterling Connect:Direct processing or the Sterling Connect:Direct VTAM APPLID is already used.	SVTJ018I	SNA Support is Not Available.	Status
snaNowAvailable	The VTAM ACB is successfully opened and Sterling Connect:Direct now supports all SNA functions.	SVTJ019I	SNA Support is Now Available.	Status
tcpNotAvailable	The TCP support is temporarily unavailable either because the TCP=NO initialization parameter is specified, the TCP/IP connection cannot be established, or the connection to TCP/IP is terminated.	STCP103I	TCP Support is Not Available.	Status
tcpNowAvailable	The connection to TCP/IP is successful and all TCP functions are now supported.	STCP104I	TCP Support is Now Available.	Status



## Shutdown Events

The following table details alarm and status events that occur at shutdown.

Trap Event	Description	Trap Trigger	Short Message Text	Event
abnormalShutdown	An abnormal termination occurred.	SSHA021I	Abnormal termination of Sterling Connect:Direct.	Alarm
shutdownRequest	A Sterling Connect:Direct Stop command issued.	SSHA002I	Sterling Connect:Direct QUIESCE shutdown begun.	Status
		SSHA003I	Sterling Connect:Direct Run Task IMMEDIATE shutdown begun.	
		SSHA004I	Sterling Connect:Direct IMMEDIATE shutdown begun.	
		SSHA019I	Sterling Connect:Direct STEP shutdown begun.	
normalShutdownComplete	Sterling Connect:Direct normal shutdown completed successfully.	SITB001I	Sterling Connect:Direct Termination Complete.	Status

## API Events

The following table details alarm and status events that occur from the API.

Trap Event	Description	Trap Trigger	Short Message Text	Event
maxUserReached	MAXUSER is reached.	STAA004I	Task not created, MAX IUI/API task count reached.	Status

## Execution Events

The following table details alarm and status events that occur when a Process executes.

Trap Event	Description	Trap Trigger	Short Message Text	Event
processFailure	A Sterling Connect:Direct Process failed with a return code greater than 0, due to abnormal session termination, NETMAP check failure, or FM72 Security failure.	SVTM024I	&var1 EXECPROC: FMH-72 -RECEIVED;	Alarm

Trap Event	Description	Trap Trigger	Short Message Text	Event
		SVTM026I	SESSION (&class) NOT ESTABLISHED WITH &node=&snode	
		SVTM030I	&var1 FMH-74 -RECEIVED AFTER STEP ERROR:	
		SVTM050I	&var1 PROCESS INTERRUPTED: RECOVERY INITIATED	
		SVTM052I	&stpnm &func &pname(&pnum) &node=&snode &var1	
		SVTM054I	&var1 SNODE REQUESTING SESSION SHUTDOWN F/END_OF_STEP	
		SVTM063I	PASSWORD NOT MATCHED IN C:D AUTH FILE-- MSG=SAFB005I	
		SVTM102I	MSGID=&mgid &msgtext,NODE= &snode SENSE=&sense LUNAME=&slu	
sessionRetryExceeded	The Sterling Connect:Direct Process exceeded the session retry threshold and is placed in the Hold queue.	SVTM505I	Session Retry exceeded for &pname &pnum	Alarm
processRetryExceeded	The Sterling Connect:Direct Process exceeded the process retry threshold and is placed in the Hold queue.	SVTM506I	Process Retry exceeded for &pname &pnum	Alarm
maxProcess	MAXPROCESS value is reached.	STAA010I	Task not created. Max Process count reached.	Alarm
maxPnode	The maximum number of PNODE Processes is reached.	STAA006I	Task not created. Max primary task count reached.	Alarm
ProcessNotStarted	Process was not started because Sterling Connect:Direct quiesced and a task could not be created.	STAA011I	SNODE task not created, Session Quiesce in progress	Alarm
		STAA012I	PNODE task not created, Session Quiesce in progress	

Trap Event	Description	Trap Trigger	Short Message Text	Event
		STAA008I	Task not created. No free TCA available.	
		STAA003I	Task not created. Sterling Connect:Direct is quiescing.	
maxSnode	The maximum number of SNODE Processes is reached.	STAA005I	Task not created. Max secondary task count reached.	Alarm
tcpCloseFailure	A TCP Close failed leaving the TCP/IP socket in use and unavailable.	STCP105I	TCP Close Socket Failure	Alarm
userMessageAlarm	A user-defined Sterling Connect:Direct message is issued.	User-defined	message text	Alarm
tcqMovement	A Sterling Connect:Direct Process is moved to the Hold queue due to errors during Process execution.	SVTM105I	PNAME= &pname, PNUM=&pnum MOVED TO Q=&q, QSTATUS=&qstat	Status
tcqMovement	A Sterling Connect:Direct Process is moved to the Process Retain queue.	SVTM105I	PNAME= &pname, PNUM=&pnum MOVED TO Q=&q, QSTATUS=&qstat	Status
processFlushed	A Sterling Connect:Direct Process is flushed.	SOPD049I	Sterling Connect:Direct Process,&pnam &pnum, flushed by &userid.	Status
userMessageStatus	A user-defined Sterling Connect:Direct message is issued.	User-defined	message text	Status

## STATS Events

The following table details alarm and status events that occur due to the STATS queue.

Trap Event	Description	Trap Trigger	Short Message Text	Event
statsDisabled	An error occurred that caused the STATS logging to be disabled.	SSTL001I	Statistics logging function is disabled.	Alarm
statsStress	Sterling Connect:Direct STATS queue is under stress.	SSTL041I	Statistics facility under stress, waiting on queue elements.	Alarm
statsStressResolved	The STATS Queue stress is resolved.	SSTL042I	Statistics facility stress resolved.	Alarm

Trap Event	Description	Trap Trigger	Short Message Text	Event
statsSwitchOccurred	A Sterling Connect:Direct STATS file switch has occurred.	SSTL013I	Statistics file pair switch from &a to &b	Status

## Miscellaneous Events

The following table details other alarm and status events.

Trap Event	Description	Trap Trigger	Short Message Text	Type
tracesEnabled	A Sterling Connect:Direct MODIFY DEBUG command is issued.	STRA028I	Sterling Connect:Direct Traces enabled.	Status
netmapUpdate	Dynamic update of the Sterling Connect:Direct NETMAP occurred.	SMUP191I	Sterling Connect:Direct NETMAP file updated.	Status
authUpdate	Dynamic update of the Sterling Connect:Direct AUTH file occurred	SAFC005I	Sterling Connect:Direct AUTH file updated.	Status
typeUpdate	Dynamic update of the Sterling Connect:Direct TYPE file occurred	SAFI013I	Sterling Connect:Direct TYPE file updated.	Status
initparmRefresh	Dynamic update of the Sterling Connect:Direct INITPARM file occurred	SITA992I	INITPARM Refresh by &userid completed	Status
changeProcess	A CHANGE PROCESS command occurred.	SOPB017I	Change Process command by &userid completed.	Status
deleteprocess	A DELETE PROCESS command occurred.	SOPC011I	Delete Process command by &userid completed	Status
tcqFull	TCQ file is full.	SPQL001I	TCQ File Full	Alarm
tcqThreshold	TCQ file becoming full.	SPQL002I	TCQ Full &VAR1% Full. Max.# CI: &VAR2 # Used CI: &VAR3	Alarm
tcqThresholdResolved	TCQ is now below the defined threshold.	SPQL003I	TCQ File is now below the user defined Threshold of%%.	Status

---

## Setting Up SNMP

### About this task

Use the following procedure to set up SNMP Support.

**Note:** Before performing this procedure, migrate the CDMIB and Sterling Connect:Direct Trap Configuration files to HP OpenView. Refer to *Customizing SNMP* in *Installing Sterling Connect:Direct* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide* for more information.

### Procedure

1. Specify SNMP=YES in the initialization parameters file.
2. If you want to exclude or disable any trap event or define additional trap triggers described in Identifying Trap Variables, create a data set containing all the trap events that you want to disable. Following is an example.

**Note:** All traps are enabled by default.

```
sendStatusTraps = N
statsDisabled = N
statsStress = N
statsStressResolved = N
userMessageAlarm = ( SVTM100I , SVTM101I )
```

A sample SNMP.DSN file is in the \$CD.SDGASAMP data set, member DGAXSNMP.

If you do not want to exclude any trap events, go to step 4.

3. Set the SNMP.DSN initialization parameter to the data set name created in step 2.

```
SNMP.DSN=data set name
```

4. Set the SNMP.MANAGER.ADDR initialization parameter. This parameter is the TCP/IP address or hostname of the host where the SNMP Network Manager is initialized. By default, this address is the same as the TCP/IP address that Sterling Connect:Direct is using or the local hostname. In a Sterling Connect:Direct/Plex environment, the default is the TCP/IP address of the Sterling Connect:Direct Manager. This parameter is required if the network manager resides on a different host or is required to use a different TCP/IP address. Following is an example.

```
SNMP.MANAGER.ADDR=123.4.5.6
```

5. Set the SNMP.MANAGER.PORTNUM initialization parameter. This port is the TCP/IP port that is defined for UDP traffic to the network manager. The default is 162. This parameter is required if the defined UDP port number is something other than 162. Following is an example.

```
SNMP.MANAGER.PORTNUM=163
```

## After SNMP Setup

At Sterling Connect:Direct installation, the SNMP trap table is initialized and whenever any event occurs after the SITA628I message is issued, Sterling Connect:Direct determines if the event is a trap trigger and issues the appropriate trap to a network manager. The following messages are common at initialization:

- SITA001I Connect:Direct for z/OS initialization has begun.
- SITA002I Connect:Direct parameter file allocated and open.
- SITA022I Loading Connect:Direct modules.
- SITA601I The TCP server modules are loaded.
- SITA067I MESSAGE file is open.
- SITA628I SNMP Trap Agent Initialization Complete.

If any error occurs during initialization of SNMP, the appropriate message is issued to indicate that the SNMP Trap Agent is disabled or that the initialization will terminate.

You can refresh and modify the SNMP initialization parameters after initialization completes by using the MODIFY INITPARM command.

## INQUIRE SNMP Command Displays the SNMP Trap Table

The INQUIRE SNMP command displays the contents of the SNMP trap table. The INQUIRE SNMP command has the following format.

Label	Command	Parameter
(optional)	INQUIRE SNMP	

## Issuing the INQUIRE SNMP Command through the IUI

### About this task

To display the contents of the SNMP trap table from the IUI, follow this procedure.

#### Procedure

1. Select option INQ from the Connect:Direct Administrative Options Menu.  
The Inquire DTF Internal Status screen is displayed.
2. Select the ITRP option.
3. Press **ENTER**.

The contents of the SNMP trap table are displayed, as in the following sample.

```

*****
BROWSE      SYS11069.T114309.RA000.MWATL1.NDMAPI.H01          Line 00000056 Col 001 132
Command ==>
Scroll ==> CSR
=====
SC.DUB.MWATL3      *SNMP TRAP TABLE*      DATE: 2011.03.10  TIME: 11:43:22
=====
TRAP TRIGGER      TRAP NAME                TRAP STATUS      TRAP TYPE
=====
SVTM506I          PROCESSRETRYEXCEEDED     ENABLED          STATUS
SVTM105I          TCQMOVEMENT              ENABLED          STATUS
SOPD049I          PROCESSFLUSHED           ENABLED          STATUS
SSTL013I          STATSSWITCHOCCURRED     ENABLED          STATUS
STRA028I          TRACEENABLED             ENABLED          STATUS
SMUP191I          NETMAPUPDATE             ENABLED          STATUS
SAFC005I          AUTHUPDATE               ENABLED          STATUS
SAFI013I          TYPEUPDATE               ENABLED          STATUS
SITA992I          INITPARMREFRESH         ENABLED          STATUS
SOPB017I          CHANGEPROCESS            ENABLED          STATUS
SOPC011I          DELETEPROCESS            ENABLED          STATUS
SPQL003I          TCQTHRESHOLDRESOLVED    ENABLED          STATUS
***** Bottom of Data *****

```

## Using the INQUIRE SNMP Command from the Batch Interface

### About this task

To use the INQUIRE SNMP command from the Batch interface, follow this procedure.

#### Procedure

1. Place your commands in a batch job stream as demonstrated in the *IBM Sterling Connect:Direct for z/OS User Guide*.
2. Submit the job while Sterling Connect:Direct is running. The settings are displayed.

**Note:** You must set the fifth character of the DGADBATC output parameter specification to Y to print the result of the command that is in the temporary data set.





---

## Chapter 9. Sterling Connect:Direct Exits

**Note:** APARs and PTFs from IBM have "HOLD for ACTION" directives that identify if reassembly of exits is required. Reassemble exits accordingly. If you upgrade, reassemble your exits to pick up any macro or control block changes.

Sterling Connect:Direct provides several sample exits some of which can be used to customize the online execution of Sterling Connect:Direct. One of these exits, the Stage 2 Security exit, can be used to test new applications and customer connections. For more information, see Process Exit for Testing (DGAXPRCT).

Before coding or using an exit, read the Special Considerations section below to ensure that the exit executes properly.

**Note:** All sample exits provided in Sterling Connect:Direct define the proper AMODE and RMODE settings within the source member themselves. All user exits should be link-edited with AMODE=ANY and capable of executing in 31-bit mode (calls to user code will have the parameter list passed using 31-bit addresses). Each user exit should preserve the mode in which it was invoked and return to the caller in the proper mode. Modules written to execute in 31-bit mode can be link-edited with RMODE=ANY or RMODE=24. Check the source for the sample exits to see how Sterling Connect:Direct defines the proper AMODE and RMODE settings.

To notate modifications by user in the the module maintenance history section of NDMLOG, include the local user ID by specifying the &UID SETC 'xxxx' local identifier in Sterling Connect:Direct exits as part of the SCENTER macro. This value can contain up to 8 characters.

Sample JCL for assembling user exits is provided in \$CD.SDGASAMP library members DGAXSTG1 and DGAXSTG2.

### Special Considerations

The following special considerations apply to Sterling Connect:Direct for z/OS exits:

- Avoiding Out-of-Storage ABENDS
  - To avoid out-of-storage ABENDS in Sterling Connect:Direct, examine all user exits to verify that all obtained storage is freed. For each GETMAIN that an exit issues, the exit must issue a corresponding FREEMAIN to avoid accumulating storage. If an exit opens a file, a FREEPOOL may need to be issued after the file is closed.
- Using Exits in 31-Bit Addressing Environments
  - Because information passed to the exit by Sterling Connect:Direct is located above the 16 megabyte line, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode. Refer to the section in this chapter describing the particular exit to see if this requirement applies.
  - Sterling Connect:Direct honors the addressing mode (AMODE) and residence mode (RMODE) attributes of user exits. The exit modules are loaded based on the RMODE specification and given control in the addressing mode specified in the AMODE attribute. Link exits that run above 16 megabytes in 31-bit mode to AMODE=ANY, RMODE=ANY.

- Verify that your exits are coded to receive control and execute in the AMODE with which they are linked.
- Exits must return control to Sterling Connect:Direct in the AMODE in effect when Sterling Connect:Direct invokes the exit. Sterling Connect:Direct calls your exit through Branch and Save and Set Mode (BASSM), and you return to Sterling Connect:Direct through Branch and Set Mode (BSM).

**Note:** For security exit links, you may need to provide access to a load library containing the modules for the security system in use. Linkage Editor Attribute Requirements

- Linkage Editor Attribute Requirements
  - You must create all exits that execute in the DTF address space and link-edit them as RENT and REUS. Only the Stage 1 exits (DGACXSIG and DMCXSUBM) do not require re-entrancy.

---

## Statistics Exit

Sterling Connect:Direct generates and logs statistics to an online journal, then writes the information to the Sterling Connect:Direct statistics log as individual records.

Each record contains information about a single event, and is identified by a 2-character record type. For example, type CT is a copy termination record and FP is a flush Process record.

Sterling Connect:Direct provides a statistics exit that gives a user-written program access to the statistics records as they are generated. This exit can:

- Output the records or data generated from the records to a user-defined journal, including an SMF log
- Include or exclude the logging of any record to Sterling Connect:Direct by return codes

### CAUTION:

**Statistics records are often essential in debugging Sterling Connect:Direct problems. Excluding records from the statistics log makes problem determination more difficult or even impossible.**

The statistics exit runs as a subtask in the Sterling Connect:Direct DTF address space. Sterling Connect:Direct uses the STATISTICS.EXIT initialization parameter to specify the exit module name. You define this name, but it cannot conflict with the name of any Sterling Connect:Direct module. If a user-defined journal is required, you must add the necessary data definition (DD) statements to the Sterling Connect:Direct startup job stream.

**Note:** In a Sterling Connect:Direct/Plex environment, the statistics exit, by default, only runs on the Sterling Connect:Direct/Manager. For more information on how to cause the statistics exit to run on the Sterling Connect:Direct/Plex server, see “STATISTICS.EXIT = modname | (modname[,MANAGER | SERVER | BOTH])” on page 382.

The statistics exit indicates if a record is logged by setting a return code set in the SQUSER field of the SQCB. The return code is initialized to zero before the exit is invoked.

You do not have to rewrite existing exits if you do not want to exclude records. Existing exits do not alter the SQUSE field and operate the same as before.

## Sample Statistics Exits

Sterling Connect:Direct provides the following sample statistics exits in \$CD.SDGASAMP library:

Exits	Description
DGAXSMF	This sample exit logs Sterling Connect:Direct statistics records to the SMF log. It logs records to the SMF log by prefixing each statistics record with an SMF record header, and then uses the SMFWTM macro to write to the SMF log. The SMF record is type 132.
DGAXEV01	This sample exit provides a means for an application to access event data. For more information on how to use the Event Services Support (ESS) facility with this exit, see the <i>IBM Sterling Connect:Direct for z/OS Facilities Guide</i> .  This exit writes each event record to a predefined data set. You must modify DGAXEV01 to specify the name of your event exit data set, and define the data set to accommodate records up to 2048 bytes in length.
DGAXSACC	This exit documents the path to log user accounting data from the copy termination (CT) records.  This exit is invoked for every Sterling Connect:Direct statistics record written to the statistics file, but only processes CT records.
DGAXSXIT	This sample exit simply checks for copy termination records. When Sterling Connect:Direct encounters a copy termination, the system issues a WTO.
DGAXSXMC	This sample exit precludes the logging of PDS member copy (MC) records that have good return codes. It enables the logging of records of this type only when they have non-zero return codes. It also enables the logging of all other record types regardless of their return codes.
DGAXSCPU	This exit retrieves CPU time for Process steps and adds it to the end of the statistics record for later reporting or other usage.  The sample exit executes the TIMEUSED macro which returns total time since the beginning of TCB execution. Since each Process executes under a separate TCB, this can provide the CPU time from the beginning of the Process. To determine the time for each step, you must subtract out time from preceding steps. Such reporting and calculation is best done in a batch routine outside of Sterling Connect:Direct after the Statistics Records have been archived.

## Statistics Exit Calling Conventions

Sterling Connect:Direct calls the statistics exit once for each statistics record generated. Standard linkage conventions apply.

The exit is given control with register 1 pointing to a list of two parameters. They are:

- The first parameter is a pointer to the statistics record.
- The second parameter is a pointer to an SQCB that you need for setting a return code if record exclusion is appropriate.

The first 2 bytes of the record contain the record length in binary format. The third and fourth bytes of the record contain the 2-character record identifier. Refer to “Statistics Records” to see a table containing a list of the record type identifiers.

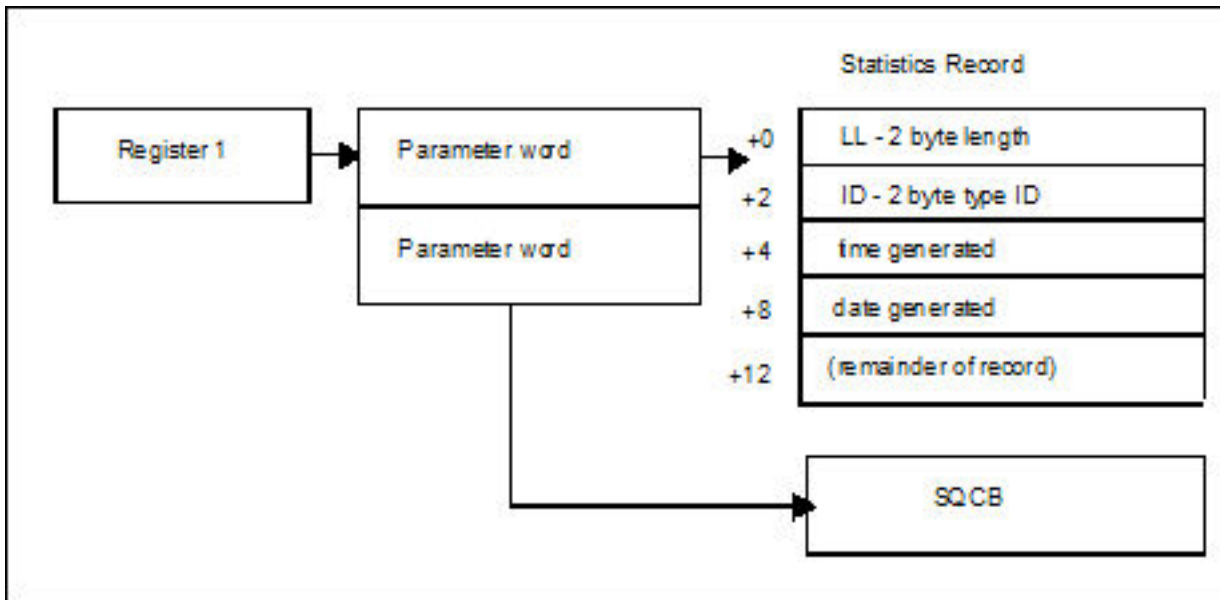
The second word of the record contains the time of day that the record is generated. The third word contains the date the record is generated.

Additional information in the records depends on the record type.

Assembler macros are provided in \$CD.SDGAMAC library to generate dummy sections (DSECTS) to map all the record types. The exit program includes the DSECTS that map the record types to the exit processes.

A return code of 0 indicates that the record is logged. A return code of 4 indicates that the record is not logged.

The following figure depicts the information passed to the exit.



## Statistics Records

Sterling Connect:Direct calls the statistics exit once for each statistics record generated in the DTF. The input to the exit is a pointer to the statistics record that is ready to be logged and a pointer to an SQCB. The record can be any record type. The exit must examine the record type identifier at a displacement of X'02' bytes from the beginning of the record to determine the record type and the DSECT that describes its contents.

## Record Types

The following table lists the statistics record types, their corresponding record type identifiers, and the name of the assembler macro in \$CD.SDGAMAC library that generates the DSECT describing the record contents. For information about selecting, displaying, and printing statistics information for Sterling Connect:Direct activities, see *IBM Sterling Connect:Direct for z/OS User Guide*.

The statistics records in this section also apply to Event Services Support.

Record ID	Description	Macro
CE	Copy I/O Start	DGA\$STEP
CH	Change Process	DGA\$CPTR
CI	Copy Step Start	DGA\$STEP
CS	Statistics Command	DGA\$SCMD
CT	Copy Termination	DGA\$CTR
CX	Check Certificate Validity	DGA\$XCR
DC	Directory Commands	DGA\$DTR
DP	Delete Process	DGA\$DPTR
DT	Select Task	DGA\$DTR
DU	Delete User	DGA\$AER
EI	Event Services Start Command	DGA\$EVR
ET	Event Services Stop Command	DGA\$EVR
EV	Event Services Command	DGA\$EVR
FA	IGWFAMS Message	DGA\$FAMS
FI	Long File Name Record	DGA\$FIR
FP	Flush Process	DGA\$FPTR
FS	Suspend Process	DGA\$FPTR
FT	Flush Task	DGA\$DTR
GO	Process Modal - GOTO, ELSE, or EXIT Statement	DGA\$MODL
HW	High Concurrent Session Count	DGA\$HWR
IA	Inquire Statistics	DGA\$DTR
IB	Inquire Debug	DGA\$DTR
ID	Inquire STATDIR	DGA\$DTR
IF	Process Modal - IF Statement	DGA\$MODL
IK	Inquire APKey File	DGA\$DTR
IP	Inquire Initialization parameters	DGA\$DTR
IT	Inquire SNMP Trap Table	DGA\$DTR
IU	Insert User	DGA\$AER
IX	Inquire Sterling Connect:Direct/Plex	DGA\$DTR
JI	Run Job Start	DGA\$STEP
LF	ICO Log File Record	DGA\$FRER
M2	Multiple Copy Record	DGA\$MCR
MC	PDS Member Copy	DGA\$MCR
NL	Process modal - EIF or PEND statement	DGA\$MODL
NM	NETMAP Updated	DGA\$NMR
PE	Sterling Connect:Direct/Plex Error Record	DGA\$PER
PI	Process Start	DGA\$PIR
PR	Performance Measurement Record	DGA\$PRRB
PS	Process Submit	DGA\$PSSR
PT	Process Termination	DGA\$PTR

<b>Record ID</b>	<b>Description</b>	<b>Macro</b>
PX	Sterling Connect:Direct/Plex Activity (Leave or Join Sterling Connect:Direct/Plex)	DGA\$PXR
QE	Queue Change to EXEC Queue	DGA\$QCR
QH	Queue Change to HOLD Queue	DGA\$QCR
QT	Queue Change to TIMER Queue	DGA\$QCR
QW	Queue Change to WAIT Queue	DGA\$QCR
RE	ICO Report Record	DGA\$FRER
RF	Refresh/Update initialization parameters	DGA\$AER
RJ	Run Job	DGA\$RJTR
RO	ICO Event Record	DGA\$OEVT
RT	Run Task	DGA\$RTTR
S2	Statistics Logging Statistics	DGA\$S2R
SB	Session Begin	DGA\$SSCR
SC	Statistics Control Record	DGA\$SCR
SD	Start Sterling Connect:Direct	DGA\$SDC
SF	Statistics Format	DGA\$SFRC
SI	Signon	DGA\$SFR
SN	Select Netmap	DGA\$DTR
SO	Signoff	DGA\$SFR
SP	Select Process	DGA\$DTR
SS	Select Statistics	DGA\$DTR
ST	Stop Sterling Connect:Direct	DGA\$STDC
SU	Select User	DGA\$AER
SW	Submit within a Process	DGA\$PSSR
SY	SYSOPTS	DGA\$SYR
TF	TCQ Threshold Full	DGA\$TXR
TI	Run Task Start	DGA\$STEP
TL	TCQ Threshold Low	DGA\$TXR
TP	Throughput Record Statistics	DGA\$TPR
TR	Trap Event Record	DGA\$TRP
TS	Suspend Task	DGA\$FPTR
TW	TCQ Threshold Warning	DGA\$TXR
UM	Update Network map	DGA\$AER
UU	Update User	DGA\$AER
VP	View Process	DGA\$DTR
WO	WTO	DGA\$FWTO
WS	Select Stat Command	DGA\$DTR
XO	Trace On/Off	DGA\$XOR
ZI	SNODE Process Start	DGA\$PIR
ZT	SNODE Process Terminated	DGA\$PTR

## Record Control Block Maps

The following table lists the statistics record control block maps.

Macro Name	Description
DGA\$AER	Authorization Event Statistics Record
DGA\$CPTR	Change Process Statistics Record
DGA\$CTR	Copy Termination Statistics Record
DGA\$DPTR	Delete Process Statistics Record
DGA\$DTR	Display Termination Record
DGA\$EVR	Event Services Command Statistics Record
DGA\$FAMS	IGWFAMS (File and Attribute Management Services) Macro Statistics Record
DGA\$FIR	Long File Name Statistics Record
DGA\$FPTR	Flush and Suspend Process Statistics Record
DGA\$FRER	InterConnect Report Record containing text line from SYSPRINT (Record Type is RE)
DGA\$FRER	InterConnect Log File Records produced if LOG=YES is specified for ADD and EXTRACT operations (record type is LF)
DGA\$FWTO	WTO Statistics Record
DGA\$HWR	High Concurrent Session Count Statistics Record
DGA\$LSR	Log Swap Statistics Record
DGA\$MCR	PDS Member Copy Record
DGA\$NMR	NETMAP Updated
DGA\$OEVT	InterConnect Report Event Record containing one record per report written (Record Type is RO)
DGA\$PER	XCF Error Message Statistics Record (from Sysplex)
DGA\$PIR	Process Initiation Statistics Record
DGA\$PRRB	Performance Measurement Statistics Record
DGA\$PSSR	Submit Process Statistics Record
DGA\$PTR	Process Termination Record
DGA\$PXR	Sysplex (Sterling Connect:Direct/Plex) Statistics Record
DGA\$QCR	Process Queue Change Statistics Record
DGA\$RJCB	Run Job exit
DGA\$RJTR	Run Job Termination Record
DGA\$RTTR	Run Task Termination Record
DGA\$S2R	Statistics Logging Record
DGA\$SCMD	Statistics Command Record
DGA\$SCR	Statistics ESDS Control Record
DGA\$SDC	Start Sterling Connect:Direct Statistics Record
DGA\$SFR	Signon/Signoff Statistics Record
DGA\$SFRC	Statistics Format Record
DGA\$SGNB	Sterling Connect:Direct Secure Plus Statistics Record
DGA\$SSCR	Session Begin Record

Macro Name	Description
DGA\$STDC	Stop Sterling Connect:Direct Statistics Record
DGA\$STEP	Step Start/Copy Start Statistics Record
DGA\$SYR	SYSOPTS Record
DGA\$TPR	Throughput Record Statistics
DGA\$TQGT	GOTO Statement
DGA\$TQIF	If Statement
DGA\$TQNL	NULL Statement
DGA\$TRP	Trap Event Record
DGA\$TXR	TCQ Threshold Warning TCQ Threshold Full TCQ Threshold Low
DGA\$WRP	Statistics File Wrap Record
DGA\$XOR	TRACE On/Off Statistics Record

## Submit Exit

The Submit exit provides an interface to a user-written program when you submit a Process. With this interface, the user program can change Process information, such as Process name, priority, class, and secondary node, and copy step information such as data set name.

## Sample Submit Exits

Sterling Connect:Direct provides the following sample submit exits in \$CD.SDGASAMP library.

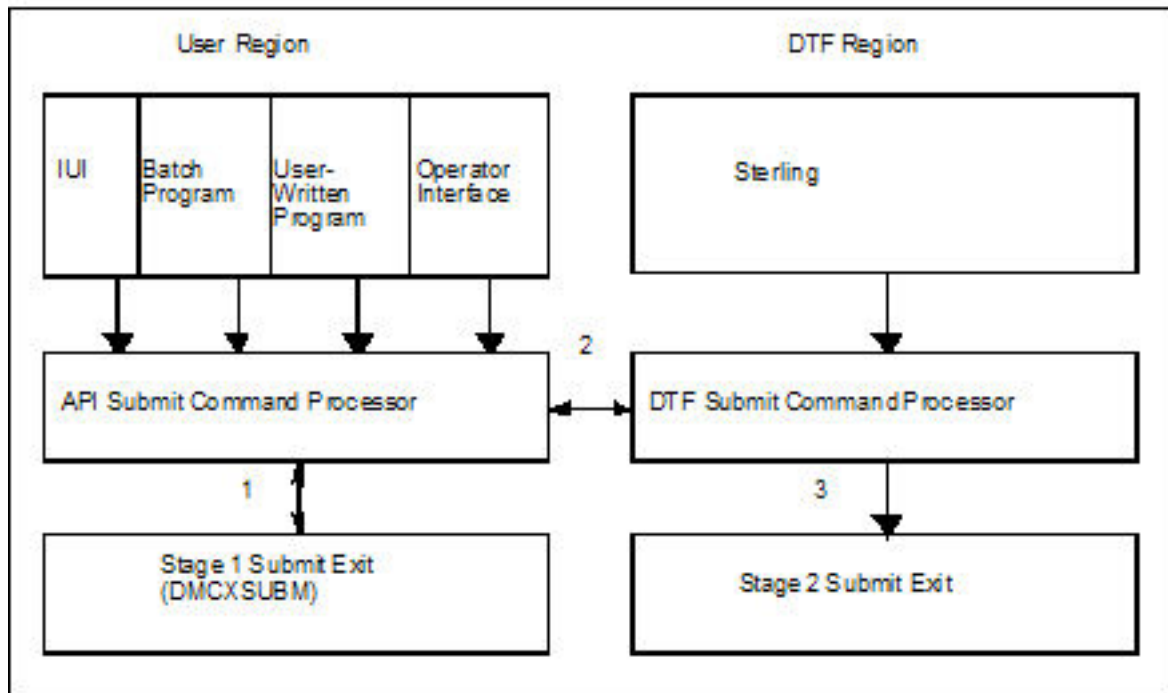
Exits	Description
DGAXSUBC	This exit sets a 0 return code to pass back to the calling program. It also locates and increments the Process class by 1. It runs either as a stage 1 or stage 2 exit.
DGAXSUBD	This exit documents the control block path to locate the source and destination data set names specified in the Process. It sets a 0 return code to pass back to the calling program. It also locates and increments the Process class by 1. It runs either as a stage 1 or stage 2 exit.
DGAXSUBX	This exit sets a return code of 0 to pass back to the calling program. It runs either as a stage 1 or stage 2 exit.
DGAXSUBN	This exit turns off all compression flags in the Process for a node defined as EXTERNAL, which is a function of the Stage2 Security exit and indicated in the NETMAP.  If it is named DMCXSUBM, it is invoked as a Stage1 submit exit for normal submits as well as a Stage2 submit exit for submits within a Process.
DGAXSUBP	This exit determines if the submitted Process contains a RUN JOB statement. If the Process contains a RUN JOB statement and the exit encounters a stage 1 password, the exit returns an error. You must then provide either a PNODEID or an SNODEID, depending on which node the Process is executing. Use the PNODEID or SNODEID to validate the RUN JOB submitted job stream through the <b>user=uid, password=pwd</b> parameter built by the RUN JOB exit.



Exits	Description
DGAXORR	This exit documents the control block path to determine whether the Process is performing a send or receive. It sets a return code of 0 to pass back to the calling program. It runs either as a stage 1 or stage 2 exit.
DGAXACCT	This exit is a Stage 1 SUBMIT exit example that shows how to update the Primary and Secondary Node accounting (PACCT and SACCT) information in the submitted Process. <b>Note:</b> To update accounting information, you must also update your SUBMIT exit.

## Submit Exit Processing Flow

The following figure illustrates the execution order of the SUBMIT command:



The Submit exit processing flow is:

1. After you issue a Sterling Connect:Direct SUBMIT command or SUBMIT statement, the API SUBMIT command processor calls the stage 1 Submit exit.
2. If the submit is successful, the API SUBMIT command processor calls the DTF SUBMIT command processor.
3. The DTF SUBMIT command processor calls the stage 2 Submit exit.

Sterling Connect:Direct provides a sample Submit exit in \$CD.SDGASAMP library, called DGAXSUBX, which you can use as a model for either the stage 1 (DMCXSUBM) or stage 2 (SUBMIT.EXIT = modname) exit. In most cases, you only need to run the stage 1 Submit exit.

## Stage 1 Submit Exit

The stage 1 Submit exit control point executes in the API address space when a SUBMIT command is processed and in the DTF address space when a SUBMIT statement is encountered in a Process. Observe the following restrictions and requirements:

- The Sterling Connect:Direct® stage 1 Submit exit is implemented as an executable load module.
- You must name the load module DMCXSUBM.
- You must link-edit the module as NORENT and NOREUS.
- You must link-edit the module with an authorization code of 1.
- The module must come from an authorized library.
- For the TSO IUI, the module must come from a library in the LNKLST or ISPLLIB. Do not put the module in a STEPLIB. The only time a STEPLIB works under ISPF is when ISPLLIB is not allocated.
- For DGADBATC and DGADCHLA, retrieve the module from a library in the LNKLST or STEPLIB.
- Because information passed to the exit by Sterling Connect:Direct is located above the 16 megabyte line, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode.

## Stage 2 Submit Exit

The stage 2 Submit exit control point executes in the DTF address space when a SUBMIT command or a SUBMIT statement is encountered. Observe the following restrictions and requirements:

- The stage 2 Submit exit is implemented as an executable load module.
- The name of the load module is user-defined, but cannot conflict with any Sterling Connect:Direct load module names.
- Activate the stage 2 Submit exit by specifying SUBMIT.EXIT=(modname) in the Sterling Connect:Direct initialization parameters.
- You must link-edit the module as re-entrant and place it in a load library that the Sterling Connect:Direct DTF can access.
- The module must come from an authorized library.
- Because information passed to the exit by Sterling Connect:Direct is located above the 16 megabyte line, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode.

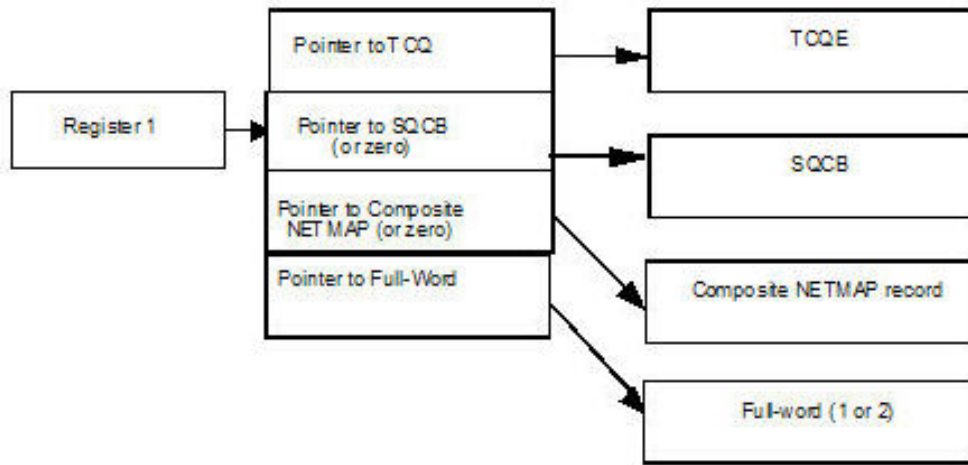
## Control Block Format

Because Submit exits are invoked before a Process is submitted, some control block fields may not be filled in. This section presents control blocks used with Process statements and NETMAP entries.

Upon entry to the Submit exit, Register 1 points to a parameter list (PLIST) as shown in the following figure. This list contains the addresses of the following components:

- TCQE
- SQCB
- Composite NETMAP record
- A full-word that contains 1 or 2 indicating whether this is a Stage 1 or Stage 2 exit

**Note:** The SQCB and composite NETMAP record addresses may be zero.



If the Process submission is rejected, the exit must set a positive non-zero value in Register 15 and the return code field (TQRTNCD) of the TCQE. A message ID in the TQMSGID field in the TCQE should also be set.

The following figure illustrates the layout of the TCQE. In the Process contained in the Statement Control Block, DMxxxxxx represents the macro name for the statement (COPY, RUN JOB, RUN TASK, SUBMIT, etc.).

TCQ Header	macro= DMTCQE DSECT= TCQE
Command Statement Header Section	macro= DGA\$TQSH DSECT= TCQSH
Statement Control Block	macro= DMxxxxxx

Displacement values found in the TCQE and pointers in the TCQSH to the next or previous TCQSH are from the top of the TCQE and may need to be multiplied by 16 (if the Process is larger than 64K). Displacement values found in the statement control blocks are from the top of the TCQSH associated with that statement control block.

The following figure illustrates the layout of the composite NETMAP record.

COMPOSITE NETMAP RECORD \$\$\$NN - displacement to ADJACENT NODE Record \$\$\$AA - displacement to ALT.COMM Record \$\$\$BA - displacement to BATCH.APPLI Record \$\$\$CA - displacement to CICS.APPLID \$\$\$DN - displacement to LDNS Record \$\$\$NA - displacement to APPLIDS Record \$\$\$ND - displacement to LUPOOL Record \$\$\$NT - displacement to TCP.API Record \$\$\$TA - displacement to TSO.APPLIDS Record \$\$\$N6 - displacement to IPv6 Record \$\$\$NU - displacement to UDT Record \$\$\$CM - displacement to COMMENT 13 Record	macro= DGA\$NETE DSECT= \$\$REC
ADJACENT NODE Record	macro= DGA\$NETD DSECT= NNODEREC
ALT.COMM Record	macro= DGA\$NETL DSECT= ALTADDRH
LDNS Record	macro= DGA\$NET\$ DSECT= DNREC
APPLIDS Record	macro= DGA\$NETA DSECT= NAAPLREC
LUPOOL Record	macro= DGA\$NET@ DSECT= NDLUPREC
TCP.API Record	macro= DGA\$NETT DSECT= NTAPIREC
IPv6 Record	macro= DGA\$NET6 DSECT= N6TCPREC

Displacement values found in the composite network map record are from the top of the composite network map.

**Note:** Modifying fields in the composite network map record is prohibited.

### Example of Created Control Block

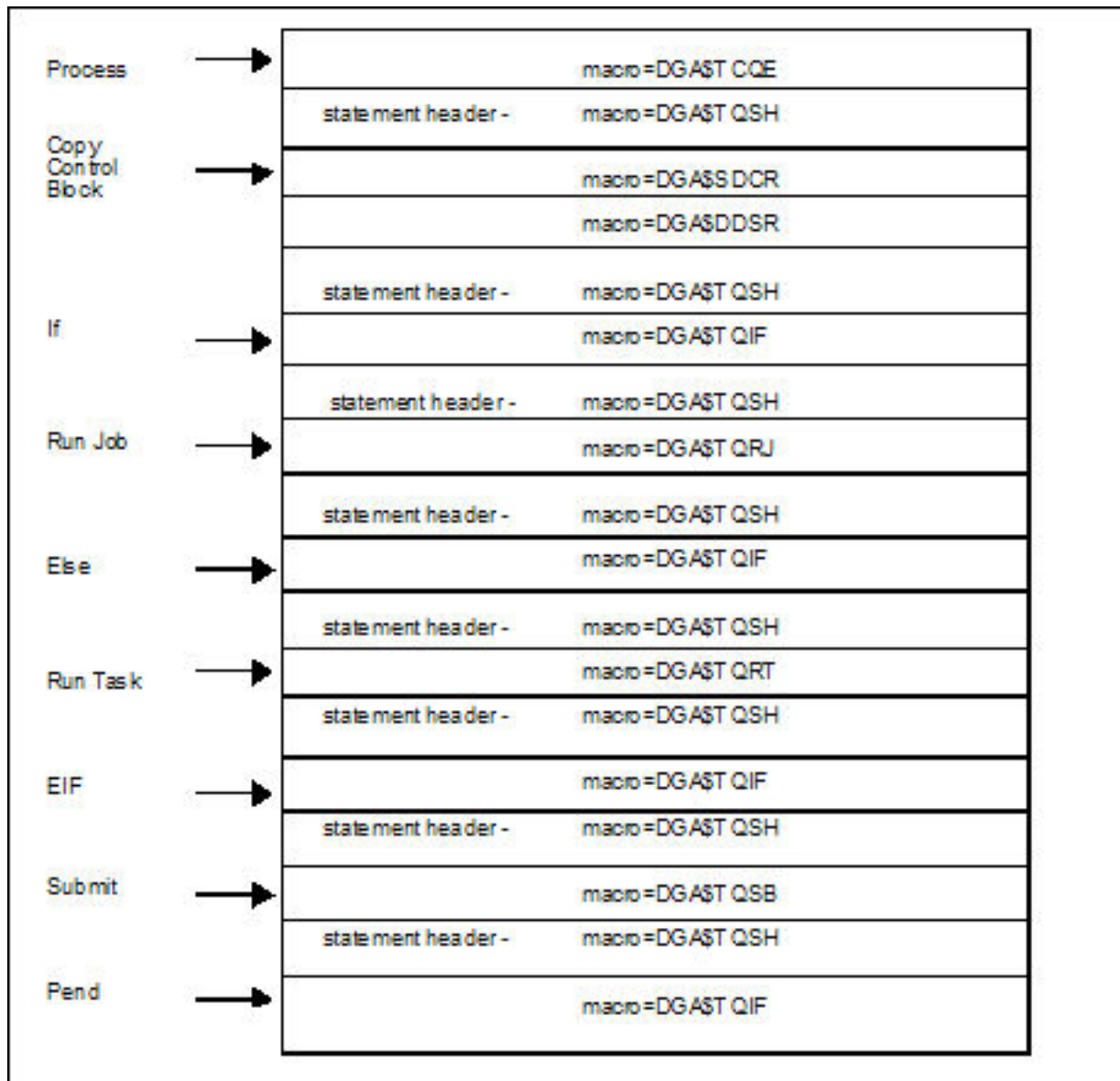
This sample section shows how a Process is submitted and the control block that is created when the Submit exit is invoked. The following figure shows the submitted Process.

```

TEST01 PROCESS SNODE=THRE
STEP01 COPY FROM (DSN=THIS.DATA.SET) -
      TO (DSN=THAT.DATA.SET DISP=OLD)
IF01 IF (STEP01=0) THEN
STEP02 RUN JOB (DSN=Z99.CONTROL(RUNJ))PNODE
      ELSE
STEP03 RUN TASK -
      (PGM=RTEXAMPL,PARM=(CL44'THIS.DATA.SET')) -
      PNODE
      EIF
STEP04 SUBMIT DSN=Z99.PROCLIB(TEST02) HOLD=Y

```

The following figure illustrates the resulting layout of the Process control block after submitting the Process named TEST01.



## Modifiable TCQE Fields

The following table describes TCQE fields that you can examine or modify using the Submit exit.

TCQE Field	Content
TQCBHLNG	contains the length of the entire TCQE header. This length added to the address of the TCQE gives the address of the TCQSH.
TQSTMTN	contains the number of statements in this Process.
TQUNODE	contains the symbolic node name for the submitter of this Process.
TQUID	contains the user ID for the submitter of this Process.
TQUPAS	contains the password for the submitter of this Process.
TQPUID	contains the security user ID at the primary node.
TQOPPAS	contains the old security password at the primary node.
TQNPPAS	contains the new security password at the primary node.
TQSUID	contains the security user ID at the secondary node.
TQOSPAS	contains the old security password at the secondary node.
TQNSPAS	contains the new security password at the secondary node.
TQRTNCD	contains the Process completion code. The user exit changes this field when an error is encountered in the exit or if the Process is no longer submitted upon return from the exit.
TQMSGID	contains the Process message ID. The user exit includes a message ID related to any return codes set in the exit.
TQCSPRD	contains the displacement to the first Process statement from the TCQE. This length added to the address of the TCQE gives the address of the TCQSH. <b>Note:</b> If TQGT64K in TQFLAGA is 1, this displacement must be multiplied by 16.
TQPARSES	contains the value of the maximum number of parallel sessions allowed for the SNODE when submitting a Process.
TQPRSBYT	contains parallel session class. See the following section for details.
TQPRSBIT	contains parallel session class. See the following section for details.
TQPROCNM	contains the name of the submitted Process.
TQSCHDTE	contains the Julian date the Process is scheduled to submit.
TQSCHTME	contains the time of day the Process is scheduled to submit.
TQSCHDAY	contains the day of the week that the Process is scheduled to submit.
TQPRTY	contains the priority for Process selection.
TQRETAIN	contains the retain status for the Process.
TQTODFLG	contains the following interval control flags:  - If TQTODTD is on, a Process has a scheduled time and date it is submitted.  - If TQTOTME is on, a Process has a scheduled time it is submitted.  - If TQTODDAY is on, a Process has a scheduled day of the week it is submitted.  - If TQTODINT is on, a Process is scheduled to run when a specified interval expires.
TQPNODE	contains the symbolic node ID of the primary node.
TQSNODE	contains the symbolic node ID of the secondary node.
TQSTATUS	contains the Process status.

An exception to the table entry TQPARSE occurs in the stage 1 Submit exit. The stage 1 exit runs in the user address space (API) and the network map associated with that address space is where this information is retrieved. The network map used by the API may not be the same network map used by the DTF. The stage 2 Submit exit runs in the DTF address space and is invoked for every submit that takes place; therefore, the stage 2 Submit exit is more reliable.

## Conversion of Parallel Session Values

The session class value is stored in two bytes (TQPRSBY and TQPRSBIT) in the TCQE. The specified class can be derived from these values. The following table shows a sample of the two bytes for the first 16 classes (maximum is 256).

TQPRSBY	TQPRSBIT	CLASS
00	80	1
00	40	2
00	20	3
00	10	4
00	08	5
00	04	6
00	02	7
00	01	8
01	80	9
01	40	10
01	20	11
01	10	12
01	08	13
01	04	14
01	02	15

---

## ALLOCATION EXIT

The Sterling Connect:Direct allocation exit provides an interface to a user-written program. If you supply a user exit in the initialization parameters, Sterling Connect:Direct invokes the exit prior to any allocation activity by the receiving Sterling Connect:Direct. Through the exit, you can change information that Sterling Connect:Direct uses during the allocation Process. You can examine or modify information such as data set name (DSN) and type record name or set fields to terminate the copy step prior to allocation.

### Sample Allocation Exits

Sterling Connect:Direct provides the following sample allocation exits in the \$CD.SDGASAMP library.

Exits	Description
DGAXADSN	This exit documents the path of the data set name and unit that receives data during a COPY. It runs just prior to data set allocation. It is invoked for every COPY step on the receiving end of a transfer.

Exits	Description
DGAXUNIQ	<p>This exit creates a unique z/OS PDS member name, if the data set name specified in the COPY TO statement is found in the PDS directory.</p> <p>Each request can only specify one member name. The COPY TO statement must specify the member name. The COPY TO statement must also specify SYSOPTS="UNIQUE=YES".</p> <p>The exit only supports copying sequential files to a PDS member.</p>
DGAXALOX	<p>This exit enables a Data exit to be invoked for any or every copy performed by Sterling Connect:Direct.</p>
DGAXA390	<p>This exit converts UNIT=3390 to UNIT=SYSDA.</p>
DGAXALEX	<p>This exit shows how to access the VSAMPL and the TCQSH, and both the source and destination description in the TCQSH. It shows how to change a value in the Data Set Description Control Blocks (DGA\$DDSR or DGA\$SDCR) and set a return code and message ID before return.</p>



Exits	Description
DGAXARCL	<p>In a COPY Process, this exit checks if a data set is archived or migrated. If the data set is archived, the exit requests retrieval and tells the COPY Process to go to the Timer Retry queue (TI RE), from which it is retried based on the ALLOC.WAIT and ALLOC.RETRIES initialization parameters. To ensure that the serially reusable operating system SYSZTIOT resource is freed up for subsequent users and does not cause the Sterling Connect:Direct region to hang in a wait condition, use the DMGALRCL exit and specify ARCH as the value for the ALLOC.CODES initialization parameter. Change the setting of the INVOKE.ALLOC.EXIT global initialization parameter to BOTH if using this exit. If running with the CA-DMS product, you must modify the sample exit code as described in the comments at the beginning of the sample code. If you use this exit, add the following DD Statement to the DTF JCL:</p> <pre data-bbox="565 520 932 548">//READER DD SYSOUT=(A,INTRDR)</pre> <p><b>Note:</b> This exit is not necessary to process migrated or archived data sets. Sterling Connect:Direct processes recalled data sets synchronously and the COPY Process remains in the Execution queue instead of being diverted to the Timer Retry queue and potentially to the Hold queue should retry limits be exceeded.</p> <p>In releases prior to Version 4.7, when the DGAXARCL (alias of DMGALRCL) allocation exit attempted to recall a migrated or archived data set offline, the Process went into fail state and was taken out of the Execution queue and put into the Timer Retry (TI RE) queue. As Sterling Connect:Direct waited for the allocation and recall to be performed asynchronously, it would retry the Process based on the ALLOC.WAIT and ALLOC.RETRIES initialization parameters. If the Process exceeded the maximum time limit specified for retrying it (number of retries as specified by the ALLOC.RETRIES initialization parameter multiplied by the amount of time that Sterling Connect:Direct waits between retries as specified by the ALLOC.WAIT initialization parameter), the Process was put into the Hold queue requiring manual intervention.</p> <p>In Version 4.7 and later, when Sterling Connect:Direct executes a COPY Process without the DMGALRCL exit being present, it will use the ARCHRCAL macro synchronously, which means that the Process stays in the Execution queue not having to loop between the Execution, Timer, and Hold queues. The Process does not terminate while the recall operation is being performed but if the recall is unsuccessful, the COPY step produces a return code indicating the unsuccessful data set recall and instructs the user to correct the error and resubmit the Process.</p> <p>Although it is not needed for data recall, the DGAXARCL exit will continue to be supported and invoked prior to any allocation activity if the ALLOCATION.EXIT=DGAXARCL initialization parameter is specified. However, this exit is no longer required to process migrated or archived data sets.</p> <p>Caution: If the sample exit is not being used and a migration/recall product is not active, installed, or is temporarily down, the following messages are displayed:</p> <pre data-bbox="396 1413 1149 1488">ARC0050A DFSMSHSM IS NOT ACTIVE - START DFSMSHSM ARC0051A JOB xxxxxxxx WAITING FOR DFSMSHSM TO RECALL DSN=dsname *73 ARC0055A REPLY 'GO' OR 'CANCEL'</pre> <p>To proceed with the allocation, you must reply. Please refer to IBM documentation regarding the ARCxxxxA messages. If you reply CANCEL to the ARC0055A message, the Process completes with MSGID=SDE021CI. If 21C is in the ALLOC.CODES= list, the Process retries; otherwise, the Process terminates.</p>
DGAXPALL	This exit provides %PNUM substitution in a Process.

## Restrictions and Requirements

Observe the following restrictions and requirements:

- The name of the allocation exit load module is user-defined, but it must not conflict with any other Sterling Connect:Direct load module names.

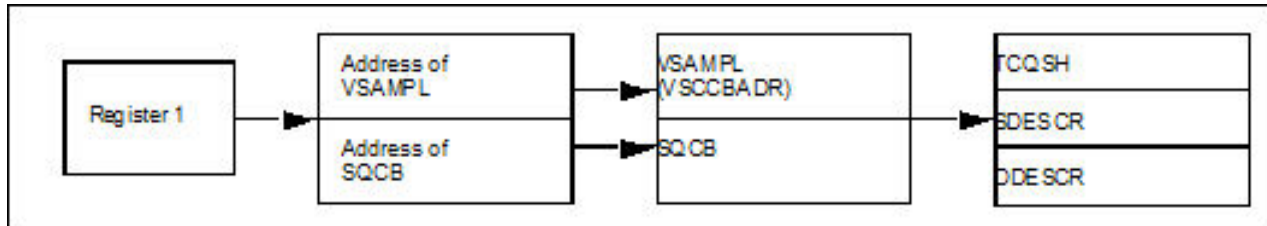
- Because the control blocks provided by Sterling Connect:Direct that the exit must access are located in storage requiring 31-bit addressability, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode.
- To activate the exit, specify ALLOCATION.EXIT=modname in the Sterling Connect:Direct initialization parameters file. You must link-edit the allocation exit as re-entrant and place it in a load library that the Sterling Connect:Direct DTF can access.
- If an exit is not working, check the setting of the INVOKE.ALLOC.EXIT global initialization parameter, which should be one of the following:
  - RECV - Invokes the allocation exit when receiving a file.
  - SEND - Invokes the allocation exit when sending a file.
  - BOTH - Invokes the allocation exit both when sending and receiving a file. This is the default setting.

## How the Allocation Exit Executes

The allocation exit executes in the DTF address space when the following conditions exist:

- The allocation exit is specified in the initialization parameters.
- A file is being received, and the Process step that initiated the copy is not in restart mode.

The following figure illustrates the structure of the parameter list for the allocation exit.



The following table is a list of the allocation exit parameters.

Parameter	Explanation
R1	Register 1 that contains the address of a standard parameter list upon entry into the user-written allocation exit
PLIST	Stands for standard parameter list
SQCB	Security control block
VSAMPL	Stands for VSAM parameter list, whose address is the first full word in the PLIST
VSCCBADR	Address of the Process step header and is contained in VSAMPL
TCQSH	Process step header portion of the Copy control block (each step of a Process generates a TCQSH)
SDESCR	Source data set descriptor portion of the Copy control block
DDESCR	Destination data set descriptor portion of the Copy Control Block (a sample of the DDESCR Control Block is included in this section)

The following macros map the control block structures.

Macro	Explanation
DGA\$VSMP	Macro that defines the VSAMPL control block
DGA\$TQSH	Macro that defines the TCQSH portion of the Copy control block
DGA\$SDCR	Macro that defines the SDESCR portion of the Copy control block
DGA\$DDSR	Macro that defines the DDESCR portion of the Copy control block

## How to Calculate Addresses and Values

Upon entry into the user-written allocation exit, register 1 contains the address of a standard parameter list. The first entry in the PLIST contains the address of the VSAMPL. The second entry in the PLIST contains the address of the SQCB, if available. The VSCCBADR field in the VSAMPL contains the address of the Process step header, TCQSH. The SDESCR and DDESCR following the TCQSH is found by adding displacements to the TCQSH address.

- To calculate the location of SDESCR, add the length of TCQSH (TSHCBHLN) to the TCQSH address (VSCCBADR).
- To calculate the location of DDESCR, add the length of SDESCR (S1SVSLNG) to the SDESCR address.

All displacement values in the Copy control block are referenced from the beginning of the TCQSH control block.

SDESCR and DDESCR contain both fixed length fields and offsets to variable length fields. The allocation exit modifies any fixed length field in DDESCR.

The fields that are referenced in SDESCR and DDESCR using displacement values are variable in length. Do not modify them with the allocation exit.

The DSN field is created with enough space to hold a 100-character name only if the TSEXPDSN bit is on (set) in the TCQSH. If the TSEXPDSN bit is off in the TCQSH, then the Copy control block does not contain the room to expand the DSN. This lack of expansion room means that this copy originated from a Sterling Connect:Direct node that did not build the copy control block with an expandable DSN field.

You can find the DSN field by adding D1DDSN to the address of the TCQSH. The DSN field contains a 2-byte length field followed by the DSN. Even though the field can be up to 100 bytes long, the 2-byte length field contains the actual length of the DSN. If you change the length of the DSN, you must modify the 2-byte length field accordingly. The other variable length fields are created with their current values and cannot be lengthened. Do not modify the D1DDSN field.

When allocating the destination file, Sterling Connect:Direct first uses values from DDESCR, as specified in the Sterling Connect:Direct COPY statement. Any values needed, but not set in DDESCR, are taken from the Type record, if one was specified. Any remaining values are taken from the SDESCR portion of the copy control block.

**Note:** If the D1DTYPE field is modified by the allocation exit, the exit must clear fields in the DDESCR portion of the copy control block that overrides the corresponding Type fields from the Type record.

## Copy Control Block Definitions

Copy control block definitions are generated in the allocation exit program by including the macro name followed by DSECT=YES, as follows:

- DGA\$VSMP DSECT=YES
- DGA\$TQSH DSECT=YES
- DGA\$DDSR DSECT=YES
- DGA\$SDCR DSECT=YES

## Copy Control Block Modifications

Modify only the VSAMPL and DDESCR control blocks. For the VSAMPL control block, you are required to modify the VSRTNCD and VSMSGID fields as follows:

- Set the VSRTNCD field (and R15) to 0 to allow the Copy step to execute.
- Set the VSRTNCD field (and R15) to a nonzero value to fail the Copy step.
- Insert a message ID into the VSMSGID field, if the VSRTNCD is set to a nonzero value. Take precaution to avoid duplicating existing message IDs.
- Place the message text corresponding to these message IDs in the Sterling Connect:Direct message file.

## DDESCR Control Block Format

The DGA\$DDSR member of the \$CD.SDGAMAC library lists the DDESCR control block format. You can modify the control block fields listed in the following table. Do NOT modify any displacement fields listed in the member.

**Note:** Turning a flag on means setting the bit in the byte where the flag is located to one. Turning a flag off means setting the bit in the byte where the flag is located to a zero.

**Attention:**

Do not modify the fields D1DMEMB, D1DDSN, and D1DVOLN. These fields represent displacements to their corresponding values. However, after calculating the address of the values (by adding the displacement to the address of the TCQSH) Sterling Connect:Direct can change the actual values. At the calculated address, you will find a halfword field representing the length of the data that follows. If you change the length of the data, you must also change this halfword to reflect the new length. If the displacement to one of these fields is 0, do not insert a value or displacement. For example, if D1DMEMB=0, no member name was specified and a member name cannot be inserted. Do not set on the D1MEMNAM flag if D1DMEMB=0.

The same applies to D1DDSN. For D1DVOLN, if the displacement is 0, you cannot modify this field or turn on the D1DVOLSER flag. Also, you cannot add volume serial numbers to this list. You can delete volume serial numbers from the list or change the volume serial number. If volume serial numbers are deleted, decrement the length field by 6 for each one deleted. If all volume serial numbers are deleted, make D1DVOLN=0 and turn off the D1VOLSER bit.

Field	Explanation	Use Instructions
D1DTYPE	entry in the Sterling Connect:Direct type defaults file	N/A

Field	Explanation	Use Instructions
D1BLKSIZ	block size	To use the block size in the destination data set description portion of the copy control block, set D1BLKSZE flag on. To use the block size in the Type record, set the D1BLKSZE flag off.
D1DEN	tape density	To use the tape density indicated in the destination data set portion of the Copy control block, set the D1DENSTY flag on.
D1DSORG	data set organization	To use this value, set the D1DSORGN flag on. To use the value indicated in the type record, set the D1DSORGN flag off.
D1LRECL	logical record length	To use this value, set the D1LRECLN flag on. To use the value indicated in the type record, set the D1LRECLN flag off.
D1RECFM	record format	To use this value, set the D1RECFMT flag on. To use the value indicated in the type record, set the D1RECFMT flag off.
D1RKP	relative key position	To use this value, set the D1RKYP flag on.
D1TRTCH	7-track recording mode	To use this value, set the D1TRKTCH flag on.
D1LABTYP	label type	To use this value, set the D1LABEL flag on.
D1RETPD	retention period	To use this value, set the D1RETPRD flag on. To use the value indicated in the D1EXPDT field, set the D1RETPRD flag off.
D1EXPDT	retention period	To use this value, set the D1EXPDTE flag on.
D1PRILOC	primary allocation amount	All of the following bits must be set off to use the space allocation values specified in the type record: D1TRK, D1CYL, D1BLK.
D1SECLOC	secondary allocation amount	To use this value, set the D1SECALL flag on. Set all of the following bits to off to use the space allocation values specified in the type record: D1TRK, D1CYL, D1BLK.
D1DIRBLK	number of directory blocks	To use this value, set the D1DIRBLK flag on. Set all of the following bits to off to use the space allocation values specified in the type record: D1TRK, D1CYL, D1BLK.
D1UNIT	unit type or group name	To use this value, set the D1GRPTYP flag on. To use the value indicated in the type record, set the D1GRPTYP off. Set all of the following bits to off to use the UNIT value specified in the type record: D1UNCNT, D1P, D1DEFER, D1GRPTYP.
D1VOLSEQ	volume sequence number	To use this value, set the D1VOLSQ flag on.
D1VOLCT	volume count	To use this value, set the D1VOLCNT flag on.
D1PASSWD	data set password	To use this value, set the D1PWD flag on.
D1DMEMB	displacement to the member name field†	N/A
D1DDSN	displacement to the data set name field†	N/A

Field	Explanation	Use Instructions
DIDVOLN	displacement to the volume serial number†	N/A

† See the Caution that precedes this table.

## I/O Exit

The Sterling Connect:Direct I/O exit provides an interface to user-written programs, allowing them to read and write data to or from a file whose organization Sterling Connect:Direct does not support or would improperly access. Examples are internal format access to CA-LIBRARIAN or CA-PANVALET files.

**Note:** Checkpoint/restart is not supported for I/O exits.

If you plan to use an I/O exit, consider the following items:

- All I/O exits must be re-entrant, AMODE 31, follow IBM Assembler linkage standards, and reside in an authorized load library on the node where they are referenced. These exits must not alter any Sterling Connect:Direct control block fields (except in the EXTTCB as indicated in I/O Exit Access to Control Blocks). If other Sterling Connect:Direct control block fields are altered, the results are unpredictable.
- If an ALLOCATION EXIT is specified, it is not given control when the COPY statement contains an IOEXIT keyword.
- Add any message IDs specified by an I/O exit to the Sterling Connect:Direct Message file. See Custom Messages in the Message Library for instructions.
- Return from the I/O exit in the AMODE under which it was called. For example, if the I/O exit is called in 31-bit mode, the return must be in that mode. Therefore, if Sterling Connect:Direct is running on an XA system, return from an I/O exit through a Branch Set Mode (BSM) instruction rather than a Branch (BR) instruction.

After you write the I/O exit to satisfy your specific data set requirements, implement it by specifying the exit name on the IOEXIT keyword on a COPY statement, or on the INSERT and UPDATE TYPE file commands.

## Sample I/O Exit

The following sample I/O exit is provided in \$CD.SDGASAMP library.

Exits	Description
DGAXIOX1	This exit processes external data sets whose formats are not supported. It allocates, opens, reads, closes and deallocates a sequential data set.

**Note:** The I/O exit, DGADSIOX, enables you to copy SMS-compressed data without decompressing and also provides support for copying wildcard-named files. However, you cannot modify this I/O exit. For more information, see *Utility Programs* in *IBM Sterling Connect:Direct for z/OS User Guide*.

## Specifying the I/O Exit in the COPY Statement

Include the IOEXIT keyword on the COPY statement to indicate that an I/O exit is used. The IOEXIT format on the COPY statement follows.

Statement	Parameters
COPY	FROM ( IOEXIT= exitname   (exitname[,parameter,...]) )
	TO ( IOEXIT= exitname   (exitname[,parameter,...]) )

The subparameters of the IOEXIT parameter of the COPY statement are:

Parameter	Description
exitname	Name of the user-written program to receive control for I/O-related requests.
parameter	Parameter or list of parameters passed to the exit. Their format is the same format as those parameters which you can specify on the RUN TASK statement.

The IOEXIT keyword is valid in either the FROM or TO areas of the COPY statement. This capability enables you to specify a different user-written I/O exit on each side as illustrated in following example.

COPY	FROM	(PNODE, - IOEXIT=(INEXT01,C'DB0A05',X'0E')) -
	TO	(SNODE, - IOEXIT=OUEXT03)

If you specify an exit, it can ignore the values of other parameters in the COPY statement (the DCB information). This issue is beyond the control of Sterling Connect:Direct. For information on using the I/O exit through the COPY statement, see the Connect:Direct Process Language help.

## Specify the I/O Exit in the TYPE File

Another method of specifying an I/O exit is to include the IOEXIT keyword on the INSERT and UPDATE Type file commands. The format is the same as the COPY statement. If you specify an IOEXIT parameter on the COPY statement, it overrides any IOEXIT in the Type file entry. The type defaults record must reside on the copy side (source or destination) that references it.

## I/O Exit Access to Control Blocks

On entry to the exit, register 1 (R1) contains the address of the pointer to the EXTCB (Exit Control Block). The parameter list addresses point to a 2-byte length followed by the value.

All parameter lists end with the high order bit on in the last address in the list. The macro DGA\$XTCB generates the EXTCB. DGA\$XTCB is supplied in the \$CD.SDGAMAC library.

## I/O Exit Requests

The I/O exit is called with Sterling Connect:Direct requests that are found in EXTOPER, which is a field in EXTCB. The following are the requests that the input and output I/O exits receive:

### BEGIN Request

Sterling Connect:Direct makes a BEGIN request to an I/O exit when it begins communication with the exit. The BEGIN is when the exit must allocate work areas in preparation for future requests and is the first request that an I/O exit receives.

### OPEN Request

Sterling Connect:Direct makes an OPEN request to an I/O exit when the exit allocates and opens the file. EXTDIR contains either S (Send) or R (Received) to indicate whether the file is to be read or written. The I/O exit uses EXTWKARA to anchor any storage obtained and set EXTMAXLN to the maximum record length.

### INFO Request

Sterling Connect:Direct makes an INFO request to an I/O exit when it wants the exit to retrieve the file attributes and place them into the INFO area (mapped by the DMINFO macro) which is pointed to by EXTVSWRK. These data set attributes are required by Sterling Connect:Direct.

Set the following fields in the INFO control block. The values listed are an example of those needed for a sequential data set.

```
INBLKSZ = F'80' block size
INLRECL = F'80' record size
INTYPE = CL4'PS' data set organization
INRECFM = CL4' ' blank
INUNIT = CL8' ' blank
INBLKS = F'0' nulls
INUSEBLK = F'0' nulls
INBLKTRK = F'0' nulls
INTRKCYL = F'0' nulls
IN2NDRY = 8C'0' character zeros
INLOCTYP = CL3' ' blanks
```

### GET Request

Sterling Connect:Direct makes a GET request to an I/O exit when it wants a record/block read into the buffer. EXTINLNG is set to the length of the data. EXTINARA points to the record obtained.

The exit must indicate normal END-OF-DATA condition to Sterling Connect:Direct by returning a value of EXTRCEOD in EXTRTNCD. You may indicate other conditions by providing other values in the previously mentioned fields. The EXTRCEOD in EXTRTNCD values enable Sterling Connect:Direct to issue messages that are added to the Sterling Connect:Direct message file.

The IOEXIT must allocate a buffer for the input record/block. To determine if Sterling Connect:Direct is expecting a record or block, the IOEXIT must examine the source LRECL, BLKSIZE, RECFM and destination LRECL, BLKSIZE, RECFM fields in the EXIT control block. If the source and destination data set attributes match, then Sterling Connect:Direct is expecting a physical block from the IOEXIT, otherwise a logical record is expected.

### ADD Request



Sterling Connect:Direct makes an ADD request to an I/O exit when it wants a record/block to be inserted. EXTOTLNG is set to the length of the data. EXTOTARA points to the new record/block.

Sterling Connect:Direct always sends RECFM=VS and RECFM=VBS files in segments rather than records. If EXTSPAN is set to Y, the data pointed to by EXTOTARA has two segments. Refer to the IBM library of manuals for information on the format of spanned records. The buffer referenced by EXTOTARA contains a physical block if the source and destination data set attributes match; otherwise, it contains a logical record.

#### **CLOSE Request**

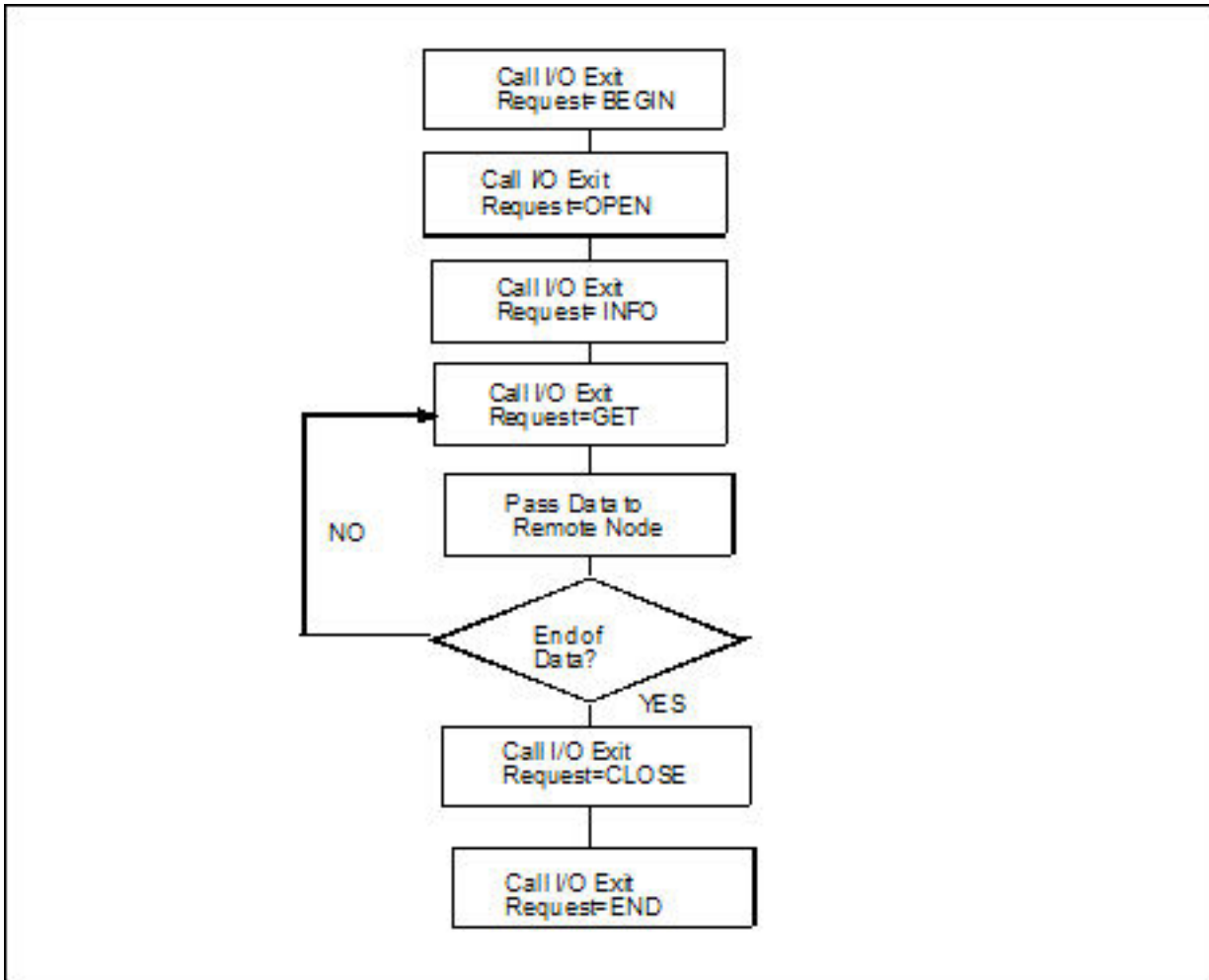
Sterling Connect:Direct makes a CLOSE request to an I/O exit when the file closes. Errors returned by the exit on this request are ignored. The EXTABN flag is activated if the CLOSE request is due to abnormal termination.

#### **END Request**

Sterling Connect:Direct makes the END request to an I/O exit to end communication with the exit. The exit releases any work areas allocated when it received the BEGIN request. This request is the last request an I/O exit receives.

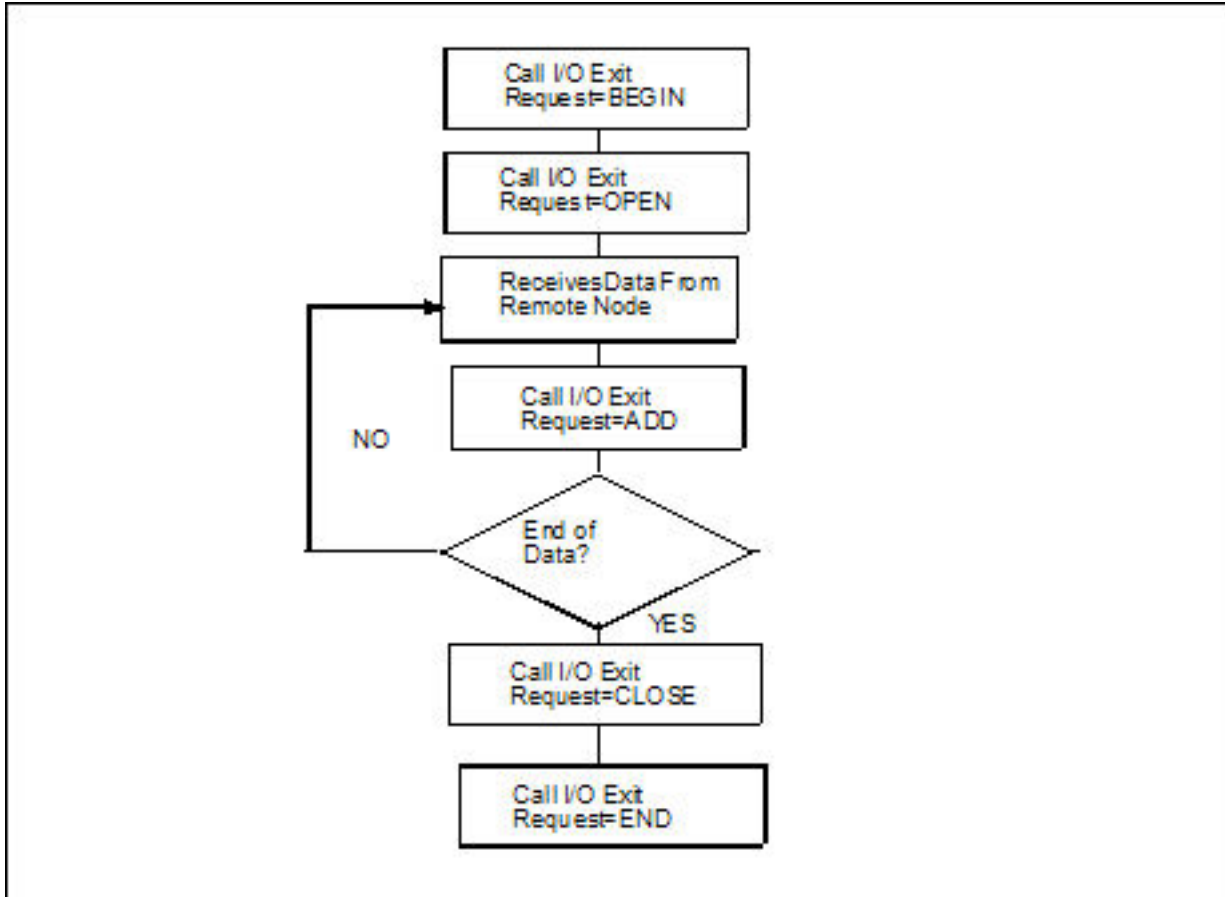
### **Normal Input Calling Sequence**

The following figure illustrates the normal call sequence for an I/O exit used for input.



### Normal Output Calling Sequence

The following figure illustrates the normal calling sequence of an I/O exit used for output.



## Data Exit

The Data exit functions similarly to the I/O exit; however, the Data exit does not require the I/O management that the I/O exit requires. The Data exit provides an interface to user-written programs, allowing them to add, delete, change, or insert records.

The Data exit is called through the DATAEXIT parameter in the COPY statement or a keyword parameter supplied within the SYSOPTS string.

**Note:** Checkpoint/restart is supported for Data exits.

### DATAEXIT Format

The DATAEXIT format in the COPY statement follows.

Statement	Parameters
COPY	FROM (DATAEXIT= exitname   (exitname[,parameter,...]))
	TO (DATAEXIT= exitname   (exitname[,parameter,...]))

The DATAEXIT subparameters are:

Parameter	Description
exitname	The name of the user-written program that receives control for data requests.
parameter	A parameter or list of parameters passed to the exit. See the RUN TASK statement.

The following example shows the DATAEXIT parameter in the COPY statement.

COPY01	COPY	FROM	(PNODE DSN=GJONES1.FROM.DSN DATAEXIT=(CD\$DGAXDXX01,CL6'WEEKLY') )	-	-
		TO	(SNODE DSN=GJONES1.TO.DSN DCB=(DSORG=PS,LRECL=80,BLKSIZE=32000) DISP=(NEW,DELETE,DELETE) SPACE=(CYL,(1,0,0)) DATAEXIT=(CDDATAEX,CL44'GJONES1.TO.DSN') UNIT=SYSDA)	-	-

The following example shows DATAEXIT used as a SYSOPTS parameter.

COPY01	COPY	FROM	(PNODE DSN=GJONES1.FROM.DSN SYSOPTS="DATAEXIT=(CD\$DXX01,CL6'WEEKLY')" )	-	-
		TO	(SNODE DSN=GJONES1.TO.DSN DCB=(DSORG=PS,LRECL=80,BLKSIZE=32000) DISP=(NEW,DELETE,DELETE) SPACE=(CYL,(1,0,0)) DATAEXIT=(CDDATAEX,CL44'GJONES1.TO.DSN') UNIT=SYSDA)	-	-

## Sample Data Exits

The following DATAEXIT samples are provided in \$CD.SDGASAMP library.

Exits	Description
DGAXDXX1	This sample Data exit examines or changes records from a COPY Process based on input data. You can use it to insert, replace, or delete records.
DGAXDXX2	This sample Data exit converts data from EBCDIC to ASCII if sending a file, or from ASCII to EBCDIC if receiving a file.

If you plan to use a Data exit, consider the following items:

- All Data exits must be re-entrant, follow IBM Assembler linkage standards, and reside in an authorized load library on the node where they are referenced. These exits must not alter any Sterling Connect:Direct control block fields (except in the EXTCB as indicated in Data Exit Access to Control Blocks). If other Sterling Connect:Direct control block fields are altered, the results are unpredictable.
- Add any message IDs specified by a Data exit to the Sterling Connect:Direct Message file. See Custom Messages in the Message Library for instructions.
- Return from the Data exit in the AMODE under which it was called. For example, if the Data exit is called in 31-bit mode, the return must be in that mode. Return from a Data exit through a Branch Set Mode (BSM) instruction rather than a Branch (BR) instruction.

After you write the Data exit to satisfy your specific data set requirements, implement it by specifying the exit name on the DATAEXIT keyword on a COPY statement.

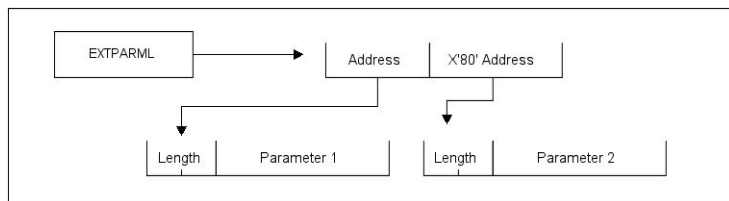
## Data Exit Access to Control Blocks

On entry to the exit, register 1 (R1) contains the address of the pointer to an 8-byte parameter list. The address consists of:

- +Pointer to a 4K storage area that is constant throughout the Data exit step. This area is mapped using the DXPARM label within the DGA\$VSMP macro in \$CD.SDGAMAC library.
- +Pointer to the EXTTCB (mapped by the DGA\$XTCB macro in \$CD.SDGAMAC library).

If parameters are passed to the Data exit, the EXTPARML field in EXTTCB points to a standard z/OS parameter list, pointing to the parameters (half-word length followed by the parameter itself) passed to the Data exit. If no parameters are passed to the Data exit, EXTPARML points to a full-word field of binary zeros.

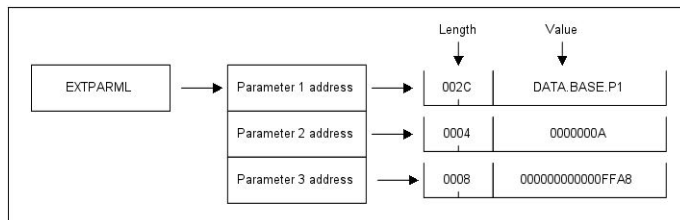
For example, if two parameters are passed to the Data exit, EXTPARML in EXTTCB points to two full word pointers (the second pointer will have the high order bit on indicating the last parameter). Each of the pointers point to a half-word length followed by the parameter value as follows.



As another example, assume the following Data exit call.

```
DATAEXIT= (MYTASK,CL44'DATA.BASE.PI' -
          F'0010',XL8'FFAB')
```

Based on this call, the information passed to the exit program is displayed as follows.



To adhere to common linkage standards, Sterling Connect:Direct sets the list termination bit (X'80') in the Parameter 3 address.

## Data Exit Requests

The Data exit is called with Sterling Connect:Direct requests that are found in EXTOPER, which is a field in EXTTCB. Input and output Data exits receive the following requests:

**Note:** Upon return from the Data exit, any nonzero value in EXTRTNCD causes the Process to terminate with RC=8 and an SCPA049I message.

### BEGIN Request

Sterling Connect:Direct makes a BEGIN request to a Data exit when it begins communication with the exit. The exit is passed to a 4K work area that remains constant throughout this step in the Process. If additional storage is required, it can be obtained and anchored in the 4K work area.

### OPEN Request

Sterling Connect:Direct makes an OPEN request to a Data exit after the file is open and before the first data record is read.

### GET Request

Sterling Connect:Direct makes a GET request to a Data exit before adding the record to the buffer for transmission to the remote. At this point, the Data exit instructs Sterling Connect:Direct to pass the record unchanged, change the record, delete the record, or insert records by setting the appropriate flag bit in EXTEAI in the EXTCB.

Flag bit setting	Action
All bits off	Pass the record unchanged
EXTEAIRR	Replace the record. Update EXTINARA to point to the new record and EXTINLNG with the new record length.
EXTEAIRD	Delete the record.
EXTEAIRI	<p>Insert a new record. (The next call present the original record again and you can continue to insert records.) If pointing to a new record, set EXTEAIRR. Update EXTINARA to point to the new record and EXTINLNG with the new record length.</p> <p>If records are inserted in place of an original record, the original record delete the original record after the inserted records are passed.</p>

### PUT Request

Sterling Connect:Direct makes a PUT request to the Data exit before sending the record to the z/OS I/O system (IOS). At this point, the Data exit instructs Sterling Connect:Direct to pass the record unchanged, change the record, delete the record, or insert records by setting the appropriate flag bit in EXTEAI in the EXTCB.

Flag bit setting	Action
All bits off	Pass the record unchanged.
EXTEAIRR	Replace the record. Update EXTOTARA to point to the new record and EXTOTLNG with the new record length.
EXTEAIRD	Delete the record.
EXTEAIRI	<p>Insert a new record. (The next call presents the original record again and you can continue to insert records.) If pointing to a new record, set EXTEAIRR. Update EXTOTARA to point to the new record and EXTOTLNG with the new record length.</p> <p>If records are inserted in place of an original record, delete the original record after the inserted records are passed.</p>

### CLOSE Request

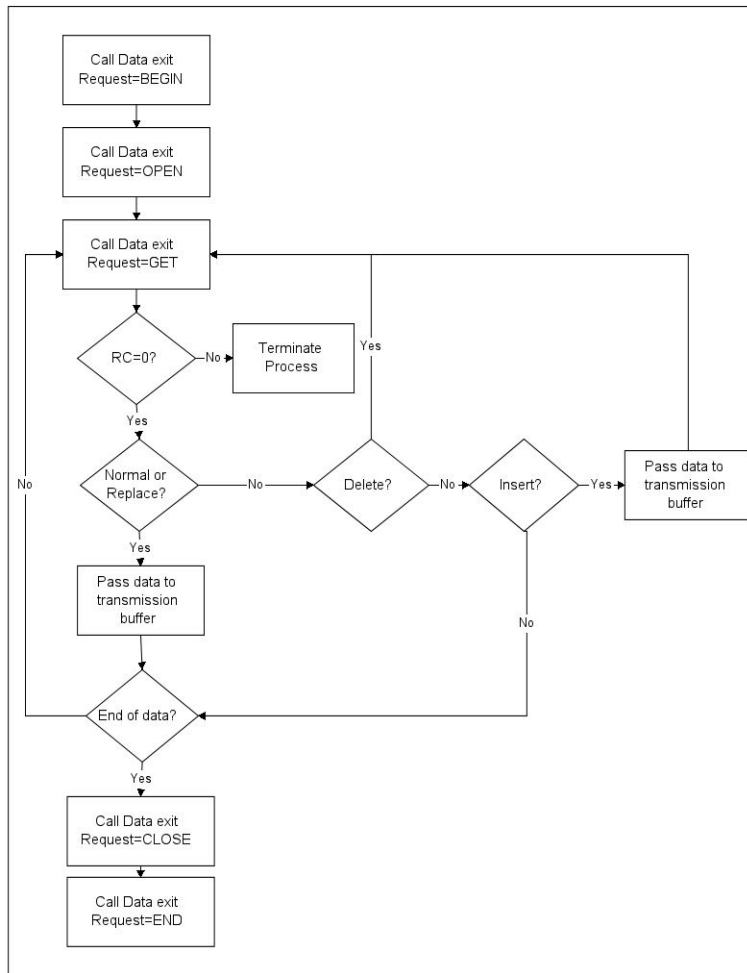
Sterling Connect:Direct makes a CLOSE request to a Data exit when the file is to close.

### END Request

Sterling Connect:Direct makes the END request to a Data exit to end communication with the exit. The exit releases any work areas allocated when it received the BEGIN request. This request is the last request a Data exit receives.

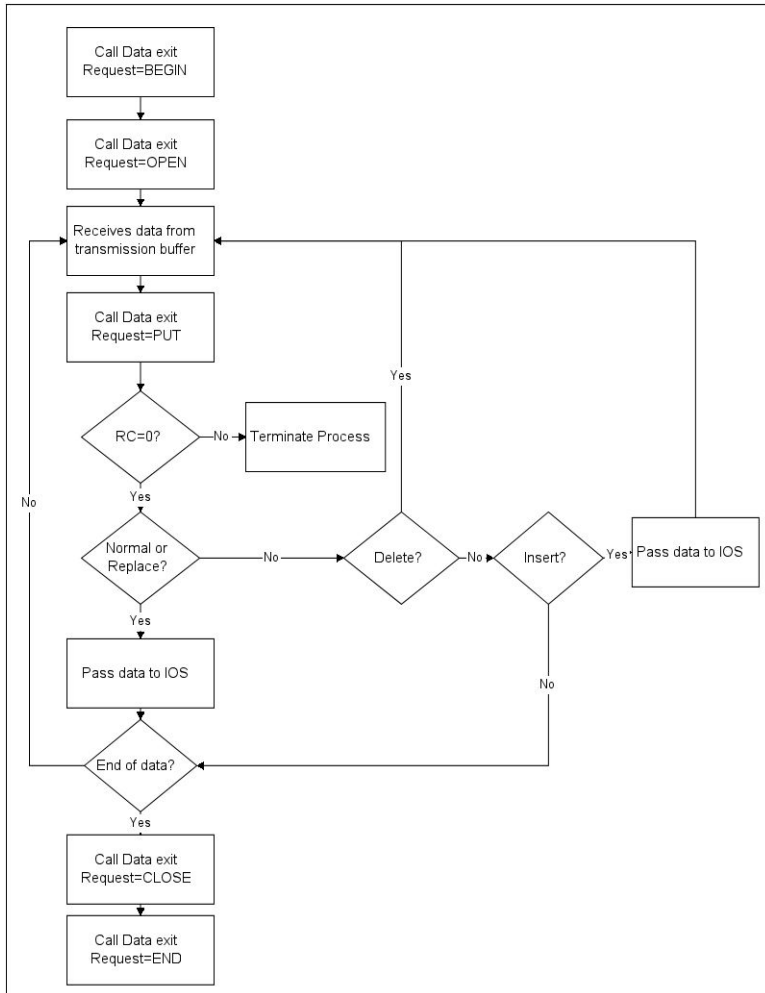
## Normal Input Calling Sequence

The following figure illustrates the normal call sequence for a Data exit used for input.



## Normal Output Calling Sequence

The following figure illustrates the normal calling sequence of a Data exit used for output.



## WLM Exit

If you code the initialization parameter `CDPLEX.WLM.GOAL=YES`, Sterling Connect:Direct invokes the `IWMWSYSQ` macro when necessary to query the status of systems in a sysplex. Sterling Connect:Direct uses the information returned from the query to determine which system can best handle additional Process work. If you want to override the decision Sterling Connect:Direct makes, you can specify a different choice with the WLM exit.

Activate the WLM exit by coding the following initialization parameter:

```
CDPLEX.WLM.GOAL=(YES,exitname)
```

Where `exitname` is the name of the WLM exit.

## Exit Calling Convention

Three parameters are passed to the WLM exit by Sterling Connect:Direct.

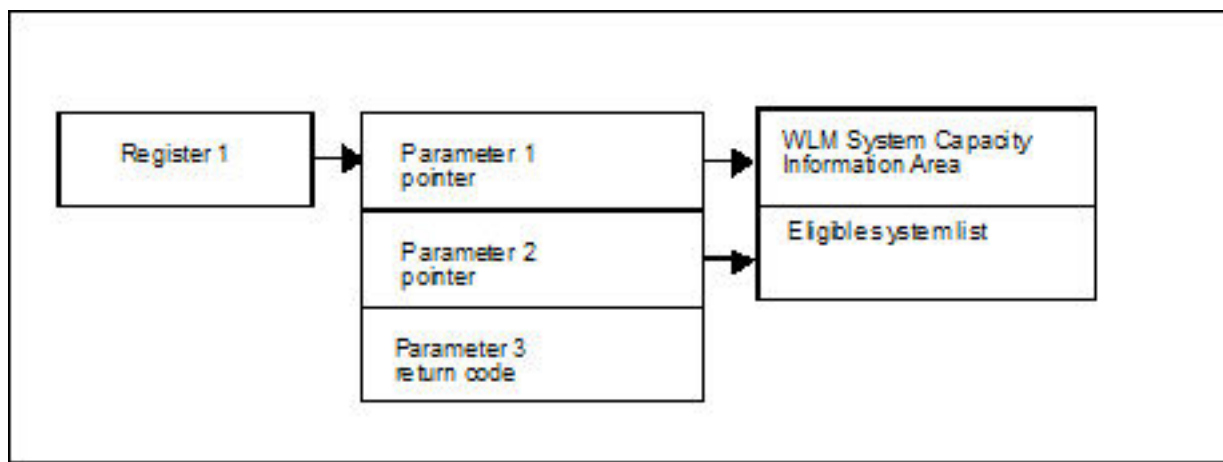
- The first parameter points to the WLM System Capacity Information Area (`IWMWSYSI`).



- The second parameter points to a list of system names that were found in goal mode by the WLM query. At least one of these systems must have a Sterling Connect:Direct/Plex server active.
- The third parameter is used for the WLM exit return code. The following describes the possible return codes:

Return Code	Description
0	This indicates all systems are considered equal and no choice is made.
Negative value	This indicates that no systems are selected.
1-n	This indicates the chosen system by an index into the eligible system list.

The following figure depicts the information passed to the WLM exit:



## Sample WLM Exit

The following sample WLM exit is provided in \$CD.SDGASAMP library.

Exit	Description
DGAXWLMX	This exit analyzes the IWMWSYSI info returned from an IBM Workload Manager query and indicates the least busy system with a return code.

## Tapemount Exit

The Sterling Connect:Direct tapemount exit provides an interface to StorageTek Tape Silo Software. If you supply a user exit in the initialization parameters, Sterling Connect:Direct invokes the exit prior to a tape VOLSER mount request. Using the return codes resulting from this exit, you can obtain the status of the volumes needed to satisfy the mount request prior to the Tape premount message being displayed. (The TAPE.PREMOUNT = YES | NO | LIST parameter determines if a tape premount message will be displayed or not.) If any volume is not available for the Silo to process, the tape mount request is automatically cancelled and an exit return code of 8 or higher is issued to indicate that the Process is being held in error.

Exit Return Code	Explanation
0	The Tape Premount message will be suppressed.
4	The Tape Premount message will be issued.
8 or higher	The Mount Process will be "Held in Error."

## Sample Tapemount Exit

Sterling Connect:Direct provides the following sample tapemount exit in the \$CD.SDGASAMP.

Exits	Description
DGAXTAPX	This exit interfaces with the StorageTek Tape Silo via SLA macro calls. You can modify this member to work with other vendors' Tape Silo products.

You can use the sample JCL, DGAXATAP located in \$CD.SDGASAMP, to assemble and link-edit the exit.

Observe the following restrictions and requirements:

- The name of the tapemount exit load module is user-defined, but it must not conflict with any other Sterling Connect:Direct load module names.
- Because the control blocks provided by Sterling Connect:Direct that the exit must access are located in storage requiring 31-bit addressability, you must link-edit the module with AMODE ANY to make it capable of executing in 31-bit mode.
- To activate the exit, specify "TAPEMOUNT.EXIT = modname" on page 384 in the Sterling Connect:Direct initialization parameters file. You must link-edit the tapemount exit as re-entrant and place in a load library that the Sterling Connect:Direct DTF can access.

The TAPEMOUNT.EXIT parameters are:

Parameter	Explanation
NOVOLS	Used to define the return code if all volumes for a tape mount request are not in the silo. Code with a value of 00, 04, or 08.
VIRTVOL	Tell the exit how to treat a virtual tape VOLSER. Code with a value of OKAY or ERROR.
TEST	Used to supply diagnostic test messages to a DD statement named to match the assembled program name. Code with a value of YES or NO.
UID	Optional local identifier which appears in NDMLOG output with the PTF maintenance listing.

---

## Process Exit for Testing (DGAXPRCT)

The Process Exit for Testing (DGAXPRCT) allows you to perform the following functions:

- Test new applications and customer connections
- Prevent future production work from executing until testing is complete after you have terminated all active production work using the Flush Process command

- Resume regular production work after testing
- Control individual file transfers by application
- Enable and disable individual nodes and applications

While testing is being conducted, only Processes, particularly file transfers, involved with the testing activity are executed. No production data is transferred to applications being tested while at the same time no test data is transferred to production applications.

## Flow of the DGAXPRCT Exit

First you tell DGAXPRCT which Sterling Connect:Direct Processes to run and not run by storing your preferences as text records in a parameter table stored as a Partitioned Data Set (PDS) member. You can specify the following criteria for DGAXPRCT to use to find matches for one or more Processes to include (using the "I" command code) or exclude ("X" command code) from execution:

- A partial or full Process name
- A partial or full remote node name
- A partial or full Sterling Connect:Direct submitter ID and submitter node combination
- A combination of Process name, remote node name and submitter ID/submitter node, all of which must match

In addition to telling Sterling Connect:Direct which Processes to run, you tell the system what to do with the Processes which do not get executed. You can specify the following dispositions for Processes not permitted to run:

- Place the Process in the Hold queue
- Place the Processes in the Timer queue for session retry
- Flush the Processes from the queue

To see different ways the DGAXPRCT exit can be used, see Sample Test Scenarios.

The DGAXPRCT exit is invoked by the Stage 2 Security exit before the security checks for a Sterling Connect:Direct Process about to be executed have been performed. For information on how the Stage 2 Security exit is processed, see Stage 2 Security Exit.

The Process Exit for Testing reads and validates the DGAXPRCT parameter table each time it is invoked when a Process is executed. If a syntax or other error occurs, Sterling Connect:Direct places the Processes in the hold queue and returns a non-zero return code and error message ID. If the table is valid, DGAXPRCT scans the parameter table looking for a pattern that matches the Process that is about to be executed. If a match is found, the Process is permitted to execute if the "I" (Include) command code is in effect. If command code "X" (Exclude) is in effect, the process is not permitted to execute. If a match is not found in the table, DGAXPRCT performs the opposite processing from the case where a match is found, that is, if no match is found and command code "I" is in effect, the Process is not permitted to execute, whereas if command code "X" is in effect, the Process is permitted to execute.

**Note:** To reverse an action taken, use the "R" (Reverse) command code. If a match is found in an Include list and the "R" command code is also in effect, the Process is excluded. Conversely, if a match is found in an Exclude list and the "R" command code is also in effect, the Process is included.

If a Process is not to be permitted to execute, DGAXPRCT uses the disposition specified in the DGAXPRCT parameter table to either hold, retry, or flush the Process after the DGAXPRCT exit returns with a non-zero return code.

**Note:** For Processes initiated on remote nodes, the DGAXPRCT exit functions in the same manner as it does for Processes submitted on the local Sterling Connect:Direct node. The DGAXPRCT Parameter Table is searched for a matching entry and the remotely initiated Process is either permitted to execute or excluded from execution. However, because the local node is the SNODE for this type of transfer, it cannot enforce the Process disposition setting in the DGAXPRCT Parameter Table. The remote PNODE determines how the Process is handled. Typically, the remote node places the Process in the Hold queue with a status of "HE" (Held in Error) if SECURITY.NOTIFY=HOLD is specified or the DGAXPRCT exit is supported on the remote node. If the remote node does not support SECURITY.NOTIFY=HOLD or the DGAXPRCT exit, the Process terminates.

When both the PNODE and the SNODE invoke the DGAXPRCT exit and the SNODE excludes a Process from executing, the PNODE automatically places the Process in the Timer queue for session retry regardless of the disposition setting in the DGAXPRCT Parameter Table on the PNODE. This processing is necessary because of technicalities in the handling of an SNODE error at the point in the Sterling Connect:Direct protocol.

## Setting Up and Using the DGAXPRCT Exit

To set up and use the DGAXPRCT Exit, complete the following steps. This roadmap is a high-level view of the procedure. For more information on each step, go to the section referenced in that step.

### Procedure

1. Review Overview of Security Options for information on security including interfaces to other security software and sample exits.
2. Assemble the DGAXPRCT member in \$CD.SDGASAMP library.
3. To activate the stage 2 security exit which invokes the DGAXPRCT exit, specify SECURITY.EXIT=modname in the Sterling Connect:Direct initialization parameters file (see SECURITY.EXIT = (module name, DATASET | ALL, PSTKT) | OFF SECURITY = (module name, DATASET | ALL, PSTKT) | OFF for information). You can modify the sample security exit, DGAMGSAF, provided in the \$CD.SDGASAMP library, to use as the base code for your stage 2 security exit.
4. Change the PROCEXIT parameter in the DGASECUR macro to DGAXPRCT. See Enable the DGAXPRCT Exit.
5. Create a PDS member to store parameters specifying how you want to implement the DGAXPRCT Exit, that is, preferences such as which Processes to run and not run and which queue to place unexecuted Processes. See Edit the DGAXPRCT Parameter Table.
6. Add DD statements to allocate the DGAXPRCT table and log information. See Include Required DD Statements.
7. Reassemble and link-edit the Stage 2 exit source code. Because the control blocks provided by Sterling Connect:Direct that the exit must access are located in storage requiring 31-bit addressability, you must link-edit the module with AMODE 31 to make it capable of executing in 31-bit mode. You must also link-edit the stage 2 security exit as re-entrant and place in an authorized library that the Sterling Connect:Direct DTF can access.

8. Submit the startup jobstream to start Sterling Connect:Direct.

**Note:** It is not necessary to restart Sterling Connect:Direct when you modify the DGAXPRCT parameter table. The new settings are automatically in effect the next time a Process begins executing and invokes the DGAXPRCT exit, which reads the new values in the table. See Reusing the DGAXPRCT Exit for more information.

### Enable the DGAXPRCXT Exit

The Stage 2 Security Exit executes the DGAXPRCT exit before it performs the necessary security checks for a Sterling Connect:Direct Process about to be executed. To enable the Security Exit to invoke the DGAXPRCT exit, you must change the PROCEXIT parameter in the DGASECUR macro from NO to DGAXPRCT, and reassemble the exit. See DGASECUR Parameters for more information on this and all parameters in the DGASECUR macro.

### Include Required DD Statements

Make sure your startup JCL includes the following DD statements:

```
//NDMPXTBL DD DSN=$CDPREF..PRCXTLIB(&NDMPXMEM),DISP=SHR
//USRINFO DD SYSOUT=*
```

The first DD statement allocates the parameter table PDS member while the second DD statement allocates a SYSOUT data set to which user-defined information from User Exits, such as error messages for parameter records incorrectly formatted and matching entries for Processes which run, is logged.

The CONNECTX JCL startup member contains these DD statements. For more information on the startup JCL members, see DD Statements in Startup JCL.

### Edit the DGAXPRXCT Parameter Table

You can use the ISPF text editor to create the DGAXPRXCT Parameter Table which defines which Sterling Connect:Direct Processes can and cannot run. This table is stored as a Partitioned Dataset (PDS) member.

You must preallocate the data set with the following attributes:

```
DSORG=PO
LRECL=80
RECFM=FB
BLKSIZE=multiple of 80
```

Each table entry or record consists of a single-character command code in column one. Most command codes have a parameter which begins in column two and varies according to the command code function.

**Note:** The order of the entries in the table is important; the first match stops the table scan and the action requested is taken (allow the process to execute, flush the process, etc.).

Command Code	Description	Subparameters/Examples
*	Comment line.	* Only run the following processes.

Command Code	Description	Subparameters/Examples
E	Enables DGAXPRCT. This command code must be the first non-comment entry in the table.	<p>The second column in this entry must contain one of the following values which indicates the disposition of a process if it is not allowed to run.</p> <p>H – Places the Process in the Hold queue with a status of HE (Held in Error)</p> <p>R – Places the Process in the Timer queue in session retry until number of retries is exceeded. Once this number is exceeded, the Process is placed in the Hold queue with a status of RH (Restart Hold).</p> <p>F – Flushes the process from the queue</p>
D	Disallows DGAXPRCT execution and fails Process execution with a non-zero (error) return code and message NPRX003E.	You can also leave the disposition code in column two to make it easier to change from "E" to "D" and vice versa without having to change column two to a blank for command code "D."
P	<p>Matches Processes based on a full or partial Process name. Supports the wild card trailing asterisk (*). Can be used to enable or disable Process execution for a particular application by using naming conventions to match an application.</p> <p>In addition, remote node and/or submitter@submitter-node can be specified to further qualify the match.</p> <p>The combined format is:</p> <p>Pprocnam[,remote-node[,submitter@submitter-node]]</p>	<p>PCOPY – Matches a single Process</p> <p>PEOM* – Matches all Processes beginning with "EOM" for the End of Month Processing application</p> <p>P* – Matches all Processes\</p> <p>PCOPY,RNODE,SUE@NODE1 – Matches Process COPY that was submitted by SUE on NODE1 and whose remote node is RNODE.</p> <p>PCOPY,,SUE@NODE1 – Matches Process COPY that was submitted by SUE on NODE1.</p> <p>PCOPY,RNODE – Matches Process COPY whose remote node is RNODE.</p> <p>P*,*,SUE@NODE1 – Matches all Processes submitted by SUE on NODE1.</p>
R	<p>Reverses the action to be taken on a match.</p> <p>If the match is found in an include list, the Process is excluded.</p> <p>If the match is found in an exclude list, the Process is included.</p> <p>The combined format is:</p> <p>Rprocnam[,remote-node[,submitter@submitter-node]]</p>	<p>The syntax is the same as for the "P" command code.</p> <p>In this example, all Processes whose remote node begins with RNODE are excluded from execution except those whose remote node is RNODE3.</p> <p>X R*,RNODE3 NRNODE* L</p>

Command Code	Description	Subparameters/Examples
N	Matches Processes based on a full or partial remote node name. Supports the wild card trailing asterisk (*).	NCD.NODE1 – Matches a single remote node name  NCD.NODEA* – Matches all remote node names beginning with "CD.NODEA"  N* – Matches all remote node names <b>Note:</b> P*,CDNODE1 is equivalent to NCDNODE1 and can be specified as R*,CDNODE1 to reverse the action.
S	Matches Processes based on a full or wild card Sterling Connect:Direct submitter ID and a full or partial submitter node combination. The format is <id>@<node>.	SACTQ0ACD@TPM002 – Matches a specific submitter ID and node combination.  S*@TPM002 – Matches all submitter IDs from node TPM002  SACTQ0ACD@* – Matches submitter ID ACTQ0ACD from all nodes  SACTQ0ACD@TPM* - Matches submitter ID ACTQ0ACD from all nodes beginning with "TPM"  S*@* – Matches all submitter IDs from any node. This is another way to match all Processes. <b>Note:</b> P*,*,SUE@NODE1 is equivalent to SSUE@NODE and can be specified as R*,*,SUE@NODE1 to reverse the action.
I	Includes Processes for execution that match the patterns in the table which follow this command code. Either "I" or "X" must be the second non-comment entry in the table. Processes which do not match a pattern in the table are not executed. <b>Note:</b> To choose which command code to use to select Processes, determine which group is smaller and use the corresponding command Code. For example, if the number of Processes to be executed is smaller than the number of Processes to exclude from execution, specify "I" as the command code and add patterns to match that group of Processes.	ER I NCD.BOSTON  Includes for execution only those Processes whose remote node is CD.BOSTON. Excluded Processes are placed in the Timer queue in session retry
X	Excludes from execution those Processes that match the patterns in the table which follow this command code. Either "X" or "I" must be the second non-comment entry in the table. Processes which do not match a pattern in the table are executed.	EH X SDALLASOPS@*  Excludes Processes for execution submitted by DALLASOPS from any node
L	Last entry in table.	

## Sample Test Scenarios

The following examples show different applications of the DGAXPRCT exit using DGAXPRCT Parameter Tables to define which Sterling Connect:Direct Processes to run and not run.

## Specify Which Processes Run

In this example, Sterling Connect:Direct executes all Processes that start with ACH or are named DITEST01 or DITEST02. All other Processes are placed in the Hold queue.

```
* Enable processing. Only permit processes matching one of the patterns
* to execute. Hold processes that don't execute.
EH
I
PACH*
PDITEST01
PDITEST02
L
```

## Specify Which Processes to Exclude

In this example, Sterling Connect:Direct does not execute any Process that starts with ACH or is named DITEST01 or DITEST02. All other Processes are executed.

```
* Exclude matching processes. Permit all others to execute.
EH
X
PACH*
PDITEST01
PDITEST02
L
```

## Permit Process Execution by Remote Node and Submitter User ID/Node

In this example, Sterling Connect:Direct executes all Processes that match one of the following criteria:

- The remote node name is DI.NODE1
- A remote node whose name starts with DI0017
- Any Sterling Connect:Direct submitter ID from node DI0049
- The specific Sterling Connect:Direct submitter ID ACHAPP from any node

All Processes not matching one of the above criteria are flushed from the queue.

```
* Only permit matching processes to execute. Flush those that do not.
EF
I
NDI.NODE1
NDI0017*
S*@DI0049
SACHAPP@*
L
```

## Combine Matching Criteria for a More Specific Match

In this example, Sterling Connect:Direct executes all Processes that match one or more of the following criteria:

- Processes that begin with XYZ
- Processes that begin with ABC whose remote node is NODE1



All Processes not matching one of the above criteria are flushed from the queue.

```
* Only permit matching processes to execute. Flush those that do not.  
EF  
I  
PXYZ*  
PABC*,NODE1  
L
```

## Use the "R" (Reverse) Matching Criteria

In this example, Sterling Connect:Direct performs the following actions:

- Executes all Processes that begin with XYZ
- Executes all Processes that begin with ABC whose remote node is NODE1
- Excludes all Processes that begin with ABC and whose remote node is NODE1 when submitted by JOE@NODE2 from execution

All other Processes are flushed from the queue.

```
* Only permit matching processes to execute. Flush those that do not.  
EF  
I  
PXYZ*  
RABC*,NODE1,JOE@NODE2  
PABC*,NODE1  
L
```

**Note:** When using the "R" (Reverse) matching criteria, always specify the most specific matching criterion first and the most generic matching criterion last.

## Stop the DGAXPRCT Exit

In this example, the DGAXPRCT Exit will exclude Processes from being executed, and display a non-zero return code signifying an error along with message ID NPRX003E . The remainder of the table is ignored (including the "F" code to flush Processes from the queue) and all Processes are placed in the Hold queue.

To resume testing and use the DGAXPRCT exit again, change the "D" command code to an "E."

```
* Execute no processes at all. Put them in the hold queue and return.  
DF  
I  
PACH*  
PDITEST01  
PDITEST02  
L
```

## Reusing the DGAXPRCT Exit

To facilitate the use of different testing scenarios, you can maintain multiple members in the DGAXPRCT Parameter Table PDS. To reuse the basic DGAXPRCT Parameter table but modify it to change the Processes which run and do not run, follow this procedure. It is not necessary to restart Sterling Connect:Direct; the next time a Process begins executing, the new settings will be in effect when the DGAXPRCT exit reads the table.

## About this task

### CAUTION:

To control the execution of the DGAXPRCT exit, make sure that only authorized operators can modify the DGAXPRCT Parameter Table PDS member using your security system.

### Procedure

1. Open the DGAXPRCT Parameter Table using the ISPF text editor.
2. Delete the current contents of the table member.
3. Copy another member for the next testing scenario you want to use and modify it as needed.
4. Save the DGAXPRCT Parameter Table PDS member.

The next time the DGAXPRCT exit is invoked when a Process begins executing, the DGAXPRCT exit uses this updated table.

## DGAXPRCT Output

This topic contains example JOBLOG and USRINFO data set output resulting from the execution of the DGAXPRCT exit.

### Example JOBLOG Output

This example shows JOBLOG output when the DGAXPRCT exit is executing.

```
SVTM055I SESSION (001) ESTABLISHED WITH          SNODE=WWW_TCP
NPRX000I ### Permitted:  TSTRUN (00000007) SNODE=WWW_TCP
SVTM036I PROCESS STARTED TSTRUN (          7) SNODE=WWW_TCP
IGD103I SMS ALLOCATED TO DDNAME NDM00027
SVTM052I C001      COPY      TSTRUN (          7) SNODE=WWW_TCP
SVTM052I          COMPLETED 00000000/SCPA000I
SVTM052I          FROM /u/output/testfile7
SVTM052I          TO   DALLAS.O.TESTFILE.BENCH.M50
SVTM052I          COMPLETED 00000000/SCPA000I
SVTM037I PROCESS ENDED   TSTRUN (          7) SNODE=WWW_TCP
SVTM056I SESSION (001) TERMINATED WITH          SNODE=WWW_TCP
SVTM055I SESSION (001) ESTABLISHED WITH          SNODE=WWW_TCP
NPRX109E ### Not executed: XSTRUN (00000009) SNODE=WWW_TCP
SVTM056I SESSION (000) TERMINATED WITH          PNODE=WWW_TCP
```

### Example USRINFO Output

These examples show USRINFO output that is written to the USRINFO dataset while the DGAXPRCT exit is executing. Each line of output has a timestamp and the hexadecimal address of the Sterling Connect:Direct Task Control Area (TCA) under which the DGAXPRCT exit is executing. The TCA address permits correlating output lines when Processes execute concurrently.

The following example shows DGAXPRCT output based on matching the specific Process name, TSTRUN.

```

965630E0 DGAXPRCT V1.14 ENTERED: SQCB@=16987688
965630E0 ##### APPLID TABLE #####
965630E0 EF
965630E0 I
965630E0 PTSTRUN
965630E0 L
965630E0 ##### END APPLID TABLE #####
965630E0 SETTING DISPOSITION TO FLUSH.
965630E0 TABLE MATCH: PNAME,PNUM=TSTRUN ,00000007
965630E0 ENTRY=PTSTRUN
NPRX000I ### Permitted: TSTRUN (00000007) SNODE=WWW_TCP
965630E0 DGAXPRCT EXITED: SQCB@/RC/MSGID 16987688 /00000000 /NPRX000I
96561D60 DGAXPRCT V1.14 ENTERED: SQCB@=16990688
96561D60 ##### APPLID TABLE #####
96561D60 EF
96561D60 I
96561D60 PTSTRUN
96561D60 L
96561D60 ##### END APPLID TABLE #####
96561D60 SETTING DISPOSITION TO FLUSH.
96561D60 NO TABLE MATCH: PNAME,PNUM=XSTRUN ,00000009
NPRX101E ### No entry: XSTRUN (00000009) SNODE=WWW_TCP
96561D60 DGAXPRCT EXITED: SQCB@/RC/MSGID 16990688 /00000004 /NPRX101E

```

The following example shows DGAXPRCT output based on matching the names of all Processes that begin with TSTRUN using the wildcard \*.

```

96562720 DGAXPRCT V1.14 ENTERED: SQCB@=16990688
96562720 ##### APPLID TABLE #####
96562720 EF
96562720 I
96562720 PTSTRUN*
96562720 L
96562720 ##### END APPLID TABLE #####
96562720 SETTING DISPOSITION TO FLUSH.
96562720 TABLE MATCH: PNAME,PNUM=TSTRUN ,00000010
96562720 ENTRY=PTSTRUN*
NPRX000I ### Permitted: TSTRUN (00000010) SNODE=WWW_TCP
96562720 DGAXPRCT EXITED: SQCB@/RC/MSGID 16990688 /00000000 /NPRX000I
96561D60 DGAXPRCT V1.14 ENTERED: SQCB@=16990688
96561D60 ##### APPLID TABLE #####
96561D60 EF
96561D60 I
96561D60 PTSTRUN*
96561D60 L
96561D60 ##### END APPLID TABLE #####
96561D60 SETTING DISPOSITION TO FLUSH.
96561D60 TABLE MATCH: PNAME,PNUM=TSTRUN2 ,00000011
96561D60 ENTRY=PTSTRUN*
NPRX000I ### Permitted: TSTRUN2 (00000011) SNODE=WWW_TCP
96561D60 DGAXPRCT EXITED: SQCB@/RC/MSGID 16990688 /00000000 /NPRX000I

```

The following example shows invalid entries in the DGAXPRCT Parameter Table.

```

96562720 DGAXPRCT V1.14 ENTERED: SQCB@=16990688
96562720 ### INVALID TABLE ENTRY:XTSTRUN*
NPRX005E ### Table format error encountered.
96562720 DGAXPRCT EXITED: SQCB@/RC/MSGID 16990688 /00000008 /NPRX005E

```



---

## Chapter 10. Custom Messages in the Message Library

You can load special user-defined messages into the Sterling Connect:Direct message library. The sample format for the Sterling Connect:Direct message source in the following figure is in the DGAXMSGC member of the Sterling Connect:Direct sample library, \$CD.SDGASAMP.

**Note:** Use the exact format as follows. You cannot use comments.

```
DELETE =MSG00001
INSERT =MSG00001
MODULE =MSGSOURC
STEXT= This is an example of the short text message (one).
L01 = This is an example of the long text message (one). As
L02 = many as 12 lines may be used for the long text message.
L03 =
L04 =
L05 =
L06 =
L07 =
L08 =
L09 =
L10 =
L11 =
L12 =
```

Observe the following rules for variables and message IDs:

- The DELETE and INSERT variables are 1–8 characters.
- The MODULE variable is 1–64 characters.
- The STEXT and L01 through L12 variables are 1–63 characters.
- To insert a message ID, the INSERT, MODULE, STEXT, and L01 through L12 variables are required.
- To delete a message ID, the DELETE variable is required.
- To replace a message ID, the DELETE, INSERT, MODULE, STEXT, and L01 through L12 variables are required.

The job stream in the following figure is in the DGAJMSGL member of the Sterling Connect:Direct \$CD.SDGAJCL library. After copying the message source into your message source library and making changes as needed, run this job stream to add your messages to the Sterling Connect:Direct message library.

```
//JOBNAME      JOB (ACCT),'NAME',CLASS=A,NOTIFY=TSOID,MSGCLASS=X
//MSGBUILD     EXEC  PGM=DMMSGLOD,PARM='$CDHLQ.MSGFILE'
//STEPLIB     DD   DSN=$CDHLQ.SDGALINK,DISP=SHR
//SYSOUT      DD   SYSOUT=*
//INPUT DD     DSN=$HLQ.SDGAMSGS,DISP=SHR
```

**Note:** For SMP/E installations, add the message source to the NMSGSRC target library as an SMP/E USERMOD. MSGLOAD JCL can then process it.

Make the following changes to the job:

- Change the PARM statement to reference the file name of the Sterling Connect:Direct VSAM message file. This value is the same value specified in the MSGDSN initialization parameter.
- Change the STEPLIB DD card to reference the SDGALINK.
- Change the INPUT DD card to reference the message source text. A sample format is in the member DGAXMSGC in the Sterling Connect:Direct sample library, \$CD.SDGASAMP.

---

## Message IDs

In a Sterling Connect:Direct/Plex environment, messages can originate from any Sterling Connect:Direct/Server or from the Sterling Connect:Direct/Manager so you should define a 2-character message ID that identifies which Sterling Connect:Direct/Plex member originated the message. This message ID is displayed after the message number.

Define the message ID using the CDPLEX.MSGID initialization parameter.

The following example shows a message if the CDPLEX.MSGID value is set to S1. The message ID is highlighted in bold.

SVTM055I	<b>S1</b>	SESSION (001) ESTABLISHED WITH		SNODE=SC.DUB.TPYLA2
SVTM055I	<b>S1</b>	SESSION (001) ESTABLISHED WITH		PNODE=SC.DUB.TPYLA2
SVTM036I	<b>S1</b>	PROCESS STARTED	MVSMVST3(	1) PNODE=SC.DUB.TPYLA2
SVTM036I	<b>S1</b>	PROCESS STARTED	MVSMVST3(	1) SNODE=SC.DUB.TPYLA2

---

## Chapter 11. Customizing Submit Screens

### About this task

When you type variables into a submit screen, the IUI builds a SUBMIT command, and the command goes to a dialog for handling. As delivered on the installation tape, the primary IUI panel, DGA@PRIM, directly invokes the IUI submit panel, DGA@UBMT, when the SB option is selected. You can construct a customized submit screen to contain customized submit options. All menus can contain as many choices as screen space permits. To customize the submit function, modify DGA@PRIM to invoke DGA@SM03 instead of DGA@UBMT, when the SB option is selected, and perform the following procedure.

The following general steps are illustrated in an example which describes how to create a custom SUBMIT screen that copies a file to the existing file at another site at noon every day. You are notified when the Process is complete.

### Procedure

1. DGA@SM03 is a submit menu panel which contains only one menu option that invokes DGA@UBMT. You can modify DGA@SM03 to include new menu selections to invoke the new customized submit panels. This step is explained in Step 1 - Modify the Existing Menu DGA@SM03.
2. Define at least one general purpose Process to be invoked by the new custom submit screen. This step is explained in Step 2 - Define General Purpose Process.
3. Provide custom submit screens. These screens:
  - Process variables that are resolved as SUBMITS occurs.
  - Build a command on each screen that communicates with the IUI dialog routines.

This step is explained in Step 3 - Provide a New Submit Screen.

---

### Step 1 - Modify the Existing Menu DGA@SM03

To modify the Sterling Connect:Direct Submit Menu, add the following in Submit Menu (DGA@SM03):

- A line in the BODY section to specify the new option (+ 2 = => COPY TO EXISTING FILE AT ANOTHER SITE EVERYDAY AT NOON)
- A line in the PROC section to specify what to do when that option is selected (2,'PANEL(CUSTSUBM)')

The following figure shows the menu displayed after you add information. Only the elements necessary to modify an existing menu are displayed. If the user selects Option 2 on the command line, Sterling Connect:Direct gives control to the screen with the name CUSTSUBM and displays that screen.

```

)ATTR
"
)BODY
#UNODE          +          SUBMIT MENU          +&ZDATE
+CMD%= = > _ZCMD          +&ZTIME
#STEXT
+
%PROCESSES:
+
+ 1 = = > SUBMIT A PROCESS
+ 2 = = > COPY TO EXISTING FILE AT ANOTHER SITE EVERYDAY AT NOON
+
)INIT
"
)PROC
"
    &SEL = TRANS(TRUNC(&ZCMD, '.'))
    "
    "
    1, 'PANEL(DMISUBMT) '
    2, 'PANEL(CUSTSUBM) '
    *, '?'
    "
)END

```

**Note:** For SMP/E installation, implement all ISPF panel changes as SMP/E USERMODs to the NISPPLIB target library.

---

## Step 2 - Define General Purpose Process

The second step in creating a customized submit screen is to define a Process that the custom Submit screen invokes. The following Process is named APROC.

```

APROC PROCESS SNODE=&SNODE NOTIFY=%USER
STEP1 COPY FROM (PNODE DSN=&DSN1 DISP=SHR) -
      TO (DSN=&DSN2 DISP=(SHR,KEEP))

```

The SNODE, source file name, and the destination file name specified in the BODY section of the custom submit screen are substituted into the symbolic fields currently in the PROCESS (SNODE, DSN1, and DSN2).

---

## Step 3 - Provide a New Submit Screen

A new submit screen processes variables resolved during submission and builds a command to communicate with the dialog routines. Although the customized submit screen can have any appearance, use the following information to help you design your screens.

### Procedure

1. Use the generic submit screen (DGAXCUST) found in the sample library, \$CD.SDGASAMP, as a base for creating the custom screen.
2. Use the least number of input fields necessary when creating the screen to accomplish Process submission.
3. Use existing variables from DGAXCUST, if possible. You can use any variable name, but fewer changes are necessary when you use the existing code. The following ISPF variables are used in the Sterling Connect:Direct submit processing.



Variable	Explanation
&PNAME1	Name of Process to be submitted
&DSN	File name containing Process to be submitted
&SNODE	Secondary node name
&H	HOLD specification
&R	RETAIN specification
&PR	Priority of the Process
&NEWNAME	New name for the Process being submitted
&CLS	Process class
&NOTIFY	Notify Sterling Connect:Direct user ID
&PNODEID	Security user ID at PNODE
&PNODEPW	Current security password at PNODE
&PNODENPW	New security password at PNODE
&SNODEID	Security user ID at SNODE
&SNODEPW	Current security password at SNODE
&SNODENPW	New security password at SNODE
&DSYMBPARM	Symbolic variable specification
&STIME	Start time value
&SDATE	Start day/date value
&CMD1	Used in constructing command string
&CMD2	Used in constructing command string
&CMD3	Used in constructing command string
&CMD4	Used in constructing command string

**Note:** Do not change the &CMD1, &CMD2, &CMD3, and &CMD4 ISPF variables. The SUBMIT command string is built into these four variables.

The following figure shows the ATTR and BODY sections of the customized submit screen CUSTSUBM. The ATTR section is the same as in the sample base screen, DGAXCUST. As seen in the BODY section, FROMDSN and TODSN are variables that are symbolically substituted when the general purpose Process APROC is submitted.

```

)ATTR
+ TYPE(TEXT) INTENS(LOW) SKIP(ON)
:   TYPE(INPUT) INTENS(NON)
# TYPE(OUTPUT) INTENS(HIGH) JUST(ASIS) CAPS(OFF)
@ TYPE(OUTPUT) INTENS(LOW) JUST(ASIS)
¢ PAD(_)
)BODY
#UNODE                                + CUSTOMIZED SCREEN
+CMD%= = > _ZCMD
#STEXT                                + TIME-&ZTIME
% COPY TO EXISTING FILE AT ANOTHER SITE + DATE-&ZDATE
%           EVERY DAY AT NOON          + JULIAN-&ZJDATE
+ FILE TO BE SENT FROM HERE
% = = > ¢FROMDSN                       +
+
+ NODE TO RECEIVE THE FILE
% = = > ¢SNODE                           +
+
+ RECEIVING FILE ON ABOVE NODE
+ = = > ¢TODSN                             +

```

4. Make the necessary changes in the INIT section after deciding how you want to set up the screen. Initialize all INIT section variables to the appropriate default value. For CUSTSUBM, the INIT section is in the following figure.

```

)INIT
.ZVARS = '(V@SEC)'
.&NXTHelp = DMJSBMT1
.CURSOR = &FROMDSN           /*CHANGED */
.&UNODE1 = &UNODE1
.&SPC = ''
..&V@SEC = 'N'
..IF (&PROC ~= 'Y')
    &STEXT = ''
/* &PNAME1 = ''             DELETED */
/* &DSN = ''                DELETED */
.&SNODE = ''
/* &Q = ''                  DELETED */
/* &H = ''                  DELETED */
.&R = 'Y'                   /*CHANGED */
/* &PR = ''                 DELETED */
/* &NEWNAME = ''            DELETED */
/* &CLS = ''                DELETED */
.&STIME = '12:00'           /*CHANGED */
/* &SDATE = ''              DELETED */
/* &SYMBPARM = ''           DELETED */
.&FROMDSN = ''              /* ADDED */
.&TODSN = ''                /* ADDED */
/* IF (&UNODE = &LNODE)    DELETED */
.&NOTIFY = '%USER'
/* IF (&UNODE ~= &LNODE)   DELETED */
/* &NOTIFY = ''            DELETED */
IF (&PROC = 'Y')
    IF (&PROC# ~= 'NONE')
        .MSG = IUSB000I
        IF (&STEXT = '')
            .HELP = &NXTHelp
        IF (&STEXT ~= '')
            .HELP = DMI@MSG

```

The following changes are made in the INIT section:

- The cursor field is changed to FROMDSN.
- &R is changed to Y to indicate RETAIN=YES.

- &STIME is changed to 12:00 to indicate STARTT=(,12:00).
  - &FROMDSN and &TODSN are added and initialized to blanks.
  - Lines which carry a DELETED comment are removed because they are no longer necessary.
5. Make the necessary changes in the PROC section after deciding how you want to set up the screen. Verify PROC section field values and build the command string to submit the Process. For CUSTSUBM, the PROC section is in the following figures.

```

)INIT
)PROC
&PROC# = 'NONE'
&CMD = &ZCMD
&SEL = TRANS( TRUNC (&ZCMD, '.')
  SPF, 'PANEL(ISR@PRIM) NEWAPPL(ISR)'
  WHO, 'PANEL(DMI@WHO)'
  SW, 'PGM(DMICMD) PARM(&CB@)'
  M, 'PANEL(DMI@MSG)'
  AUTH, 'PANEL(DMI@AUTH)'
  ' ', 'PGM(DMICMD) PARM(&CB@)'
  *, '?' )
&ZTRAIL = .TRAIL
IF (&ZCMD = 'SW')
  &SPC = 'SLN'
IF (&CMD = ' ')
  VER(&FROMDSN, NONBLANK)          /* ADDED */
  VER(&SNODE, NONBLANK)           /* ADDED */
  IF (&TODSN= ' ')              /* ADDED */
    &TODSN=&FROMDSN            /* ADDED */
/* VER(&PNAME1, NAME, MSG=IUSB001I) DELETED */
/* IF (&PNAME1 ~= ' ')          DELETED */
/*   IF (&DSN ~= ' ')           DELETED */
/*     .MSG = IUSB002I           DELETED */
/*     .CURSOR = PNAME1         DELETED */
/* IF (&DSN = ' ')             DELETED */
/*   VER(&PNAME1, NONBLANK, MSG=IUSB003I) DELETED */
/* VER(&Q, LIST, Y, N, MSG=IUSB022I) DELETED */
/* VER(&H, LIST, Y, N, C, MSG=IUSB005I) DELETED */
  VER(&R, LIST, Y, N, I, MSG=IUSB006I)
/* VER(&V@SEC, LIST, Y, N, I, MSG=IUSB006I) DELETED */
/* VER(&PR, RANGE, 0, 15, MSG=IUSB007I) DELETED */
/* VER(&NEWNAME, NAME, MSG=IUSB008I) DELETED */
/* VER(&CLS, RANGE, 1, 255, MSG=IUSB009I) DELETED */
  &USER = TRUNC(&NOTIFY, 1)
  IF (&USER ~= %)
    VER(&NOTIFY, NAME, MSG=IUSB010I)
&PROC = 'Y'

```

```

&CMD1 = ' SUB PROC=APROC' /*CHANGED */
&CMD2 = ''
&CMD3 = ''
&CMD4 = &SYMBPARM
IF (&PNAME1 ~= ' ') /*CHANGED */
    &CMD1 = '&CMD1 PROC=&PNAME1' /*CHANGED */
IF (&DSN ~= ' ') /*CHANGED */
    &CMD1 = '&CMD1 DSN=&DSN' /*CHANGED */
IF (&SNODE ~= ' ')
    &CMD1 = '&CMD1 SNOE=&SNODE'
/* IF (&Q ~= ' ') DELETED */
/* &CMD1 = '&CMD1 REQUEUE=&Q' DELETED */
/* IF (&H ~= ' ') DELETED */
/* &CMD1 = '&CMD1 HOLD=&H' DELETED */
IF (&R ~= ' ')
    &CMD1 = '&CMD1 RETAIN=&R'
/* IF (&PR ~= ' ') DELETED */
/* &CMD1 = '&CMD1 PRTY=&PR' DELETED */
/* IF (&NEWNAME ~= ' ') DELETED */
/* &CMD1 = '&CMD1 NEWNAME=&NEWNAME' DELETED */
IF (&NOTIFY ~= ' ')
    &CMD2 = ' NOTIFY=&NOTIFY'
    &PARMX = ''
    &PARMX2 = ''
/* IF (&SDATE ~= ' ') DELETED */
/* &PARMX = 'Y' DELETED */
/* &CMD2 = '&CMD2 STARTT=(,&SDATE,' DELETED */
IF (&STIME ~= ' ')
    IF (&PARMX ~= 'Y')
        &PARMX = 'Y'
        &PARMX2 = 'Y'
        &CMD2 = '&CMD2 STARTT=(,&STIME'
        IF (&PARMX2 ~= 'Y')
            &CMD2 = '&CMD2 &STIME'
    IF (&PARMX = 'Y')
        &CMD2 = '&CMD2'
/* IF (&CLS ~= ' ') DELETED */
/* &CMD2 = '&CMD2 CLASS=&CLS' DELETED */
/* IF (&V@SEC = 'Y') DELETED */
/* &ZSEL = 'PANEL(DMIUSRID)' DELETED */
&CMD3= '&&DSN1=&FROMDSN' /*ADDED */
&CMD3= '&CMD3 &&DSN2=&TODSN' /* ADDED */
)END

```

The following changes are made in the PROC section:

- A verify is added for the &FROMDSN variable. It must be non-blank.
- A verify is added for the &SNODE variable. It must be non-blank.
- A test for blanks in &TODSN is added. If &TODSN is blank, it is set to &FROMDSN.
- &CMD1 is changed to contain the string SUB PROC=APROC, the command default.
- &CMD3 is added to contain the string &&DSN1=&FROMDSN. Symbolic substitution is accomplished with this addition. When APROC is submitted, &DSN1 is translated to whatever value is in the &FROMDSN file.
- The next-to-last line is added to concatenate the string &&DSN2=&TODSN to the contents of &CMD3. When APROC is submitted, &DSN2 is translated to the value in &TODSN.
- Lines which carry a DELETED comment are removed because they are no longer necessary.

If Y12.FROMHERE is the file to be sent, CD.THERE is the node to receive the file, Z12.TOHERE is the receiving file, and the Process is APROC, then the command string is built as follows.

```
SUB  PROC=APROC SNODE=CD.THERE RETAIN=Y NOTIFY=%USER      -  
      STARTT=(,12:00) &DSN1=Y12.FROMHERE &DSN2=Z12.TOHERE
```

When the Process APROC is submitted, it is resolved as follows.

```
APROC PROCESS SNODE=CD.THERE NOTIFY=%USER  
STEP1 COPY FROM (SNODE DSN=Y12.FROMHERE DISP=SHR)      -  
      TO (DSN=Z12.TOHERE DISP=(SHR,KEEP))
```



---

## Chapter 12. Administering Statistics

The Sterling Connect:Direct for z/OS statistics facility logs statistics to a series of VSAM file pairs. Each pair consists of an entry-sequenced file and a key-sequenced file, both with the REUSE attribute.

### File Pair Configuration

The minimum configuration is two file pairs, or four files, however you can configure more than two file pairs. Specify the file pairs in the initialization parameters, STAT.DSN.BASE and STAT.FILE.PAIRS, as data set name high level qualifiers and the number of pairs. This specification determines the configuration of the statistics file pair list.

Within each file pair, Sterling Connect:Direct writes the statistics records to the entry-sequenced file, while the key-sequenced file maintains index information about the records. On average, Sterling Connect:Direct writes records to the key-sequenced file at the rate of about one for every two records written to the entry-sequenced file.

### Retrieving Statistics with the SELECT STATISTICS Command

When you issue SELECT STATISTICS commands, the system locates the requested records by using the key-sequenced file as an index to the entry-sequenced file. All the file pairs defined to the DTF are available to SELECT STATISTICS command processing. Sterling Connect:Direct searches any file pair that contains records satisfying the SELECT STATISTICS command, not just the files currently being written.

### How Records Are Written

Sterling Connect:Direct writes the statistics records to the entry-sequenced VSAM files in chronological order, starting at the beginning of the file and proceeding until the file or its paired key-sequenced file is full. The oldest record is always at the beginning of the file and the newest record is last. The system records each statistics record as a single VSAM record. The system does not compress the records or add control information.

When a file pair is full, the system switches to the next in the sequence, and begins writing to it. When the last file pair in the list is full, the system wraps back to the first pair in the sequence.

You can also specify the time of a file switch by using the STAT.SWITCH.TIME initialization parameter. For example, you can specify that statistics files switch at midnight every day, which limits a file pair to records from a single day. Sterling Connect:Direct also provides a statistics switch API command that directs the DTF to perform a switch at any time.

When the system has written to all the pairs in the list, the system reuses the pairs. When a switch is made, the system closes the active pair and makes the pair with the oldest data the new active pair. When the system switches to a file, or a file becomes active, Sterling Connect:Direct for z/OS does a VSAM RESET. This VSAM RESET erases any records and index information in the active file. The system then

writes new records starting at the beginning of the file.

---

## Tools to Monitor the Statistics Facility

Sterling Connect:Direct provides the following tools for monitoring the statistics facility:

- INQUIRE STATISTICS command
- S2 statistics records
- SS statistics records
- DGASSTAT utility

### INQUIRE STATISTICS Command

The INQUIRE STATISTICS command gives a snapshot of the status of the facility. INQUIRE STATISTICS produces a report that includes the following:

- List of any currently EXCLUDEed record types
- File pair list configuration that includes which file pair is active
- Date and time range covered by each file pair
- Size of each file
- Utilization percentage of the entry-sequenced files
- Count of SELECT STATISTICS commands active against each file pair
- Indication if logging is waiting for SELECT STATISTICS to finish so a file pair can be reset
- Indication if logging is waiting for archive to finish so a file pair can be reset
- Reason for the last switch from each file pair
- Most recent file access return code and message ID for each file
- Utilization percentage of the nonactive key-sequenced files
- Indication of whether archive notification was received for the nonactive files

### S2 Statistics Records

The S2 statistics records contain information about the statistics logging function. The system writes the records about once per hour when activity exists in the DTF. Each S2 record contains statistics about the period of time since the prior S2 record. The S2 statistics records include the following information:

- Beginning time and length of the period covered
- Count of records written in the period
- Count of ESDS control intervals written in the period
- Count of total bytes written to the ESDS
- Average statistics record length
- Average records per control interval
- Average ESDS writes per second
- Average KSDS writes per second
- Average logging service time
- Total waits for logging queue element
- Each indexed field including max keys and average keys per control interval

Use the TYPE parameter of the SELECT STATISTICS command to view the S2 records. The system writes the S2 records with the user ID specified in the STAT.USER initialization parameter. If you code a unique ID for STAT.USER and



you specify the USER parameter on the SELECT STATISTICS request, you greatly reduce the search time because the user ID is an indexed field. See the *IBM Sterling Connect:Direct for z/OS User Guide* for more information on how to use the SELECT STATISTICS command.

For example, if you code STAT.USER=stuser, a SELECT STATISTICS request to display all S2 records looks like the following figure.

```
SELECT STATISTICS WHERE (USER=stuser, TYPE=(S2)) TABLE
```

## SS Statistics Records

The SS statistics records contain information about SELECT STATISTICS processing. One SS record is written for each SELECT STATISTICS command that executes. The SS record includes information such as the index that Sterling Connect:Direct uses to search the files and the number of requests issued to the keyed and entry-sequenced clusters. The record also includes the number of records examined and rejected.

Use the SELECT STATISTICS command with the TYPE parameter to view the SS record.

Using selection criteria with the SELECT STATISTICS request improves the performance by efficiently locating the requested records. For example, you can include the ID of the user that issued the SELECT STATISTICS command or the approximate time the request was issued, using the STARTT, STOPT, and USER parameters. The following figure shows an example using this selection criteria.

```
SELECT STAT WHERE -
      (TYPE=(SS) USER=USER1 STARTT=(,NOON) STOPT=(,13:00)) TABLE
```

## Using the DGASSTAT Utility to Determine File Usage

Use the DGASSTAT utility to find out the rate at which the system generates statistics records. DGASSTAT also performs an analysis of the contents of the statistics file showing what percentage of the records are of each record type. This utility runs as a batch job step, and analyzes a single statistics entry-sequenced file.

Use the DGASSTAT JCL to report on the statistics files. It calculates the average number of CIs used per day at one DTF.

The job stream example in the following figure, DGAJSTAT , is found in the \$CD.SDGAJCL distribution library.

```
//JOBNAME JOB (ACCT),CLASS=A
//*****
//*                                           *
//*      Connect:Direct                       *
//*                                           *
//*      This JCL will invoke the utility to produce *
//*      a report for a Statistics File.         *
//*                                           *
//*      Change "$CD" to the high-level qualifier(s) *
//*      appropriate for your installation.      *
//*                                           *
//*      Change "$CDVSAM.STAT.ESDS01" to match the name *
//*      of the Statistics file to be analyzed.  *
//*                                           *
//*****
```

```
//STEP1 EXEC PGM=DGASSTAT
//STEPLIB DD DISP=SHR,DSN=$CD.SDGALINK
//SYSOUT DD SYSOUT=*
//ESDS DD DISP=SHR,DSN=$CDVSAM.STATS.ESDS01 (ESDS of file pair)
```

---

## Optimizing Statistics Files

Use this information to determine the most efficient use of Statistics file space.

### About this task

**Note:** Sterling Connect:Direct does not support extended-format, extended-addressing ESDS Statistics data sets.

In this example, the Sterling Connect:Direct software is installed using the default statistics installation of two file pairs with a total capacity of 13,500 records. After running Sterling Connect:Direct for a time, you determine that the records log for about 2.5 days before the file pair list wraps. The administrator wants to provide space for 7 days worth of records to be available at any given time. The administrator does the following:

### Procedure

1. Use DGASSTAT to determine the number of records written daily.  
Run the DGASSTAT utility against the statistics entry-sequenced clusters to determine the rate at which the system generates the statistics records.  
For example, DGASSTAT shows that records per day is approximately equal to 5,400.
2. Determine the total capacity of the statistics file.

$$\text{capacity} = (\text{records per day}) * \text{days}$$

Determine the total capacity in this example by multiplying the 5,400 records per day by seven days. In this case, the total capacity of the statistics file is 37,800 records.

3. Determine the number of records per file pair.  
In this example, the administrator decides to define four file pairs, so each are given a capacity of 9,500 records, for a total capacity of 38,000 records.
4. Determine the RECORDS parameter value for the key-sequenced clusters.

$$\text{KSDS-records} = 0.75 * (\text{ESDS-records})$$

Determine the number of KSDS records by multiplying 75% by 9,500, the number of records per file pair. The RECORDS parameter value for the key-sequenced clusters is 7,125.

### Results

Based on these calculations, the administrator allocates four file pairs. The entry-sequenced cluster (ESDS) of each pair is defined with RECORDS(9500). The key-sequenced clusters (KSDS) are defined with RECORDS(7125).

---

## Change the File Pair Configuration

Make changes to the statistics files or to the configuration of the file pair list when the DTF is not running. During DTF execution, the files remain allocated by Sterling Connect:Direct.

The restrictions that Sterling Connect:Direct places on changes to the configuration maintain the integrity of the facility. At DTF initialization time, Sterling Connect:Direct checks the usability, validity, and accessibility of the statistics files data.

### File Pair Verification

Sterling Connect:Direct performs a verification procedure at initialization, as follows:

- Within each file pair, Sterling Connect:Direct verifies the appropriate sizing, relative to each other, of the entry-sequenced cluster and the key-sequenced cluster. If the file pair is not relatively sized, then Sterling Connect:Direct issues a warning message and initialization continues.
- If either of the files of a pair has data, Sterling Connect:Direct attempts to verify that the two files are actually a statistics file pair. Sterling Connect:Direct verifies that the key-sequenced file really does contain index information for the associated entry-sequenced file.

Sterling Connect:Direct uses control information maintained in the key-sequenced file to perform the verification. The software keeps a control record in the KSDS which contains the data set name and the control interval size of the paired entry-sequenced file. If this information does not match, statistics initialization fails.

### Changing the File Pair

Following are the implications of changing the file pair.

- Changing the control interval size of the ESDS or renaming the clusters causes initialization to fail because the control record in the KSDS no longer matches the files. The two ways to resolve this statistics initialization failure are:
  - First, you can use the DGADBKEY utility to rebuild the key-sequenced cluster. This utility recreates the KSDS control record so that it matches the new names or control interval size. The records in the file pair remain accessible when the DTF is available again.
  - The second solution is to empty the files. The file pair is available for logging new records. However, the old records are no longer available. You may want to archive the files before emptying them.
- Changing the size of a file pair is not a problem. The sizes of both files of a pair change together so that the relative sizes do not change.
  - If the files are made larger and the names remain the same, then copy the records from the old smaller entry and key-sequenced clusters to the new larger ones.
  - Use the same procedure to make a file pair smaller if all the existing records from both files will fit into the smaller space of the new files. If the existing records do not fit, then the new smaller file pair must be left empty initially, and the old records become unavailable.

## File Pair List Verification

Sterling Connect:Direct generates the statistics file pair list from the initialization parameters STAT.DSN.BASE and STAT.FILE.PAIRS. See STAT.DSN.BASE = dsname base STAT.FILE.PAIRS = number for an example of a file pair list.

The Sterling Connect:Direct statistics facility processes the statistics file pair list in a circular, or wrap-around fashion. The system maintains statistics records in strictly chronological order both within each file pair, and with regard to the file pairs in the list.

At DTF initialization, unless STAT.INIT=COLD is specified in the initialization parameters, Sterling Connect:Direct verifies that the order of the file pairs is valid. This verification is done by examining the date and time range of each non-empty file pair in the list. These must be in strictly ascending order throughout the list, except across the wrap point. Empty file pairs may appear anywhere in the list.

### Changing the Number of File Pairs

It can be useful to periodically change the number of file pairs in the list. To change the number of file pairs, change the STAT.FILE.PAIRS initialization parameter which specifies the number of file pairs. This action adds to or removes from the end of the list.

- Add empty file pairs to the end of the list unless you specify STAT.INIT=COLD.
- Remove records from the end of the list by reducing the STAT.FILE.PAIRS value. When you remove these records, they become unavailable and can in some cases leave gaps in the statistics data. You may want to archive these records before removing them.

---

## Archiving Statistics

Archiving refers to the process of copying the records from a statistics entry-sequenced cluster to another data set for long-term storage. The output of this process is an archived statistics file. You can write the archive file to a VSAM entry-sequenced cluster with the same characteristics as a statistics ESDS, or to a non-VSAM sequential file on DASD. The system does not store the statistics records in the ESDS in any special format. The system records each statistics record as a VSAM record in an ordinary VSAM ESDS. You can also write the archive file to a magnetic tape or a database table.

### Archiving Using a Predefined Process

Using the DTF initialization parameter STAT.SWITCH.SUBMIT, you can specify that when the DTF switches from one statistics file pair to another, Sterling Connect:Direct submits a predefined Process to archive the records in the previously active ESDS. Sterling Connect:Direct submits this archive Process with a symbolic parameter indicating the data set name of the ESDS of the pair.

- The Process can then use Sterling Connect:Direct to copy the data to another location. A sample archive Process, DGAPSTAT, is in the \$CD.SDGAPROC distribution library.
- Alternatively, the Process can submit a batch job to archive the data using IDCAMS REPRO, or some other utility. Use the DGADTSUB utility to substitute the file data set name into the submitted job stream. You can invoke DGADTSUB through the RUN TASK statement. A sample archive Process, DGAPSTRJ, that submits a batch job using DGADTSUB.

## Timing the Archive

The archive must complete before Sterling Connect:Direct needs to reuse the file being archived, that is, at the time of wrap-around of the file pair list. The completion of the archiving Process is important because Sterling Connect:Direct erases the contents of the statistics file when the system switches to that file. In other words, archiving must complete within the time required for the file pair list to wrap. Normally, this condition does not present a problem.

### Require Confirmation of Archival

The STAT.ARCH.CONFIRM initialization parameter specifies whether or not to ensure that data is archived before the system erases the file. If you do not want archiving, Sterling Connect:Direct simply resets the files when the switching occurs, and begins writing. If you want archiving, Sterling Connect:Direct verifies that the archive is complete before proceeding. In this case, Sterling Connect:Direct requires notification of archival. Sterling Connect:Direct is notified in several ways:

- If the archive is done using the COPY statement in a Sterling Connect:Direct Process, then the Process can also invoke the DGADARRT utility when the COPY successfully completes. Sterling Connect:Direct invokes DGADARRT through a RUN TASK statement, and notifies Sterling Connect:Direct that the data is archived.
- If you use a batch job to archive, then the job can send the notification by including a step to execute the DGADARBT utility.
- Also, you can issue the API command STATISTICS ARCHIVED to inform Sterling Connect:Direct to reuse a file pair.

If no indication regarding the completion of the archive exists when Sterling Connect:Direct needs to reuse the files, the system issues a message, similar to the example shown below, to the operator console and waits for a reply indicating permission to reuse the file.

```
10.00.01 JOB82592 SSSL013I Statistics file pair switch from 02 to 01, code:TIMER
10.00.02 JOB82592 SSSL009I Arch notification required but not received for file pair 01
10.00.02 JOB82592 *93 SSSL008I Reply "GO" when file available, or "DISABLE" logging.
```

In this situation, all activity in the DTF ceases until a response to the message from the operator exists indicating that the statistics file can now be overwritten. This safeguard occurs as a result of the request that the DTF not erase statistics data unless it is certain that archiving the statistics is complete.

If you have not been requiring archival notification and decide to begin requiring it, you can avoid getting these messages by using the IUI command STAT, CF option and forcing all pairs not in use to indicate they have been archived.

### Not Requiring Confirmation of Archival

Sterling Connect:Direct does not require archive confirmation before reusing a statistics file pair when you specify or default to the DTF initialization parameter, STAT.ARCH.CONFIRM=NO. If you specify this initialization parameter, you are responsible for ensuring that the archive successfully completes before Sterling Connect:Direct resets the file. If the file is reset before copying the records, the data is lost.

If the records are in the process of being copied when Sterling Connect:Direct needs to reset the file, then Sterling Connect:Direct must wait for the copy to complete. This operation is because Sterling Connect:Direct must have exclusive access to the file to do the VSAM reset. In this situation, Sterling Connect:Direct also issues a message to the operator console and waits for a reply indicating that the file can be reset.

## Using the SELECT STATISTICS Command with Archived Statistics

Sterling Connect:Direct for z/OS provides a means of issuing the SELECT STATISTICS command against archived statistics. To make archived statistics available to SELECT STATISTICS, you must put the archived statistics in the format of a statistics file pair. You must make available a VSAM entry-sequenced cluster with a paired VSAM key-sequenced cluster containing the index information.

For example, if the records are archived to a magnetic tape file, you must first copy the archived records to a VSAM ESDS. Then you can run the DGADBKEY utility to build the required associated VSAM KSDS. Refer to *Program Directory for IBM Sterling Connect:Direct for z/OS* and *Planning DASD Requirements in IBM Sterling Connect:Direct for z/OS Configuration Guide* for information about the characteristics and relative sizes of the keyed and entry-sequenced clusters of a file pair. See “DGADBKEY” on page 249 for an explanation and example of the DGADBKEY utility.

Use the ARCHDSN parameter of the SELECT STATISTICS command to search archive files. The ARCHDSN parameter names only the key-sequenced clusters of the archive pairs; Sterling Connect:Direct locates the associated entry-sequenced clusters using control information in the key-sequenced clusters.

Sterling Connect:Direct does not examine the statistics file pair list of the DTF when using the ARCHDSN parameter. Sterling Connect:Direct only searches the archive files. SELECT STATISTICS processing does not let you name files currently in the file pair list of the DTF in the ARCHDSN parameter or combine archive files with files in the file pair list. Refer to the *IBM Sterling Connect:Direct for z/OS User Guide* for a description of the SELECT STATISTICS command and the ARCHDSN parameter.

## Maintaining an Archive File Directory

Sterling Connect:Direct also provides the capability of maintaining a directory of statistics archive files. The directory is a VSAM key-sequenced file that contains a record for each archive file. Information in the record includes the data set name of the archive file and the range of dates and times covered by the archived records. Refer to *VSAM Files DASD Requirement and Description* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide* for estimating space requirements when allocating the directory file.

To use the directory feature, you must allocate the directory file and specify its name in the STAT.ARCH.DIR initialization parameter. Sterling Connect:Direct provides a means of viewing the directory contents using the INQUIRE STATDIR command.

The archive notification utilities, DGADARRT and DGADARBT, write the directory records. If you want to use the directory feature, you must execute one of these utilities from the Process or batch job that archives the records. This condition is

true even if you do not specify `STAT.ARCH.CONFIRM=YES` in the DTF initialization parameters. You must also use these utilities to send archive notification when you are not using the directory feature, but specify `STAT.ARCH.CONFIRM=YES` in the Initialization Parameters file.

## Archive-Related Utilities

This section explains the archiving related utilities: `DGADARRT`, `DGADARBT`, and `DGADBKEY`.

### **DGADARRT**

The `DGADARRT` utility (ARchive Run Task) has the following functions:

- Notifies Sterling Connect:Direct of the availability of a statistics file pair for reuse due to the completion of archiving
- Optionally adds an entry to the directory of archive files
- By default, removes the oldest record from a full archive directory to make room for the newest record.

You can invoke `DGADARRT` from within a Process through the `RUN TASK` statement. Use this utility when submitting a Process at statistics file pair switch time that archives the statistical data using Sterling Connect:Direct to copy the statistics to another file. When the copy operation successfully completes, the system can update the directory and send the archive notification.

The program accepts three parameters through the `RUN TASK` statement.

- The first parameter is required and is the data set name of the statistics entry-sequenced cluster that is archived.
- The second parameter is optional, and is the data set name of the archive file.
- The third parameter is optional, and specifies whether to age the archive directory.

`DGADARRT` always sends archive notification to the DTF. If you specify `STAT.ARCH.CONFIRM=NO` and no notification requirement exists, the notification has no effect.

The addition of the entry in the directory of archive files depends on the specification of the second parameter string. If the second parameter is present, then the system updates the directory to contain an entry for the new archive file.

The following is an example of an archive Process. This Process copies a statistics file to a sequential tape file and then invokes `DGADARRT` to send archive notification to the DTF and update the directory of archive files. Sterling Connect:Direct passes the data set name of the statistics file to the Process in the form of the symbolic parameter `&EDSN`.

```

DGAPSTAT PROCESS PNODE=primary.node           -
                SNODE=secondary.node         -
                PRTY=10                      -
                STARTT=(TODAY)               -
                &EDSN=
ARC      COPY   FROM (DSN=&EDSN)              -
                TO   (DSN=stat.archive.dsn(+1) -
                DISP=(NEW,CATLG,DELETE)      -
                DCB=(DSORG=PS,RECFM=VB,LRECL=2048) -
                UNIT=CART -
                LABEL=(1,SL) )
        IF (ARC EQ 0) THEN
        RUN TASK (PGM=DGADARRT,PARM=("&EDSN", -
                "stat.archive.dsn(+0)"))
        EIF

```

Whether the archive directory ages off the oldest record to make room for the newest depends on the third parameter, `ARCAGE`.

`ARCAGE=Y` - Requests that if the archive directory is full, the oldest record is deleted to make room for the newest. The user receives notification that the record is aged off the archive directory. The amount of time it takes to add a record to the archive directory is insignificant. But the amount of time it takes to age off the oldest record is noticeable and increases with the size of the archive directory, because all of the records in the ESDS must be rewritten. This is the default.

`ARCAGE=N` - Requests that if the archive directory is full, the utility stops without updating the archive directory.

The following example shows the `ARCAGE` parameter specified in the `DGADARRT` utility for use within a Process through the Run Task statement:

```

DGAPSTAT PROCESS PNODE=primary.node           -
                SNODE=secondary.node         -
                PRTY=10                      -
                STARTT=(TODAY)               -
                &EDSN=
ARC      COPY   FROM (DSN=&EDSN)              -
                TO   (DSN=stat.archive.dsn(+1) -
                DISP=(NEW,CATLG,DELETE)      -
                DCB=(DSORG=PS,RECFM=VB,LRECL=2048) -
                UNIT=CART -
                LABEL=(1,SL) )
        IF      (ARC EQ 0) THEN
        RUN TASK (PGM=DGADARRT,PARM=("&EDSN", -
                "stat.archive.dsn(+0)", -
                "ARCAGE=Y"))
        EIF

```

To prevent aging, set `ARCAGE=N`. As a result, the newest record is discarded, rather than the oldest.

## DGADARBT

The `DGADARBT` utility (ARchive BaTch) has the following two functions:

- Notifies Sterling Connect:Direct that a statistics file pair is archived and is available for reuse
- Optionally adds an entry to the directory of archive files



- By default, removes the oldest record from a full archive directory to make room for the newest record.

Execute DGADARBT as a step within a batch job. Use DGADARBT when submitting a job that archives the statistical data by executing IDCAMS or some other utility to COPY the data to another file at statistics file pair switch time. The system can update the directory and send archive notification upon successful completion of the copy operation.

DGADARBT requires that the system allocate the archived statistics file with the data definition name (DDNAME) of STESDS.

DGADARBT always sends archive notification to the DTF. If you specify STAT.ARCH.CONFIRM=NO and no notification requirement exists, then the notification has no effect.

If you want DGADARBT to update the directory of archive files, the system must allocate the following DDNAMEs:

- STADIR, the directory file
- STARCH, the archive file

In the following example, the archive Process submits a batch archive job using DGADTSUB to substitute the statistics file data set name into the job stream. The system passes this data set name to the archive Process as the symbolic parameter &EDSN. Refer to the *IBM Sterling Connect:Direct for z/OS User Guide* for information about how to use DGADTSUB. The submitted job uses the IDCAMS utility to copy the statistics records to an archive file. If the IDCAMS step is successful, Sterling Connect:Direct invokes DGADARBT to both send the archive notification and update the directory.

The following figure is a sample archive Process.

ARCHSTRJ	PROCESS	PNODE=primary.node	-
		SNODE=secondry.node	-
		PRTY=10	-
		STARTT=(TODAY)	-
		&EDSN=	-
	RUN TASK	(PGM=DGADTSUB,	-
		PARM=("DSN=SYS3.CONNECT.INSTALL.JCL(ARCHJOB),DISP=SHR",-	-
		"DSNAME &EDSN"))	-

The following figure is a sample archive job stream.

```

//ARCHJOB JOB (ACCT),CLASS=A
//*
/* ----- *
/* IDCAMS step to archive the Statistics ESDS: *
/* ----- *
/*
//STEP1 EXEC PGM=IDCAMS
//SYSPRINT DD SYSOUT=*
//INPUT DD DISP=SHR,DSN=&DSNAME
//OUTPUT DD DISP=(NEW,CATLG,DELETE),
// DSN=stat.archive.dsn(+1),
// UNIT=CART,
// LABEL=(1,SL)
//SYSIN DD *
REPRO INFILE(INPUT) OUTFILE(OUTPUT)
/*
/*
/* ----- *
/* DGADARBT step to notify DTF that ESDS was archived: *
/* ----- *
/*
//STEP2 EXEC PGM=DGADARBT,COND=(0,LT,STEP1)
//STEPLIB DD DSN=prod.ndmlib,DISP=SHR
//SYSOUT DD SYSOUT=*
//STESDS DD DISP=SHR,DSN=*.STEP1.INPUT
//STARCH DD DISP=SHR,DSN=*.STEP1.OUTPUT
//STADIR DD DSN=stat.archdir.dsn,DISP=SHR
//

```

The DGADARBT utility accepts the same ARCAGE parameter as specified for DGADARRT. It is the first and only OS parameter for this utility.

The following example shows the ARCAGE parameter specified in the DGADARRT utility to run as a step in a batch job:

```

//ARCHJOB JOB (ACCT),CLASS=A
//*/
/* ----- *
/* IDCAMS step to archive the Statistics ESDS: *
/* ----- *
/*
//STEP1 EXEC PGM=IDCAMS
//SYSPRINT DD SYSOUT=*
//INPUT DD DISP=SHR,DSN=&DSNAME
//OUTPUT DD DISP=(NEW,CATLG,DELETE),
// DSN=stat.archive.dsn(+1),
// UNIT=CART,
// LABEL=(1,SL)
//SYSIN DD *
REPRO INFILE(INPUT) OUTFILE(OUTPUT)
/*
/*
/* ----- *
/* DGADARBT step to notify DTF that ESDS was archived: *
/* ----- *
/*
//STEP2 EXEC PGM=DGADARBT,COND=(0,LT,STEP1),PARM='ARCAGE=Y'
//STEPLIB DD DSN=prod.ndmlib,DISP=SHR
//SYSOUT DD SYSOUT=*
//STESDS DD DISP=SHR,DSN=*.STEP1.INPUT
//STARCH DD DISP=SHR,DSN=*.STEP1.OUTPUT
//STADIR DD DSN=stat.archdir.dsn,DISP=SHR
//

```

To prevent aging, set ARCADE=N. As a result, the newest record is discarded, rather than the oldest.

## DGADBKEY

The DGADBKEY utility (Build KEYS) loads a statistics key-sequenced cluster with index information for an associated statistics entry-sequenced cluster. DGADBKEY must execute as a batch job step.

DGADBKEY enables the recreation of index information for archived statistics data so that you can issue a SELECT STATISTICS command. You can also use this utility to rebuild index information for statistics files in the DTF file pair list in certain cases. Refer to Change the File Pair Configuration for additional information.

DGADBKEY requires the allocation of DDNAMEs, ESDSnn and KSDSnn, with the entry-sequenced and key-sequenced clusters respectively. Sterling Connect:Direct loads the entry-sequenced cluster with the statistics records for building the index information. The key-sequenced cluster must either be empty, or be defined with the REUSE attribute. DGADBKEY erases any records in the KSDS before writing the new information. The size of the KSDS is about 15% of the size of the associated ESDS. The KSDS must have the characteristics of a statistics key-sequenced cluster. Refer to *VSAM Files DASD Requirement and Description* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide* for details about allocating statistics clusters.

The following is an example of a job stream to execute DGADBKEY.

```
//DGADBKEY JOB (ACCT),CLASS=A
//STEP1 EXEC PGM=DGADBKEY
//STEPLIB DD DISP=SHR,DSN=prod.SDGALINK
//ESDS01 DD DISP=SHR,DSN=stat.esds01
//KSDS01 DD DISP=SHR,DSN=stat.ksds01
//SYSOUT DD SYSOUT=*
//
```

PARM=CONSOLIDATE allows DGADBKEY to build a KSDS for an ESDS that contains multiple archived statistics datasets. To use this parameter, create a large flat file of archived statistics, loading them in date order from the oldest to the newest. Next, load this file to an ESDS that will hold all the records and create a KSDS for this ESDS. Then execute DGADBKEY using PARM=CONSOLIDATE to build the KSDS file. This file pair is available as an Archived Statistics Dataset.

## Sample Archiving Setup

Assume that you have the following requirements for archiving:

- Statistics records must remain available for seven days in the file pair list before being overwritten by new records. After seven days, they must be available in archive files.
- Each archive file can contain no more than one day of statistics records.
- Batch jobs executing the IDCAMS utility to copy the records to sequential files on magnetic tape must perform the archiving. The archive files must be available for 365 days.
- Maintain a directory of archive files.
- Ensure that statistics data is not overwritten before being archived.

- Establish a procedure for making archived statistics available to the SELECT STATISTICS command.

## Sample Statistics Configuration

This section describes how to configure the statistics facility to satisfy these requirements.

You must determine how to configure the statistics file pair list. The rate at which you log statistics records, availability of the statistics records for seven days before being overwritten, and each archive file containing no more than one day of statistics records determine the size and number of file pairs required.

For the statistics records to remain available for seven days after being generated, the total record capacity of all the entry-sequenced statistics files is seven times the average number of records generated daily. Run the DGASSTAT utility to determine how many records, on average, the system writes daily.

According to the sample requirements, a single archive file contains up to the same number of records as a single statistics entry-sequenced cluster, and an archive file contains no more than a day of records. Each ESDS also holds about a day of records, implying that seven statistics file pairs exist. Use the STAT.SWITCH.TIME initialization parameter to initiate a file pair switch every day at midnight rather than depend on a file pair switch occurring regularly as a result of file pairs filling. To ensure that the switches do not occur before midnight as a result of a file pair becoming full, make each ESDS slightly larger than the daily requirement.

If you determine, using DGASSTAT, that the system writes statistics records at the rate of about 11,000 daily, define seven file pairs each with a capacity of about 12,000 records (RECORDS(12000)). This figure implies that the associated key-sequenced clusters are defined with RECORDS(9000).

RECORDS(365) defines the directory of archive files because the system generates the archive files at the rate of one daily and retains the files for one year.

SYSTEMS.CD.STATS is the data set name prefix for the statistics clusters. The data set name of the archive directory is SYSTEMS.CD.STATS.DIRECTRY. Member ARCHPROC in the data set SYSTEMS.CD.ADMINLIB contains the archive Process that is submitted at file pair switch time.

The following initialization parameters are necessary for the sample archive requirements.

STAT.DSNBASE = SYSTEMS.CD.STATS	/* data set name base	*/
STAT.FILE.PAIRS = 7	/* number of file pairs	*/
STAT.SWITCH.TIME = ( 00:00 )	/* switch at midnight	*/
STAT.SWITCH.SUBMIT = SYSTEMS.CD.ADMINLIB(ARCHPROC)	/* archive proc	*/
STAT.ARCH.DIR = SYSTEMS.CD.STATS.DIRECTRY	/* use directory	*/
STAT.ARCH.CONFIRM = YES	/* be sure archive completes	*/

The archive Process in the member ARCHPROC follows.

```

ARCHIVE PROCESS &EDSN=, - /* passed stats dsname */
                SNODE=CD.PROD, - /* PNODE=SNODE */
                PNODE=CD.PROD, - /* */
                CLASS=1, - /* lowest class */
                PRTY=15, - /* highest priority */
                REQUEUE=YES /* re-queue on error */
/*
/* invoke DGADTSUB to submit the archive job...
/*
        RUN TASK (PGM=DGADTSUB, - /* execute DGADTSUB, */
PARM=("DSN=SYSTEMS.CD.JCL(ARCHJOB),DISP=SHR", - /*job */
/* stream to sub*/
        "DSNAME &EDSN")) /* pass stat dsname */

```

The archive job stream in SYSTEMS.CD.JCL(ARCHJOB) follows.

```

//ARCHJOB JOB (ACCT),ARCHIVE,CLASS=A,MSGCLASS=Z,MSGLEVEL=(1,1)
//***** archive the statistical data *****
//ARCHIVE EXEC PGM=IDCAMS
//SYSPRINT DD SYSOUT=*
//INPUT DD DISP=SHR,CD=&DSNAME /* from DGADTSUB */
//OUTPUT DD DSN=SYS.NDM.ARCH.STATS(+1),DISP=(NEW,CATLG,DELETE),
// UNIT=TAPE,DCB=(BUFNO=6)
//SYSIN DD *
        REPRO INFILE(INPUT) OUTFILE(OUTPUT)
/*
//***** notify Connect:Direct that the file pair can be reused, ****
//***** and update the directory of archive files *****
//NOTIFY EXEC PGM=DGADARBT,COND=(0,LT) /* if no errors */
//STEPLIB DD DISP=SHR,DSN=SYS.CD.SDGALINK
//STESDS DD DISP=SHR,DSN=*.ARCHIVE.INPUT /* stat file */
//STDIR DD DISP=SHR,DSN=SYSTEMS.CD.STATS.DIRECTRY /* archive directory */
//STARCH DD DISP=SHR,DSN=*.ARCHIVE.OUTPUT /* archive file */
//SYSOUT DD SYSOUT=*
//

```

The previous archive job stream indicates that the Sterling Connect:Direct administrator manages requests for access to archived statistics records. The submitted requests specify a range of dates and times for the necessary records.

The administrator issues the INQUIRE STATDIR command to determine which archive files contain records for the specified period. The administrator runs the following job stream to create a usable archived statistics file pair for each archive file that it finds. The first step creates the archive file and copies the record to it. The second step builds the index information.

```

//RESTORE JOB (ACCT),RESTORE,CLASS=A,MSGCLASS=Z,MSGLEVEL=(1,1)
//ARCHIVE EXEC PGM=IDCAMS
//SYSPRINT DD SYSOUT=*
//INPUT DD DISP=SHR,DSN=SYS.CD.ARCH.STATS.GnnnnVnn /* arch seq */
//SYSIN DD *
  DEFINE CLUSTER - /* define archive KSDS */
    (NAME(SYS.CDARCH.Dyymmdd.KSDS) - /* supply archive date yymmdd */
     VOLUMES(USRVOL) -
     INDEXED NOIMBED -
     FREESPACE(0 0) -
     KEYS(27 0) -
     RECORDSIZE(32 78) -
     REUSE NOREPLICATE -
     SHAREOPTIONS(2)) -
  DATA -
    (CONTROLINTERVALSIZE(4096) -
     RECORDS(9000) -
     NAME(SYS.CDARCH.Dyymmdd.KSDS.DATA)) -
  INDEX -
    (CONTROLINTERVALSIZE(512) -
     NAME(SYS.CDARCH.Dyymmdd.KSDS.INDEX))
  DEFINE CLUSTER - /* define archive ESDS */
    (NAME(SYS.CDARCH.Dyymmdd.ESDS) -
     VOLUMES(USRVOL) -
     REUSE NONINDEXED NOIMBED -
     RECORDS(12000) - /* same size as stats files */
     RECORDSIZE(275 2048) -
     SHAREOPTIONS(2)) -
  DATA -
    (CONTROLINTERVALSIZE(4096) -
     NAME(SYS.CDARCH.Dyymmdd.ESDS.DATA))
  IF MAXCC = 0 - /* if clusters allocated OK */
  THEN REPRO INFILE(INPUT) - /* then load with stats */
    OUTDATASET(SYS.CDARCH.Dyymmdd.ESDS)
/*
//*****
//***** rebuild statistics index information
//*****
//BLDKEY EXEC PGM=DGADBKEY,COND=(0,LT)
//STEPLIB DD DISP=SHR,DSN=SYS.CD.NDMLIB
//SYSOUT DD SYSOUT=*
//ESDSnn DD DISP=SHR,DSN=SYS.CDARCH.Dyymmdd.ESDS /* ESDS cluster */
//KSDSnn DD DISP=SHR,DSN=SYS.CDARCH.Dyymmdd /* KSDS cluster */
//

```

The archived statistics are now available and you can issue SELECT STATISTICS against the statistics by coding the name of the key-sequenced file with the ARCHDSN parameter, as follows.

```

SELECT STATISTICS WHERE -
(PNAME=USERPROC, ARCHDSN=(SYS.CDARCH.Dyymmdd))

```

## Displaying the Status of the Statistics Logging Facility

The INQUIRE STATISTICS command displays the current status of the Sterling Connect:Direct statistics logging facility.

The INQUIRE STATISTICS command has the following format.

Label	Command	Parameters
(optional)	INQUIRE STATISTICS	

No parameters are required for the INQUIRE STATISTICS command.

## Statistics Inquiry through the Batch Interface

To use the INQUIRE STATISTICS command from the batch interface, perform the following steps.

### Procedure

1. Place your command in a batch job stream as described in the *IBM Sterling Connect:Direct for z/OS User Guide*.
2. Submit the job while Sterling Connect:Direct is running.

**Note:** You must set the fifth character of the DGADBATC output parameter specification to Y to print the result of the command that is in the temporary data set.

3. Verify your results.

## Statistics Inquiry through the UI Interface

To use the INQUIRE STATISTICS command from the Sterling Connect:Direct UI, perform the following steps.

### Procedure

1. Access the statistics facility by selecting option INQ from the Connect:Direct Administrative Options Menu. The Inquire DTF Internal Status screen is displayed.
2. Type ISTA and press ENTER to display the status of the statistics logging facility.
3. Verify your results from the statistics logging facility display that is displayed. The report includes information such as the configuration of the statistics file pair list, the active file pair, file percentage utilizations, date and time ranges in the files, and additional information about the statistics facility.

### Results

The following figure shows a partial sample report.

```
=====
node name      *INQ STATS* DATE: mm/dd/yyyy  TIME: hh:mm:ss
=====
Status        => Enabled                Sec. Name    => USER01
Return Code   => 0                      Message ID   => SSTL000I
Last "S2"     => 00:00:00                Que Wait    => No
Dsn Base      => USER01.STTX
Excluded      => MC
*****
***** FILE PAIR #01 *****
Status        => Active
Start Date    => 01/20/2013              End Date     => 01/20/2013
Start Time    => 15:25:29                End Time     => 15:51:35
KSDS Size     => 196608                  ESDS CIS     => 4096
ESDS Size     => 2211840
Reset Pend.   => No                      Arch. Wait   => No
Last Switch   =>                        Sel. Count   => 0
.
.
.
```

---

## Displaying the Statistics Archive File Directory

The INQUIRE STATDIR command displays the Sterling Connect:Direct statistics archive file directory.

The INQUIRE STATDIR command has the following format and associated parameters.

Label	Command	Parameters
(optional)	<b>INQUIRE STATDIR</b>	STARTT = ([date   day][,hh:mm:ssXM])

No parameters are required for the INQUIRE STATDIR command.

The following table describes the optional parameters used with the INQUIRE STATDIR command:



Parameter	Description
STARTT = ([date   day] [,hh:mm:ssXM])	<p>This parameter specifies that the directory display is to begin with the first archive file created after the designated starting date and time. The date or day and time are positional parameters. If you do not specify the date or day, a comma must precede the time. If you omit this parameter, the display begins with the first directory entry.</p> <p><b>date</b></p> <p>This parameter specifies that the directory display is to start with this specific date. You can specify the day (dd), month (mm), and year (yy).</p> <p>You can specify the day (dd), month (mm), and year (yy for 2-digit year and yyyy for 4-digit year). You can use periods or back slashes (/) to separate the components of a date value.</p> <p>You can omit the separators only for transfers between mainframe nodes. However, you must use separators for transfers between mainframes and all other platforms.</p> <p>After you designate the date order in your initialization parameters, you can use the following date formats:</p> <p>DATEFORM=MDY specifies the date format as:</p> <ul style="list-style-type: none"> <li>• mm/dd/yy or mm/dd/yyyy</li> <li>• mm.dd.yy or mm.dd.yyyy</li> </ul> <p>DATEFORM=DMY specifies the date format as:</p> <ul style="list-style-type: none"> <li>• dd/mm/yy or dd/mm/yyyy</li> <li>• dd.mm.yy or dd.mm.yyyy</li> </ul> <p>DATEFORM=YMD specifies the date format as:</p> <ul style="list-style-type: none"> <li>• yy/mm/dd or yyyy/mm/dd</li> <li>• yy.mm.dd or yyyy.mm.dd</li> </ul> <p>DATEFORM=YDM specifies the date format as:</p> <ul style="list-style-type: none"> <li>• yy/dd/mm or yyyy/dd/mm</li> <li>• yy.dd.mm or yyyy.dd.mm</li> </ul> <p>The following Julian date formats are valid:</p> <ul style="list-style-type: none"> <li>• yyddd or yyyyddd</li> <li>• yy/ddd or yyyy/ddd</li> <li>• yy.ddd or yyyy.ddd</li> </ul> <p>If only date is specified, the time defaults to 00:00.</p>

Parameter	Description
STARTT = ([date   day] [,hh:mm:ssXM]) (continued)	<p><b>day</b></p> <p>This parameter specifies to display the first archive file created after this day of the week. Valid names include MOnday, TUEsday, WEdnesday, THursday, FRiday, SATurday, and SUnDay. You can also specify YESTER to search for archive files created after yesterday or TODAY to search for the archive files created after today.</p> <p><b>hh:mm:ssXM</b></p> <p>Requests the first archive file created after this time of day, specified in hours (hh), minutes (mm), and seconds (ss). XM can be AM or PM. You can express the time of day using the 24-hour clock or the 12-hour clock. If you use the 24-hour clock, valid times are 00:00–24:00. If you use the 12-hour clock, you can express 1:00 hours as 1:00AM, and you can express 13:00 hours as 1PM.</p> <p>If you do not use either AM or PM, Sterling Connect:Direct assumes the 24-hour clock. You do not need to specify minutes and seconds. You can also specify NOON, which displays files created after noon, or MIDNIGHT, which displays archive files created after midnight. The default for the time is 00:00:00, the beginning of the day.</p> <p>If you specify time of day but not date, the output shows the first available entry in the archive directory for files created after that time of day. Archive files from all later times and dates display up to and including the stop time.</p>

## Viewing the Statistics Archive Directory through the Batch Interface

To use the INQUIRE STATDIR command from the batch interface, perform the following steps.

### Procedure

1. Place your command in a batch job stream as described in the *IBM Sterling Connect:Direct for z/OS User Guide*.
2. Submit the job while Sterling Connect:Direct is running.

**Note:** Set the fifth character of the DGADBATC output parameter specification to Y to print the result of the command that is in the temporary data set.

3. Verify your results.

## Viewing the Statistics Archive Directory through the IUI Interface

To issue the INQUIRE STATDIR command in the Sterling Connect:Direct IUI, perform the following steps.

### Procedure

1. Select option INQ from the Connect:Direct Administrative Options Menu to display the statistics facility. The Inquire DTF Internal Status screen is displayed.
2. Type IDIR and press ENTER to display the directory. A sample of the screen follows.

node.name	INQUIRE STATISTICS ARCHIVE DIRECTORY	hh.mm
CMD ==>		mm/dd/yy
		yyyy.ddd
START DATE	==> _____	(Gregorian or Julian)
START TIME	==> _____	(HH:MM:SSXM)

3. Supply the beginning date and time to limit the display for the INQUIRE STATDIR command. A report showing the results of the inquiry is displayed. The following figure shows a partial sample report.

```

=====
node.name          *INQUIRE STATDIR*  DATE: mm/dd/yyyy  TIME: hh:mm:ss
=====
Archival DSN:      USER01.STT.DGAPSTAT.G0008V00
Archival Notification: 03/02/1998  98.061  00:01:28
Oldest Record:     03/01/1998  98.060  00:00:06
Newest Record:     03/01/1998  98.060  23:59:54

Archival DSN:      USER01.STT.DGAPSTAT.G0009V00
Archival Notification: 03/03/1998  98.062  00:01:35
Oldest Record:     03/02/1998  98.061  00:00:11
Newest Record:     03/02/1998  98.061  23:59:45
.
.
.

```

## Switching the Statistics File Pair

The STATISTICS SWITCH command initiates a statistics file pair switch. The currently active file pair closes, and logging continues on the next file pair in sequence. This command provides a means of initiating a file pair switch at any given time. Otherwise, switching occurs when the active file pair fills, or when a time of day specified in the STAT.SWITCH.TIME initialization parameter occurs.

The STATISTICS SWITCH command has the following format.

Label	Command	Parameters
(optional)	STATistics SWITCH	

No parameters are required for the STATISTICS SWITCH command.

## Initiating a Statistics File Pair Switch through the Batch Interface

To use the STATISTICS SWITCH command from the batch interface, perform the following steps.

### Procedure

1. Place your command in a batch job stream as described in the *IBM Sterling Connect:Direct for z/OS User Guide*.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

## Initiating a Statistics File Pair Switch through the IUI Interface

The IUI provides a formatted panel that facilitates the issuing of the STATISTICS SWITCH command. To issue the STATISTICS SWITCH command through the Sterling Connect:Direct IUI, perform the following steps.

### Procedure

1. Select the STAT option of the Administrative Options Menu to access the Statistics Command panel.
2. Select option FS on the panel to initiate the file pair switch.

---

## Recording Statistics for Specific Record Types

The STATISTICS ON/OFF command enables and disables recording of specific statistics record types. When you initialize the DTF, Sterling Connect:Direct enables the recording of all record types unless you specify the STAT.EXCLUDE initialization parameter. You can use the INQUIRE STATISTICS command to find out which types are currently disabled.

Use the STATISTICS ON/OFF command prudently when excluding Statistics records logging because some types of records are critical for problem diagnosis. Do not exclude the following record types:

- CT – Copy Termination
- PS – Process Submit
- PT – Process Termination
- RJ – Run Job
- RT – Run Task
- SW – Submit within Process
- WO – WTO

Other record types are less critical and you can exclude them.

### CAUTION:

**Excluding record types can make problem analysis and resolution more difficult.**

The STATISTICS ON/OFF command has the following format and associated parameters.

Label	Command	Parameters
(optional)	STATistics ON   OFF	TYPE = (record type list)

The following parameter is required for the STATISTICS ON/OFF command:

Parameter	Description
TYPE	This parameter specifies the list of statistics record types whose recording is enabled or disabled. Use the 2-character identifier to specify record types. These identifiers are in the table beginning on "Statistics Records" on page 186.

## Excluding Statistics Logging through the Batch Interface

To use the STATISTICS ON/OFF command from the batch interface, perform the following steps.

## Procedure

1. Place your command in a batch job stream as described in *IBM Sterling Connect:Direct for z/OS User Guide*.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results. Refer to “Recording Statistics for Specific Record Types” on page 258 for more information on what not to exclude.

## Excluding Statistics Logging through the UI Interface

To use the STATISTICS ON/OFF command from the IUI, perform the following steps.

### Procedure

1. Select the STAT option from the Connect:Direct Administrative Options Menu. The Statistics Command screen is displayed.
2. Select option EN to enable logging or option DI to disable logging. Supply the list of affected record identifiers in the area provided, and press ENTER. Refer to “Recording Statistics for Specific Record Types” on page 258 for more information on what not to exclude.

---

## Notifying Sterling Connect:Direct of Statistics File Archival

The STATISTICS ARCHIVED command notifies Sterling Connect:Direct that the statistics file is archived. It enables the system to erase and overwrite the file with new records.

When you specify STAT.ARCH.CONFIRM=YES in the DTF initialization parameters, Sterling Connect:Direct cannot reuse a statistics file pair until it receives confirmation that the archive is complete. The STATISTICS ARCHIVED command provides an additional means of sending this notification. Ordinarily it is sent by the DGADARRT utility after the archive is done by a Sterling Connect:Direct COPY Process, or by the DGADARBT utility after the archive is done by a batch step.

The STATISTICS ARCHIVED command has the following format and associated parameters.

Label	Command	Parameters
(optional)	STATistics ARCHived	file pair number

The following parameter is required for the STATISTICS ARCHIVED command:

Parameter	Description
file pair number	This parameter specifies a number from 1–20 that identifies the statistics file for which archive notification is sent. This number is given as the relative number of the file pair in the file pair list. The first pair in the list is file pair number 1.

## Issuing Archive Notification through the Batch Interface

To use the STATISTICS ARCHIVED command from the batch interface, perform the following steps.

### **Procedure**

1. Place your command in a batch job stream as described in *IBM Sterling Connect:Direct for z/OS User Guide*.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify your results.

## **Issuing Archive Notification through the IUI Interface**

To use the STATISTICS ARCHIVED command from the IUI, perform the following steps.

### **Procedure**

1. Select the STAT option from the Connect:Direct Administrative Options Menu. The Statistics Command screen is displayed.
2. Select option CF, supply the number of the file pair for notification of archival, and press ENTER.

---

## Chapter 13. Managing the Transmission Control Queue

Sterling Connect:Direct stores submitted Processes in the Transmission Control Queue (TCQ). The TCQ controls Process execution. The *IBM Sterling Connect:Direct for z/OS User Guide* contains information about how to submit Processes, how to control those Processes once they are in the TCQ, the logical queues that make up the TCQ, and the status values of Processes in the TCQ. The TCQ consists of two interdependent VSAM data sets:

- The Transmission Control Queue, or TCQ, is a Relative Record Dataset (RRDS) which contains an internal form of the Process language of each Process and status flags.
- The Transmission Control Index, or TCX, is an RRDS containing a single record. It contains bitmaps, that indicate the availability of TCQ space.

The default size of the TCQ, as determined by the sample installation JCL, is 1000 records, but it can be as large as 4016 records if the sample TCX is used. The size of a Process can range from 1 to 43 records, depending upon the how many steps it contains. The average Process size varies by installation. If the average Process size is 5 records, the sample TCQ can contain approximately 200 Processes.

In order to use a TCQ with a capacity that exceeds 4016 records, the TCX must be defined with a record size and control interval (CI) size larger than those specified by default in the installation JCL. The *IBM Sterling Connect:Direct for z/OS Configuration Guide* and *Program Directory for IBM Sterling Connect:Direct for z/OS* contain more information about planning your space requirements.

**Note:** Both the TCQ and TCX can be defined with a CISIZE (Control Interval size) of up to 30,720 bytes. The maximum number of TCQ records that can be mapped by the maximum-sized TCX is 122,804.

To hold the maximum size Process (1 MB), the CISIZE of the TCQ must be at least 24 KB bytes.

---

### Configure the TCQ

Sterling Connect:Direct provides initialization parameters that allow you to configure the TCQ. These parameters fall into the following categories:

- Controlling startup—two parameters determine what the TCQ does with existing Processes:
  - TCQ = WARM | COLD, whose default value of WARM specifies that all existing Processes in the TCQ are retained. COLD requests that the TCQ be cleared of all processes.
  - CONFIRM.COLD.START = YES | NO, whose default value you must change to force the operator to confirm the request for a COLD start before executing it.
- Controlling efficiency of the TCQ—the following parameters provide several flexible configuration options in this area.
  - MAX.AGE lets you specify the number of days to wait before purging a Process. With this parameter you can also manage the Wait and Hold queues by specifying which type of Process to purge (that is, those with a specific status) or the number of days to wait to purge for each status type.

- MAX.AGE.TOD used to change the system default of automatically purging the TCQ at midnight and whenever Sterling Connect:Direct is initialized. Optional.
- TCQ.THRESHOLD specifies when a warning is issued to indicate the TCQ is reaching capacity and Processes may be deleted. It is also the auto-deletion threshold for the PR queue.
- Holding Processes—two parameters determine if submitted Processes are held.
  - QUIESCE specifies whether or not Sterling Connect:Direct holds Processes from execution.

**Note:** The QUIESCE parameter helps you in your efforts to clean up a TCQ, that has become corrupt. See Using the TCQ/TCX Repair Utility (DGADTQFX) for details.

- REQUEUE specifies whether to requeue a Process, that ABENDS or results in a return code greater than 4.
- Retaining Processes after Execution—set the PROCESS.RETENTION parameter to save a Process in the PR queue after it has executed. Then view or select completed Processes.

---

## How to Troubleshoot the TCQ

Sterling Connect:Direct provides several ways to recover from a system malfunction associated with a TCQ problem.

The TCQ/TCX Repair Utility, DGADTQFX allows you to solve corruption problems related to the TCQ/TCX data sets without having to cold start the DTF and reinitialize the TCQ. The DGADTQFX batch program retains the original TCQ/TCX data sets used in production, and creates a new validated copy of the TCQ by removing all invalid Processes. The DGADTQFX utility can also be used to create a TCQ and TCX for pre-version 4.6 Sterling Connect:Direct. Processes that are larger than 64 KB (the pre-version 4.6 Process limit) are removed from the new TCQ and TCX when the BACKLEVEL parameter is used.

**Note:** The DGADTQFX utility builds a new TCX/TCQ pair with increased CISIZES to hold larger Processes.

### Using the TCQ/TCX Repair Utility (DGADTQFX)

The TCQ/TCX Repair Utility (DGADTQFX) can be used to help solve corruption problems related to the TCQ/TCX data sets.

Run the DGADTQFX utility in one of the following ways:

- Rebuild TCX mode, which creates a new TCX by using the current TCQ to indicate the existence of Processes.
- Use TCX mode, which creates a new TCQ using the current TCX to indicate the existence of Processes. When upgrading from Connect:Direct releases prior to 4.4, using TCX mode is recommended.

**Note:** Use different names to distinguish the original and new TCQ/TCX data sets in case you need to go back and reuse the original data sets.

The Rebuild TCX mode is the preferred mode for rebuilding the TCQ/TCX after encountering TCQ corruption problems, which cause U3083 abends.



**CAUTION:**

The DGADTQFX utility is rebuilding the TCX based on Processes that remain in the TCQ. The utility will determine the highest Process number in the TCQ and will set the next available Process number to the next number. It is possible for this utility to reuse Process numbers.

The Use TCX mode is recommended for TCQs associated with systems running versions of Sterling Connect:Direct prior to Version 4.4. Prior to Version 4.4, completed Processes were retained in the TCQ and were not deleted.

The DGADTQFX program, located in \$CD.SDGALINK, has one execution parameter for specifying the report type.

Parameter	Description
PARM= <u>SUMMARY</u>	Specify SUMMARY to produce a report at the Process level.
<u>DETAIL</u>	Specify DETAIL to produce a report, which shows steps within each Process, such as RUN TASK, RUN JOB, SUBMIT, and COPY.
BACKLEVEL	Specify BACKLEVEL to create a TCQ and TCX for pre-version 4.6 Sterling Connect:Direct systems.
REMOVEPR	Specify REMOVEPR to remove all Processes on the PR queue.

Normally, you run DGADTQFX with Sterling Connect:Direct shut down but you could run it in production. The data sets and reports created will be correct as long as no update activity to the input TCQ takes place while the utility executes. The program issues a warning message if the VSAM timestamp for the input TCQ is changed during execution.

The return codes associated with the DGADTQFX utility are described in the following table.

Return Code	Meaning
0	No errors were found in the input TCQ
4	At least one error was found and removed or a warning message was issued
8	A severe error occurred during execution and the utility was terminated

## Initializing the DTF After DGADTQFX Has Found Errors

If errors were found and corrected when you ran the DGADTQFX utility, replace the original corrupted data sets in use with the new data sets created by DGADTQFX. To allocate the new data sets to Sterling Connect:Direct, use IDCAMS ALTER or regenerate the network map.

### Using IDCAMS ALTER Procedure

1. Shut down the DTF, if necessary.
2. Rename the old TCQ and TCX to save the original data sets.
3. Using ALTER, rename the new TCQ and TCX data sets using the original data set names.
4. Initialize the DTF and specify the QUIESCE=YES initialization parameter.

5. Use SELECT PROCESS to display the TCQ contents, and delete any unwanted Processes.
6. Issue the MODIFY Sessions command to resume DTF operation.
7. After you are confident that Sterling Connect:Direct is operating normally with the new TCQ and TCX data sets, delete the original TCQ and TCX datasets.

## Regenerating the Netmap Procedure

1. Shut down the DTF, if necessary.
2. Execute the Unload Netmap utility, DGADNTLD.
3. Change the names of the TCQ and TCX data sets in the unloaded member. The names are defined within the LOCAL.NODE definition.
4. REPRO the old network map data to preserve a copy of it for fallback purposes.
5. Delete, define, and reload the netmap.
6. Initialize the DTF and specify the QUIESCE=YES initialization parameter.
7. Use SELECT PROCESS to display the TCQ contents, and delete any unwanted processes.
8. Issue the MODIFY Sessions command to resume DTF operation.
9. After you are confident that Sterling Connect:Direct is operating normally with the new TCQ and TCX data sets, delete the original network map, TCQ and TCX data sets.

## DGADTQFX Examples

The following JCL samples are provided in \$CD.SDGASAMP:

- DGAXTQF1, which runs DGADTQFX in Rebuild TCX mode. DGADTQFX will create new TCQ and TCX data sets and print a detailed report.
- DGAXTQF2, which runs DGADTQFX in Use TCX mode. DGADTQFX will use the current TCX data set to indicate the existence of TCQ Processes. The program will print a summary report.
- DGAXTQF3, which runs DGADTQFX in BACKLEVEL mode. DGADTQFX will create new TCQ and TCX datasets and print a summary report. Processes larger than 64 KB are removed.

The following sample shows part of the JCL within \$CD.SDGASAMP library member DGAXTQF1.

```

/*****
/**
/** Run the TCQ FIX utility in "Rebuild TCX" mode. Create *
/** new TCQ and TCX with any invalid contents of input *
/** TCQ removed. Request detail-level reporting for *
/** input and output TCQ: *
/** *
/*****
//STEP2 EXEC PGM=DGADTQFX,PARM=DETAIL
//STEPLIB DD DISP=SHR,DSN=CD.LOADLIB
//SYSOUT DD SYSOUT=*
//TCQIN DD DISP=SHR,DSN=CD.OLD.TCQ
//TCQOUT DD DISP=SHR,DSN=CD.NEW.TCQ
//TCXIN DD DISP=SHR,DSN=CD.OLD.TCX (not needed in this mode)
//TCXOUT DD DISP=SHR,DSN=CD.NEW.TCX
//TCQINRPT DD SYSOUT=*

```

You can accomplish tasks depending upon which DD statements are present in the batch JCL:

- If TCXOUT is present, Rebuild TCX mode is requested; otherwise, Use TCX mode is requested.
- If TCQINRPT is present, an input TCQ report is generated; otherwise, it is not.
- If TCQOUT is present, a new TCQ is created; otherwise, it is not. You can therefore analyze and report on the input TCQ without creating any data sets.

**Note:** TCQOUT and TCXOUT must both be pre-allocated empty VSAM data sets.

## DGADTQFX Output

The sample reports shown in this section are a result of running DGADTQFX in Use TCX mode. The first report shows two invalid TCQ Processes, which were detected and skipped during the copy of the input TCQ to the output TCQ. The second report lists all Processes in the input TCQ in sequential Process number order.

### DGADTQFX Output TCQ Report (Summary)

Connect:Direct for z/OS									
DGADTQFX execution on 18 Mar 2003 14:58:16									
Mode: Use TCX									
Output TCQ Summary									
PName	PNum	Cur Step	Submitter	Node	Other Node	Stat	UserID	Submitted	
D3103UPR	4	STEP0108	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:08
D3104UPR	5	STEP0103	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:14
D3105UPR	6	STEP0103	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:17
D3106UPR	7	STEP01	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:21
D3107UPR	8	STEP01	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:24
Processes Skipped Summary									
PName	PNum	Cur Step	Submitter	Node	Other Node	Stat	UserID	Submitted	
D3101UPR	2		PLEX.JOE		PLEX.TOM.TCP	HO HI	CBENN1	19 DEC 2002	11:08:45
D3102UPR	3		PLEX.JOE		PLEX.TOM.TCP	HO HI	CBENN1	19 DEC 2002	11:08:59
Totals:									
Processes found in Input TCQ:						7			
Processes written to Output TCQ:						5			
Processes not copied to Output TCQ (skipped):						2			
STQF001E DGADTQFX ended; RC=04									

### DGADTQFX Input TCQ Report (Summary)

Input TCQ Summary									
PName	PNum	Cur Step	Submitter	Node	Other Node	Stat	UserID	Submitted	
D3101UPR	2		PLEX.JOE		PLEX.TOM.TCP	HO HI	CBENN1	19 DEC 2002	11:08:45
D3102UPR	3		PLEX.JOE		PLEX.TOM.TCP	HO HI	CBENN1	19 DEC 2002	11:08:59
D3103UPR	4	STEP0108	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:08
D3104UPR	5	STEP0103	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:14
D3105UPR	6	STEP0103	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:17
D3106UPR	7	STEP01	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:21
D3107UPR	8	STEP01	PLEX.JOE		PLEX.TOM.TCP	EX EX	CBENN1	19 DEC 2002	11:09:24



---

## Chapter 14. Supporting DBCS and MBCS

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### Overview of DBCS

Some languages have too many symbols for all characters to be represented using single byte codes. For example, the English language can be defined within a single byte range from 1-256, or x'00' through x'FF'. The Korean and other ideographic languages contain several thousand characters. To create these coded character sets, two bytes are needed for each character.

The Sterling Connect:Direct Double-byte Character Set (DBCS) support provides a mechanism for translating ASCII and EBCDIC DBCS data. DBCS support translates Single-byte Character Set (SBCS) and DBCS data in the form that is supported on the requested platform.

DBCS character representation differs between operating systems. Specifically, a mainframe represents data in 8-bit EBCDIC code and a PC represents data in 7-bit ASCII code. For the mainframe environment, DBCS can be used exclusively within a file or be mixed with SBCS characters. Special character indicators exist to tell the difference between SBCS and DBCS characters. The special character indicators are shift-out (SO) and shift-in (SI), or x'0E' and x'0F' respectively for IBM mainframes. Shift-out denotes shifting from SBCS to DBCS mode and shift-in denotes shifting from DBCS to SBCS mode. SO/SI combinations are not required if DBCS is exclusive within a file. For the PC, the SO/SI characters are not recognized. In this environment, DBCS is represented by setting the high order bit of the ASCII code. See the table in RULES for correct mapping of DBCS characters by language.

**Note:** A DBCS table can be extremely large and complex. Use the sample tables in this documentation as a reference only. They do not successfully translate all characters.

---

### Translation Tables

Sterling Connect:Direct provides the following translation tables in both load module and source form. The executable tables are located in \$CD.SDGALINK and the source tables are in \$CD.SDGASAMP. You can copy and customize the source code format for your processing environment.

For more information on how to use these tables with the SYSOPTS parameter in the COPY statement, see the Connect:Direct Process Language help.

Table Name	Description
DGATXKSC	host EBCDIC to ASCII KS5601
DGATKSCX	ASCII KS5601 to host EBCDIC
DGATXKPC	host EBCDIC to DBCS-PC Korean
DGATKPCX	DBCS-PC Korean to host EBCDIC
DGATXJIS	host EBCDIC to Japanese International Standard
DGATJISX	Japanese International Standard to host EBCDIC
DGATXBG5	Chinese new host code to Chinese Big5
DGATBG5X	Chinese Big5 to Chinese new host code

Table Name	Description
DGATXC55	Chinese new host code to Chinese 5550
DGATC55X	Chinese 5550 to Chinese new host code
DGATJEFX	Japanese host EBCDIC Katakana to ASCII
DGATXJEF	ASCII to Japanese host EBCDIC Katakana
DGATGBKX	GBK to Chinese new host code
DGATXGBK	Chinese new host code to GBK

## Customize Translation Tables

You can create and update the translation tables through a preprocessor that takes simple batch input in a predefined format and creates output compatible with the assembler. You can then assemble and link-edit the output to produce a translation table you can load.

Input to the batch preprocessor consists of six main parameters and the END parameter. All input begins in column one. The following table defines the batch preprocessor parameters.

Parameter	Required	Default	Format	Definition
NAME	No	XLATE	8 characters	Table name information
TITLE	No	DBCS TRANSLATION TABLE	60 characters	Table title information
DEFAULT	No	0000	2 byte hex representation	Default translation character
RULES	No	80-FF	2 byte hex representation	Language rules
SBCS	No	Standard	2 byte hex representation	Single-byte character set translation table
DBCS	Yes	None	4 byte hex representation	Double-byte character set translation table
END	Yes	None		Terminates DBCS, SBCS, and RULES parameters

## DBCS

creates the double-byte character set translation table. This table translates all double-byte data during a file transfer. This parameter has no default and is required. The DBCS parameter data begins in column one and is terminated with the END statement.

The following example shows the syntax for the DBCS parameter.

```
DBCS
f1f2,t1t2
END
```

f1 denotes the first byte of the FROM DBCS character.

f2 is the second byte of the FROM DBCS character.

t1 is the first byte of the TO DBCS character.

t2 is the second byte of the TO DBCS character.

### DBCS Example

The following example translates x'89A1' to x'B0ED', x'89A2' to x'B0EE', x'89A5' to x'B0EF', and so on to x'D37B' to x'C8F0'.

```
DBCS
89A1,B0ED
89A2,B0EE
89A5,B0EF
89A8,B0F0
89A9,B0F1
89AA,B0F2
89AB,B0F3
D375,C8EE
D377,C8EF
D37B,C8F0
END
```

### END

is mandatory to terminate each of the following parameters:

- DBCS
- RULES
- SBCS

### NAME=[tablename | XLATE]

is an 8-character parameter for displaying table information in batch format. NAME is optional and is for informational use only. If you use NAME, it must be the first parameter defined. If you use NAME with TITLE, NAME and TITLE must be the first two parameters defined. The default for NAME is XLATE.

The following example shows the syntax for the NAME parameter.

```
NAME=DGATXKSC
```

The DGATXKSC table is provided in \$CD.SDGASAMP.

### TITLE=[title name | DBCS TRANSLATION TABLE]

is a 60-character parameter for displaying table information in batch format. TITLE is optional and is for informational use only. If you use TITLE, it must be the first parameter defined. If you use TITLE with NAME, NAME and TITLE must be the first two parameters defined. The default for TITLE is DBCS TRANSLATION TABLE.

The following example shows the syntax for the TITLE parameter.

```
TITLE=HOST EBCDIC TO ASCII KS5601 TRANSLATION
```

The DGATXKSC table is provided in \$CD.SDGASAMP.

## DEFAULT=nnnn

contains the hexadecimal representation you define as the replacement for invalid DBCS code points. This default character is displayed wherever a nontranslatable character is displayed in the data being received. The default is 0000.

nnnn denotes the hexadecimal character defined to replace an invalid DBCS code point.

The following example shows the syntax for the DEFAULT parameter.

```
DEFAULT=FFFF
```

## RULES

defines what constitutes a double-byte character for the defined language. RULES is only used when receiving a file from a platform other than z/OS or MVS, because the host cannot determine valid DBCS characters without language rules. The default is any character within the range of x'80' through x'FF', meaning Sterling Connect:Direct interprets any character within this range as the first byte of a DBCS pair. Both characters in the pair are translated to host DBCS. If specified, use the END statement to terminate the RULES parameter.

### Language Options Table

The following table identifies valid language options for the RULES parameter.

Language Option	Range
KS5601 (Korean Standard)	x'A1'-x'AC' x'B0'-x'FD'
KOREAN (Old Style)	x'81'-x'BF'
JAPANESE	x'81'-x'9F' x'E0'-x'FC'
CHINESE (Traditional/Simplified and 5550)	x'81'-x'FC'
BIG5 (Chinese)	x'A4'-x'C6' x'C9'-x'F9'
x'01'-x'FF'	user selectable

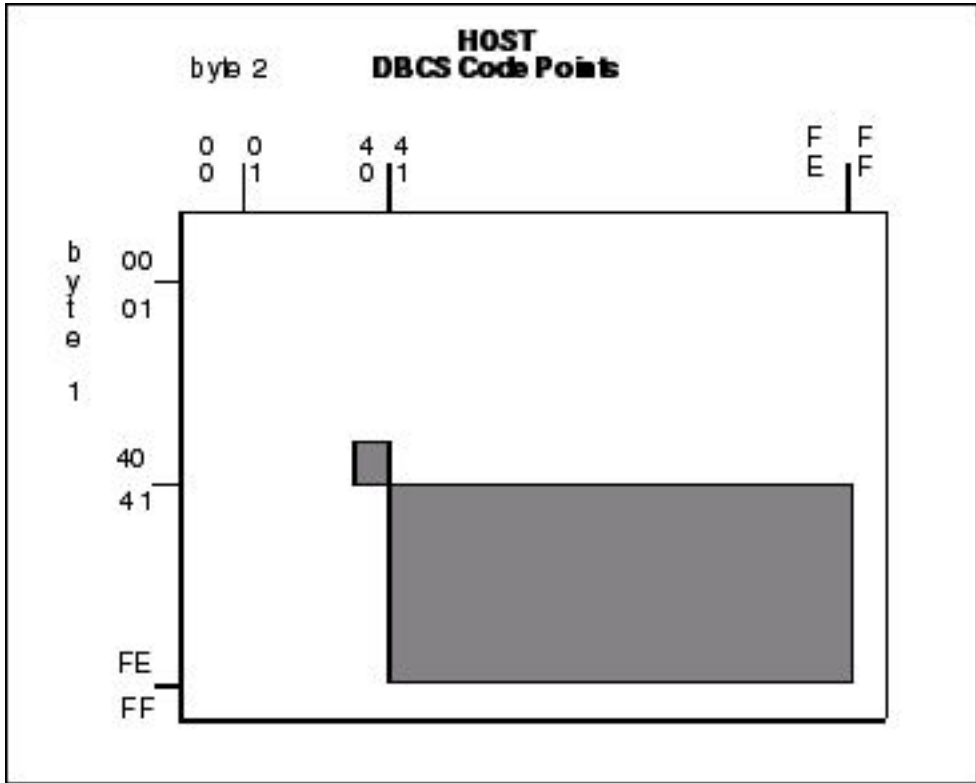
The following example shows the syntax for the RULES parameter.

```
RULES  
KS5601  
END
```

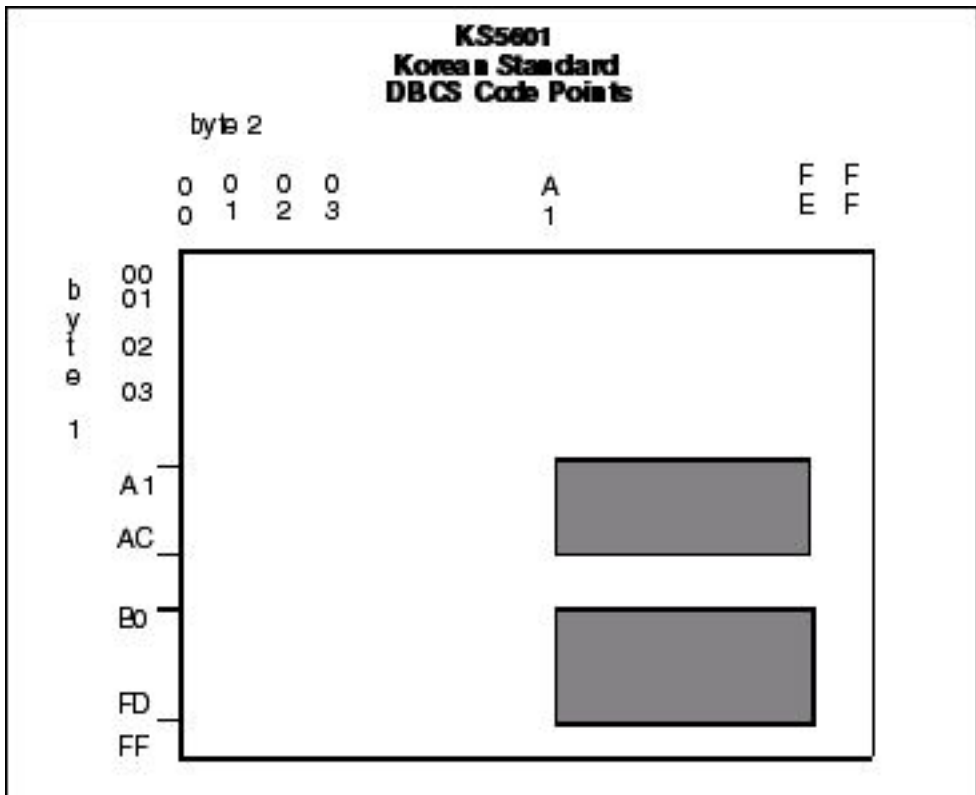
The KS5601 language option is in the DGATXKSC table, which is provided in \$CD.SDGASAMP.

The following graphic represents the Sterling Connect:Direct hexadecimal DBCS code points.

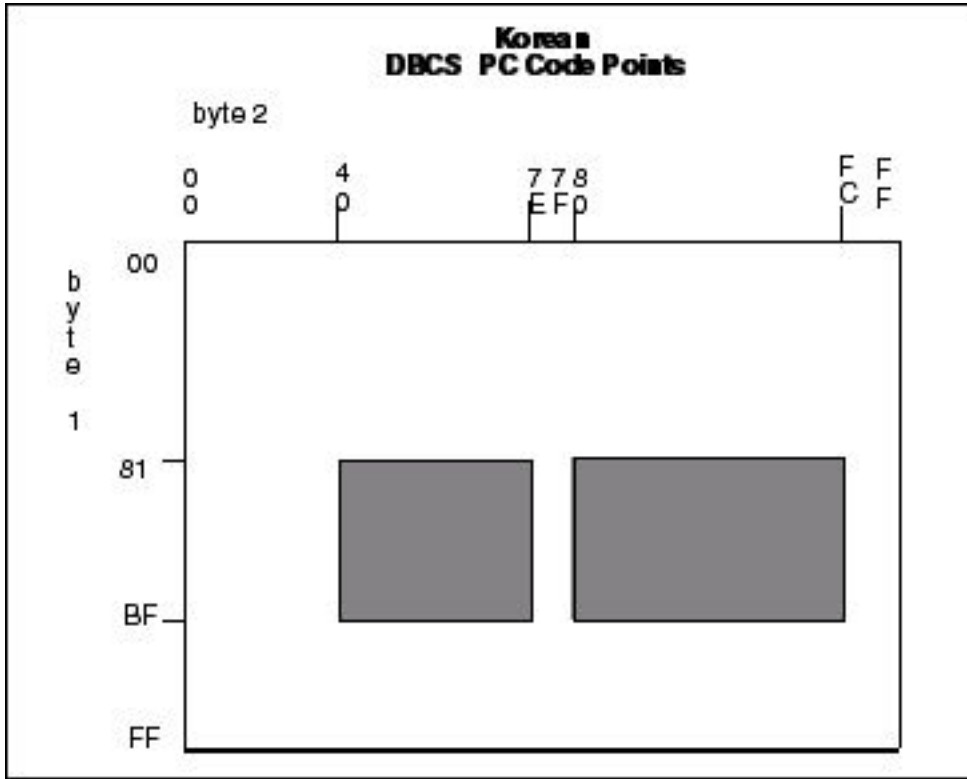




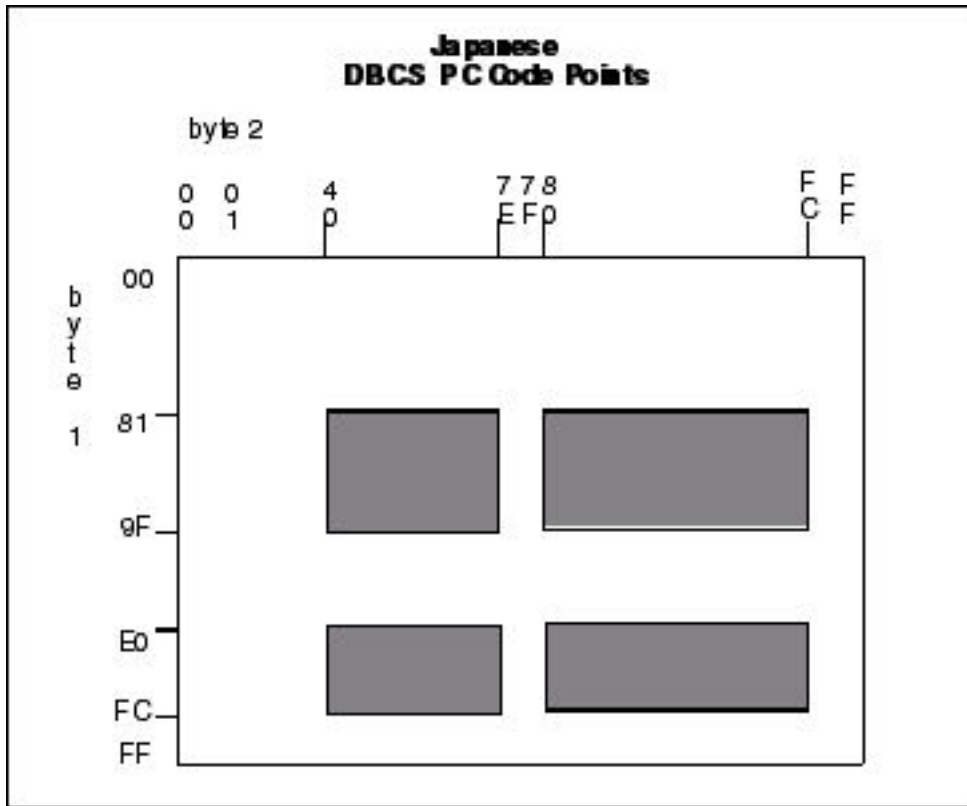
The following graphic represents the Korean Standard (KS5601) hexadecimal DBCS code points. The first character of each code point coincides with the range values in the Language Options Table.



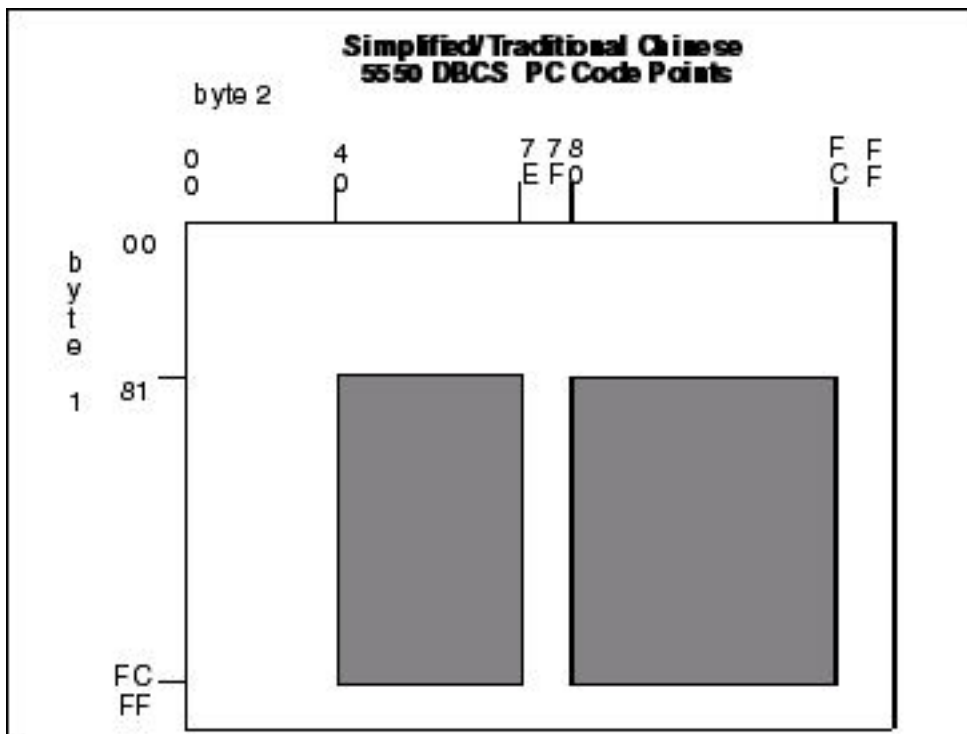
The following graphic represents the Korean hexadecimal DBCS code points. The first character of each code point coincides with the range values in the Language Options Table.



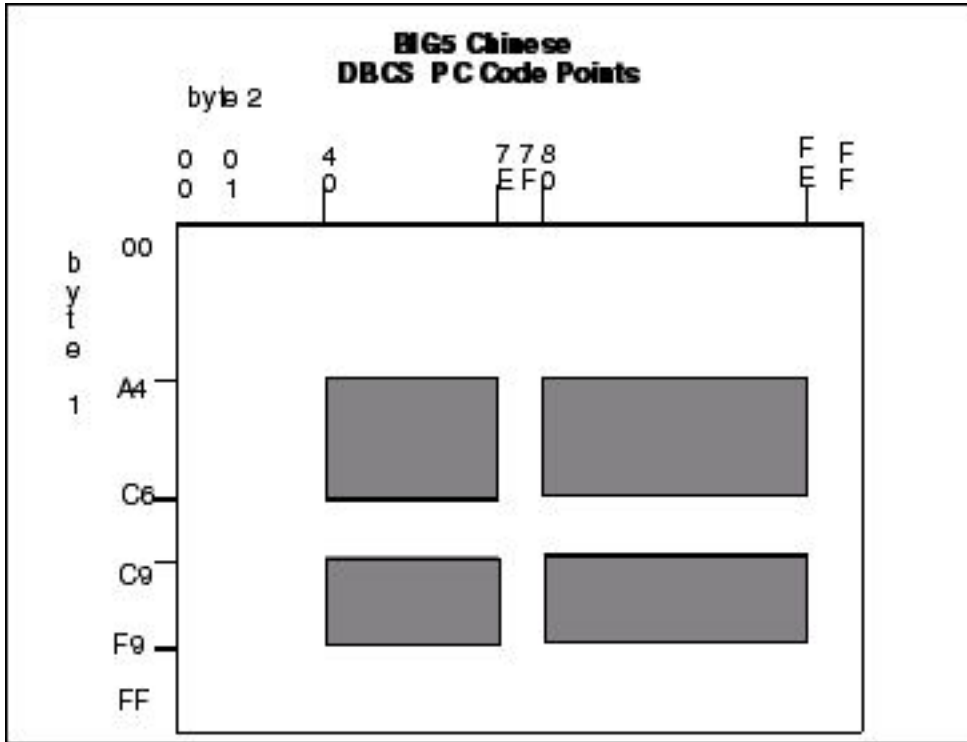
The following figure is a graphic representation of the Japanese hexadecimal DBCS code points. The first character of each code point coincides with the range values in the Language Options Table.



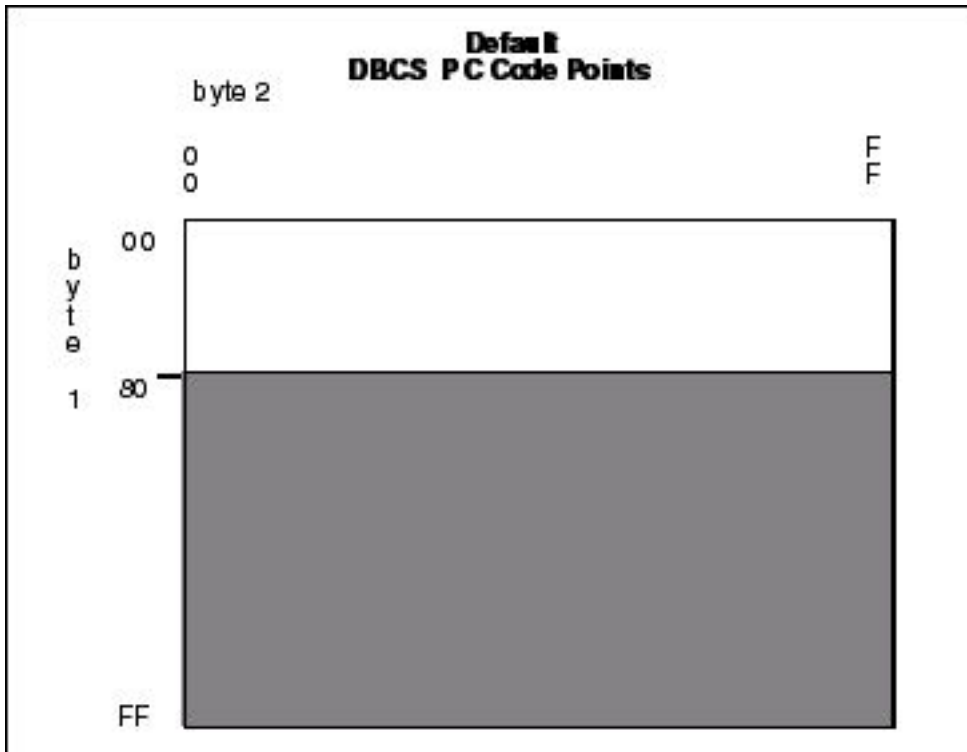
The following graphic represents the Traditional Chinese hexadecimal DBCS code points. The first character of each code point coincides with the range values in the Language Options Table.



The following graphic represents the Chinese (BIG5) hexadecimal DBCS code points. The first character of each code point coincides with the range values in the Language Options Table.



The following graphic represents the default hexadecimal DBCS code points.



## RULES Examples

The following example translates all characters as DBCS that adhere to the KS5601 standard, or all characters that start with an x'A1' through x'AC' or x'B0' through x'FD'. Treat these characters as double-byte characters.

```
RULES
KS5601
END
```

The following example translates all characters as DBCS that adhere to the customized table. Treat all characters that start with x'90' through x'94' or x'B0' through x'B4' as double-byte characters.

```
RULES
90
91
92
93
94
B0
B1
B2
B3
B4
END
```

## SBCS

creates the single-byte character set translation table. This table translates all single-byte data during a file transfer. The default translation table provided when the parameter is not specified, translates all EBCDIC characters in the range of x'00' through x'FF' to its ASCII equivalent, within the range of x'00' through x'7F'. When receiving the file from a PC, the data is translated from ASCII to EBCDIC. Terminate the SBCS parameter with the END statement.

If you define SBCS, you must begin all data in column one and only one hexadecimal character pair is allowed per line.

The following example shows the syntax for the SBCS parameter.

```
SBCS
ff,tt
END
```

ff denotes the FROM translation.

tt denotes the TO translation.

### SBCS Example

The following example translates x'C1' to x'41', x'C2' to x'42', x'C3' to x'43', and so on.

```
SBCS
C1,41
C2,42
C3,43
C4,44
C5,45
C6,46
END
```

## Comments

Comments allow you to include additional information in a batch preprocessor. Comments are available as a convenience and do not affect Sterling Connect:Direct. The format for a comment is an asterisk (\*) in column 1, followed by the comment. The following figure is a sample comment with an asterisk in column 1.

```
* DEFAULT=FFFF instead of 0000.
```

## Sample Preprocessor Input Data Stream

The following sample is the syntax for a preprocessor input data stream. The SBCS and DBCS tables are incomplete and would require many pages to produce a valid table.

```
NAME=MYTABLE
TITLE=SAMPLE TRANSLATION TABLE
RULES
80
81
82
83
84
END
SBCS
C1,41
C2,42
C3,43
C4,44
C5,45
C6,46
END
DBCS
89A1,B0ED
89A2,B0EE
89A5,B0EF
89A8,B0F0
89A9,B0F1
89AA,B0F2
89AB,B0F3
D375,C8EE
D377,C8EF
D37B,C8F0
END
```

## Sample JCL to EXECUTE the Preprocessor

The following sample JCL executes the preprocessor against the input source. The output produced by the preprocessor is in assembler CSECT form and is input to the assembler. The assembled object is then link-edited to produce a load module.

The following JCL is contained in the member DGAJDBCS in the \$CD.SDGAJCL library.

```

//JOBNAME JOB (ACCTN), 'ADMINISTRATOR', CLASS=A,
// REGION=4098K, MSGLEVEL=(1,1), MSGCLASS=X
//*****
//*
//* JCL TO CREATE DBCS TRANSLATE TABLE
//*
//* REPLACE THE FOLLOWING ENTRIES IN THE PROCEDURE STATEMENT
//* BELOW WITH SITE DEPENDENT INFORMATION
//*
//* TABLE= NAME OF THE SOURCE TRANSLATE TABLE
//*
//*****
//BLDDBCS PROC TABLE=XXXXXXXX, CDPREF=' $CD', TEST=NOTEST, RENT=RENT
//*****
//* STEP1 CREATE ASSEMBLER OUTPUT FROM PRE-PROCESSOR INPUT
//*****
//STEP1 EXEC PGM=DMDBCSPR
//STEPLIB DD DSN=&CDPREF..SDGALINK, DISP=SHR
//SYSPRINT DD SYSOUT=*
//SYSTEM DD SYSOUT=*
//SYSOUT DD SYSOUT=*
//SYSABEND DD SYSOUT=*
//CDTABIN DD DSN=&CDPREF..SDGASAMP(&TABLE), DISP=SHR
//CDTABOUT DD DSN=&&SRC, DISP=(,PASS), SPACE=(CYL,(1,1)),
// DCB=(BLKSIZE=1600, LRECL=80, RECFM=FB, DSORG=PS),
// UNIT=SYSDA
//*****
//* STEP2 ASSEMBLE OUTPUT CREATE BY PRE-PROCESSOR
//*****
//STEP2 EXEC PGM=ASMA90,
// PARM=' OBJECT, NODECK, XREF(SHORT), &RENT, &TEST, USING(WARN(0X
// ), NOMAP), FLAG(NOCONT) '
//SYSLIB DD DSN=&CDPREF..SDGASAMP, DISP=SHR
// DD DSN=SYS1.MACLIB, DISP=SHR
// DD DSN=SYS1.MODGEN, DISP=SHR
//SYSTEM DD SYSOUT=*
//SYSPRINT DD SYSOUT=*
//SYSUT1 DD UNIT=SYSDA, SPACE=(CYL,(10,5))
//SYSUT2 DD UNIT=SYSDA, SPACE=(CYL,(10,5))
//SYSUT3 DD UNIT=SYSDA, SPACE=(CYL,(10,5))
//SYSLIN DD DSN=&&OBJ, UNIT=SYSDA, DISP=(,PASS), SPACE=(TRK,(5,5)),
// DCB=(DSORG=PS, RECFM=FB, LRECL=80, BLKSIZE=3120)
//SYSIN DD DSN=&&SRC, DISP=(OLD,DELETE)
//*****
//*

```

Information on Sterling Connect:Direct Processes, including how to use the table created from the previous JCL sample using the COPY statement, is available at the Connect:Direct Process Language help.

## Alternate Logic Applied to DBCS and SBCS Translation (LOGIC =B | (B,RC) )

An alternate form of translation for data that contains both double byte and single byte data is provided. It supports the translation of mixed mode files using selectable translation tables.

The normal way that data is described, when a file contains both double and single bytes characters, is through the use of the shift-in (SI) and shift-out (SO)

sequences. By default shift-out is normally 0x0E and shift-in 0x0F, but these values can be configured. The support within the Sterling Connect:Direct products allows for the shift-out and shift-in values to be specified if they are different than 0x0E and 0x0F. Record boundaries are honored. SI or SO strings do not span record boundaries.

For more information on how to implement this alternate form of translation using the LOGIC=B|(B,RC) subparameter in the SYSOPTS parameter in the COPY statement, see the Connect:Direct Process Language help.

It is expected that data which contains DBCS and SBCS strings will use alternating SO, SI sequences to define the start and end of each string. So a typical file record might look like:

SI	single byte string	SO	double byte string	SI	single byte string
----	--------------------	----	--------------------	----	--------------------

Any exception to this, such as two SI sequences without an intervening SO sequence, is considered invalid. When an invalid sequence is encountered, certain data strings are ignored and all translation is stopped, depending on the sequence of SI and SO markers.

Alternate Translation allows for the file to be completely transferred and an error (message SCPA074I with return code 8) noted at the completion of the transmission. You also have the option of using LOGIC=B|(B,RC) to specify a different return code within the range of 1-254 for this specific message. If you specify LOGIC=B without specifying a return code, the default return code of 8 is used. Sterling Connect:Direct will use the specified return code when issuing the SCPA074I message. The following coding examples show how to specify a return code for SCPA074I and the expected results for each:

```
SYSOPTS="DBCS=(EBCXKSC,0E,0F,LOGIC=(B,4))"
```

In the example above, if the DBCS transfer produces the SCPA074I message, the return code generated will be 4.

```
SYSOPTS="DBCS=(EBCXKSC,0E,0F,LOGIC=(B,254))"
```

In the example above, if the DBCS transfer produces the SCPA074I message, the return code generated will be 254 or X'FE'.

See the Connect:Direct Process Language help for more information about specifying a return code for the SCPA074I message.

## Rules

These rules take into account any combination of SI and SO sequences, even ones with no intervening byte string. Since these rules are dependent on future sequences of SO or SI characters within the file.

The rules follow this truth table:

**Note:** SI still means Shift In to single byte mode and SO means Shift Out to double byte mode. However, no translation will be done if the SI, SO sequences are invalid.

PRIOR	NEXT
-------	------



	SI	SO
SI	Do not translate the remainder of the record	SBCS translation until SO occurs
SO	DBCS translation until SI occurs	Do not translate the remainder of the record

For PAD specification, if EBCDIC to ASCII translation or ASCII to EBCDIC translation, PAD character will replace SI and SO characters for the entire file unless an invalid shift sequence is found. When an invalid shift sequence is found in a record, the shift characters are left as is and the PAD character is not substituted from that point to the end of the record.

1. Each record is evaluated independently. All translation decisions are made on each individual record. SI/SO byte strings are not evaluated across record boundaries.
2. A record may or may not start with a shift character. If a shift character is not found in the first byte of the record, the byte string is considered SBCS data until the next shift character in that record is discovered.
3. If an invalid shift sequence is discovered, stop translation and send the record in a mixed format with the first part translated and the last part in the original, untranslated form.
4. If a PAD character is specified, use it for those portions of the record that are translated. Untranslated portions of the record will be left with any SI or SO characters as is.
5. End of record that occurs without a SI or SO sequence means data that precedes the end of file is treated as normal DBCS or SBCS data for translation depending on the previous shift state.

---

## MBCS Conversions

Multibyte Character Set (MBCS) support enables you to convert between Unicode and other code sets supported on the z/OS platform. To perform an MBCS conversion, use the CODEPAGE parameter of the COPY statement FROM and/or TO SYSOPTS clauses.

You can perform MBCS conversions in the following ways:

- Perform a conversion on the FROM node only and then send the Unicode file to the TO node.
- Send a file to the TO node and let that node perform the conversion.
- Perform a conversion from one z/OS compatible code set to a Unicode code set supported on the local node (specified in the FROM clause CODEPAGE parameter). Then send the encoded Unicode file to the remote node to be converted to another z/OS compatible code set.

Instead of requiring that each Sterling Connect:Direct node provide the capability to convert from any supported character set to any other supported character set, the recommended approach is to convert the original character set to a common intermediate form (UTF-8 or UCS-2) on the local node, transmit the intermediate form to the remote node, and then perform the conversion to the final desired character set on the remote node. This way, each node is responsible only for conversion between the Unicode encoding and the character sets relevant to and supported by the node.

**Note:** To convert between Unicode (ISO 10646) and other code sets, Sterling Connect:Direct makes calls to system routines which are part of the optional z/OS Language Environment component - National Language Support. Verify that your z/OS installation supports the code set conversions specified in the Process language.

When you output an MBCS file, it should allow for a flexible output record length, thus z/OS files should be specified as Variable Format (RECFM=VB). Also, to allow for a possible increase in data length due to conversion, the LRECL of the receiving file must be larger than the LRECL of the sending file. In the example shown below, the LRECL of the sending file is 80.

```
STEP01 COPY FROM (PNODE DISP=SHR -
          DSN=TEST3.MBCS0001.IBM930 -
          SYSOPTS="CODEPAGE=(IBM-930,UTF-8)" ) -
          TO (SNODE DISP=(,CATLG) -
          UNIT=SYSDA SPACE=(CYL,(3,3)) -
          VOL=SER=USER01 -
          DCB=(RECFM=VB,LRECL=90,BLKSIZE=24000) -
          DSN=CHICAGO.MBCS0001.IBM1047 -
          SYSOPTS="CODEPAGE=(UTF-8,IBM-1047)" )
```

For this particular MBCS conversion, the receiving file was successfully created by specifying LRECL as 90. Other conversions may require a larger value to avoid an SVSJ032I error during the Copy. If RECFM=VB, BLKSIZE for the output file must be at least as large as LRECL+4.

To display the CODEPAGE specification for a COPY step in a Process after step completion, use the Select Statistics command for an SY Statistics record. Each node involved in a COPY generates an SY record containing the SYSOPTS relevant to that node.

Except for syntax, the CODEPAGE parameter is not validated when the Process is submitted. However, when the Process is executed, an MBCS001E error will result on the node attempting the conversion if an invalid code set is specified.

For additional Process examples, search on MBCS Conversion in the Connect:Direct Process Language help.

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## Chapter 15. Performance Tuning

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### Analyzing TCP/IP Performance

Performance problems occur when a system and its network are not operating as effectively as they should as indicated by slow response times and a decrease in users' productivity. These problems can be intermittent or can indicate a growing strain pointing to capacity issues. Causes can be multifaceted and include both hardware and software origins or can be quickly solved with proper configuration settings.

Because of the multi-faceted nature of TCP/IP issues, this section serves as an analysis tool by providing a checklist of possible factors. By properly analyzing and defining the problem in terms of a set of symptoms and potential causes, you can either solve the performance problem yourself or can provide support with documentation.

When you analyze performance, you must also consider your organization's priorities, such as the following goals:

- To maximize throughput in order to achieve the maximum data transfer rate
- To "fill the pipe" and run at full capacity

As you test and fine-tune settings to address specific factors in a problem area, record the results and note any unusual interactions or behavior. You may want to change company standards and create a checklist to accommodate new procedures or settings.

### General TCP/IP Problems

There are many causes of general TCP/IP problems.

This table lists TCP/IP problems and factors to consider:

Problem	Factors to Consider
Host Issues	<ul style="list-style-type: none"><li>• Inadequate memory</li><li>• Slow disk speed/contention</li><li>• Slow channel speed/contention</li><li>• Excessive workload</li><li>• Inadequate processors/slow processor speed</li><li>• Inefficient performance groups and dispatch priorities</li><li>• Resource competition among applications on same system</li><li>• Resource competition among LPARs</li></ul>

Problem	Factors to Consider
Network issues	<p>At the link level, look for:</p> <ul style="list-style-type: none"> <li>• Link errors</li> <li>• Hardware or interface errors</li> <li>• Latency problems</li> </ul> <p><b>Note:</b> UDT is an alternative transport layer protocol designed for high latency with high-bandwidth connections. If you transfer files with a trading partner who is geographically distant and determine that the data congestion is caused by a high-latency, high-bandwidth connection, analyze your system and see if you would benefit from UDT. For additional information, see the <i>IBM Sterling Connect:Direct for z/OS Release Notes</i>.</p> <ul style="list-style-type: none"> <li>• Collisions</li> </ul> <p>At the IP layer, look for:</p> <ul style="list-style-type: none"> <li>• Discarded packets</li> <li>• Reassembly failures</li> <li>• Whether the DoNotFragment Bit is set</li> <li>• TOS (TCP/IP Type of Service) such as Telnet with low delay (interactive priorities overriding batch transmissions)</li> <li>• Small MTU/MSS (Maximum Transmission Unit/Maximum Segment Size)</li> </ul> <p><b>Note:</b> If the MTU is too small, inefficiency results whereas if it is too large, datagram fragmentation may result.</p>

Problem	Factors to Consider
Network issues (cont'd)	<p>At the TCP layer, look for:</p> <ul style="list-style-type: none"> <li>• Segments retransmitted</li> <li>• Connections reset</li> <li>• Frequency of ACKs</li> <li>• Window size too small</li> </ul> <p>In the TCP/IP stack, look for:</p> <ul style="list-style-type: none"> <li>• The maintenance level of the two TCP stacks involved</li> <li>• The use of PORT and 1364 TCP CDSTC NODELAYACKS which may delay ACKS</li> <li>• The values of TCPSENDERBFRSIZE and TCPCVBFERSIZE in the TCP/IP PROFILE data set</li> </ul> <p><b>Note:</b> These values affect all applications using the TCP/IP protocol whereas the V2.BUFSIZE initialization parameter (see below) affects the operation of the Sterling Connect:Direct application only.</p> <ul style="list-style-type: none"> <li>• Whether the value set for PATHMTUDISCOVERY is an MTU size of 8992 (the default)</li> </ul> <p>In the Sterling Connect:Direct global initialization parameters file, look at:</p> <ul style="list-style-type: none"> <li>• “V2.BUFSIZE = (maximum transmission buffer size, TCP/IP send/receive buffer size)” on page 394–The default is V2.BUFSIZE=(32K,128K). Adjust if needed based on the bandwidth and speed of your communications lines.</li> <li>• DEBUG–Make sure this setting is 00000000 so that internal traces are turned off.</li> <li>• “TCP.API.TIMER = 00:00:00   hh:mm:ss” on page 386–To reduce the number of hung sessions, specify this keyword. Set this value to at least 20 minutes and specify TIMEOUT=YES on IUI signon panels for individual users. Session waits before timing out and exiting.</li> </ul>

## Problems Involving Executing Sterling Connect:Direct Processes

This table lists factors to consider if a Sterling Connect:Direct Process is executing inefficiently.

**Note:** Because FTP is a utility program integrated into the TCP stack (thus running at the dispatch priority of the TCP stack), FTP may transfer data at a faster rate than an external application when sending a single file from the same source to the same destination. The only time an external data transfer application exceeds the transfer rate of FTP is when parallel data transfers take place between the same source and destination. Using parallel data transfers between the same source and destination is how most large production environments operate.

Factors to Consider	Suggestions
Are you using compression? If so, what type?	<ul style="list-style-type: none"> <li>• Compression is generally unnecessary unless you use a slow line. Send data in its original state.</li> <li>• If you use extended compression and want to see the effects of changing the default values for the parameters related to extended compression, see Increasing Throughput and Decreasing CPU Utilization.</li> </ul>
Are you using checkpoints? If so, what is the interval?	On a fast link make this interval large, for example, 100M.
Are you sending text or binary files?	<p>When comparing Sterling Connect:Direct with FTP, send files only in Binary mode with both Sterling Connect:Direct and FTP. Binary mode must be used with Text files because FTP strips trailing blanks and sends only a partial file.</p> <p><b>Note:</b> Sterling Connect:Direct only sends complete files unless sending HFS files, where trailing blanks can be stripped.</p>
What does the file structure look like?	To speed up the transfer, use a larger blocksize.
Are you using striped extended-format data sets for files that have large amounts of data or in which time is of the essence?	Depending on the number of stripes, you could see a dramatic increase in the I/O rate.
Can you break down the Process so as to send multiple files at once using Sterling Connect:Direct's parallel session capabilities?	For testing purposes, set the PARSESS parameter in the network map to at least 10 then submit 10 file transfers in Sterling Connect:Direct and 10 in FTP. After verification, change the PARSESS value to fit your environment.
Are you changing DCB attributes?	Avoid giving the sending and receiving data sets different DCB attributes since that forces the transfer to "record mode," which increases CPU utilization and TCP or SNA I/O.

## How to Improve BSAM Data Transfer Rates

To optimize BSAM sequential data set transfer rates, take one or more of the following approaches:

- If MAXSTGIO is currently defined in the initialization parameter file, review the setting and consider setting it to the 1 MB default or greater. To fine-tune and set the Number of Channel Programs (NCP) in the DCB parameter of the COPY statement, include the second positional parameter as well.
- Increase the block size when it is advantageous to do so. Make the block size of a disk data set close to (but not more than) half-track blocking (27998 for non-extended 3390 disk data sets or 27966 for extended 3390 data sets). This improves performance by increasing the number of bytes transferred per I/O. For example, when transferring a data set with an LRECL of 80, it takes much longer to transfer 27920 bytes in 349 blocks (BLKSIZE=80) than in 1 block (BLKSIZE=27920).

**Note:** Exceeding half-track blocking on disk wastes a significant amount of storage capacity without improving the transfer rate.

For tape-to-tape transfers, a larger block size improves both performance and capacity. For disk-to-tape transfers, the I/O performance benefit of reblocking to an LBI block size (> 32760) may be outweighed by the CPU performance hit of transferring the data set in "record mode."

## Troubleshooting BSAM Data Transfers

Data transfer rates using BSAM vary significantly from run to run even on the same system. For example, even with a high NCP, the transfer rate deteriorates when the I/O subsystem is moderately busy. If problems should occur, review these factors:

- The REGION specified on the job card. With the higher number of I/O buffers comes the risk that if too many Processes run simultaneously, the above-the-line storage can be exhausted. To prevent out of storage abends, review both the MAXSTGIO initialization parameter and the job's region.
- The number of concurrent transfers occurring on one DTF, even when all system components (CPU, DASD, CU, CHPID or network) run below capacity. For example, where a single transfer attains a transfer rate of 76 MB per second, two concurrent transfers potentially reduce it to 66 MB per second for each transfer, three transfers to 58 MB per second, and 4 transfers to 52 MB per second.
- Hardware caching. When you run the same test case multiple times, usually the first runs slower than subsequent runs. For example, the initial transfer rate might be 38 MB/second, which increases to 75 MB/second for the second and subsequent runs.
- Network. The transfer rate varies widely according both to the speed of the network and the volume of traffic on it.
- Data set's device, CU, and CHPID configuration, speed, and how busy they are.
- CPU speed, and how busy it is (sometimes a limiting factor).
- Compression. Compression sometimes slows down the transfer due to extra CPU use.

### Problems Involving Checkpoints

If the value specified for the checkpoint interval either as an initialization parameter or in the TO clause of a COPY statement is too small, it can significantly reduce transmission speed. Since the purpose of checkpointing is to save time in a restart, it is usually unnecessary to have a checkpoint interval that translates to less than a second of transmission time. A checkpoint interval that translates to 5 seconds of transmission time between checkpoints is normally more than adequate. For example, if the transmission rate to the other node is typically 10 MB/second, and you desire to lose no more than 5 seconds of transmission time in a restart, then you would set CKPT=50M.

---

## Increasing Throughput and Decreasing CPU Utilization

Depending on your company's computing environment, Sterling Connect:Direct for z/OS provides a variety of methods to improve how efficiently your system is running, including the following:

- The Compression Control Feature, which allows you to control the type of compression used between nodes
- Extended compression for environments using slow lines and high CPU capacity

- The zIIP exploitation feature, which takes advantage of System z Integrated Information Processor (zIIP) hardware to free up the general purpose processor resulting in potentially greater performance and cost-competitiveness when compared with less efficient and more complex distributed network solutions

In addition, if you are using Sterling Connect:Direct Secure Plus, there are other factors you should take under consideration to fine-tune performance in this area.

## Compression Control Feature

The Compression Control Feature (CCF) allows you to control the type of compression used between nodes, that is, standard versus extended, and how compression is used on a node, that is, whether the selected compression type is required, may be used, or is not allowed at all. This control may be forced globally by the PNODE or negotiated by the SNODE. Global compression control settings are specified in the initialization parameters, whereas settings for specific nodes are specified in the adjacent node entry for those nodes.

Because this feature overrides compression settings specified in a Process, its default settings allow both types of compression so that the Process determines the type of compression that will be used (standard "COMPRESS" or extended "COMP EXT").

When control of compression is being exercised, the PNODE always applies its rules to the Process and then attempts negotiation. Negotiation is not performed if the other node does not have the CCF code installed. (The node having Process control is in charge in this case.)

Where a partner node also has CCF installed, then the two nodes will negotiate the type of compression that will be used. If one node says that compression must be used and the other says that it is not allowed, then the step will fail, causing an IMPASSE situation (SCPA994I). Also, if one node says FORCE EXT and the other replies FORCE STD, this is also an IMPASSE situation.

The parameters that govern compression control come from either or both the following initialization parameters and network map parameters:

- Initialization parameters
  - COMPRESS.EXT
  - COMPRESS.STD
  - COMPRESS.NETMAP.OVERRIDE
  - COMPRESS.NEGO.FAIL
- Network Map parameters
  - COMPRESS.EXT
  - COMPRESS.ST
  - COMPRESS.STD.PRIMECHA

The CCF initialization parameters are refreshable, so they may be changed at any time without requiring the DTF to be recycled. When you do make changes to these initialization parameters, your changes will cause global overrides to Processes on your system(s). For example, where standard compression is being used in Processes, if the initialization parameters are changed to specify COMPRESS.STD=DISALLOW, none of your Processes will use standard compression (as long as netmap overrides are disallowed or the node definition does not contain compression control keywords).



If you want to put the netmap compression control keywords into effect, you have to specify values for those keywords in the ADJACENT.NODE definitions because they do not have default values.

For example, if the netmap is changed for a node (or nodes) to specify COMPRESS.EXT=FORCE, then all Processes that run on that node will behave as if COMP EXT was specified in each COPY step.

Because of situations such as these, it is important for you to contact your partners to discuss how compression will be handled between the two Sterling Connect:Direct systems to avoid IMPASSE copy failures.

### **CPU Usage Examples**

You have several options for controlling compression on nodes that give you flexibility in determining whether to spend CPU cycles on compression on a global or node-by-node basis.

### **Stop Using Compression**

To completely stop using compression, specify the following in the initialization parameters file:

```
COMPRESS.EXT=DISALLOW  
COMPRESS.STD=DISALLOW  
COMPRESS.NETMAP.OVERRIDE=DISALLOW
```

These values will prevent compression from being performed, and will not allow any netmap entries to override the compression controls with regards to Processes under this node's control.

If the partner node(s) have CCF, then they will respond to these negotiation specifications by either terminating compression, or by sending an SCPA994I, RC=8 message to show that their system specified FORCE as one of the compression types.

If the partner node does not have CCF, compression will be stopped only when this node is the PNODE (with the exception of PRECOMP files, which are precompressed files). If this system is the SNODE, then a message will be written to the RPLERRCK trace file when it is forced to do compression because the PNODE does not have CCF.

### **Prevent Standard Compression**

To prevent only standard compression, specify the following:

```
COMPRESS.STD=DISALLOW  
COMPRESS.NETMAP.OVERRIDE=DISALLOW
```

In this case, only Processes specifying COMPRESS will be affected on this node. If the partner node has CCF, then all Process steps that specify COMPRESS will be forced to no compression. However, if the partner has specified COMPRESS=FORCE, then the step will fail with an SCPA994I, RC=8 message.

When a partner node is the PNODE and does not have CCF, then the compression specified in their Process will be performed. If the compression that is being done is disallowed on this node, then the node will write a message to the RPLERRCK trace file to note the situation.

### Control Compression on a Node-by-Node Basis

To control compression on a node-by-node basis, you can specify the following initialization parameter (or simply allow the parameter to default):

```
COMPRESS.NETMAP.OVERRIDE=ALLOW
```

You can then set the netmap compression parameters for each node as needed to allow extended and/or standard compression.

You can also set up the reverse situation on a node-by-node basis, where only certain nodes are prevented from using compression. In this case, specify `COMPRESS.NETMAP.OVERRIDE=ALLOW` in the initialization parameters file and specify the following netmap parameters for the nodes that will not use compression:

```
COMPRESS.EXT=DISALLOW  
COMPRESS.STD=DISALLOW
```

## Using Extended Compression

TCP/IP connections accommodate greater bandwidth to transfer large files than older technologies eliminating the need to compress data. Data can be sent in its original state saving both time and the need to decompress data once it has been transferred. However, if you have a slow line and high CPU capacity, compression may be warranted. Under other conditions, compression consumes a lot of CPU and slows transfer rates considerably while giving little if any return given the cost of CPU time.

### Different Methods of Using Extended Compression

You can compress and store files in ZLIB-compressed format using one of the following methods:

- On a global basis using the Extended Compression (ECZ) initialization parameters. If you always transfer the same type of data, you may benefit by changing the global default values of the extended compression initialization parameters.
- On a Process basis using the EXT parameters in the COPY statement. If you send a variety of data types, it is probably more efficient to retain the default values of the initialization parameters and override them on a Process-by-Process basis using the COPY statement. For information on overriding the extended compression parameters in the COPY statement, see the Connect:Direct Process Language help.
- Through the DGASACMP batch utility. This option allows the CPU consumption, or overhead of extended compression, to be offloaded from Sterling Connect:Direct to the standalone utility. The CPU time consumed by this utility can be much greater than that consumed by Sterling Connect:Direct because the DGASACMP utility must use record mode compression whereas Sterling Connect:Direct can use block mode compression. You can also use the

DGASACMP batch utility to decompress the data and store it in its original format on the remote node where compressed data has been sent. The DGASACMP utility produces a report, which shows how much the data was read, written, compressed, and how long it took to compress so you can determine the benefits of changing the default values of the extended compression parameters.

## Changing the Values of ECZ Parameters

The effects of changing the default values for the ECZ.COMPRESSION.LEVEL, ECZ.MEMORY.LEVEL, and ECZ.WINDOWSIZE extended compression parameters are not always predictable and can significantly increase CPU utilization. The default values for the three parameters produce very good results for a wide variety of data types. Typically, it is only beneficial to change these default values if line speeds are limited, data is repetitive, and CPU is available.

## zIIP Exploitation Feature

The zIIP Exploitation Feature (ZEF) is designed to offload CPU time to the System z Integrated Information Processor (zIIP), a special-purpose processor integrated into the System z server platform infrastructure. By freeing up the general purpose processor (CP), the efficiency of environments where CPU-intensive activities are being performed, such as ZLIB compression and SSL/TLS encryption, can be significantly enhanced.

zIIPs execute programs that are structured to operate under control of z/OS-preemptable enclave service request blocks (SRB). An enclave is a z/OS construct that allows a unit of work or transaction to be assigned a goal by the z/OS Workload Manager (WLM). If a program can operate under control of an enclave SRB, then it can be made eligible to run on a zIIP processor. The COPY operation, which is the core operation of Sterling Connect:Direct for z/OS, uses multiple phases in a pipeline to copy data from source to destination. Because some of these phases are CPU-intensive, they are good candidates for zIIP-eligible work.

## Requirements to Use the zIIP Exploitation Feature

The ZEF feature requires the following:

- Your System z must have one or more online zIIP processors.
- You must be running z/OS 1/10 or later.
- You must activate ZEF by performing one of the following actions:
  - Add the ZIIP parameter to your global or local initialization parameter file. See “ZIIP = NONE | EXTCOMP | SSLTLS | ALL | PROJECT” on page 396 for a complete description of all settings. Because this parameter is not refreshable, you must restart Sterling Connect:Direct to activate the ZEF feature.
  - Use the MODIFY command and specify the ZIIP setting you want to use. See “Sterling Connect:Direct MODIFY Command” on page 329 for more information.

## Choosing the Appropriate ZEF Setting

The default value for the ZIIP parameter is NONE meaning that no enclaves or enclave SRBs will be created and so no CPU time will be offloaded to a zIIP. If you select ZIIP=NONE, Sterling Connect:Direct will operate as it has for all versions prior to Release 5.1.1.

To offload all eligible activities to zIIP, you can select the ALL setting. These activities include all SSL/TLS encryption and decryption and extended compression and decompression performed during COPY steps. To check the results of using the ZEF feature, you can check the Copy Termination and Process Termination (PT) records in SELECT STATISTICS.

In the following example, no zIIP processor was online, so all work was done under a CP and is shown in the *Time on CP* field and no time (0) is shown in the *Time on zIIP* field. The *zIIP Qualify* time indicates the amount of time that could have been processed on the zIIP, had one been available 100% of the time it was needed. If work executes on a zIIP processor in a fraction of the time it takes on a CP, then the wall time for the entire process can be estimated as  $(Time\ on\ CP - zIIP\ Qualify) + (zIIP\ Qualify * fraction)$ . The fraction is needed because the *zIIP Qualify* time is normalized to standard processor speed (that is, the fraction is the normalization factor). For more information on how these new Sterling Connect:Direct statistics are calculated, see "Additional Information on How Time is Calculated for Statistics" on page 291.

```
=====
CD.ART                SELECT STATISTICS                06.21.2011
=====
-----
Function    => Process Term      Start Time   => 16:17:03
Process Name => ZIIP1             Stop Time    => 16:17:06
Process Num  => 1..              Comp Code    => 00000000
                                           Comp Msg     => SVTM100I

Userid       => EPETE1
Job Name     => EPETE1           Job ID       => TSU58526
Secondary Node => CD.B0B
  Time on CP => 00:00:13.449
  Time on zIIP => 00:00:00.000
  zIIP Qualify => 00:00:10.598
-----
```

You can project how much time could be offloaded to a zIIP without actually using the zIIP. If a zIIP processor is online, you can specify the PROJECT setting for the zIIP initialization parameter. All activities will continue being dispatched to the CP. If there is no zIIP processor online, there is no difference between the PROJECT and ALL settings.

To segregate extended compression and encryption activities, you can use the EXTCOMP setting to offload only extended compression and decompression CPU time to a zIIP or the SSLTLS setting to offload only SSL and TLS data encryption and decryption CPU time.

## Potential Issue When Using ZEF

Because the ZEF feature does not constrain which SRBs are offloaded to zIIPs when ALL is specified, all available zIIPs could easily be dominated by Sterling Connect:Direct when multiple concurrent COPY steps with extended compression

are executing. To allow zIIP-eligible work to be executed on a CP if all zIIPs are in use, make sure to set the IEAOPTxx parameter, IIPHONORPRIORITY, to YES. For more information, see *IEAOPTxx (OPT parameters) in MVS Initialization and Tuning Reference (SA22-7592)* or *IEAOPTxx (OPT parameters)* for the online information center.

## Additional Information on How Time is Calculated for Statistics

**Note:** IWMEQTME is a Workload Management (WLM) service documented in *z/OS MVS Programming: Workload Management Services*. For more information, refer to document number, SA22-7619.

The three new time-related statistics are derived as follows:

- Time on CP = (IWMEQTME CPUTIME) – (IWMEQTME ZIIPTIME)
- Time on zIIP = (IWMEQTME ZIIPTIME)
- zIIP Qualify = (IWMEQTME ZIIPQUALTIME)

If the installation has no zIIP processors:

Time on zIIP (IWMEQTME ZIIPTIME) = 0

Therefore, Time on CP = (IWMEQTME CPUTIME) – 0

However, regardless of whether the installation has a zIIP processor:

Time on CP (IWMEQTME CPUTIME) – (IWMEQTME ZIIPTIME) + Time on zIIP (IWMEQTME ZIIPTIME) = (IWMEQTME CPUTIME)

The (IWMEQTME ZIIPTIME) and (IWMEQTME CPUTIME) times in the Process Termination record example above will be the same (except for rounding errors) as reported by SMF and RMF for the enclave. But for step statistics reported in COPY and RUN TASK records, times are "slices" of the enclave times and will add up to slightly less than the corresponding Process Termination record times. These differences should be negligible. This occurs because there is a small amount of between-step processing charged to the enclave that is not charged to any particular step. All of the between-step time is CP time; none is zIIP qualified.

Because SSL and TLS handshakes occur before an enclave is created, their CPU time is not reported in any enclave time.

Because Sterling Connect:Direct reports zIIP-qualified time, not zIIP-eligible time, the IEAOPTxx parameter setting for PROJECTCPU has no effect on the times in Sterling Connect:Direct statistics. However, if PROJECTCPU=NO, there may be nothing in SMF or RMF to compare Sterling Connect:Direct statistics to.

## Considerations When Using Sterling Connect:Direct Secure Plus

When using Sterling Connect:Direct Secure Plus, be aware of the following :

- CPU utilization increases dramatically with every increase in the length of the encryption key. Use the lowest level of encryption allowed by your security policy.
- Whenever possible, use an encryption key that is supported in the z/ hardware (3DES or AES128).

- Even though extended compression is not recommended for high speed networks, using extended compression with files that compress well (80-90%) can reduce total CPU utilization, especially if the encryption key is not implemented in the hardware.
- If Sterling Connect:Direct Secure Plus is being used between two Sterling Connect:Direct for z/OS nodes (Version 5.0 or later), but not all files must be encrypted, consider using one of the following options:
  - Specify OVERRIDE=YES on the remote node record in the Sterling Connect:Direct Secure Plus parameter file and SECURE=OFF in the PROCESS statement.
  - Specify OVERRIDE=YES on the remote node record in the Sterling Connect:Direct Secure Plus parameter file and SECURE = (ENCRYPT.DATA=N) in your PROCESS or COPY statement. ENCRYPT.DATA=N tells Sterling Connect:Direct to not encrypt the actual file data being copied but rather just the control block information, such as userid or password, used to establish a session.

**Note:** Both trading partners must support this capability.

---

## Chapter 16. Using zFBA for File Transfer

Large file transfers can cause performance issues. You can improve large file transfer performance by using the zFBA feature to transfer large files between Connect:Direct zOS and UNIX.

Utilizing the zFBA feature you can improve performance for large file transfers between Connect:Direct zOS and UNIX because there is minimal TCPIP stack usage in the transfer. This can significantly decrease the CPU utilization in both the Connect:Direct zOS and TCPIP address spaces, as well as decrease the transfer duration.

You must ensure that a wide data bandwidth is in place to allow zFBA devices to maximize benefit from the interface. Smaller files will not benefit from this interface as performance will likely not improve; therefore, it is recommended that you not use the zFBA feature to process smaller files.

For additional information, see *z/OS MVS Programming: Authorized Assembler Services Guide, SA23-1371-00 zOS FBA Services*

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### How zFBA works with Sterling Connect:Direct

Sterling Connect:Direct utilizes a TCP/IP connection with its remote partner for its normal application (FMH) communication path, and two zFBA devices on the DS8K for data transfer.

Sterling Connect:Direct for z/OS uses an IOS API to allocate, read, write, unallocate and erase the zFBA devices. Sterling Connect:Direct for z/OS defines and manages the zFBA devices within its configuration in its NETMAP file and the remote Sterling Connect:Direct partner is not required to define the zFBA configuration in its NETMAP file. Sterling Connect:DirectUNIX only need to request that Sterling Connect:Direct for z/OS allocate two zFBA devices for the COPY.

In a non-zFBA COPY, the data and all FMHs are sent using TCPIP in units where the maximum size is determined through the negotiation between two nodes.

In a zFBA COPY step, the data (and any checkpoint FMHs) are transferred with a pair of zFBA devices, in units of 32MB (the last one is usually smaller). The zFBA devices must be defined to be at least 32MB and any amount in excess of 32MB is unused by the Sterling Connect:Direct zFBA feature. During the bulk of the transfer, the sending node is writing to one zFBA device in a pair; the receiving node is simultaneously reading the other zFBA device and writing the data to the destination data set. When the sender is done writing to a zFBA device, it communicates to the receiver over the TCPIP connection directing it to the new data. When the receiver has finished reading the data, it communicates back to the sender that the device is available and allows Sterling Connect:Direct to reuse the zFBA device. The switching of zFBA devices occurs many times in a COPY step.

---

## Special Considerations when using zFBA with Sterling Connect:Direct

You can use zFBA devices for COPY between two Sterling Connect:Direct for z/OS nodes with the following requirements:

- Both Sterling Connect:Direct for z/OS nodes MUST run in separate LPARS
- Sterling Connect:Direct for z/OS nodes require the same zFBA configuration defined in both NETMAP files

The only protocols that support zFBA transfers are TCPIP and UDT; however, it is highly recommend that TCPIP be used. Any other protocol used will generate message SCZF002I and the COPY step will continue without using zFBA.

Once the data path is determined to be zFBA, if an allocation error occurs on z/OS or UNIX, the connection terminates and message SCZF003E or SCZF006E is generated. SCZF006E is a special case indicating that the PNODE and SNODE are on the same LPAR. SCZF003E errors are repeatable.

Sterling Connect:Direct uses a fixed size of 32MB regardless of the actual zFBA device size; therefore, the minimum device size is 32MB. Each RU is rounded up to the next zFBA block size multiple (for the current generation DS8K, there are 512 bytes per block). As many whole RUs are packed in a 32MB chunk as will fit.

CDPLEX supports zFBA transfers.

You can not modify the zFBA setting using the INITPARM refresh. You must utilize the new global initialization parameter of zFBA so the WLM can direct the process to the correct server that supports zFBA.

The following is not supported when using zFBA: Secure+, CTCA, and any SNA protocol.

---

## zFBA Error Handling

There are several possible errors that could indicate additional user action is required.

The following errors are possible when using zFBA:

### Error SCZF0021

If a COPY statement has ZFBA=2, but the zFBA is not allowed for that process, an SCZF0021 occurs. The following list shows the Reason Text for Error Message SCZF0021.

- 1 = The INITPARM ZFBA=NO was specified or allowed o default
- 2 = ZFBA is not supported with SNA, UDT, or CTCA
- 3 = ZFBA is not supported with Secure Plus
- 4 = The Remote node does not support or is not configured for ZFBA
- 5 = The Netmap has no entry for the node
- 6 = There are no ZFBA device range for the node in netmap

If generated, the third line of the message explains why it is not allowed.

```
18.19.24 JOB02828 SCZF002I ZPUSH1 COPY ZFBA30S ( 1) SNODE=CD.BOB.NOZFBA 535
535 SCZF002I Not using zFBA for COPY data transport. Reason:
535 SCZF002I No ZFBA device range for node in netmap.
```

### Error SCZF003E



An error occurred in IOSFBA ALLOCATE. The IOSFBA RC and RSN are included in the error message.

Example (message compacted):

```
13.59.48 JOB09406 SCZF003E ZPUSH1 COPY ZFBA01 (1) SNODE=CD.BOB 020
          020          SCZF003E Cannot Allocate zFBA devices,RC=0C,RSN=01
```

The RC and RSN codes can be found in SYS1.MACLIB(IOSFBA).

### **Error SCZF005E**

The FMH 76 is missing or invalid. A reason is included in the error message.

The following list shows the Reason Text for Error Message SCZF005E:

1. RECV error, or not FM76-L
2. Device Count ^= 2-10
3. RCVFM764 Error
4. RCVFM765 Error
5. SNDFM764 Error
6. SNDFM765 Error
7. Curr Dev^0;FM76-4 RECV not needed
8. Curr Dev^0;FM76-5 RECV not needed

### **Error SCZF006E**

An error occurred in IOSFBA ALLOCATE in the SNODE in a CD z/OS to CD z/OS transfer. Since the PNODE ran first and was able to allocate the same ZFBA devices, this error is usually caused by the PNODE and SNODE running on the same LPAR (RC=0000000C & RSN=00000001). This error requires that you move a CD z/OS node to a different LPAR.

Example (message compacted):

```
14.22.14 JOB09417 SCZF006E ZPUSH1 COPY ZFBA01 (1) PNODE=CD.ART 600
          600          SCZF006E Cannot Allocate zFBA devices,RC=0C,RSN=01
```



---

## Chapter 17. Using zEDC with Sterling Connect:Direct

zEnterprise Data Compression (zEDC) is a compression acceleration capability that allows you to perform hardware-based data compression that streamlines data exchanges, saves on storage, and reduces CPU consumption. IBM now provides a new zlib version that supports the zEDC Express Accelerator for compression and decompression activities to improve throughput and CPU usage.

You can use zEDC with Sterling Connect:Direct by implementing the new initialization parameter, ZEDC. If the zEDC Express Accelerator is available, the compression is performed by the hardware; otherwise, a new software version of zlib, 1.2.7, will be used. During product initialization, a new message appears indicating that this version of zlib is available and if the zEDC hardware is available.

With zEDC hardware compression, the object being compressed or decompressed is performed using the hardware or the software. This is determined based on the size of the first data block from the object passed to the IBM ZLIB code. This threshold is controlled by a system wide global parameter specified in SYS1.PARMLIB member IQPPRMxx.

When this feature is used, it is utilized by the extended compression feature of Sterling Connect:Direct and is subject to the negotiated Compression Control Facility (CCF). When the PROCESS is executed, extended compression is negotiated if the ZIIP for extended compression is not enabled. The appropriate environment variable uses the zEDC accelerator and uses the buffer length restrictions defined by the operating system and zEDC. Sterling Connect:Direct statistics data indicates the version of zlib being used and if the hardware zEDC Express accelerator was used.

During product initialization, when zEDC is specified as ON or by default, Sterling Connect:Direct will inquire the availability of the zEDC hardware and provide the appropriate initialization message, SITA374I, on the status of the zEDC hardware. If the hardware is unavailable, Sterling Connect:Direct will continue but software compression is forced.

For a detail overview, requirements and planning for zEDC, please reference, *z/OS MVS Programming: Callable Services for High-Level Languages, SA23-1377-00 zEnterprise Data Compression (zEDC)*.



---

## Chapter 18. Simultaneous Session Reporting

Simultaneous Session is a unit of measure by which the Program can be licensed. A session is an active file transfer or other active communications connection between the Program running on one physical or virtual computer and any software running on another physical or virtual computer. The licensee must obtain entitlements sufficient to cover the highest number of sessions that are or have been simultaneously in existence across all instances or copies of the Program.

For Product id, 5655-X09, Connect:Direct will record and maintain the current session count internally and record this externally by writing the information to SMF record type 133. The SMF record type and the recording interval will be established at initialization time as specified with a new initialization parameter. Each Connect:Direct Server will record this information separately.

If the SMF record type of 133 is being used by another application, then you will need to specify a new SMF record type using the SESSION.HIGHWATER.SMF initialization parameter.

Post-processing of the SMF records will be done by a batch job, for which sample JCL and control cards are distributed in SDGAJCL that will produce audit reports from the data.

---

### SMF Record Layout

The new SMF record layout is in the table below. It specified the SMF TYPE or is defaulted to by the new INITPARM (CDHWRTY=XL1'85'=133). It contains a subtype of 1 (CDHWSTY=XL2'0001'), and a subsystem identification of CDZ (CDHWSSI=CL4'CDZ ') to aid in identification. The first 24 bytes are the standard SMF record header for records with subtypes. The remaining bytes are the High Water mark information. For a sample layout, see the table below.

*Table 1. Mapping of the CDzOS High Water Mark SMF Record*

Offsets	Name	Length	Format	Description
00 00	CDHWLEN	2	binary	Record length. This field and the next field (total of four bytes) form the RDW (record descriptor word).
02 02	CDHWSEG	2	binary	Segment descriptor
04 04	CDHSFLG	1	binary	System indicator:
05 05	CDHWRTY	1	binary	Record type, from parameter 1 of the SESSION.HIGHWATER.SMF INITPARM.
06 06	CDHWTME		binaary	Time since midnight, in hundredths of a second, when the record was moved into the SMF buffer.

Table 1. Mapping of the CDzOS High Water Mark SMF Record (continued)

Offsets	Name	Length	Format	Description	
10	0A	CDHWDTE	4	packed	Date in the form 0ccydddf when the record was moved into the SMF buffer. See Standard SMF record header for a detailed description.
14	E	CDHWSID	4	EBCDIC	System ID (from SMCASID).
18	12	CDHWSSI	4	EBCDIC	Subsystem ID c'CDHW'.
22	16	CDHWSTY	2	binary	Record subtype x'0001' = Session High Water Mark
24	18	CDHWSNAM	8	EBCDIC	System name from CVTSNAME.
32	20	CDHWJOB	8	EBCDIC	Job name of CDzOS server.
40	28	CDHWITME	4	binary	Time, in the form HHMMSSTH, when the CDzOS server was initialized.
44	2C	CDHWIDTE	4	packed	Date in the form yyyydddC when the CDzOS server was initialized.
48	30	CDHWJID	8	EBCDIC	8-character job identifier
56	38	CDHWPLX	8	EBCDIC	CDPlex name or blanks
64	40	CDHWSRV	8	EBCDIC	CDPlex server name or blanks
72	48	CDHWNOD	16	EBCDIC	CD local node name
88	58	CDHWUNU1	1		Unused
89	59	CDHWRCR	1	EBCDIC	Record Creation Reason: 'I'=Interval (CDHWRINT) reached 'T'=Termination
90	5A	CDHWRINT	2	binary	Recording Interval, in minutes, from parameter 2 of the SESSION.HIGHWATER.SMF INITPARM.
92	5C	CDHWMAXP	2	binary	Maximum Allowed Concurrent Processes, from MAXPROCESS INITPARM.
94	5E	CDHWSHWM	2	binary	Session High Water Mark for this interval.
96	60	CDHWHTME	4	binary	Time in the form HHMMSSTH, when the Session High Water Mark was first reached in this interval.

Table 1. Mapping of the CDzOS High Water Mark SMF Record (continued)

Offsets		Name	Length	Format	Description
100	64	CDHWHWDE	4	packed	Date in the form yyyydddC when the Session High Water Mark was first reached in this interval.

## Reporting

Several audit reports can be generated using the SMF data.

- Detail Report
- Summary Report
- CSV output file for importing into an Excel Spreadsheet

The SDGAJCL contain the following samples:

- DGAJDET – Collect SMF data using IFASMFDP and create report using DFSORT
- DGAJSUM – Collect SMF data using IFASMFDP and create report using DGA#SUM
- DGAJCSV – Collect SMF data using IFASMFDP and create report using DGA#CSV

### Examples

Example 1 – SMF Record Detail Report. Sorted by SMFID, Date and Time of Write.

```

108/07/13 12:40:14 Simultaneous Session Interval Detail by: SMFID, Date and Time
108/07/13 12:40:14 Simultaneous Session Interval Detail for SMFID: CSGE
0---SMF Record Written--- --Interval High Water Mark-- --CD Node----- Page 1
SID Date Time Date Time Value R Jobname Job ID Name Date Time Name Server
-----
CSGE 2013.218 09:39:31.65 2013.218 09:39:21.19 1 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:40:32.39 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:41:33.44 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:42:33.44 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:43:34.49 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:44:34.92 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:45:34.92 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:46:34.92 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:47:35.10 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:48:36.15 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:49:36.15 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:50:36.15 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:51:37.20 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:52:37.20 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:53:38.25 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:54:38.25 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:55:39.29 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:56:40.34 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:57:41.08 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:58:42.13 0000.000 00:00:00.00 0 I EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
CSGE 2013.218 09:58:51.24 0000.000 00:00:00.00 0 T EPETE1B1 JOB03805 CD.BOB 2013.218 09:38:29.52
108/07/13 12:40:14 Simultaneous Session Interval Detail for SMFID: IRVL
0---SMF Record Written--- --Interval High Water Mark-- --CD Node----- Page 2
SID Date Time Date Time Value R Jobname Job ID Name Date Time Name Server
-----
IRVL 2013.218 09:38:58.75 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:38:59.76 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:39:58.75 2013.218 09:39:21.18 1 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:40:00.18 2013.218 09:39:29.94 2 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:40:59.26 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:41:00.18 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:41:59.26 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:42:01.15 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:43:00.31 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:43:01.15 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:44:01.36 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:44:02.20 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:45:01.36 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:45:03.25 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:46:01.36 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:46:03.25 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:47:02.41 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:47:03.25 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:48:03.46 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:48:04.30 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:49:03.46 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:49:05.35 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1
IRVL 2013.218 09:50:04.43 0000.000 00:00:00.00 0 I EPETE1A2 JOB03803 CD.ART 2013.218 09:37:58.63 XARTPLEX ARTSRV2
IRVL 2013.218 09:50:05.35 0000.000 00:00:00.00 0 I EPETE1A1 JOB03802 CD.ART 2013.218 09:37:59.66 XARTPLEX ARTSRV1

```

IRVL	2013.218	09:51:04.43	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:51:06.32	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:52:05.48	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:52:06.32	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:53:06.53	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:53:07.37	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:54:06.53	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:54:08.42	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:55:06.53	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:55:08.42	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:56:06.53	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:56:08.42	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:57:07.58	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:57:08.42	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:58:07.58	0000.000	00:00:00.00	0	I	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:58:09.47	0000.000	00:00:00.00	0	I	EPETE1A1	JOB03802	CD.ART	2013.218	09:37:59.66	XARTPLEX	ARTSRV1	
IRVL	2013.218	09:58:50.27	0000.000	00:00:00.00	0	T	EPETE1A2	JOB03803	CD.ART	2013.218	09:37:58.63	XARTPLEX	ARTSRV2	
IRVL	2013.218	09:58:50.30	0000.000	00:00:00.00	0	T	EPETE1A1	JOB03802	CD.ART	2013	.218	09:37:59.66	XARTPLEX	ARTSRV1



---

## Chapter 19. Isolating Problems

To expedite the diagnostics process, prepare the following information for the IBM Support representative:

- Version, release, maintenance level, and operating system for the Sterling Connect:Direct products being used on both nodes, for example, Sterling Connect:Direct for z/OS 5.1.1 with Sterling Connect:Direct for HP NonStop 3.4.00.
- Release levels of the operating system, VTAM, and other software involved
- Details describing the complete scenario, including:
  - Commands that are issued, with exact syntax and order
  - Files or devices that are involved. Note the file contents and the type of file (such as DCB file attributes, GDG, Tape, SMS, striped, compressed)
  - Interface that you used, such as batch interface, IUI, or operator interface
  - Error messages for both nodes
  - System logs for both nodes, including any messages generated while the problem occurred. Check the SYSLOG for Sterling Connect:Direct for z/OS nodes
  - Which side is PNODE/SNODE
  - The direction of the data transfer
  - What connection protocol you are using (LU0, LU6.2, IBM TCP/IP, TCPAccess)
  - If the node is a Sterling Connect:Direct/Plex or stand alone server
  - Sterling Connect:Direct Process including the FILE attributes for the FROM and TO files for both nodes
  - I/O device types
  - Network map information
  - Statistics information for both nodes including ALLOC information

**Note:** Be prepared to recreate the problem. Problem recreation is your responsibility.

### Supporting Documentation

Problem determination can be involved and can require extensive research. We ask you to gather some of the following supporting documentation to help analyze the problem. Not all of this information is applicable to all problems or all operating environments.

- SYSLOGs
- Sterling Connect:Direct statistics for both nodes
- RPLERRCK output
- ESTAE output
- VTAM definitions
- APPLID definitions
- Logmode table entry
- Class of Service (COS) entry
- D NET VTAM display

- Sterling Connect:Direct traces
- Network map information

You can use your network management software or VTAM commands to isolate any session-related problems.

## Diagnostic Rexx Exec for IUI Environments

If you are experiencing problems with the Sterling Connect:Direct IUI, you can use the DGA#CAP Rexx exec available in the SDGAISPC target library to facilitate diagnosis of IUI problems.

To invoke the DGA#CAP Rexx exec, type the following TSO command:

```
TSO %DGA#CAP
```

The exec issues an ISPF long message containing the dataset name where the output is written. Contact IBM Support and give the Support representative the output generated by the DGA#CAP Rexx exec.

---

## Providing Dumps to Customer Support

A Sterling Connect:Direct ABEND can occur when a system failure or system error exists or when the FORCE parameter is used with the STOP CD command.

When an ABEND is reported, Support searches the problem tracking database for any similar problems. Often the ABEND is a known bug or a common error, and a solution is readily available. Otherwise, provide a full SVC dump for diagnosing ABENDs.

If support cannot locate a reference to the ABEND, you may be required to provide the following information:

- Send a complete SVC dump, not a snap dump.
- A copy of the Process and the statistics for that Process. If the Process has symbolics, include the symbolic substitution data. Also, ensure that the statistics records include WTO records.

**Note:** Include statistics from both Sterling Connect:Direct nodes.

If the ABEND occurred while executing a Process that has executed successfully, determine what changes were made, either in the operating system or within Sterling Connect:Direct, and do the following:

- Send console logs for both Sterling Connect:Direct nodes.
- Note whether the ABEND caused either Sterling Connect:Direct node to terminate.
- If the ABEND can be recreated, provide details.
- Send a copy of the system log and network error log for both operating systems, which can indicate any unusual situations occurring with the operating system or network at the time of the ABEND.

**Note:** A system log is required when analyzing an ABEND. It is preferable to review the log for both systems; however, it is essential for the system reporting the ABEND. When one of the nodes is not in a z/OS environment, check the output files for Sterling Connect:Direct.

- Send RPLERRCK DD output to review I/O errors and other information. See DD Statements in Startup JCL for more information.
- Send ESTAE DD output to review ABEND conditions and some special I/O errors. See DD Statements in Startup JCL for more information.

If several ABENDs occur simultaneously, send the dump from the first ABEND only. Subsequent ABENDs are usually a result of the original ABEND.

When sending a dump on tape, send the JCL that created the tape. DSN, VOLSER, LABEL, and DCB attributes are needed to facilitate tape unloading. If available, send a printout of the tape management product display of the VOLSER.

**Note:** If you are sending a tape with more than one file, ensure that the JCL that created the tape references the correct file in the LABEL= parameter. This reference ensures that a previous file is not inadvertently overlaid.

## Types of Dumps

To determine and resolve problems, Customer Support may request any of the following types of dumps:

- Sterling Connect:Direct Data Transmission Facility (DTF) Dumps, which include SYSMDUMP and CDSVCDMP dumps
- Sterling Connect:Direct IUI ABEND Dumps, which include z/OS Time Sharing Option (TSO) address space dumps
- DGADBATC Batch Dumps
- VSAM File Dumps

## Sterling Connect:Direct Data Transmission Facility (DTF) Dumps

This dump is generated when an ABEND occurs. It contains the contents of the Sterling Connect:Direct address space, which is copied into one or more of the data sets that you specify by ddname in the DTF JCL (CDSVCDMP, SYSABEND, or SYSMDUMP).

When an ABEND occurs, Sterling Connect:Direct produces an SVC dump with all of the information for the address space, regardless of whether the ABEND occurred in the main task or a User Exit subtask. Unless otherwise specified in the JCL, the dump is written to the standard SVC dump data set, SYS1.DUMPxx. You can specify an alternate data set by using the CDSVCDMP DD statement in the JCL.

**Note:** If the system attempts an SVC dump and fails with: \*ERROR\* Unable to take an SVC dump; reason: 0B, the Dump Analysis and Elimination (DAE) component of the operating system found an earlier dump of this problem already exists.

Turn off the SVC dump by modifying your JCL. For more information, see Turning Off the SVC Dump.

## Multiple DTF SVC Dumps Capture Using CDSVCDMP

You can use CDSVCDMP to capture multiple DTF SVC dumps. A dump is created for each ABEND and written to a separate data set. You can specify whether the dumps are written to the SYS1.DUMPxx data sets or to unique user-specified data sets.

To write dumps to user-specified data sets, you must create a data set name for each dump that can occur. The first data set name must end with .SYSMDP00. Each additional data set name must end with .SYSMDPnn, where nn is a consecutive number up to a value of 99. For example, if you want to create enough data sets to write five dumps, create five data set names beginning with xxxx.yyyy.SYSMDP00 and ending with xxxx.yyyy.SYSMDP04.

You must define the data sets with the same attributes as your SYS1.DUMPxx data sets. The data sets must be preallocated and on the same disk volume.

To record multiple SVC dumps, set the JCL statement for CDSVCDMP as follows.

```
//CDSVCDMP DD DSN=XXXX.YYYY.SYSMDP00,DISP=SHR
```

The first dump is written to the .SYSMDP00 data set. When an additional ABEND occurs, a dump is written to the next data set, .SYSMDP01. Each additional ABEND creates a dump to the next .SYSMDPnn data set as long as ABENDs occur and enough .SYSMDPnn data sets are available. If an ABEND occurs and all data sets are full, the dump is not created and a message is issued stating that all .SYSMDPnn data sets are full.

You do not have to empty or reset these dump data sets. When the DTF is initialized, and you are using the .SYSMDPnn data sets, Sterling Connect:Direct writes over the existing data in the data sets. If you want to save the existing data, save the data sets using a different data set name before you restart Sterling Connect:Direct.

## Turning Off the SVC Dump

If you want to turn off the SVC dump, place the following statement in your DTF JCL.

```
//CDSVCDMP DD DUMMY
```

## Changing Dump Options

For the SDATA parameter specify SDATA=(ALLSDATA). If SDATA=(ALLSDATA) is not an acceptable default for your system, ensure that the SYS1.PARMLIB member corresponding to the ddname in the JCL for the DTF specifies, at a minimum, the following.

```
SDATA=(NOSUM,PSA,RGN,SQA,SWA,TRT,LPA,GRSQ,CSA,NUC)
```

For the PDATA parameter for IEADMPxx and IEAABDxx, specify PDATA=(ALLPDATA).

**Note:** PDATA is not an option for member IEADMRxx.

If you cannot specify ALLPDATA, at a minimum, include PSW, REGS, SA, JPA, SPLS, and SUBTASKS. Refer to the IBM manual MVS Initialization and Tuning Reference for the release of z/OS being used.

If you are unable to change the SYS1.PARMLIB member, issue an operator command to change the dump options.

The following steps guide you in issuing operator commands to change dump options:

1. Issue the command DISPLAY DUMP,OPTIONS to list the dump options currently in effect.
2. Issue the command CHNGDUMP SET to change the options.
3. Issue the CHNGDUMP DEL or CHNGDUMP RESET command to reset the options after recreating the dump.

If the correct dump options are specified in one of the SYS1.PARMLIB members, change the ddname in the JCL for the DTF to reference the ddname corresponding to that member.

For further information on changing dump options, refer to the *MVS System Commands* IBM manual for the z/OS being used.

## Sterling Connect:Direct IUI ABEND Dumps

### About this task

When the Sterling Connect:Direct abends, a system dump might be required for problem resolution. To obtain a dump of the TSO address space, allocate a SYSMDUMP DD to an appropriately defined data set, and run the ISPF with the TEST option. To send a dump of the IUI to a data set, allocate SYSMDUMP with DISP=MOD to account for the two dumps that Sterling Connect:Direct produces.

To recreate an ABEND of the IUI to produce a dump, perform the following steps:

### Procedure

1. Ensure that the SYSMDUMP DD is allocated.
2. Reinvoke ISPF with the ISPF TEST command.
3. To allocate a SYSMDUMP DD, type the following TSO command where filename is a valid DSN name that has LRECL=4160, BLKSIZE=0, and RECFM=FBS.

```
TSO ALLOC F(SYSMDUMP) DA(filename) MOD
```

The system will determine blocksize, and will prevent short blocks. Generally, 110 cylinders on a 3390 device is enough space for an IUI dump.

4. When the ABEND message is displayed, press ENTER to produce a dump. Two dump messages (and dumps) are produced. Press PF3/END to bypass the dump.

## DGADBATC Batch Dumps

For DGADBATC batch dumps, ensure the JCL that executes DGADBATC contains a SYSMDUMP DD statement. Refer to “Sterling Connect:Direct Data Transmission Facility (DTF) Dumps” on page 305 to ensure that the appropriate dump options are specified for the corresponding ddname in the JCL for DGADBATC.

## VSAM File Dumps

If a problem occurs when using a Sterling Connect:Direct VSAM file, you might need a dump of the VSAM file for problem resolution. To dump a Sterling Connect:Direct for z/OS VSAM file, use the IBM Access Method Services (IDCAMS) PRINT command. If you send an IDCAMS PRINT of the TCQ, include the TCX file.

Refer to the sample job stream in the following example for creating a Sterling Connect:Direct for z/OS VSAM dump.

```
//XXXXXX JOB (1111),PROGRAMMER,NOTIFY=TSOID,CLASS=D,MSGCLASS=X,  
//          MSGLEVEL=(1,1)  
//PRINT EXEC PGM=IDCAMS  
//SYSPRINT DD SYSOUT=*  
//SYSIN DD *  
PRINT INDATASET(DUMP1.ZOS.VSAM1) -  
DUMP
```

To copy a VSAM file to tape, use the IDCAMS REPRO command. You must include the DCB parameters, RECFM=VB, DSORG=PS for the data set created on the tape. In the LRECL and BLKSIZE parameters for the dataset created, you must reflect the size specified for the RECORDSIZE parameter used when you defined the file.

For example, if the Sterling Connect:Direct statistics file was initially defined with RECORDSIZE (4089 4089), copy the Sterling Connect:Direct statistics file by typing the following DCB parameters in the DD statement in the JCL.

```
//OUTDD DD DSN=TAPE.STAT.FILE,  
//          DCB=(LRECL=4089,BLKSIZE=4089,RECFM=F,DSORG=PS)
```

## Suppressing Dumps for Specific ABEND Codes

### About this task

Use the following procedure if you want to suppress the dump for specific ABEND codes.

### Procedure

1. Add the ABEND code for which you want to suppress dumps to the ABEND codes list defined in the ABEND.CODES.NODUMP parameter.
2. Set the ABEND.RUNTASK parameter to ABEND.CODES.NODUMP. Following is an example:

```
ABEND.CODES.NODUMP => (SX37 SX13 U0728 SXD9 S9FC)  
ABEND.RUNTASK => ABEND.CODES.NODUMP
```

---

## Resolving the Problem

If Support cannot resolve the problem during, it is documented as a case and is assigned a reference number. Refer to this number when forwarding documentation or calling support.

Severity Level	Definition
Severity 1	Production system is down; requires immediate attention. For example, excessive abnormal termination.
Severity 2	A major problem or question; the product operates but is severely restricted. For example, an incorrect response from a frequently used command.
Severity 3	A non-critical issue is encountered with the product, but the majority of functions are still usable. For example, an incorrect response from an infrequently used command.
Severity 4	A minor problem or question that does not affect product function. For example, the text of a message is misspelled.

All cases are prioritized based on severity.

### Escalating a Problem Resolution

If our normal support cycle does not produce the results you require or your issue has changed in severity, you can escalate the case. To escalate a case, contact the technician responsible for your problem.

---

## Reviewing Sterling Connect:Direct Messages and Sense Codes

As you research a problem, note any messages issued by Sterling Connect:Direct. Look specifically for messages displayed by the API through the IUI or batch interface. If an error occurred in a file transfer, look at messages for any Processes executing at that time for both nodes.

Also, check for messages in the JES log for z/OS.

Sterling Connect:Direct messages contain short and long text to explain the error. Sterling Connect:Direct for z/OS displays the short text when an event occurs. The following information is displayed for each message if you request the long text:

- Module issuing the message
- Short and long message texts
- System action as a result of the message situation
- User response to correct the situation

**Note:** For z/OS, press PF1 to display the longer explanation if the message is issued in an ISPF panel.

Sterling Connect:Direct sense codes address connection errors involving the netmap and the DGADETLU (alias of DMGNETLU) module. The following is an example of a sense code display containing both the long and short text. For additional information, see *Messages* in *IBM Sterling Connect:Direct for z/OS User Guide*.

```

Connect:Direct MESSAGE DISPLAY

                                                                DATE => 2010.07.22
                                                                TIME => 09:53

MESSAGE ID==> SENS4022
MODULE      ==> DMGNETLU
=====

SHORT TEXT==> LUNAME does not match name of node in NETMAP
LONG TEXT:
  LINE 1 ==> The LUNAME or the remote node does not match the LUNAME
  LINE 2 ==> that is associated with the symbolic node name in the
  LINE 3 ==> C:D Network Map file. See messages SVTR014I and SVTR021I.
  LINE 4 ==>
  LINE 5 ==>
  LINE 6 ==> SYSTEM ACTION: The session is terminated unless NETMAP.CHECK
  LINE 7 ==> is specified in the INIT parms with the WARN parameter.
  LINE 8 ==>
  LINE 9 ==>
  LINE 10==> RESPONSE: The Adjacent.Node entry in the Network Map must be
  LINE 11==>                updated to reflect the proper LUNAME, OR the remote
  LINE 12==>                node should call in with correct LUNAME.

COMMAND  ===> _____ ENTER 'DIR' TO DISPLAY THE DIRECTORY

```

## Interactive Use of the Sterling Connect:Direct Message Facility

Display the long text message through the IUI by either of the following methods:

- Use the SELECT MESSAGE command in the command line interface.
- Type M at the CMD prompt and press Enter.
- Access the PRIMARY OPTIONS MENU and type the MSG option at the CMD prompt.
- Type =M from any Sterling Connect:Direct screen at the CMD prompt in the ISPF Interface and press Enter. The long text for the current message is displayed.

### Displaying an ABEND Message

#### About this task

Some user ABEND messages are stored in the Sterling Connect:Direct message file. To access them, insert the ABEND message ID in place of a Sterling Connect:Direct message ID.

**Note:** System ABEND messages appear in the JES log for z/OS. They do not appear in the Sterling Connect:Direct message file. Refer to the appropriate operating system manuals if you require further details.

For example, to display the description of user ABEND U0075, perform the following:

#### Procedure

1. Access the PRIMARY OPTIONS MENU in the IUI.
2. Select the MSG option.
3. Select option 1.
4. Type U0075 on the message ID line and press Enter.



```

Connect:Direct MESSAGE DISPLAY
DATE => mm.dd.yyyy
TIME => hh:mm

MESSAGE ID==> U0075
MODULE    ==> DMINIT
=====

SHORT TEXT==> Connection services initialization failure.
LONG TEXT:
  LINE 1 ==> An error has ocured while initializing VTAM connection
  LINE 2 ==> services. ESTAE output should give a return code and error
  LINE 3 ==> flag.
  LINE 4 ==>
  LINE 5 ==> Verify the applid does not have a password associated with it
  LINE 6 ==> in the LOCAL.NODE definition of the Network Map.
  LINE 7 ==>
  LINE 8 ==> SYSTEM ACTION: ABEND the intialization of the DTF.
  LINE 9 ==>
  LINE 10==> RESPONSE: Verify that the APPLID is varied active and there is
  LINE 11==>                not a VTAM password specified in the Network Map.
  LINE 12==>

COMMAND   ==> _____ ENTER 'DIR' TO DISPLAY THE DIRECTORY

```

## Examine Output from Select Commands

Problem determination and resolution is often as simple as gathering output from the Sterling Connect:Direct SELECT commands and examining the output for obvious errors. For additional information, see the *IBM Sterling Connect:Direct for z/OS User Guide*. A description of the commands follows:

Command	Description
SELECT NETMAP	Displays or prints the definitions of nodes with which Sterling Connect:Direct can communicate.
SELECT PROCESS	Displays or prints information about Sterling Connect:Direct Processes in the TCQ. You can use this command before or during Sterling Connect:Direct Process execution.
SELECT STATISTICS	Displays or prints statistics from the statistics log. Use this command only after executing a Sterling Connect:Direct Process.
SELECT TASK	Selects, displays, or prints the list of all active users, Processes, and tasks within Sterling Connect:Direct.
SELECT TYPE	Displays or prints type records from the Type file.

## SELECT STATISTICS Command

The SELECT STATISTICS command is one of the most useful commands for problem determination. This section provides a brief overview of the SELECT STATISTICS command. For more detailed information, refer to the *IBM Sterling Connect:Direct for z/OS User Guide*.

If an error occurred during a file transfer, issue the SELECT STATISTICS command on both the PNODE and SNODE to review the statistics for the Process. Process statistics are stored in the Sterling Connect:Direct statistics log. You can request them by either using the IUI Select Statistics (SS) screen, if applicable, or issuing the Sterling Connect:Direct SELECT STATISTICS command.

The statistics log records the following types of information for Sterling Connect:Direct Processes:

- Function requested (COPY, RUN TASK, RUN JOB, SUBMIT)
- Process name and number
- Start and stop times, and date of the function
- Completion code
- Messages associated with the Process
- Location and ID of the user requesting Sterling Connect:Direct services
- Sending and receiving file names
- Amount of data sent and received
- Security violations
- All Sterling Connect:Direct WTO messages, allocation information, and mount requests

**Note:** WTO messages are created during Sterling Connect:Direct Process execution to document the execution steps and are stored in the Sterling Connect:Direct statistics file. This type of message is an excellent debugging tool for determining a Sterling Connect:Direct Process execution failure.

The optional parameters associated with the SELECT STATISTICS command enable you to define the search criteria and the form in which the report is presented.

## **Sterling Connect:Direct IUI Select Statistics Screen**

The Select Statistics screen provides a convenient, easy method for issuing the SELECT STATISTICS command. Use the fields to specify statistics selection criteria. The selection criteria enable you to determine what records to select from the statistics log, limit the statistics to a certain period of time, or limit the statistics to a certain Process. The selection criteria also allow Sterling Connect:Direct to select the requested statistics from the file more efficiently.

The SELECT STATISTICS screen displays general Sterling Connect:Direct Process information.

- To display the requested information in a formatted report, type a D in the CMD field and press Enter.
- To print the formatted report, type a P in the CMD field and press Enter. The output is sent to your TSO sysout.
- For a summary report display, type an S in the CMD field and press Enter.
- To view your statistics file in an unformatted display, type FIL or F in the CMD field and press Enter.
- The SELECT STATISTICS screen also enables you to exclude certain types of information from being displayed. Type a Y in any of the following fields and press Enter.
  - MEMBERS - Statistics generated for each partitioned data set (PDS) member
  - WTO - WTO (Write to Operator) messages
  - WTO - All statistics other than WTO messages

When statistics are requested by Support, do not exclude any information. Set all the fields listed to N. Support must receive all information to solve the problem.

---

## Verify File Attributes

If a problem occurs during a file transfer, verifying the accuracy of the file attributes can often resolve the problem. Review the following file attribute parameters for the file being transmitted when the error occurred. Check both the input and output files specified in your Sterling Connect:Direct Processes:

- Logical record length (LRECL)
- Block size (BLKSIZE)
- Record format (RECFM)
- File organization (DSORG)
- File disposition (DISP)
- Unit containing the file (UNIT)
- Volume serial number (VOLSER)
- Storage for allocating new files (SPACE)
- Optional processing code (OPTCD)
- Length of keys used in file (KEYLEN)
- Number of blocks or tracks to search for available space (LIMCT)
- SMS options and ACS rules

These parameters are described in detail at the Connect:Direct Process Language help.

---

## Common Errors

This section describes the following types of common errors:

- Signon and IUI/API errors
- Connect:Direct DTF session establishment errors
- Connect:Direct DTF out-of-storage ABENDS
- Allocation and open errors
- Transmission errors
- Operator interface errors

For each type of error, information on probable causes, actions to take, and data to collect is provided.

**Note:** For information on initialization and license key errors, see Initialization Errors in the *IBM Sterling Connect:Direct for z/OS Configuration Guide* that deals with errors that can occur when you start up. For errors related to security, see Troubleshooting Security Errors .

### Signon and IUI/API Errors

Signon errors keep you from accessing Sterling Connect:Direct. IUI/API problems prevent you from successfully submitting a Process or executing a command.

This section describes the following types of signon and IUI/API errors:

- ISPF signon failures can be caused by a variety of problems. Errors signing onto the IUI through the ISPF interface can include problems related to VTAM, TCP/IP, ISPF, or security. Refer to Troubleshooting Security Errors for a description of common security errors.

- IUI/API Sterling Connect:Direct session failures occur when the IUI/API cannot establish a session with the DTF. A session failure usually means you will be unable to sign on to Sterling Connect:Direct.
- SELECT command errors occur while issuing SELECT STATISTICS, SELECT PROCESS, SELECT NETMAP, SELECT USER, SELECT TYPE, and SELECT TASK commands from the IUI, batch, or operator interface.

**Note:** You can diagnose most IUI/API problems by running an API-to-DTF session trace. See information on the NDMCMDS trace in Sterling Connect:Direct Automatic Traces.

To avoid insufficient space being allocated for the temporary data set upon signon as well as SB37 ABENDs, the administrator can define global signon defaults so that users do not have to individually alter their signon default values to increase their allocation. For more information, see “Global Signon Defaults” on page 29.

### Condition: Signon to IUI Denied

Your signon to IUI is denied with the message *Error during ACB open*.

Error	Cause	Action	Collect
SVTB002I	The interactive applid that is used for signon is not active, is in an unacceptable state, or is not correctly defined to VTAM.	Review both the short text and long text messages. Allocate NDMCMDS to display error messages to your terminal. NDMCMDS shows all actual commands issued to the DTF, including resolution of symbolics. It can be particularly helpful to debug Sterling Connect:Direct commands through the IUI or through the batch interface if you are having signon problems, syntax errors, and so forth. <ul style="list-style-type: none"> <li>• For Sterling Connect:Direct for z/OS, type the following on your command line: TSO ALLOC FI(NDMCMDS) DA(*)</li> </ul>	<ul style="list-style-type: none"> <li>• NDMCMDS output</li> <li>• Applid status display</li> <li>• Applid definitions</li> <li>• Network map</li> </ul>

### Condition: Signon to IUI Denied

Your signon to IUI is denied with the message *USER attempted SIGNON with TIMEOUT=NO*. Only an administrator can change the TIMEOUT value and only on their own signon panel. Non-ADMIN users cannot sign on with the inactivity timer disabled.

**Note:** The inactivity timer indicates the number of minutes a user can remain inactive without communicating with the DTF. This timer is active if an administrator has specified a value in the TCP.API.TIMER global initialization parameter.

Error	Cause	Action	Collect
SAFA020I	The user, who does not have administrative authority, attempted to change the TIMEOUT parameter value to NO from an IUI signon panel.	Log off and log on again. Change the TIMEOUT parameter to YES to complete the signon process.	<ul style="list-style-type: none"> <li>Statistics file</li> </ul>

### Condition: Signon to IUI Denied - No Error Message

Your attempt to sign on to the IUI is denied, but no error message is displayed.

Error	Cause	Action	Collect
None	Your TSO or TSS profile specifies the NOWTPMSG option, which inhibits some error output from being displayed to the terminal.	Review both the short text and long text messages. For z/OS, change to the WTPMSG option by typing TSO PROF WTPMSG. With the WTPMSG option, error messages are displayed at the terminal. Retry the operation (sign on).	<ul style="list-style-type: none"> <li>None</li> </ul>

### Condition: Signon Denied - Sterling Connect:Direct not Active

Your signon is denied.

Error	Cause	Action	Collect
SVTB004I SCIA011I	The Sterling Connect:Direct you are signing on to is not active.	<p>Review both the short text and long text messages. Ensure that Sterling Connect:Direct has completed initialization before attempting a signon. Allocate NDMCMDS to display additional information about the session failure. NDMCMDS shows all actual Sterling Connect:Direct commands issued to the DTF, including resolution of symbolics. It can be particularly helpful to debug Sterling Connect:Direct commands through the IUI or through batch interface if you are having signon problems, syntax errors, and so forth.</p> <p>For z/OS, check to see that the network map is specified correctly on the ISPF menu and that the network map is correctly loaded.</p> <p>Try to sign on through the batch interface to isolate the problem.</p>	<ul style="list-style-type: none"> <li>NDMCMDS output</li> <li>Network map</li> <li>Sterling Connect:Direct initialization parameters</li> <li>For z/OS the ISR@PRIM panel</li> </ul>

## Condition: Signon Denied - Users Exceeds Limit

Signon is denied.

Error	Cause	Action	Collect
STAA004I	The number of interactive users on Sterling Connect:Direct has reached the limit set in the MAXUSERS parameter.	Review both the short text and long text Sterling Connect:Direct messages. Check the MAXUSERS parameter in the Sterling Connect:Direct initialization parameters data set. If it is commented out, the default is six users. Report this error to your Sterling Connect:Direct administrator, and determine whether you need to increase the value of this parameter. If the TCP.API.TIMER is not being used, consider using this global initialization parameter to terminate orphaned sessions (some JAI users do not sign off, they just drop the connection).	<ul style="list-style-type: none"><li>• Sterling Connect:Direct initialization parameters</li></ul>

## Condition: TCP/IP API session terminated

Users who are logged on to the DTF via TCP/IP may have their sessions dropped and see the message *TCP/IP SEND error; Connection Lost*. If the user presses F1 to see the help for this message, the following text is displayed for message SVTC006I:

```
MSGID ==> SVTC006I
MODULE ==> DMTSTSND
```

```
TCP/IP SEND error; Connection Lost
```

```
An error has been detected while sending a command via TCP/IP.
The TCP/IP connection has been lost. A possible cause is
Connect:Direct has been shutdown and all TCP/IP connections
have been closed.
```

```
System Action: Normal processing can not continue.
```

```
Response: Logoff and attempt to signon again.
```

In addition, SAFA019 signoff errors are recorded in statistics along with matching user IDs and the message, *TCP API session LOGOFF has been forced*. To reduce the number of active sessions and stay below the limit set in the MAXUSERS global initialization parameter, the administrator can use the TCP.API.TIMER global initialization parameter to specify the maximum time of session inactivity a TCP/IP-connected IUI or API session waits before timing out and exiting. When a user has not done anything with the IUI to cause it to communicate with the DTF and the timer period expires, the DTF drops the session, and can then detect and recover lost sessions without having to be recycled.

Error	Cause	Action	Collect
SVTC006I SAFA019	The TCP.API session has been inactive for the period specified by the TCP.API.TIMER global initialization parameter.	<ul style="list-style-type: none"> <li>A TSO/ISPF/IUI user should log on to the DTF they lost connection with.</li> <li>A program or system that was using the API should sign on again.</li> </ul>	<ul style="list-style-type: none"> <li>Statistics file—check the user and completion code (comp code) associated with the SAFA019I completion message (comp msg). On the SELECT STATISTICS screen, type Y in the CHANGE EXTENDED OPTS field and on the next screen, type SO (signoff) as a Record Type.</li> </ul>

### Condition: SELECT Command Issued Successfully - No Output Produced

The SELECT command is issued successfully and completes with a successful return code and message, but no output is produced.

Error Messages					
SAFF000I	SAFF014I	SAFK000I	SAFL000I	SAFL010I	SCBB000I
SCBL000I	SCBO000I	SCBP000I	SCBQ000I	SCBX000I	SOPA000I
SOPA011I	SOPE000I	SOPS001I			

Cause	Action	Collect
It is likely that Sterling Connect:Direct is having trouble allocating the temporary data set that contains the output from the SELECT command.	Review both the short text and long text messages. For Sterling Connect:Direct for z/OS, specify a UNIT and VOLSER for the temporary data set, and SPACE information. You can specify UNIT and VOLSER on the SIGNON DEFAULTS panel of the IUI or use the TEMPDSN parameter on your signon command for batch. Note that a UNIT type of VIO is not acceptable.	<ul style="list-style-type: none"> <li>List of the SELECT commands that produce output and those not producing output</li> <li>Any error messages</li> </ul>

### Condition: SELECT Commands - No Output Available

SELECT commands return with no output and a message indicating no output was available from the command.

Error	Cause	Action	Collect
SOPA010I SOPB012I	For the SELECT PROCESS and SELECT STATISTICS commands, it is likely that the userid issuing the command is defined as a general user by the stage 2 security exit, indicating that the user is only allowed to see the command output for Processes submitted by that same userid.	Review both the short text and long text messages. Check to see that the userid is defined with the ability to select Process/statistics for Processes not submitted by that userid.	<ul style="list-style-type: none"> <li>Authorization for the userid</li> <li>Statistics file</li> </ul>

## Sterling Connect:Direct DTF Session-Establishment Errors

Sterling Connect:Direct DTF session-establishment errors prevent a successful connection between two Sterling Connect:Direct systems. This section explains the most common causes of DTF session-establishment errors, actions to take, and the types of data you need to collect to troubleshoot the error.

### Condition: Cannot Establish a Session with Another Sterling Connect:Direct Session

The table describes the four possible causes and the courses of action to take.

Error	Cause	Action	Collect
SVTM026I SVTM045I SVTM053I SVTM104I	The links that connect the two Sterling Connect:Direct systems are not active, or an error has occurred on the links.	Review both the short text and long text messages. If you are unable to determine the problem, check the RPLERRCK DD for possible clues. Use your network management software to determine the status of the links used for system-to-system communication. Reactivate the links.	• None
SVTM026I	The cross-domain resource definition for the remote Sterling Connect:Direct system is not active.	Review both the short text and long text messages. If you are unable to determine the problem, check the RPLERRCK DD for possible clues. Use your network management software to determine the status of the Sterling Connect:Direct cross-domain manager and cross-domain resource definitions used in communicating with other Sterling Connect:Direct locations. Reactivate the cross-domain resource manager or cross-domain resources	• None
SNAS0801 SVTM026I	The VTAM applid for the remote Sterling Connect:Direct system is not active.	Review both the short text and long text Sterling Connect:Direct messages. If you are unable to determine the problem, check the RPLERRCK DD for possible clues. Use your network management software to determine the status of the applid for the remote Sterling Connect:Direct system. Ensure the remote Sterling Connect:Direct has initialized. Reactivate the VTAM applid, or initialize the remote Sterling Connect:Direct system.	• None



Error	Cause	Action	Collect
SCCS028I STAA005I	The maximum number of secondary sessions is reached on the secondary Sterling Connect:Direct system.	Review both the short text and long text messages. If you are unable to determine the problem, check the RPLERRCK DD for possible clues. Determine the number of active VTAM sessions for the secondary location. Use your network management software to issue <b>D NET,ID=applid,E</b> (VTAM applid) at the secondary location site. Ensure that the maximum number of secondary sessions is sufficient for your requirements.  To avoid the situation that causes the STAA05I error, set the global initialization parameter, "TCP.CONNECT.TIMEOUT= 0   number of seconds" on page 386.	<ul style="list-style-type: none"> <li>None</li> </ul>

## Sterling Connect:Direct DTF Out-of-Storage ABENDS

DTF out-of-storage ABENDS may occur during heavy Sterling Connect:Direct activity or during phases when the DTF has run for a long period of time. This chapter explains the most common causes of DTF out-of-storage ABENDS, actions to take, and the types of data to collect.

### Condition: Out-of-Storage ABEND Occurs in the DTF

The following table describes two possible causes and associated actions to take.

Error	Cause	Action	Collect
user ABEND U0500	If this condition occurs only during heavy Sterling Connect:Direct activity, you may need to modify the initialization parameters or the DTF REGION parameter.	Review both the short text and long text messages. Limit the number of Processes that can run at one time using the MAXPRIMARY, MAXSECONDARY, and MAXPROCESS initialization parameters. Also, examine the MAXSTGIO initialization parameter to determine if it can be decreased. The REGION size in the DTF JCL may need to be increased to allow more concurrent Processes.	<ul style="list-style-type: none"> <li>TSubpool that is growing</li> <li>Dump taken after controlled tests when all DTF activity has ended</li> <li>The Sterling Connect:Direct log</li> <li>Sterling Connect:Direct initialization parameters</li> <li>Sterling Connect:Direct STC (started task) JCL</li> <li>Source for any user exits</li> </ul>
user ABEND U0501			

Error	Cause	Action	Collect
System ABEND Sx0A	If this condition appears to be "storage creep" and occurs after the DTF is active for a long time (not necessarily running many Processes immediately), you can take several actions.	<ul style="list-style-type: none"> <li>See Possible Actions to troubleshoot this problem.</li> </ul>	<ul style="list-style-type: none"> <li>Dump taken after controlled tests after all DTF activity ended</li> <li>The Sterling Connect:Direct log</li> <li>Sterling Connect:Direct initialization parameters</li> <li>Sterling Connect:Direct STC JCL</li> <li>Source for any user exits</li> </ul>
System ABEND Sx78			

## Possible Actions

Use the following list to help you troubleshoot DTF out-of-storage ABENDs:

- Examine all RUNTASK programs; ensure that for every file opened, a CLOSE and a FREEPOOL is also done.
- Examine any user exits for GETMAIN (or STORAGE OBTAIN) macros ; verify that FREEMAIN (or STORAGE RELEASE) macros are issued for each of those areas.
- Examine any RUNTASK programs for GETMAIN (or STORAGE OBTAIN) macros; ensure FREEMAIN (or STORAGE RELEASE) macros are issued for each area.
- Try to pinpoint the type of Processes or other DTF activity that causes the problem:
  - Does this occur only during a COPY?
  - Does this occur when a specific Process is run? What does the Process do?
  - Does this occur when a certain command is issued? What is the command?

**Note:** For the next two suggestions, you can issue an MVS DUMP command for the Sterling Connect:Direct for z/OS address space to help in your investigation. Make sure that the SDATA includes the following parameters:

RGN,LSQA,SUM,PSA,GRSQ,SQA,SWA,TRT

See the IBM MVS System Commands manual for the release of z/OS in use.

- If you cannot determine which Process, command, or other activity is causing the storage creep, run a typical batch of Processes or commands that runs when the ABEND occurs. Before the out-of-storage ABEND occurs, go to the ADMIN MD panel and QUIESCE Sterling Connect:Direct for z/OS. For example, if the ABEND usually occurs after 10 hours of activity, quiesce after about 8 hours.
- If you did determine that a certain Process or command causes the problem, submit that Process or issue the command several times (the number of times depends on how long it takes before you get to the ABEND). For example, if it occurs after the Process runs 100 times, run it 90 times in your tests. Get a dump of the DTF address space after all DTF activity is finished.

## Allocation and Open Errors

Allocation and open errors involve the source or destination files. This chapter describes errors in which the allocation or opening of a file fails, and the action to take and types of data you need to collect to troubleshoot the error.

## Condition: Allocating a User File Fails

Use the following table to troubleshoot this error.

**Note:** Sterling Connect:Direct initialization parameters (ALLOC.CODES and ALLOC.RETRIES) determine which allocation errors, if any, cause a Process that fails on an allocation error to be retried.

Error	Cause	Action	Collect
SDAA001I SDAA004I SDAA005I SDAA048I	Sterling Connect:Direct received an error while allocating a file or data set.	Review both the short text and long text messages. Check the SYSLOG, console, or Sterling Connect:Direct statistics for the text of the SDAA004I and SDAB005I messages. The SDAA004I message contains the allocation parameters used by Sterling Connect:Direct. If an error exists, the ERR=nnnn field of the SDAB005I message contains non-zeroes, and error text follows. Use the Sterling Connect:Direct message facility to look up the error, which has a format of SDEnnnnI, where nnnn is the number in the ERR field. The operating system dynamic allocation routine returns the ERR value. <b>Note:</b> Sterling Connect:Direct initialization parameters (ALLOC.CODES and ALLOC.RETRIES) determine which allocation errors, if any, cause a Process that fails on an allocation error to be retried.	<ul style="list-style-type: none"> <li>• Sterling Connect:Direct allocation string (found in the WTO records in the statistics file)</li> <li>• Sterling Connect:Direct Process involved</li> <li>• SDAA004I message output</li> <li>• SDAB005I message output</li> </ul>

## Condition: TCQ File Below Defined Threshold Value

Use the following table to troubleshoot this problem.

Error	Cause	Action	Collect
SPQL003I  TCQ file is now below the defined threshold of &VAR.	The number of VSAM file CIs used has reached the defined threshold.	Reduce the number of Processes in the TCQ, or increase the size of the TCQ. Refer to "Configure the TCQ" on page 261 for more information.	<ul style="list-style-type: none"> <li>• None</li> </ul>

## Transmission Errors

Transmission errors include consistency problems within communication components that can occur during Process execution. The errors can occur within communication components such as VTAM, IBM Network Control Program (NCP), or links.

This section lists possible transmission errors, error messages, probable causes, actions to take, and data to collect to troubleshoot an error.

### Condition: Error During Process Execution Initiation

Error	Cause	Action	Collect
SVTM041I (SNASYNC1: Session abnormally terminated)	The session or link was lost before Process execution began.	Review both the short text and long text messages. Use your network management software to determine the status of the link, cross-domain definitions, and applids used in the system-to-system communication. Activate the link, cross-domain definitions, or applids as required, and restart the Process	<ul style="list-style-type: none"> <li>• None</li> </ul>
SVTM053I (Session acquire failure)	A protocol error occurred within the Sterling Connect:Direct system.	Review both the short text and long text messages. Also, request a session manager trace and an RPL trace.	<ul style="list-style-type: none"> <li>• Output from session manager</li> <li>• Output from RPL traces</li> </ul>

### Condition: Unrecoverable Error Occurs while a Process Executes

When an unrecoverable send or receive error occurs within the system-to-system session while a Process executes, the three likely causes of the problem are detailed in the following table. You may need a backup copy of the file if a file I/O error caused a send or receive error. If the error is temporary, retrying the Process might clear up the difficulty.

Error	Cause	Action	Collect
SVTM045I	An I/O error within the primary or secondary node causes Sterling Connect:Direct to send a negative response to the other location.	Review both the short text and long text messages. Accompanying messages indicate the type of error that caused the send or receive session to fail. Check your network management software for VTAM sense codes, then find the reason the sense code was issued. Correct the problem if possible and retry the Process.  In some cases, you need a VTAM buffer or an I/O trace of the error. You may need a backup copy of the file if a file I/O error caused send or receive error. If the error is temporary, retrying the Process might clear up the difficulty.	<ul style="list-style-type: none"> <li>• Output from the VTAM buffer or I/O trace</li> </ul>
SVTB020I, followed by a U4095 ABEND	A Sterling Connect:Direct system shuts down with either the IMMEDIATE or FORCE parameter specified on the STOP CD command.	Review both the short text and long text messages. Restart Sterling Connect:Direct.	<ul style="list-style-type: none"> <li>• None</li> </ul>

Error	Cause	Action	Collect
SVTM042I SVTM043I SVTM044I SVTM045I SVTM046I SVTM047I SVTM048I SVTM049I  VTAM sense code 0870  VTAM sense code 800A	An error occurs within one of the communication components (VTAM, NCP, or link)	Review both the short text and long text messages. The communication component containing the error issues error messages. Various VTAM and NCP definitions are incompatible with Sterling Connect:Direct operations. Refer to <i>Selecting RU Sizes</i> in the <i>IBM Sterling Connect:Direct for z/OS Configuration Guide</i> for more information.	<ul style="list-style-type: none"> <li>• VTAM definitions</li> <li>• NCP definitions</li> </ul>

## Operator Interface Errors

Operator interface errors occur while you are using the operator interface to issue commands to Sterling Connect:Direct. You can find more information on the operator interface in the *IBM Sterling Connect:Direct for z/OS Facilities Guide*.

This section lists possible operator interface errors, error messages, possible causes, actions to take, and data to collect to troubleshoot an error.

### Task Busy Message

Use the following table to troubleshoot the problem when you receive a Task Busy message after issuing an Operator Interface command.

Error	Cause	Action	Collect
IEE342I Modify rejected - task busy	An error in the MCS.SIGNON parameter in the Sterling Connect:Direct initialization parameters.	Ensure that the MCS.SIGNON parameter reflects a valid userid-password combination with Sterling Connect:Direct operator authority and that the network map is correctly specified on the MCS.SIGNON parameter. Remove comments from this parameter.	<ul style="list-style-type: none"> <li>• Sterling Connect:Direct initialization parameters</li> <li>• SYSLOG output</li> </ul>

### User Not Authorized Messages

Use the following table to troubleshoot authorization errors you receive when you issue operator interface commands.

Error Messages					
SCBB001I	SCBC030I	SCBD001I	SCBE001I	SCBF001I	SCBF063I
SCBF064I	SCBG001I	SCBH001I	SCBI001I	SCBJ001I	SCBK005I
SCBL001I	SCBN001I	SCBO001I	SCBP001I	SCBR002I	SCBS001I
SCBT005I	SCBU003I	SCBV001I	SCBW001I	SCBX001I	SCBY001I
SCPA008I	SFIA002I	SFIA003I	SRJA014I	SRTA008I	SSUB100I

Cause	Action	Collect
The userid attempting to issue the operator interface commands is not authorized to issue them.	Review both the short text and long text messages. Check the userid specified in the MCS.SIGNON parameter of the Sterling Connect:Direct initialization parameters file. Determine whether that userid has the authority to issue the command. If you believe it does, run a security trace to determine why the user cannot issue the command.  See Security Traces for information on how to run the trace.	<ul style="list-style-type: none"> <li>• Sterling Connect:Direct initialization parameters</li> <li>• Security trace</li> </ul>

## Diagnostic Tools

You can perform some problem isolation and diagnostics by running traces. A trace is a sequential recording of program events during execution. Trace output is useful only as a diagnostic tool for the Support.

When running traces for Support, consider the following information:

- Limit Sterling Connect:Direct activity while running traces.
- Trace the simplest case possible.
- Disable traces upon completion. They generate considerable overhead.
- Use traces judiciously. They are for diagnostic purposes only and there is potential security exposure.

## Security Traces

Support uses a security trace to debug security problems. The trace shows:

- Fields from the security control block
- Messages
- Return codes from the security system itself such as IBM RACF, CA-TOP SECRET, and ACF2
- Data set names, if verifying data sets
- Userids (passwords will not displayed as encrypted or plain text)

**Note:** To prevent a remote node's security from discovering and then using Signon dummy passwords to gain access to a primary node, you can use the initialization parameter, "REMOTE.DUMMY.PASSWORD = [ YES | INTERNAL ]" on page 370.

### Starting a Security Trace for the First Time in the DTF

#### About this task

**Note:** Once you have completed this procedure, go to Turning a Security Trace On and Off in the DTF After Initial Setup when you need to start a security trace. You do not have to reinitialize Sterling Connect:Direct.

#### Procedure

1. Specify TRACE=DEBUG as a parameter in your security exit source and reassemble and link-edit the exit.

2. For Sterling Connect:Direct for z/OS in the Sterling Connect:Direct startup JCL, allocate a DD for SECURITY, either to SYSOUT or to a data set on DASD with attributes of RECFM=VBA, LRECL=121, and BLKSIZE=125 or greater.

To be able to turn security tracing on and off using DEBUG settings, add the DEBUG= parameter to the global initialization parameter data set, and specify 00100000 (or xx1xxxxx where x is any hex value 0-F).

**Note:** If you specify Separate trace per task tracing to be done for security traces, all output for the security trace will be routed to the task DD, for example, Rnnnnnnn.

To turn security tracing off, you can then specify xx0xxxxx using the Sterling Connect:Direct MODIFY command to specify the debug setting.

3. If Sterling Connect:Direct is active, stop Sterling Connect:Direct. Restart it with the modified JCL startup job, and recreate the problem.

### Turning a Security Trace On and Off in the DTF After Initial Setup

Use the MODIFY command to turn tracing on and off by specifying DEBUG settings (see Debug Settings).

### Starting a Security Trace in the IUI (ISPF)

You can start a security trace to debug security problems in the IUI.

#### Procedure

1. To allocate a security trace for the IUI, enter the following command:

```
TSO ALLOC FI(APISECUR) DA(*) /* FOR ZOS */
```

**Note:** As an alternative, to send the information to a preallocated data set with attributes of RECFM=VBA, LRECL=121, and BLKSIZE=125, you can enter the follow command:

```
TSO ALLOC FI(APISECUR) DA('$h1q.APISECUR.TRACE')OLD
```

2. Log on to the DTF and carry out any commands you want traced. Trace information is written to the screen immediately upon signon. Sign off when finished.
3. To stop the security trace, issue the following command:

```
TSO FREE FI(APISECUR) /* FOR ZOS */
```

## Sterling Connect:Direct Function Traces

Sterling Connect:Direct contains various internal traces for diagnosing problems and recording events. Based on the trace specified, the Sterling Connect:Direct trace output is directed to various ddnames. You can enable these traces using one of the following methods:

- Modify the Sterling Connect:Direct startup job stream to include the trace files and ddnames for trace output and add the DEBUG=nnnnnnnn parameter to the initialization parameter data set. The traces are turned on during Sterling Connect:Direct initialization and continue running until turned off by the MODIFY command or until Sterling Connect:Direct is terminated.
- Issue the Sterling Connect:Direct MODIFY command to set DEBUG bits. The trace starts when you issue the MODIFY command. See Sterling Connect:Direct MODIFY Command.

- Reduce the amount of trace information by restricting a trace to a Process (MODIFY Debug = parameter) or a specific node (NODE.TRACE.ON parameter). See Sterling Connect:Direct MODIFY Command for more information about these parameters.

## Debug Settings

Use the following debug settings with the DEBUG=nnnnnnnn initialization parameter, the BITS.ON=X'nnnnnnnn' and BITS.OFF=X'nnnnnnnn' MODIFY command parameters, and the NODE.TRACE.BITSON=(node name,X'nnnnnnnn') and NODE.TRACE.BITSOFF=(node name,X'nnnnnnnn') MODIFY command parameters.

For each debug bit turned on for a trace, you must allocate the equivalent DD names in the Output DD column to the Sterling Connect:Direct started task. If you do not specify these DD names in the started task JCL of Sterling Connect:Direct, you must allocate them using either the DYN (batch) or DYN (IUI) option described in this section. The one exception is separate trace per task (Rnnnnnnn), which is dynamically allocated by Sterling Connect:Direct as required. This trace output is directed to SYSOUT.

The following table shows the available function traces for Sterling Connect:Direct for z/OS, with their respective DEBUG settings, and the DD names (or filenames) used for output. Specify these bits using hexadecimal notation, for example, X'80' plus X'10' would result in X'90' while X'08' plus X'04' would result in X'0C'.

DEBUG Setting	Trace Type	Output DD
80000000	COPY Routine and RUN TASK trace	RADBDD01
10000000	Full TPCB/SYMBOLICS from DMCBSUBM	DMCBSUBM
08000000	Session manager trace	RADBDD05
04000000	Separate trace per task (Example: "R0000005" to trace TASK 5)	Rnnnnnnn
02000000	API session trace	RADBDD07
01000000	DMGCSUB trace	RADBDD08
00400000	TCQSH from DMCOPYRT	DMCOPYRT
00200000	Make each SVC dump unique	N/A
00100000	SECURITY trace control	SECURITY
00040000	GETMAIN/FREEMAIN trace	RADBDD16
00008000	I/O buffer trace	RADBDD21
00004000	WTO all dynamic allocation parameters	RADBDD22
00002000	Sterling Connect:Direct/Plex traces	



DEBUG Setting	Trace Type	Output DD
	ACTION queue manager trace	CDPLXACT
	CKPT queue manager trace	CDPLXCKP
	TCQ queue manager trace	CDPLXTCQ
	STATS queue manager trace	CDPLXSTA
	First REQUEST queue manager trace	CDPLXREQ
	Second and subsequent REQUEST queue manager trace. For example, "CDPLXR03" traces the third queue manager.	CDPLXRnn
	JOIN queue manager trace	CDPLXJOI
00001000	Workload Balancing trace	CDPLXWLB
00000800	zIIP-related trace	CDZIIP
00000100	In-storage tracing only <b>Note:</b> The size of this in-storage table is controlled by the TRACE.BUFFER initialization parameter.	N/A
00000080	RPL trace - long <b>Note:</b> To avoid generating excessive output when you use this trace with a large value for the V2.BUFSIZE initialization parameter, use the short RPL trace.	RPLOUT
00000040	RPL trace - short	RPLOUT
00000020	Version 2 session trace	RADBDD33
00000008	Logon exit trace	RADBDD35
00000004	Logon Processor trace	RADBDD36
00000002	SCIP exit trace	RADBDD37
00000001	SNMP trap trace	SCTRAPDD

## Displaying DEBUG Settings

Use the INQUIRE DEBUG command to display the current DEBUG settings for each adjacent node in the Netmap.

The INQUIRE DEBUG command has the following format and parameter.

Label	Command	Parameter
(optional)	INQUIRE DEBUG	WHERE (SERVER=server name)

The parameter for the INQUIRE DEBUG command is:

Parameter	Description
WHERE (SERVER=server name)	This parameter is optional. This parameter specifies the Sterling Connect:Direct/Server whose DEBUG settings you want to view. The server name parameter is the 1–8 character name assigned to a Sterling Connect:Direct/Server by the CDPLEX.SERVER initialization parameter. If you omit this parameter in a Sterling Connect:Direct/Plex environment, the DEBUG settings for the Sterling Connect:Direct/Manager are displayed. You cannot use this parameter in a Sterling Connect:Direct/Stand-alone Server. See Debug Settings for a descriptions of these settings.

## Using the INQUIRE DEBUG Command from the Batch Interface

### About this task

To use the INQUIRE DEBUG command from the batch interface:

#### Procedure

1. Place your command in a batch job stream.
2. Submit the job while Sterling Connect:Direct is running.

**Note:** You must set the fifth character of the DGADBATC output parameter specification to Y to print the result of the command that is in the temporary data set.

3. Verify the results.

The following figure shows a partial sample report:

```

=====
CD.CHI          *INQ DEBUG/QUIESCE* DATE: mm.dd.yyyy  TIME: hh:mm:ss
SYSTEM INITIALIZED -----(0000)----- mm.dd.yyyy  hh:mm:ss
=====

DEBUG          => '00200000'
QUIESCE        => No
TCQ DSN        => PROD1.CD.CHI.TCQ
TCX DSN        => PROD1.CD.CHI.TCX
TCQ Threshold  => No
TCQ File       0% Full. Max.# CI:      1000 # Used CI:      0

NODE TABLE    => NODE NAME      QUIESCE  DEBUG
NODE ENTRY    1 => CD.DAL         Yes      BOBB0BB0
NODE ENTRY    2 => CD.DAL.CSGA    Yes
NODE ENTRY    3 => CD.DAL.CSGB    Yes
NODE ENTRY    4 => CD.DAL.LU0     Yes
NODE ENTRY    5 => CD.DAL.LU62    Yes
NODE ENTRY    6 => CD.DAL.UDT     Yes

```

## Issuing the INQUIRE DEBUG Command through the IUI

To display the DEBUG settings from the IUI:

1. Select option INQ from the Connect:Direct Administrative Options Menu.  
The Inquire DTF Internal Status screen is displayed.
2. If you want to view the DEBUG settings for a specific Sterling Connect:Direct/Plex member, type the server name in the Server field. If you want to view the DEBUG settings for a Sterling Connect:Direct/Manager, leave the Server field blank.  
Leave the Server field blank in a Sterling Connect:Direct/Stand-alone Server.
3. Select the IDBG option.
4. Press ENTER.  
The current DEBUG settings are displayed.

### DEBUG Initialization Parameter

Various settings on the DEBUG=nnnnnnnn initialization parameter turn on a specific trace option or any combination of options. In the syntax for the DEBUG initialization parameter, nnnnnnnn represents the DEBUG setting in hexadecimal.

You can place trace DD statements in the system without slowing down Sterling Connect:Direct performance if you do not turn on the trace by specifying the DEBUG parameter.

For problems with SNA connections, use the following four function traces merged into a single output file:

- Session manager trace
- Separate trace per task trace
- Long RPL trace
- COPY routine trace

**Note:** If the problem occurs during file transfer or session establishment of node connections, run the trace on both the sending and receiving nodes.

### **Sterling Connect:Direct MODIFY Command**

The Sterling Connect:Direct MODIFY command yields the same types of traces as the DEBUG initialization parameter. Unlike the initialization parameter, however, the MODIFY command does not require you to bring down and restart the DTF. The trace starts when you issue the MODIFY command to turn on the trace bits, provided the DD is allocated.

**Note:** When JES data sets are dynamically allocated, specify FREE=CLOSE to ensure that the DD is deallocated and tracing stopped when you close the trace DD using the MODIFY command.

You can issue the MODIFY command through the batch interface or interactively through the IUI. See Issuing the MODIFY Command Through the Batch Interface or Issuing the MODIFY Command through the IUI. To see the current DEBUG setting, see Displaying DEBUG Settings.

The MODIFY command has the following format and parameters. None of these parameters are required.

Label	Command	Parameters
(optional)	MODIFY	BITS.OFF = X'nnnnnnnn'
		BITS.ON = X'nnnnnnnn'
		CLOSE = ddname
		DDNAME = (ddname, nn)
		DEBUG = nnnnnnnn
		DYN (batch) = 'dynamic allocation string' <b>Note:</b> Use an equal sign before and quotes around the dynamic allocation string.
		DYN (IUI) dynamic allocation string <b>Note:</b> Do not use an equal sign before or quotes around the dynamic allocation string in the IUI screen unless you are entering it on the Command Line Interface..
		INITPARMS = YES
		MODDIR.TRACE = YES
		NODE.TRACE.BITSOFF=(node name, X'nnnnnnnn')
		NODE.TRACE.BITSON=(node name, X'nnnnnnnn')
		NODE.TRACE.ON = (node name, debug bits)
		NODE.TRACE.OFF = node name
		WHERE(SERVER = server name)
		ZIIP = NONE   EXTCOMP   SSLTLS   ALL   PROJECT

The following table describes the parameters of the MODIFY command.

Parameter	Description
BITS.OFF = X'nnnnnnnn'	Turns individual trace bits off. Refer to Debug Settings for the 'nnnnnnnn' value.
BITS.ON = X'nnnnnnnn'	Turns individual trace bits on. Refer to Debug Settings for the 'nnnnnnnn' value.
CLOSE = ddname	This parameter specifies the DD name that is closed in the Sterling Connect:Direct DTF.
DDNAME = (ddname, nn)	This parameter specifies a DD name related to a requested trace. All trace information generated as a result of the BITS.ON setting is directed to the DDNAME indicated in the parameter list, based on the Sterling Connect:Direct TASKID number nn. This DDNAME provides a consolidated trace of all activity associated with the task. The DDNAME format is R0000nn, where nn is the TASKID.
DEBUG = nnnnnnnn	Replaces the system-wide debugging bits with the specified debug bits. Refer to Debug Settings to see all possible debug bit values.
DYN = 'dynamic allocation string' (batch)	This parameter specifies that dynamic allocation is invoked in the DTF using a specified allocation string. Use an equal sign before and quotes around the dynamic allocation string in the batch DYN parameter.  You must use an equal sign before and quotes around the dynamic allocation string in the Command Line Interface for the IUI.
DYN dynamic allocation string (IUI)	This parameter specifies that dynamic allocation is invoked in the DTF using a specified allocation string. Do not use an equal sign before or quotes around the dynamic allocation string in the MODIFY DYN field on the MODIFY COMMAND screen. <b>Note:</b> If you issue the MODIFY command in the Command Line Interface (CLI), you must use an equal sign before and quotes around the dynamic allocation string.
INITPARMS	Refreshes initialization parameters qualified to be modified dynamically while Sterling Connect:Direct is running.
MODDIR.TRACE=YES	Requests a module trace.
NODE.TRACE.BITSOFF = (node name, X'nnnnnnnn')	Turns individual trace bits off for a specified node. The node name is the 1–16 character name of the node on which the trace runs. The * and ? wildcard characters are supported when specifying the node name. Refer to Debug Settings for the 'nnnnnnnn' value.
NODE.TRACE.BITSON = (node name, X'nnnnnnnn')	Turns individual trace bits on for a specified node. The node name is the 1–16 character name of the node on which the trace runs. The * and ? wildcard characters are supported when specifying the node name. Refer to Debug Settings for the 'nnnnnnnn' value.

Parameter	Description
NODE.TRACE.ON = (node name, debug bits)	Requests a trace run on one or more specified nodes. The node name is the 1–16 character name of the node on which the trace runs. The * and ? wildcard characters are supported when specifying the node name. The debug bits are the 8-character DEBUG bits setting. See the BITS.OFF = X'nnnnnnnn' parameter for a listing of debug bits.  The trace runs until turned off by the NODE.TRACE.OFF= parameter.
NODE.TRACE.OFF = (node name)	Turns off a trace set by the NODE.TRACE.ON parameter.  The node name is the 1–16 character name of the node on which the trace is running. The * and ? wildcard characters are supported when specifying the node name.
WHERE(SERVER=server name)	This parameter specifies which Sterling Connect:Direct/Plex member the MODIFY command applies to. The server name parameter is the 1–8 character name assigned to a Sterling Connect:Direct/Server by the CDPLEX.SERVER initialization parameter.  If this parameter is omitted, the MODIFY command applies to the Sterling Connect:Direct/Manager.
ZIIP = NONE   EXTCOMP   SSLTLS   ALL   PROJECT	This parameter specifies the setting for the zIIP exploitation feature (ZEF). Refer to “zIIP Exploitation Feature” on page 289 and “ZIIP = NONE   EXTCOMP   SSLTLS   ALL   PROJECT” on page 396.

## Issuing the MODIFY Command Through the Batch Interface

To use the MODIFY command from the batch interface:

### Procedure

1. Place the command in the batch job stream.
2. Submit the job while Sterling Connect:Direct is running.
3. Verify the results.

## Examples of MODIFY Command through the Batch Interface

The following example turns on the short RPLOUT trace:

```
MODIFY BITS.ON = X'00000040'
```

The following example turns off the short RPLOUT trace:

```
MODIFY BITS.OFF = X'00000040'
```

The following example invokes dynamic allocation in the DTF to the allocated DDNAME RPLERRCK:

```
MODIFY DYN = 'DD=RPLERRCK'
```

The following job turns on the merged COPY routine and DMGCBSUB traces.

```

//TRACEON JOB (1111), 'TRACES', NOTIFY=JSMITH, CLASS=0,
//          REGION=1024K, MSGCLASS=X
//STEP01 EXEC PGM=DGADBATC, PARM='YYSLYYY'
//STEPLIB DD DSN=$CD.SDGALINK, DISP=SHR
//DMPUBLIB DD DISP=SHR, DSN=JSMITH.CNTL
//          DD DISP=SHR, DSN=JSMITH.PROCESS.LIB
//DMMSGFIL DD DISP=SHR, DSN=JSMITH.CD1.MSG
//DMNETMAP DD DISP=SHR, DSN=JSMITH.CD1.NETMAP
//DMPRINT DD SYSOUT=*
//SYSPRINT DD SYSOUT=*
//NDMLOG DD SYSOUT=*
//SYSIN DD *
SIGNON NETMAP=JSMITH.CD1.NETMAP USERID=(JSMITH)
MODIFY BITS.ON=X'81000000'
SUBMIT PROC=ACCTSEPT
SIGNOFF

```

The following job turns off the trace.

```

//NOTRACE JOB (1111), 'TRACES', NOTIFY=JSMITH, CLASS=0,
//          REGION=1024K, MSGCLASS=X
//STEP01 EXEC PGM=DGADBATC, PARM='YYSLYYY'
//STEPLIB DD DSN=$CD.SDGALINK, DISP=SHR
//DMPUBLIB DD DISP=SHR, DSN=JSMITH.CNTL
//          DD DISP=SHR, DSN=JSMITH.PROCESS.LIB
//DMMSGFIL DD DISP=SHR, DSN=JSMITH.CD1.MSG
//DMNETMAP DD DISP=SHR, DSN=JSMITH.CD1.NETMAP
//DMPRINT DD SYSOUT=*
//SYSPRINT DD SYSOUT=*
//NDMLOG DD SYSOUT=*
//SYSIN DD *
SIGNON NETMAP=JSMITH.CD1.NETMAP USERID=(JSMITH)
MODIFY BITS.OFF=X'81000000'
SIGNOFF

```

See *IBM Sterling Connect:Direct for z/OS User Guide* for a description of the batch interface, and the installation and administration guide for a description of the MODIFY command.

## Issuing the MODIFY Command through the IUI

### About this task

To use the MODIFY command features through the IUI:

#### Procedure

1. Request option MD from the Connect:Direct Administrative Options Menu to access the MODIFY COMMAND screen.

```

node.name                                MODIFY COMMAND                                14:34
CMD ==>

Server ==> _____ 00000000 (Current DEBUG Settings)
MODIFY DEBUG ==> _____ (nnnnnnnn)
MODIFY BITS.ON ==> _____ (nnnnnnnn)
MODIFY BITS.OFF ==> _____ (nnnnnnnn)
MODIFY DDNAME ==> _____ (ddname,nn)
MODIFY CLOSE ==> _____ (ddname)
MODIFY MODDIR.TRACE ==> _____ (YES)
MODIFY DYN ==> _____
MODIFY SESSIONS ==> _____ (Quiesce or Resume) NODE ==> _____
MODIFY NODE.TRACE.ON ==> ( _____ )
MODIFY NODE.TRACE.OFF ==> _____
MODIFY NODES ==> _____ (YES)
MODIFY INITPARMS ==> _____ (YES)
MODIFY ZIIP ==> _____ (ALL NONE EXTCOMP SSLTLS PROJECT)

```

2. Type values in the appropriate fields. See the MODIFY command parameter descriptions in Sterling Connect:Direct MODIFY Command.

**Note:** Do not type an equal sign or quotes in the MODIFY DYN field. However, if you issue the MODIFY command on the CLI, you must type an equal sign before and quotation marks around the dynamic allocation string.

3. When you have completed your entries, press ENTER.

**Note:** If you need to modify trace settings for more than one node, you can use the MODIFY NODES screen which displays the Trace and Debug settings for all of the Netmap adjacent nodes. See Modify Trace and Debug Settings Through the Modify Nodes Screen for more information.

### Examples of MODIFY Commands through the IUI

This section shows examples of MODIFY commands for Sterling Connect:Direct for z/OS.

The following MODIFY commands set the bits to turn on a short send/receive trace and to dynamically allocate the ddname RPLOUT.

```

MODIFY DYN='DD=RPLOUT,DSN=A985467.PRINT,DISP=SHR,FREE=CLOSE'
MODIFY BITS.ON=X'00000040'

```

After running the trace, the following MODIFY commands close the ddname RPLOUT and turn off the short send/receive trace.

```

MODIFY BITS.OFF=X'00000040'
MODIFY CLOSE=RPLOUT

```

### Modify Trace and Debug Settings Through the Modify Nodes Screen

Perform the following procedure to modify trace and debug settings through the Modify Nodes screen.

#### Procedure

1. Request option MD from the Connect:Direct Administrative Options Menu to access the Modify Command screen.
2. Type YES in the MODIFY NODES field.

3. Press **ENTER**.

The Modify Nodes screen is displayed.

CD.PR.D		MODIFY NODES (Unsaved Changes)		Row 1 of 1054
CMD ==>				SCROLL ==> PAGE
(CMD commands: CLEAR DEBUG/TRACE QUIESCE RESUME; CANCEL END REFRESH SAVE)				
(SEL commands: C=Clear Q=Quiesce R=Resume. Overtyp e Debug setting.)				
SEL	Node Name	Quiesce	Debug	Chg
---	-----	-----	-----	---
	CD.PR.D.CSGA	OFF	11111111	
	CD.PR.D.CSGB	OFF	11111111	
	CD.PR.D.CSGD	OFF	11111111	
	CD.PR.D.CSGE	OFF	11111111	
	CD.PR.D.CSGF	ON	11111111	Y
	CD.PR.D.CSGG	OFF	11111111	
	CD.PR.D.VIPA	OFF	11111111	
	CD.TST	OFF	FFFFFFEF	
	CD.TST.%%%	OFF	FFFFFFEF	
	CD.TST.CSGA	ON		Y
	CD.TST.CSGB	OFF	FFFFFFEF	
	CD.TST.CSGD	OFF	FFFFFFEF	
	CD.TST.CSGG	OFF	FFFFFFEF	
	CD.TST.CTCA1	OFF	FFFFFFEF	
	CD.TST.CTCA2	OFF	FFFFFFEF	
	CD.TST.CTCA3	OFF	FFFFFFEF	

4. Use one or both of the following methods:

- Issue a Debug or Trace command on the CMD line.
- Issue SEL commands or overtype the Debug field for specific nodes.

For more information, see “Modify Nodes Screen” on page 27.

5. Type SAVE in the CMD field and press **ENTER** to save your changes.

## Sterling Connect:Direct Automatic Traces

You can activate some internal Sterling Connect:Direct traces without using the DEBUG bits. Activate these traces during normal Sterling Connect:Direct operation. Others traces are useful mostly for problem diagnosis. You can activate each of these automatic Sterling Connect:Direct traces by having a DD statement in the Sterling Connect:Direct startup JCL or allocated in a TSO session, except for NDMCMDS, which is allocated in the JCL for DGADBATC or through the IUI. For more information, see DD Statements in Startup JCL.

**Note:** To avoid generating excessive output, review Security Traces.

Some of the most useful automatic traces are:

- DMVSOPEN contains information related to the allocation of the target data set.
- ESTAE captures information on I/O errors; VTAM connection errors; ABEND control blocks; open and close errors; TCQ/TCX errors on add, update, and so forth; and statistics file write errors. Provided in the basic DGAJCONN JCL member.
- CDESTAE contains various I/O errors from the statistics facility.
- RPLERRCK captures VTAM send and receive errors. Provided in the basic DGAJCONN JCL member.
- NDMCMDS shows all actual Sterling Connect:Direct commands issued to the DTF, including resolution of symbolics. It can be particularly helpful to debug Sterling Connect:Direct commands through the IUI or through batch interface if you are having signon problems, syntax errors, and so forth.



- NDMLOG lists all initialization parameters read from the INITPARM data set including obsolete parameters, which are indicated by SITA995I messages, and all modules, along with the last date on which they were modified, and related fix numbers. Provided in the basic DGAJCONN JCL member.
- CDLOG is a chronological log of Sterling Connect:Direct events listing all master, console, programmer, and operator messages, and information on failed tests.

## DD Statements in Startup JCL

Sterling Connect:Direct provides two members in the JCL file:

- DGAJCONN—Contains the minimum set of DDs to run Sterling Connect:Direct. You may want to use this set of DD statements as the base and add specific DD statements from the DGAJCONX member to fit your tracing needs.
- DGAJCONX—Contains all possible DDs to cover stand-alone servers, Sterling Connect:Direct/Plex systems, automatic traces, and various DEBUG output. Most of the DD statements in this JCL startup jobstream have been discussed earlier in this chapter.

The DD statements in the DGAJCONX JCL can be broken down into the following types:

- Minimal DD statements included in the DGAJCONN JCL
- DD statements for general operations
- DD statements for running automatic traces.
- DD statements for running detailed function traces in conjunction with the DEBUG initialization parameter in a stand-alone Sterling Connect:Direct system
- DD statements for running detailed function traces in conjunction with the DEBUG initialization parameter in a Sterling Connect:Direct/Plex system

### CAUTION:

**The diagnostic DD statements associated with the DEBUG bits cause much more I/O and allocating them can consume significant CPU resources. To avoid degrading performance in your production environment, be sure to follow the guidelines in Diagnostic Tools.**

The following DD statements are included in both the DGAJCONN and DGAJCONX JCL:

DDNAME	Function
STEPLIB	Sterling Connect:Direct SDGALINK.
DMPUBLIB	Sterling Connect:Direct Process library
USRINFO	Standard display from User exits
NDMLOG	Automatic trace to list all initialization parameters read from the INITPARM data set including obsolete parameters, which are indicated by SITA995I messages, and all modules, along with the last date on which they were modified, and related fix numbers.
ESTAE	Automatic trace to capture I/O errors, VTAM connection errors, ABEND control blocks, open and close errors, TCQ/TCX errors on adds and updates, and statistics file write errors.
RPLERRCK	Automatic trace to capture VTAM/TCP send and receive errors.

The following DD statements run general functions in all systems:

DDNAME	Function
SYSTEMM	Standard MVS
NSXOUT	SNA NSXEXIT
CDDUMPR <sup>1</sup>	Sterling Connect:Direct DUMP command output
ADRIXLG	Sysprint for DSS exit
SECURITY <sup>1</sup>	Security trace if Stage 2 exit has TRACE=DEBUG specified
CDSECURI	Stage1 Security Trace
DMPRINT <sup>1</sup>	Submit command Output
APITRACE <sup>1</sup>	Stage2 API Trace, which consists primarily of IUI and batch interface activity
AXUNIQ	Error Recovery
<sup>1</sup> Can generate excessive output. Use judiciously.	

The following DD statements are used to run automatic traces and perform related functions.

**Note:** To handle B37 (out of space) conditions when tracing to disk, code the DD statement with FREE=CLOSE, which will close and deallocate the dataset. The DD statement will not be reallocated and tracing will stop. If the DD statement does not include FREE=CLOSE and a B37 condition occurs, the dataset is closed and reopened. Tracing continues and all old trace data to this DD is lost. When FREE=CLOSE is coded for JES datasets, closing the DD makes the JES entry available immediately for printing or purging without affecting the other JES datasets. You could specify FREE=CLOSE in the DD statement and then, using the ISPF MD dialog, periodically close the DD and reallocate it with FREE=CLOSE. This would enable you to purge old JES entries without having to reinitialize Sterling Connect:Direct.

DDNAME	Function
DEVTRACE <sup>1</sup>	Traces UCB open and close activity.
DMGEVENT	Traces Event Services activity.
DMVSOPEN	Formats the allocation block.
CDESTAE	Diagnostics on various I/O errors from the statistics facility. Allocate this name by including in the Sterling Connect:Direct startup JCL.
IGWTRACE <sup>1</sup>	Traces PDSE program objects load to unload activity.
LOSTOUT	Lost term exit trace.
NDMAPI	Used for diagnostics on session errors with the API.
NDMCMDS	IUI/Application Program Interface (IUI/API) commands passed to Sterling Connect:Direct. Also use it for diagnostics on session errors with the API.
CDCMDS	IUI/Application Program Interface (IUI/API) commands passed to Sterling Connect:Direct. Also use it for diagnostics on session errors with the API.
CDLOG <sup>1</sup>	A chronological log of Sterling Connect:Direct events listing all master, console, programmer, and operator messages, and information on failed tests.
<sup>1</sup> Can generate excessive output. Use judiciously.	

The following output DD statements are used to run detailed function traces and perform related functions in a stand-alone system.

Output DD	Trace Type	DEBUG Setting
RADBDD01	COPY Routine and RUN TASK trace	80000000
DMCBSUBM	Full TPCB/SYMBOLICS from DMCBSUBM	10000000
RADBDD05	Session manager trace	08000000
Rnnnnnnn	Separate trace per task  (Example: "R0000005" to trace TASK 5) <b>Note:</b> DDs are dynamically allocated by Sterling Connect:Direct as required.	04000000
RADBDD07	API session trace	02000000
RADBDD08	DGADCBSU trace	01000000
DMCOPYRT	TCQSH from DMCOPYRT	00400000
N/A	Make each SVC dump unique	00200000
SECURITY	SECURITY trace control	00100000
RADBDD16	GETMAIN/FREEMAIN trace	00040000
RADBDD21	I/O buffer trace	00008000
RADBDD22	WTO all dynamic allocation parameters	00004000
CDZIIP	System z Integrated Information Processor (zIIP)-related trace	00000800
RPLOUT	RPL trace - long <b>Note:</b> To avoid generating excessive output when you use this trace with a large value for the V2.BUFSIZE initialization parameter, use the short RPL trace.	00000080
RPLOUT	RPL trace - short	00000040
RADBDD33	Version 2 session trace	00000020
RADBDD35	Logon exit trace	00000008
RADBDD36	Logon Process or trace	00000004
RADBDD37	SCIP exit trace	00000002
SCTRAPDD	SNMP trace	00000001

The following output DD statements are used to run detailed function traces and perform related functions in a Sterling Connect:Direct/Plex environment:

Output DD	Trace Type	DEBUG Setting
CDPLXACT	ACTION queue manager trace	00002000
CDPLXCKP	CKPT queue manager trace	
CDPLXTCQ	TCQ queue manager trace	
CDPLXSTA	STATS queue manager trace	
CDPLXREQ	First REQUEST queue manager trace	
CDPLXRnn	Second and subsequent REQUEST queue manager trace. For example, "CDPLXR03" traces the third queue manager.	
CDPLXJOI	JOIN queue manager trace	

<b>Output DD</b>	<b>Trace Type</b>	<b>DEBUG Setting</b>
CDPLXWLB	Workload Balancing trace	00001000

---

## Chapter 20. Global Initialization Parameters

Initialization parameters supply values for various Sterling Connect:Direct functions. Sterling Connect:Direct processes these parameters during initialization.

Global initialization parameters apply to a Sterling Connect:Direct/Stand-alone Server or each member of a Sterling Connect:Direct/Plex environment.

In a Sterling Connect:Direct/Plex environment, the local initialization parameters of a member can override some global initialization parameters. See Local Initialization Parameters for more information about local initialization parameters.

In all cases, initialization parameters are kept in a PDS (Partitioned Data Set) and may not come from a sequential file.

**Note:** In a Sterling Connect:Direct/Plex environment, you can only override initialization parameters allowed in the local initialization parameters file using the PARM= keyword in the EXEC statement at system startup. In a Sterling Connect:Direct/Stand-alone Server environment, however, you can override global initialization parameters with the PARM= keyword in the EXEC statement.

### Handling Return Codes

If you receive a return code of 4 when you stop Sterling Connect:Direct, review the NDMLOG for information regarding obsolete and soon-to-be obsolete parameters. Once you remove these parameters, you avoid these warning messages at start-up. These messages are numbered: SITA995I, SITA988I, and SITA989I.

---

### ABEND.CODES.NODUMP=(ABEND code list)

The ABEND code list parameter specifies up to 16 system or user ABENDs. This parameter does not apply to RUN TASK ABENDS unless you specify ABEND.CODES.NODUMP for the ABEND.RUNTASK initialization parameter.

When a task ABENDs, Sterling Connect:Direct searches this list. If the ABEND is found, the dump is suppressed. Use this parameter to prevent dumps on ABEND codes for which you do not need dump information.

Specify system ABEND codes in the list using an S followed by three characters such as SB37.

Specify user ABEND codes in the list using a U followed by four numeric digits such as U4030.

Specify a wildcard masking character in the code using X. Place the X in any position following either the S or U, such as SX37 or U40XX.

**Note:** It is not necessary to include the following ABEND codes in the ABEND.CODES.NODUMP list because they are suppressed by default: S00C (reason code 4 only), S047, S13E, S222, S422, SA03, U1024, U1025, U1028, SX13, SX37.

Modifiable through MODIFY INITPARMS command: YES.

---

## ABEND.RUNTASK = (DUMP | ABEND.CODES.NODUMP)

This parameter specifies whether to refer to ABEND.CODES.NODUMP for ABEND codes when suppressing dumps.

Value	Description
DUMP	System dumps when a RUNTASK ABENDs. This value is the default.
ABEND.CODES.NODUMP	An ABEND dump is generated instead of a system dump. Searches the ABEND.CODES.NODUMP list for a match. If the ABEND code is found, the dump is suppressed. If the ABEND code is not found, the system dump continues.

Modifiable through MODIFY INITPARMS command: YES

---

## ALLOC.CODES = (allocation errors)

This parameter specifies allocation errors for which Sterling Connect:Direct retries the Process step as specified in the ALLOC.RETRIES and ALLOC.WAIT initialization parameters. These allocation errors are the Dynamic Allocation Interface Routine (DAIR) codes generated by the dynamic allocation function (SVC99) of the operating system, such as z/OS. The following is an example of an IBM error reason code (0210) shown in the Sterling Connect:Direct SDAB005I message.

SDAB005I - ERR=0210,INFO=0000,REQUESTED DATA SET NOT AVAILABLE. ALLOCATED TO ANOTHER JOB.
--

You can find common dynamic allocation error codes in the Sterling Connect:Direct MSG file by enclosing the code in the message SDExxxxI skeleton, where xxxx is the error reason code. The variable SDAB005I message short text and description come from the *MVS Programming Authorized Assembler Services Guide* (general publication number SA22-7608-nn), which is accessible on IBM's website. For ERR codes not found in the Sterling Connect:Direct MSG file, see the *MVS Programming Authorized Assembler Services Guide*.

Sterling Connect:Direct defined the following error codes to enable retries for specific failures.

Value	Description
PDSR	This is an internally generated Sterling Connect:Direct code, that unlike the others described in this section, is not an allocation code. When two or more Processes attempt to write to the same PDS at the same time, only one can write successfully to the PDS. The remaining Processes have error messages with this PDSR code and are retried in the same way as the other codes discussed in this section.
DSNR	This option is similar to the PDSR allocation code but works on non-PDS data sets. When you attempt to send or receive a non-PDS data set, but cannot because the data set is in use, Sterling Connect:Direct retries the Process (specified by the retry option) for the number of times specified, if you have coded the DSNR option.
GDGR	This option indicates that if the ENQ fails (as described for the GDGENQ parameter) for GDG data sets, the Process is retried.

Value	Description
TAPR	This option indicates that a tape Process is retried if the number of tape Processes specified by MAX.TAPE is reached.
ARCH	This option indicates that the data set is archived, and Sterling Connect:Direct retries based upon the value specified for the ALLOC.RETRIES initialization parameter. You must use the DGAXARCL allocation exit for this option to work. <b>Note:</b> It is not necessary to use the Sterling Connect:Direct DMGALRCL exit to avoid a common allocation error that ties up the IBM task input/output table (TIOT) via the enqueue on SYSZIOT. See the discussion on the sample allocation exit, DGAXARCL (alias of DMGALRCL), in Sample Allocation Exits, for more detailed information on recall processing of migrated and archived data sets.

Allocation retries are controlled by the PNODE and supported for z/OS and VM/ESA platforms only. The following table lists the default allocation error codes and their meanings.

Code	Definition
020C	Exclusive use of shared file
0210	Allocated to another job
0218	Volume not mounted
0220	Volume not available
0234	One device required
0068	VM minidisk already linked read-only, if transferring with VM
0069	VM minidisk already linked read-write, if transferring with VM
006A	VM minidisk already linked read-write and read-only, if transferring with VM

Modifiable through MODIFY INITPARMS command: YES

---

## **ALLOC.MSG.LEVEL = INFO | WARN | SEVERE**

This parameter specifies the severity of dynamic allocation messages to display. By setting the level, you can suppress unwanted messages. ALLOC.MSG.LEVEL values correspond to levels defined by Dynamic Allocation and are described in the *IBM Authorized Assembler Services Guide (GC28-1467)*.

Value	Description
INFO	This value indicates that all informational messages are displayed.
WARN	This value indicates that only warning messages are displayed.
SEVERE	This value indicates that only severe messages are displayed.

Modifiable through MODIFY INITPARMS command: NO

---

## **ALLOC.RETRIES = number of retries**

This parameter specifies the number of Sterling Connect:Direct retries of an allocation failure. The default is 20.

Modifiable through MODIFY INITPARMS command: YES

---

## **ALLOC.WAIT = hh:mm:ss**

This parameter specifies the amount of time that Sterling Connect:Direct waits between retries of an allocation failure. The default is 00:03:00.

Modifiable through MODIFY INITPARMS command: YES

---

## **ALLOCATION.EXIT = modname**

This parameter specifies the name of the user-written interface that communicates with Sterling Connect:Direct for z/OS. You can invoke the allocation exit prior to any allocation activity by Sterling Connect:Direct, thereby allowing the exit program to examine and modify information that Sterling Connect:Direct uses during the allocation Process, such as the data set name (DSN) and destination name. In addition, you can set parameters to terminate a copy step before allocation takes place.

For sample exits, see the \$CD.SDGASAMP library. The default is no allocation exit.

Modifiable through MODIFY INITPARMS command: NO

---

## **CDPLEX = NO | YES**

This parameter indicates whether or not Sterling Connect:Direct initializes as a Sterling Connect:Direct/Plex operation.

Value	Description
NO	Sterling Connect:Direct does not initialize as a Sterling Connect:Direct/Plex operation.
YES	Sterling Connect:Direct initializes as a Sterling Connect:Direct/Plex operation.

Modified through MODIFY INITPARMS command: NO

---

## **CDPLEX.MAXSERVER = maximum number of servers | 4**

This parameter specifies the maximum number of servers the Sterling Connect:Direct/Plex Manager will manage. The acceptable range is 1–32. The default value is 4.

For more information, see *Storage Requirements in a Sterling Connect:Direct Plex Environment* in the *IBM Sterling Connect:Direct for z/OS Configuration Guide*.

Modifiable through MODIFY INITPARMS command: NO

---



---

## **CDPLEX.TIMER = 5 | number of minutes**

This parameter specifies the time-out value for XCF communications in minutes. The valid range is 0, 5–99. Zero (0) indicates that no time-out is set for XCF communications.

Modified through MODIFY INITPARMS command: NO

---

## **CDPLEX.WLM.GOAL = (NO | YES, exitname)**

This parameter specifies whether the IBM Workload Manager (WLM) Goal Mode queries are used for balancing Sterling Connect:Direct/Plex Process workload in a sysplex.

Value	Description
NO	No Goal Mode queries are made. Process workload is balanced without determining system capacity information. This is the default value.
YES	Goal mode queries are made. A warning message is issued when a WLM query is made that shows a server is running on a system that is NOT in Goal Mode.
exitname	If you want to implement your own method for using WLM query information, you can create a WLM user exit and specify the name of the exit. You can find a sample user exit in \$CD.SDGASAMP library called DGAXWLMX .

Modifiable through MODIFY INITPARMS command: yes

---

## **CHECK.CERT.EXPIRE = NO | YES**

This parameter indicates whether or not Sterling Connect:Direct will check the validity of certificates.

This parameter is only effective if Sterling Connect:Direct Secure Plus has been enabled by specifying SECURE.DSN = Sterling Connect:Direct Secure Plus parameter filename. For more information, see Certificate Validity Check Used by Sterling Connect:Direct Secure Plus.

Value	Description
NO	Sterling Connect:Direct does not check the validity of certificates.
YES	Sterling Connect:Direct checks the validity of certificates whenever Sterling Connect:Direct is started. This is the default value.

Modifiable through MODIFY INITPARMS command: YES

---

## **CHECK.CERT.EXPIRE.TIME = 00:00:00 | HH:MM:SS**

This parameter specifies the time of day that Sterling Connect:Direct will check the validity of certificates. The default, 00:00:00, indicates that Sterling Connect:Direct will perform its validity check at midnight. You can enter any value between 00:00:00 and 23:59:59. This parameter is only effective if CHECK.CERT.EXPIRE = YES and Sterling Connect:Direct Secure Plus has been enabled by specifying SECURE.DSN = Sterling Connect:Direct Secure Plus parameter filename.

Modifiable through MODIFY INITPARMS command: YES

---

## CHECK.CERT.EXPIRE.WARN.DAYS = 30 | nnn

This parameter specifies the number of days prior to the certificate expiration date that a warning message will be issued. Sterling Connect:Direct. The default is 30 days. You can enter any value between 1 and 365. This parameter is only effective if CHECK.CERT.EXPIRE = YES and Sterling Connect:Direct Secure Plus has been enabled by specifying SECURE.DSN = Sterling Connect:Direct Secure Plus parameter filename.

Modifiable through MODIFY INITPARMS command: YES

---

## CKPT = nK | nM

This parameter enables automatic checkpointing of eligible files if no CKPT keyword is specified on the Sterling Connect:Direct COPY statement.

See the CKPT.MODE initialization parameter for further details of automatic checkpointing. K means thousands of bytes; M means millions of bytes.

Valid values of n are:

- 0–2147483K
- 0–2147M

Setting a value of 0 means no automatic checkpointing.

The default is 10M.

Sterling Connect:Direct uses the value specified, rounded to the nearest block boundary, to determine when a checkpoint is taken. The CKPT specification on the Sterling Connect:Direct Copy statement always overrides the CKPT initialization parameter value.

Modifiable through MODIFY INITPARMS command: YES

**Note:** For sequential files, do not specify a CKPT value less than:

$$\text{BLKSIZE} * \text{NCP} * 10 * \# \text{ stripes}$$

where NCP is the number of buffers for reading data from or writing data to a sequential data set using BSAM and # stripes refers to striped extended-format data sets. For more information, see Problems Involving Checkpoints .

---

## CKPT.DAYS = number of days

This parameter specifies the number of days that checkpoint records stay in the Checkpoint file before automatic deletion during Sterling Connect:Direct initialization. The records can be left in the Checkpoint file if transmission is interrupted and the Process is deleted without being restarted. The default is 4.

Modifiable through MODIFY INITPARMS command: YES

---

**CKPT.MODE = (RECORD | BLOCK | RECORD PDS | NOPDS  
NOPDS | PDS | VSAM | NOVSAM | VSAM | NOVSAM)**

This parameter enables you to control checkpointing in both record and block level transfers.

**Note:** This parameter does not apply to TCP/IP or LU6.2 connections. See Process Queuing and Recovery for an explanation of when checkpointing occurs.

Subparameter	Description
<u>RECORD</u>   <u>BLOCK</u>	Refers to transferring physical sequential (PS) files. It determines whether checkpointing is allowed when the CKPT parameter is specified on the COPY statement. If a record level transfer is taking place, BLOCK does not allow record level checkpointing, even if you have coded the request on the COPY statement. If you are performing a block level transfer, BLOCK enables checkpointing requests coded on the COPY statement. RECORD enables record level checkpointing.
<u>BLOCK</u>   <u>RECORD</u>	Refers to transferring PS files. It determines what type of checkpointing occurs when automatic checkpointing is in effect. You enable automatic checkpointing by specifying a value in the CKPT parameter in the initialization parameters. If you use the CKPT parameter, you do not have to request checkpointing on each COPY statement. If you specify RECORD for this parameter, both record level and block level automatic checkpointing occur, depending on the mode of transfer for each copy. Use BLOCK to prevent automatic checkpointing on a record level transfer.
<u>PDS</u>   <u>NOPDS</u>	Refers to transferring partition data sets (PDS). Because checkpointing information is sent with each member of a PDS, this subparameter specifies whether checkpointing is allowed with PDS transmission if a request is coded on the COPY statement. NOPDS prevents checkpointing on the PDS, even if you requested it on the Copy statement. PDS enables PDS transmissions to be checkpointed.
<u>NOPDS</u>   <u>PDS</u>	Refers to transferring PDS. It determines what type of checkpointing occurs when automatic checkpointing is in effect. To enable automatic checkpointing, specify a value in the CKPT parameter in the initialization parameters. If you use the CKPT parameter, you do not have to request checkpointing on each COPY statement. If you specify PDS, all PDS transmissions are automatically checkpointed. NOPDS prevents automatic checkpointing of PDS transmission.
<u>VSAM</u>   <u>NOVSAM</u>	Specifies whether checkpointing takes place for VSAM files when the checkpoint parameter is specified in the COPY statement.
<u>VSAM</u>   <u>NOVSAM</u>	Specifies whether automatic checkpointing takes place for VSAM files.

Modifiable through MODIFY INITPARMS command: YES

---

**COMPRESS.EXT = ALLOW | DISALLOW**

This parameter specifies whether extended compression will be allowed on a global basis.

**Note:** If COMPRESS.NETMAP.OVERRIDE=ALLOW is specified, the COMPRESS.EXT value specified in the netmap ADJACENT.NODE entry will override the value specified for this parameter in the global initialization parameters.

Value	Description
ALLOW	Extended compression is allowed. This value is the default.
DISALLOW	Extended compression is not allowed.

Modifiable through MODIFY INITPARMS command: Yes.

---

## COMPRESS.NEGO.FAIL = STEP | PROCESS

This parameter specifies how a Process is handled when negotiations fail (for the system that has control of the Process).

Value	Description
STEP	When negotiations fail, the STEP fails with RC=8, and modal logic may be used to determine how to proceed. This value is the default.
PROCESS	When negotiations fail, the Process fails and is placed in HO HE (Held in Error).

Modifiable through MODIFY INITPARMS command: Yes.

---

## COMPRESS.NETMAP.OVERRIDE = ALLOW | DISALLOW

This parameter specifies whether netmap entries for compression control (on a node-by-node basis) will override the global compression control settings in the initialization parameters.

Value	Description
ALLOW	The netmap compression control settings are allowed to override the initialization parameters. This value is the default.
DISALLOW	The netmap compression control settings are not allowed to override the initialization parameters.

Modifiable through MODIFY INITPARMS command: Yes.

---

## COMPRESS.STD = ALLOW | DISALLOW

This parameter specifies whether standard compression will be allowed on a global basis.

**Note:** If COMPRESS.NETMAP.OVERRIDE=ALLOW is specified, the COMPRESS.STD value specified in the netmap ADJACENT.NODE entry will override the value specified for this parameter in the global initialization parameters.

Value	Description
ALLOW	Standard compression is allowed. This value is the default.
DISALLOW	Standard compression is not allowed.

Modifiable through MODIFY INITPARMS command: Yes.

---

## CONFIRM.COLD.START = YES | NO

This parameter indicates whether an operator has to confirm a COLD start of the Transmission Control Queue (TCQ) and Statistics files.

Value	Description
YES	Issues a Write to Operator with Reply (WTOR) prompt to force the operator to confirm the request for a COLD start before executing the COLD start.
NO	Performs a COLD start without requiring operator confirmation.

Modifiable through MODIFY INITPARMS command: NO

---

## CRC = (OFF | ON, YES, No)

This parameter specifies whether cyclic redundancy checking (CRC) is performed for TCP/IP connections. The CRC parameter is a 32 bit checksum used by Sterling Connect:Direct to detect network data corruption which occasionally occurs in IP data networks. If network data corruption is detected, Sterling Connect:Direct will stop the Process execution and restart the Process from the last checkpoint record. This provides an extra layer of data integrity when transmitting data over an IP network. You cannot enable CRC checking when running Sterling Connect:Direct Secure Plus.

Parameter	Description
<u>OFF</u>   ON	This parameter specifies whether CRC is ON or OFF for the node. This first positional parameter establishes the default for the node.
<u>YES</u>   No	This parameter specifies whether overrides are allowed. You can perform overrides in a Process statement or with a network map parameter.

Modifiable through MODIFY INITPARMS command: YES

---

## CTCA = NO | YES

This parameter specifies whether the channel-to-channel adapter (CTCA) driver is loaded at Sterling Connect:Direct initialization.

Value	Description
NO	The CTCA driver is not loaded at initialization. This value is the default.
YES	The CTCA driver is loaded at Sterling Connect:Direct initialization.

Modifiable through MODIFY INITPARMS command: NO

---

## CTCA.TIMER = number of seconds

This parameter specifies the number of seconds for a SEND/RECEIVE to wait before a timeout on the connection occurs. The valid range is from 60–300. The default is 180 seconds.

Modifiable through MODIFY INITPARMS command: NO

---

## DATEFORM = (MDY | DMY | YMD | YDM)

This parameter specifies how dates are displayed and input. Dates are displayed with a 4-digit year format unless a 2-digit year format is specified. You can use periods or back slashes (/) to separate the month, day, and year values.

This parameter applies only to Gregorian dates.

Value	Description
MDY	This value indicates that dates are displayed or input in one of the following formats: <ul style="list-style-type: none"><li>• MMDDYYYY</li><li>• MM/DD/YYYY</li><li>• MM.DD.YYYY</li><li>• MMDDYY</li><li>• MM/DD/YY</li><li>• MM.DD.YY</li></ul>
DMY	This value indicates that dates are displayed or input in one of the following formats. <ul style="list-style-type: none"><li>• DDMMYYYY</li><li>• DD/MM/YYYY</li><li>• DD.MM.YYYY</li><li>• DDMMYY</li><li>• DD/MM/YY</li><li>• DD.MM.YY</li></ul>
YMD	This value indicates that dates are displayed or input in one of the following formats. <ul style="list-style-type: none"><li>• YYYYMMDD</li><li>• YYYY/MM/DD</li><li>• YYYY.MM.DD</li><li>• YYMMDD</li><li>• YY/MM/DD</li><li>• YY.MM.DD</li></ul>
YDM	This value indicates that dates are displayed or input in one of the following formats. <ul style="list-style-type: none"><li>• YYYYDDMM</li><li>• YYYY/DD/MM</li><li>• YYYY.DD.MM</li><li>• YYDDMM</li><li>• YY/DD/MM</li><li>• YY.DD.MM</li></ul>

Modifiable through MODIFY INITPARMS command: NO

---

## DEBUG = nnnnnnnn

Turns on a specific trace option or any combination of options, where nnnnnnnn represents a debug setting in hexadecimal.

By default, this initialization parameter is set to 00200000 to make each SVC dump unique. You can modify DEBUG= settings using the MODIFY command. See Sterling Connect:Direct MODIFY Command.

See Debug Settings for a complete listing of the DEBUG settings, the trace types produced, and the ddnames used for output.

Modifiable through MODIFY INITPARMS command: NO

---

## **DEFAULT.PERMIS = (text\_permissions | 644, binary\_permissions | 755)**

This parameter specifies the default file permissions for HFS files that are created by Sterling Connect:Direct. If not specified, Sterling Connect:Direct defaults to 644 for text files and 755 for binary files.

To enable the permission setting for HFS files that use DEFAULT.PERMIS, set the UNIX System Services UMASK to 000 by using the runtime environment variable, \_EDC\_UMASK\_DFLT. Otherwise, Sterling Connect:Direct uses a default UMASK of 022 that changes the write permissions for GROUP and OTHER.

To set the environment variable, define the \_EDC\_UMASK\_DFLT=000 variable in a RECFM=VB type file and allocate the ENVIRON DD in the Sterling Connect:Direct startup JCL. For example: //ENVIRON DD DISP=SHR, DSN=\$CD.ENVIRON(TZ).

Value	Description
text_permissions	Default file permissions for text files.
binary_permissions	Default file permissions for binary files.

Permission values contain 3 digits. The first digit indicates the owner's file permissions, the second digit indicates the owner's group's file permissions, and the third digit indicates the file permissions for all others. The following are permission values:

- 0 - No file access is allowed
- 1 - Execute access is allowed
- 2 - Write access is allowed
- 3 - Write and Execute access is allowed
- 4 - Read access is allowed
- 5 - Read and Execute access is allowed
- 6 - Read and Write access is allowed
- 7 - Read, Write, and Execute Access is allowed

Modifiable through MODIFY INITPARMS command: NO

---

## **DESC.CRIT = (descriptor code)**

This parameter specifies the descriptor code used for critical write-to-operator (WTO) messages. Messages that go to the critical route code are, for example, disastrous session errors or critical ABENDs. You can specify as many as 16 codes. The default of DESC.CRIT = (2) specifies immediate action is required.

Modifiable through MODIFY INITPARMS command: YES

---

## **DESC.NORM = (n,n,...)**

This parameter specifies the descriptor code used for normal (WTO) messages. You can specify as many as 16 codes.

DESC.NORM = ( ) specifies that no descriptor code is assigned. The default is no descriptor code.

Modifiable through MODIFY INITPARMS command: YES

---

## **DESC.TAPE = (n,n,...)**

This parameter specifies the descriptor code for the tape pre-mount message used by Sterling Connect:Direct for z/OS. (For more information, see the IBM Sterling Connect:Direct for z/OS Facilities Guide.) You can specify as many as 16 codes. The default is 2.

Modifiable through MODIFY INITPARMS command: YES

---

## **DSNTYPE = YES | NO**

This parameter indicates whether the DSNTYPE will be propagated from the source file (to be used as the default destination file DSNTYPE) or whether it must be coded within the Process. DSNTYPE=YES must be specified in the receiving node's initialization parameters for the receiver to perform the propagation of DSNTYPE from the source file.

Value	Description
Yes	Indicates that the DSNTYPE of the source file (FROM DSN) will be used to create the new output file (TO DSN) if it cannot be obtained from any other COPY statement parameter. The DSNTYPE will be propagated only when the output data is allocated as DISP=NEW or does not exist. A COPY statement parameter that influences DSNTYPE is required when copying to different DSN types, for example, PDSE to PDS or PDS to PDSE.
No	Indicates that the DSNTYPE of the source file (FROM DSN) will not be propagated to the new output file (TO DSN). The DSNTYPE must be supplied by a COPY statement parameter, or else it will take the system default (PDS for partitioned organization or BASIC for sequential organization).

Modifiable through MODIFY INITPARMS command: NO

---

## **ECZ.COMPRESSION.LEVEL = 1 | n**

This parameter determines the level of compression. The valid value range is 1–9. The default is 1, which usually provides sufficient compression. The data goes through the compression code the number of times indicated by the value specified for the parameter.



**CAUTION:**

Compression consumes significant CPU resources. To avoid degrading performance in your production environment by changing the global, default settings for the extended compression parameters, you should: (1) Review “Using Extended Compression” on page 288 to view test results that describe how changing the global, default values affects performance, and (2) Review Testing the Effects of Changing Values for Extended Compression Parameters in *IBM Sterling Connect:Direct for z/OS User Guide* for information on using the DGASACMP offline utility to perform tests to determine whether changing the default values of the extended compression parameters at the global level or by overriding them at the Process level will significantly improve your system performance.

Modifiable through MODIFY INITPARMS command: YES

---

**ECZ.MEMORY.LEVEL = 4 | n**

This parameter identifies how much virtual memory is allocated to maintain the internal compression state. This memory is above the 16 megabyte line. The valid value range is 1–9. The default is 4. Level 1 requires the least memory (1 KB); level 9 requires the most memory (256 KB).

Compression consumes significant CPU resources. For more information, see the caution for the parameter, “ECZ.COMPRESSION.LEVEL = 1 | n” on page 350.

Modifiable through MODIFY INITPARMS command: YES

---

**ECZ.WINDOWSIZE = 13 | nn**

This parameter determines the size of the compression window or history buffer. This memory is above the 16 megabyte line. The valid values are 8–15. The default is 13. Size 8 uses 1 KB of memory, whereas Size 15 requires 128 KB of memory.

Compression consumes significant CPU resources. For more information, see the caution for the parameter, “ECZ.COMPRESSION.LEVEL = 1 | n” on page 350.

Modifiable through MODIFY INITPARMS command: YES

---

**ESF.WAIT = hh:mm:ss**

This parameter specifies the maximum amount of time that Sterling Connect:Direct waits before checking for ESF-submitted Processes. When that time expires, Sterling Connect:Direct retrieves any Processes submitted through the ESF.

The default is 00:03:00.

Modifiable through MODIFY INITPARMS command: YES

---

**EXPDT = (TT,DD,TD,DT) (if multiple values EXPDT = TT | DD | TD | DT | ALL | NONE (if only one value)**

This parameter specifies Sterling Connect:Direct system defaults for propagating the expiration date from the FROM data set to a NEW data set. The following table lists the valid keywords for the EXPDT parameter and coding conventions.

Value	Meaning	Result
TT	tape-to-tape	Propagate the expiration date if the data set on the sending side and the data set on the receiving side are both on tape.
DD	DASD-to-DASD	Propagate the expiration date if the data set on the sending side is on DASD and the data set on the receiving side is also on DASD.
TD	tape-to-DASD	Propagate the expiration date if the data set on the sending side is on tape and the data set on the receiving side is on DASD.
DT	DASD-to-tape	Propagate the expiration date if the data set on the sending side is on DASD and the data set on the receiving side is on tape.
ALL		Always propagate the EXPDT from data sets on all device types to data sets on all device types (works only for DASD and tape).
NONE		Never propagate the expiration date of the sending data set to the receiving data set. This value is the default.

If you specify multiple values, enclose them in parentheses and separate them by a comma. If you code a single value, you do not need to enclose them in parentheses. If you code ALL or NONE, you cannot code any other keyword.

The receiving side determines whether or not Sterling Connect:Direct propagates the expiration date. If the sending side specifies ALL in its initialization parameter, but the receiving side specifies NONE, the EXPDT is not propagated. Therefore, if the copy is from SNODE to PNODE, the PNODE side makes the determination; if the copy is from PNODE to SNODE, the SNODE side determines if the EXPDT is propagated.

Sterling Connect:Direct overrides the EXPDT initialization parameter in a Process when the following conditions occur:

- If you code an EXPDT or RETPD parameter for the receiving side (TO side) in the Process, Sterling Connect:Direct uses that EXPDT or RETPD and ignore the initialization parameter EXPDT.
- If you code an EXPDT or RETPD for the sending side (FROM side) in a Process and not for the receiving side, Sterling Connect:Direct uses the EXPDT in the Process, according to the EXPDT initialization parameter setting on the receiving side.
- If you do not specify the EXPDT in the Process and the input (FROM) data set is on DASD, Sterling Connect:Direct obtains the EXPDT from the DSCB. If the input data set is on tape and the tape is SL or AL (Standard or ASCII), Sterling Connect:Direct uses the tape label. When Sterling Connect:Direct dynamically allocates the data set on the receiving side, EXPDT is used, according to the initialization parameter EXPDT setting on the receiving side.

When you transfer a data set with no associated EXPDT, the following occurs:

If an input data set does not have an EXPDT, and the EXPDT is to be propagated, then the dynamic allocation string for the output data set specifies LABEL =

EXPDT = 00000. DASD data sets are considered to not have an EXPDT if the DSCB EXPDT is 00000. Tape data sets are considered to not have an EXPDT if the HDR1 label contains 00000 for the EXPDT. When a data set is allocated with LABEL = EXPDT = 00000, the tape header label or the DASD DSCB contains zeroes for the EXPDT on the output data set. If you have a tape management system or DASD management system, their databases can reflect a different EXPDT than the tape label or DASD DSCB, depending upon the defaults on the receiving side.

Modifiable through MODIFY INITPARMS command: YES

---

## EXTENDED.RECOVERY = NO | YES

This parameter specifies whether Sterling Connect:Direct Extended Recovery is used.

Value	Description
NO	Sterling Connect:Direct Extended Recovery is not used. This is the default value.
YES	Sterling Connect:Direct Extended Recovery is used.

Extended recovery is supported in the Sterling Connect:Direct/Stand-alone Server and Sterling Connect:Direct/Plex environments.

Modifiable through MODIFY INITPARMS command: NO

---

## FIPS = NO | YES

This parameter specifies whether Sterling Connect:Direct FTP+ will place System SSL in to FIPS mode. It is a global, non-refreshable initialization parameter that applies to the TLS protocol. If FIPS=YES, this parameter is effective only if the following have been met:

- System SSL requirements to enable and run in FIPS mode have been met. For more information on meeting the System SSL requirements for FIPS mode, see the System SSL Programming Guide.
- Sterling Connect:Direct Secure Plus has been enabled by specifying the SECURE.DSN initialization parameter.

Once FIPS mode is requested and successfully set, Sterling Connect:Direct FTP+ will not attempt to switch to non-FIPS mode. To switch to non-FIPS mode, update the FIPS initialization parameter to FIPS=NO and restart Sterling Connect:Direct FTP+. If Sterling Connect:Direct FTP+ is in FIPS mode, any attempt to use the SSL protocol will result in a failure.

Modifiable through MODIFY INITPARMS command: NO

---

## GDGALLOC = GENERATION | DSNAME

This parameter specifies whether Sterling Connect:Direct allocates GDG data set by generation or data set.

**Note:** Do not specify both GDGALLOC=GENERATION and GDGENQ=YES. Sterling Connect:Direct will change GDGENQ=YES to GDGENQ=NO, and issue a warning message, SITA203I, to indicate this change has been performed.

Value	Description
GENERATION	<p>Sterling Connect:Direct allocates by generation, so the allocation is DATA.SET.NAME(xx), where (xx) is the relative generation such as (+1), (-3), (0), and so on. Use for SMS GDG files so that duplicate GDG generations are not created. GDGALLOC=GENERATION logic supports sites that use both SMS and non-SMS GDG data sets. The GDGENQ parameter is ignored and Sterling Connect:Direct handles the ENQ logic. Sterling Connect:Direct performs an exclusive SYSTEMS ENQ on QNAME NDMGDG and uses the base GDG name as the RNAME.</p> <p>This exclusive ENQ causes a serialization within any Sterling Connect:Direct on the system. First, Sterling Connect:Direct issues a LOCATE to find the current absolute generation number. Next, Sterling Connect:Direct performs an exclusive SYSTEM ENQ on QNAME SYSDSN and uses the data set name returned from LOCATE as the RNAME. If successful, Sterling Connect:Direct then performs a shared SYSTEM ENQ on QNAME SYSDSN and uses the base GDG name as the RNAME. Dynamic allocation (SVC 99) is performed.</p> <p>If the data set name allocated is not the same as what the LOCATE returned, the process ends with an SDEGDGRI error and the file is deleted. The two SYSDSN ENQs are not released until the end of the COPY step. The NDMGDG ENQ on the base is released (DEQueued) after the allocation is performed.</p> <p>GENERATION is the default value.</p>
DSNAME	<p>Sterling Connect:Direct allocates by data set name (i.e., DATA.SET.NAME.G0000V00). If GDGENQ=YES parameter is coded, Sterling Connect:Direct handles the ENQ logic. Sterling Connect:Direct performs an exclusive SYSTEMS ENQ on QNAME NDMGDG and uses the base GDG name as the RNAME.</p> <p>This exclusive ENQ causes a serialization within any Sterling Connect:Direct on the system. First, Sterling Connect:Direct issues a LOCATE to find the current absolute generation number. Next, Sterling Connect:Direct performs an exclusive SYSTEM ENQ on QNAME SYSDSN and uses the data set name returned from LOCATE as the RNAME. If successful, Sterling Connect:Direct then performs dynamic allocation (SVC 99) on the data set name that LOCATE returned.</p> <p>If the ENQ is not successful, the process ends with an SDEGDGRI error and allocation does not occur. The SYSDSN ENQ is not released until the end of the COPY step. The NDMGDG ENQ on the base is released (DEQueued) after the allocation is performed.</p>

If you code GDGALLOC = GENERATION, then for new non-SMS managed files, you must use one of the IBM-approved methods of supplying DCB attributes. For example, you could use one of these methods:

- Code a model DSCB in the Process DCB=(model DSCB data set name).
- Use an existing data set with the attributes desired for the new GDS data set DCB=(cataloged data set name) in the Process.
- Have a model DSCB defined for the generation data group (GDG).
- Use the LIKE=(cataloged data set name) parameter in the Process.

If you fail to use an approved method when creating new GDG data sets by generation, you will receive an allocation error of 048C.

Modifiable through MODIFY INITPARMS command: YES

---

## **GDGENQ = YES|NO**

This parameter specifies whether or not Sterling Connect:Direct uses ENQ on the data set and on the base GDG before allocation to see if another address space or task has this data set or base GDG allocated. This condition applies to output GDG data sets only.

**Note:** Do not specify both GDGALLOC=GENERATION and GDGENQ=YES. Sterling Connect:Direct will change GDGENQ=YES to GDGENQ=NO, and issue a warning message, SITA203I, to indicate this change has been performed.

Value	Description
YES	<p>Sterling Connect:Direct performs an ENQ on the entire data set (with the G0000V00 appended to the base GDG name) if the Process is copying to an output GDG data set. The ENQ fails if any job in the system has this data set allocated (including Sterling Connect:Direct). Another ENQ is done for the GDG base. This ENQ fails if a different job (excluding Sterling Connect:Direct) has any generation of this GDG allocated. If either ENQ fails, the Process is retried according to the ALLOC.CODES, ALLOC.RETRIES, and ALLOC.WAIT initialization parameters. If GDGR is not specified in the ALLOC.CODES, then the Process is not queued for retry.</p> <p>The ENQs are not done for data set names coded in the Process as data.set.GnnnnVnn, only for data sets that specify a relative generation, such as (+1) (0) or (-1).</p>
NO	<p>The GDGENQ parameter is disabled and no ENQ is done for GDG copies. NO=default.</p>

Modifiable through MODIFY INITPARMS command: YES

---

## **IMMEDIATE.SHUTDOWN = I | R | (I, nnn | 60) | (R, nnn | 60)**

This parameter determines how an immediate shutdown issued through the STOP CD command is executed.

Value	Description
I	<p>The immediate shutdown waits for all Run Task programs to complete before shutting down Sterling Connect:Direct. This value is the default</p> <p>nnn   <u>60</u></p> <p>This value specifies the number of seconds that Sterling Connect:Direct waits after starting the SHUTDOWN before it forces the DTF to terminate. If the wait time expires and Sterling Connect:Direct forces a shutdown, the following message is displayed to the operator:</p> <p>SITB999I SHUTDOWN IMMEDIATE timed out.</p> <p>nnn=0 indicates that Sterling Connect:Direct does not have a time limit within which it must shut down the DTF.</p>

Value	Description
R	<p>The immediate shutdown commands functions as a runtaskimm shutdown. It terminates all executing Run Task Processes and shuts down Sterling Connect:Direct.</p> <p>nnn   <u>60</u></p> <p>This value specifies how many seconds Sterling Connect:Direct waits after starting the SHUTDOWN before it forces the DTF to terminate. If the wait time expires and Sterling Connect:Direct forces a shutdown, the following message is displayed to the operator:</p> <p>SITB999I SHUTDOWN IMMEDIATE timed out.</p> <p>nnn=0 indicates that Sterling Connect:Direct does not have a time limit within which it must shut down the DTF.</p>

Modifiable through MODIFY INITPARMS command: NO

---

## INITPARM.BACKUP

A new non-refreshable, global INITPARM is added to determine the SMF record type number and the recording interval, as well as to turn off recording the new SMF record, license permitting.

**Note:** If the SMF record id of 133 is being used by other applications, you must specify a suitable record id with this initialization parameter.

For X09 licenses, the allowed syntax is:

```
SESSION.HIGHWATER.SMF = (133 | 128-255, 1-60)
```

The first number is the SMF record type. The second number is the recording interval in minutes.

---

## INITPARM.BACKUP = member

Specifies the name of the partitioned data set member that contains the backup of the global initialization parameter file. It is created when Sterling Connect:Direct successfully initializes. In case Sterling Connect:Direct cannot start up successfully after initparm updates have been applied, you can restore the initparms using this member and then restart Sterling Connect:Direct.

**Note:** The PDS that is used for the INITPARMS in the PARM= of the JCL is the data set used to hold the backup. Ensure that the PDS is large enough to hold both the directory entry (with ISPF type stats) and this backup member.

Modifiable through MODIFY INITPARMS command: NO

---

## INVOKE.ALLOC.EXIT = SENDIRECTVIBOTH

Determines whether to invoke the allocation exit upon sending a file, receiving a file, or both sending and receiving a file.

Value	Description
SEND	Invokes the allocation exit upon sending a file.

Value	Description
RECV	Invokes the allocation exit upon receiving a file.
BOTH	Invokes the allocation exit upon both sending and receiving a file. This is the default value.

Modifiable through MODIFY INITPARMS command: YES

---

## INVOKE.ALLOC.EXIT.ON.RESTART = YESNO

Indicates whether to invoke the allocation exit on restart of a previously failed Process.

Value	Description
NO	This value indicates whether to invoke the allocation exit on restart of a previously failed Process.
YES	This value indicates whether to invoke the allocation exit on restart of a previously failed Process. This is the default value.

Modifiable through MODIFY INITPARMS command: YES

---

## INVOKE.SPOE.ON.SNODEID = NOYES

This parameter indicates whether to invoke Secure Point-of-Entry when a user codes SNODEID = parameter on the PROCESS.

Value	Description
NO	Sterling Connect:Direct does not invoke Secure Point-of-Entry when a user codes SNODEID = parameter on the PROCESS.
YES	Sterling Connect:Direct invokes Secure Point-of-Entry when a user codes SNODEID = parameter on the PROCESS.

Modifiable through MODIFY INITPARMS command: NO

---

## MAX.AGE = (nnn , \* | \*(nnn) | ALL | ALL(nnn) | status\_type | status\_type (nnn) , list)

This parameter specifies how many calendar days to wait before purging a Process. You can also use this parameter to purge only Processes with a specific status or define a different time to wait for each status type.

**Note:** Sterling Connect:Direct software does not automatically delete Processes when you specify 0.

The following table explains the values.

Value	Description
nnn	The number of days to wait before purging a Process. The maximum value is 32767. After the specified number of days as passed, the Process is deleted. If nnn=0, no MAX.AGE processing is performed.
* (asterisk)	Purge all Processes.

Value	Description
ALL	Purge all Processes with Hold queue error status types (HE, HO, HP, HS, RA, and RH).
status_type	Purge only specified status types (HE, HO, HP, HS, PR, RA, RH, HC, HI, HR, WC, WT, or WX).
list	Specify a list by separating entries with a comma.

Following are the queue status values you can select for automatic removal from the TCQ.

Parameter	Description
ALL	This value indicates a request for all of the queue types for the MAX.AGE parameter. If you specify ALL and another queue type, ALL is ignored.
HE	This value indicates to automatically remove held for error (HE) status values. This parameter is the default.
HO	This value indicates to automatically remove held for operator (HO) status values.
HP	This value indicates to automatically remove held due to Process error (HP) status values.
HS	This value indicates to automatically remove held for suspension (HS) status values.
RA	This value indicates to automatically remove held for restart due to allocation error (RA) status values.
RH	This value indicates to automatically remove restart held (RH) status values.

The order of precedence for MAX.AGE subparameters is:

1. Any specified status types take precedence over the ALL subparameter and the wildcard (\*) subparameter.
2. The ALL subparameter (purge types HE, HO, HP, HS, RA, and RH) takes precedence over the wildcard (\*) subparameter.
3. The wildcard (\*) subparameter (purge all valid status types) takes the last precedence.

An example of the MAX.AGE parameter follows.

MAX.AGE=(10,*,ALL(4),HO(20),HI(0))
------------------------------------

The following table explains the values in the example.

Value	Description
10	The default number of days before a Process is removed from the Process queue.
*	All Process types are removed. Because no waiting period is specified for *, the default of 10 days is used.
ALL(4)	Processes with Hold queue error status types (HE, HO, HP, HS, RA, and RH) are removed after 4 days in the Hold queue. Note that the 4-day waiting period for Processes with these status types overrides the 10-day default waiting period.



Value	Description
HO(20)	Processes with an HO status type are purged after 20 days. As a result, they are not purged when ALL or wildcard (*) Processes are purged.
HI(0)	Processes with an HI status type are not eligible for purge because zero is specified as the waiting period. They are never automatically purged from the Hold queue.

In the following example, Processes in the PR queue are aged after one day. Processes in any other queue or status are not aged:

```
MAX.AGE = (0,PR(1),*(0))
```

Modifiable through MODIFY INITPARMS command: YES

## MAX.AGE.TOD = time

This parameter specifies when to automatically purge a Process queue. If omitted, the queue is purged at midnight and at Sterling Connect:Direct initialization. You can use any valid Sterling Connect:Direct time format for the TIME parameter.

In the following example, the Process queue purge runs at 2:30 p.m.

```
MAX.AGE.TOD=14:30
```

Modifiable through MODIFY INITPARMS command: YES

## MAXPRIMARY = number of PNODE sessions

A PNODE session is started when initiating a Process to one or more SNODEs. This parameter specifies the maximum number of PNODE sessions that can be active concurrently. The range is from 2 to 512. The default is 6.

**Note:** We recommend you code a MAXPROCESS value of 150 or less for performance reasons. If you code MAXPROCESS, the value of this parameter can be up to the MAXPROCESS. There is no advantage to setting it higher than MAXPROCESS. If you do not code a MAXPROCESS, MAXPRIMARY + MAXSECONDARY becomes the default for MAXPROCESS. In that case, the combined values of MAXPRIMARY and MAXSECONDARY should equal 150 or less.

Modifiable through MODIFY INITPARMS command: NO

## MAXPROCESS = number of executing PNODE and SNODE Processes

This parameter specifies the maximum number of executing PNODE and SNODE Processes allowed at one time. The value allowed is between 2 and 1024, inclusive. The default is the value of MAXPRIMARY + MAXSECONDARY.

Modifiable through MODIFY INITPARMS command: NO

**Note:** Although 1024 is the maximum valid value for this parameter, MAXPROCESS should be set to 150 or less.

**Note:** If you are using Sterling Connect:Direct for z/OS based on a license for the Simultaneous Session metric, you should set the MAXPROCESS value to be equal to or less than the number of Simultaneous Session entitlements included with your license. You must obtain entitlements sufficient to cover the highest number of sessions that are or have been simultaneously in existence across all instances or copies of the software you have installed. Using the MAXPROCESS parameter allows you to control and limit the number of Simultaneous Sessions used with each copy of Sterling Connect:Direct for z/OS.

---

## **MAXRETRIES = number of retries**

This parameter specifies the maximum number of retries that is made to start a node-to-node session. If Sterling Connect:Direct cannot start the session, any Processes destined for the secondary node are placed in the timer queue for retries (TI RE). After all retries are exhausted, they go into the HO WC (hold queue, waiting connection). The range for MAXRETRIES is from 0–512. The default is 7. For related information, see the WRETRIES initialization parameter.

Modifiable through MODIFY INITPARMS command: YES

---

## **MAXSECONDARY = number of SNODE sessions**

A SNODE session is started when receiving a Process from a PNODE. This parameter specifies the maximum number of SNODE sessions that can be active concurrently. The range is from 2 to 512. The default is 6.

**Note:** We recommend you code a MAXPROCESS value of 150 or less for performance reasons. If you code MAXPROCESS, the value of this parameter can be up to the MAXPROCESS. There is no advantage to setting it higher than MAXPROCESS. If you do not code a MAXPROCESS, MAXPRIMARY + MAXSECONDARY becomes the default for MAXPROCESS. In that case, the combined values of MAXPRIMARY and MAXSECONDARY should equal 150 or less.

Modifiable through MODIFY INITPARMS command: NO

---

## **MAXSTGIO = maximum storage used for sequential data set transfers for system-determined NCP, maximum I/O storage for user-specified NCP)**

This parameter specifies the maximum amount of storage used for BSAM sequential data set transfers. MAXSTGIO has two positional parameters that limit the total I/O buffer size in different circumstances. The first is used to limit it when the system determines the number of channel programs (NCP). The second is used to limit it when you specify the NCP in the COPY statement. (The larger the value, the better the I/O performance for sequential file transfers. However, the larger the value, the larger the REGION size that may be required for the DTF.) The valid value range for both parameters is 60000–8,388,608 (60K–8M). The default value is 1048576 (1M).

- Sterling Connect:Direct uses these parameters to limit the number of buffers/channel programs used for sequential I/O and calculates the NCP by dividing the MAXSTGIO value by the block size of the data set being transferred. The number of channel programs specified can range from 0 (to

have the system determine the value) to 255. For more information on how Sterling Connect:Direct processes sequential data sets using BSAM, see How to Improve BSAM Data Transfer Rates.

For example, if you specify the default of 1 MB for MAXSTGIO, the following number of channel programs/buffers are allocated for data sets with the block sizes listed in the following table. Also listed is the amount of storage required for buffers for this transfer, which is a product of the block size and NCP.

BLKSIZE	Number of Channel Programs/Buffers	Storage Used for Transfer
80	255	20,400
4,080	192	783,360
6,400	128	819,000
27,998	32	895,936

- The data sets in the above table did not take advantage of striping or Large Block Interface (LBI) support, which affect BSAM sequential data set transfer rates. In addition, the method for determining NCP did not vary—the NCP values listed above were all system-determined. You can also specify the NCP by using the second positional parameter of the MAXSTGIO initialization parameter.
- If you specify a large value for MAXSTGIO, be sure to review the REGION size specified for the DTF. The region size must be large enough to accommodate the maximum number of sequential transfers that could take place at any one time, multiplied by the value coded for MAXSTGIO, plus the normal amount of region that the DTF requires.

Modifiable through MODIFY INITPARMS command: YES

## MAX.TAPE = number of tape Processes | NONE

This parameter specifies the maximum number of tape Processes that are allowed to start in a node.

Value	Description
number of tape Processes	<p>The numeric range is 0–32767. The default is 10.</p> <p>When this limit is reached, one of two events can occur to any Processes that try to allocate a tape unit:</p> <ul style="list-style-type: none"> <li>• The Processes end with a return code of 8 and a SDETAPRI message</li> <li>• If the ALLOC.CODES initialization parameter includes the code TAPR, the Processes are placed on the timer retry queue. They are then retried the number of times specified in the ALLOC.RETRIES parameter, at the interval specified in the ALLOC.WAIT parameter.</li> </ul>
NONE	This value indicates that the node does not perform tape Processing.

If you specify NONE, any Process that tries to copy from or to a tape on this node ends with a return code of 8 and a SDETAPRI message. You can still copy from or to tapes on the SNODE.

Modifiable through MODIFY INITPARMS command: NO

---

## MAXUSERS = number of users

This parameter specifies the maximum number of interactive users and batch users that can sign on to Sterling Connect:Direct at any one time. When this limit is reached, no other users are allowed to sign on. The range for MAXUSERS is from 2–512. The default is 6.

Modifiable through MODIFY INITPARMS command: NO

---

## MCS.CLIST = console operator CLIST library file name

This parameter specifies the file name of the CLIST library of the z/OS console operator. This parameter is required for use of the console operator interface. No default exists.

Modifiable through MODIFY INITPARMS command: NO

---

## MCS.SIGNON = (SIGNON USERID = (user ID,password) NETMAP = network map [optional parameters])

This parameter specifies the console Signon command of the operator for the Operator interface.

Keyword	Subparameter	Description
USERID	user ID	The user ID of the console operator.  Password associated with the user ID of the console operator.
NETMAP	network map	The VSAM file that identifies all valid Sterling Connect:Direct nodes and applids in the network.
	optional parameters	Optional parameters associated with the SIGNON command. These parameters are described in <i>Managing Sessions</i> in the <i>IBM Sterling Connect:Direct for z/OS User Guide</i> .

You must specify the SIGNON USERID and NETMAP keywords.

This parameter is required for installations that use the console operator interface. You can specify all the parameters allowed on the SIGNON command here. There is no default value.

If a signon without a password occurs in a stage1 exit, the authority is inherited from the TSO user ID used for the signon. If a signon with a password occurs in a stage1 exit, the authority of the user ID in the signon command is used.

Modifiable through MODIFY INITPARMS command: NO

---

## MULTI.COPY.STAT.RCD=not set | CT | MC | M2

This parameter creates statistics records for files copied using the DGADSIOX I/O exit and is particularly useful with the wildcard feature, which can produce large numbers of files. When this parameter is set, a message is sent to the Console each time the DGADSIOX I/O exit copies a file, regardless of what other types of

statistics records are being generated. For more information on the DGADSIOX I/O exit, see *Utility Programs* in *IBM Sterling Connect:Direct for z/OS User Guide*.

By default, this initialization parameter is not set. Use the following table to determine the conditions under which to use each setting.

Value	Conditions for Use	Description
Not set	<ul style="list-style-type: none"> <li>DGADSIOX I/O exit is not used.</li> <li>Information for individual files is needed.</li> </ul>	No file completion statistics records are created and no console messages are produced.
CT	<ul style="list-style-type: none"> <li>DGADSIOX I/O exit is used.</li> <li>Pre-existing customer exits detect files using CT records.</li> </ul>	Produces a Copy Termination type of statistics record.
MC	<ul style="list-style-type: none"> <li>DGADSIOX I/O exit is used.</li> <li>Sterling Control Center is installed and monitoring the Sterling Connect:Direct server.</li> </ul>	Produces a PDS Member Copy type of statistics record, which replaces the member name with the file name provided by the ADRDSSU utility.
M2	<ul style="list-style-type: none"> <li>DGADSIOX I/O exit is used.</li> <li>None of the other conditions apply.</li> </ul>	Produces a "multiple copy" type of statistics record, which shows the file name, current return code, bytes processed as reported by the IBM ADRDSSU utility, and normal Step information.

Modifiable through MODIFY INITPARMS command: YES

---

## NETMAP.CHECK = NO | (ALL | TCP, ALL | BOTH | NODENAME, FAIL | WARN | PASS)

This parameter defines the communication types that perform NETMAP checking, the verification to perform, and the action to take if the node does not exist. This parameter is ignored for CTCA connections.

Value	Description
NO	This value indicates that the Sterling Connect:Direct node attempting to establish a session with this Sterling Connect:Direct node need not be defined in the network map at this node. This feature is convenient when another Sterling Connect:Direct node initiates contact the majority of the time.
<u>ALL</u>   TCP	<p>ALL enables NETMAP checking for all communication types except for TCP/IP.</p> <p>TCP enables NETMAP checking for TCP/IP communication.  <b>Note:</b> If you code NETMAP.CHECK = TCP, you must provide a network map entry for each TCP/IP node. The adjacent node entry must specify the logical node name, port number, TCP/IP address, and a session type of TCP. For example:</p> <pre>ADJACENT.NODE=((UNIX.DALLAS,5555,199.5.5.5,TCP) ENVIRONMENT = UNIX)</pre>

Value	Description
<u>ALL</u>   BOTH   NODENAME	ALL or BOTH (for SNA) enables verification on both the logical node name and APPLID/LUNAME.  ALL or BOTH (for TCP) enables verification on both the logical node name and IP address.  NODENAME enables verification on the logical node name or TCP Alias names.
<u>FAIL</u>   WARN   PASS	FAIL indicates that access to the system is denied.  WARN indicates that access is allowed, but a warning message is issued.  PASS indicates that access is allowed without any warning message being issued.

You must define all three parameters to require that the Sterling Connect:Direct node establishing a session with this Sterling Connect:Direct node be defined in the network map of this node under certain conditions.

To enable NETMAP checking for all communication types, you must code the NETMAP.CHECK parameter for each. Following is an example.

```
NETMAP.CHECK=(ALL,ALL,FAIL)
NETMAP.CHECK=(TCP,NODENAME,WARN)
```

- The first entry for NETMAP.CHECK causes Sterling Connect:Direct to check all communication types, except for TCP, for both NODENAME and APPLID/LUNAME.
- The second NETMAP.CHECK entry checks TCP nodes for NODENAME only. If the node does not exist, Sterling Connect:Direct issues a warning message but permits access.

Modifiable through MODIFY INITPARMS command: NO

---

## **NETMAP.CHECK.ON.CALL= YES | NO**

Indicates how Sterling Connect:Direct handles a HOLD=CALL Process at submit time.

Value	Description
NO	This value specifies that the PNODE permits a submit of a Process with HOLD=CALL, even if the SNODE entry is not in the network map of the PNODE. However, the TCP.IP.DEFAULT entry must be in the network map of the PNODE. This value is the default.
YES	This value specifies that the PNODE does not allow a submit of a Process with HOLD=CALL, if the SNODE entry is not in the network map of the PNODE (even if a TCP.IP.DEFAULT entry exists in the network map). If you specify Yes, you must define the SNODE in the PNODE network map.

If you specify Yes, you must define the SNODE in the PNODE network map.

Modifiable through MODIFY INITPARMS command: YES

---

## NODE.QUIESCE.OFF = NODENAME

This parameter indicates that all processing in the specified node is not suspended until a Quiesce is issued with the MODIFY command. You can specify this node-level parameter for as many nodes as required. Node-level initparms are processed in the order specified.

The node name parameter is the 1–16 character local node name specified in the network map of the affected node. You can also specify a partial node name with the \* and ? wildcard characters. If you specify \* anywhere in the node name other than the very end, you must enclose the node name in single quotes. For example, the following parameter sets Quiesce to OFF on all node names that contain DETROIT.

```
NODE.QUIESCE.OFF = '*DETROIT*'
```

Refer to Suspending and Resuming Quiesce and Trace Settings for how to suspend normal operations by setting SESSIONS to Q (Quiesce) with the MODIFY command.

Modifiable through MODIFY INITPARMS command: NO

---

## NODE.QUIESCE.ON = NODENAME

This parameter indicates that all processing in the specified node is suspended and no new processing is permitted until a Resume is issued with the MODIFY command. You can specify this node-level parameter to suspend processing for as many nodes as required. Node-level initparms are processed in the order specified.

The node name parameter is the 1–16 character local node name specified in the network map of the affected node. You can also specify a partial node name with the \* and ? wildcard characters. If you specify \* anywhere in the node name other than the very end, you must enclose the node name in single quotes. For example, the following parameter suspends processing on all node names that contain MIAMI.

```
NODE.QUIESCE.ON = '*MIAMI*'
```

If the parameter is issued on an SNODE to quiesce processing with a PNODE, the session with the PNODE is established. However, as soon as the PNODE node name is determined, the session is terminated. No processing of data occurs.

Use this parameter if you want to suspend processing on a node because of problems, but want other nodes to continue processing. You can also use it if you know that a node will be down for some time.

Refer to Suspending and Resuming Quiesce and Trace Settings for how to resume normal operations by setting SESSIONS to R (Resume) with the MODIFY command.

Modifiable through MODIFY INITPARMS command: NO

---

## NODE.TRACE.OFF = NODENAME

Turns off tracing for a specified node. You can specify this node-level parameter for as many nodes as required. Node-level initparms are processed in the order specified.

The node name parameter is the 1–16 character local node name specified in the network map of the affected node. Use the \* and ? wildcard characters to specify the node name. If you specify \* anywhere in the node name other than the very end, enclose the node name in single quotes. For example, the following parameter disables tracing on all node names that contain DALLAS.

```
NODE.NODE.OFF = '*DALLAS*'
```

You can modify NODE.TRACE.OFF= settings using the MODIFY command. See Sterling Connect:Direct MODIFY Command.

Modifiable through MODIFY INITPARMS command: NO

---

## NODE.TRACE.ON = (NODENAME,nnnnnnnn)

Turns on a specific trace option or any combination of options for a specified node, where nnnnnnnn represents a debug setting in hexadecimal. You can specify this node-level parameter for as many nodes as required. Node-level initparms are processed in the order specified.

The node name parameter is the 1–16 character local node name specified in the network map of the affected node. You can use the \* and ? wildcard characters to specify the node name. If you specify \* anywhere in the node name other than the very end, you must enclose the node name in single quotes. For example, the following parameter enables tracing on all node names that contain DENVER and sets the debug bits to 80000000.

```
NODE.TRACE.ON = ('*DENVER*',80000000)
```

You can modify NODE.TRACE.ON= settings using the MODIFY command. See Sterling Connect:Direct MODIFY Command.

See Debug Settings for a complete listing of the DEBUG settings, the trace types produced, and the ddnames used for output.

Modifiable through MODIFY INITPARMS command: NO

---

## NON.SWAPABLE = YES | NO

This parameter specifies whether Sterling Connect:Direct is marked as non-swappable.

When NON.SWAPABLE = YES, Sterling Connect:Direct is not swapped out during periods of no activity. The default value is YES.

**Note:** When Sterling Connect:Direct is running as a Sterling Connect:Direct/PLEX or when CTCA has been initialized, NON.SWAPABLE is forced to YES. Otherwise, this keyword controls the setting.



Modifiable through MODIFY INITPARMS command: NO

---

## PDSE.SHARING = YES | NO

This parameter indicates if the zOS PDSE sharing feature is supported by Sterling Connect:Direct. The keyword, PDSESHARING in the IGDSMSxx member in SYS1.PARMLIB , which defines the level of PDSE sharing across subsystems of a sysplex, has two possible values:

- NORMAL sharing allows users to share a PDSE only at a data set level.
- EXTENDED sharing allows users to share a PDSE at both a data set level and member level.

For more information on PDSE sharing, including requirements, and test scenarios and results for both the normal and extended mode, refer to the , which you can find at *IBM Redbook Partitioned Data Set Extended (PDSE) Usage Guide*.

### CAUTION:

**Because PDSE sharing allows multiple users to open the same PDSE member for output, some operations may destroy directories and create data integrity problems. The last user to issue the STOW macro, which replaces an entry on the directory, gets their update permanently applied to the member. To ensure that updates are not lost, keep this consideration in mind so that users can take the appropriate steps.**

When you initialize Sterling Connect:Direct, the IGWLSHR callable service is used to verify that the operating system can support PDSE sharing. If the operating system cannot support this PDSE sharing level, the SITA641W error message Level of PDSE.SHARING is not supported

will display and initialization will continue as if the PDSE.SHARING parameter had been specified as NO.

Value	Description
YES	<p>Sterling Connect:Direct will support PDSESHARING as defined by the operating system.</p> <p><b>Note:</b> You must specify SHR as the disposition (DISP) keyword so that you can share a particular data set with other jobs. All other dispositions – OLD, NEW, RPL, and MOD – will continue to serialize the PDSE to ensure that multiple Sterling Connect:Direct processes cannot share the same PDSE.</p>
NO	<p>Sterling Connect:Direct will not support PDSE sharing. If multiple processes attempt to open the same PDSE for output at the same time, the processes terminate with one of the following error messages:</p> <ul style="list-style-type: none"><li>• SDEPDSRI – PDS already open for output by Sterling Connect:Direct.</li><li>• SDE0210I – Requested data set not available. Allocated to another job.</li></ul> <p>In addition, the processes are placed in the appropriate queue and retried according to any initialization parameters specified.</p>

Modifiable through MODIFY INITPARMS command: YES

---

## **PDSENG = YES | NO**

This parameter specifies whether or not Sterling Connect:Direct serializes access of output PDSEs for simultaneous directory updates from Sterling Connect:Direct and ISPF EDIT or the IBM linkage editor.

<b>Value</b>	<b>Description</b>
YES	An ISPF/IEWL ENQUEUE (or RESERVE if the device is shared) is issued to serialize access for output PDS data sets opened with DISP = SHR. Use of PDSENG does not prevent simultaneous directory updates from batch jobs or other sources that do not issue the same enqueues. If the ENQ or RESERVE fails, DATA SET IN USE allocation error is issued. The Process retries later if 0210 is specified in the ALLOC.CODES initialization parameter. Because the ISPF editor only enqueues a member of a PDS when an ISPF SAVE of the member is issued, a user can be in edit on the member from a PDS to which Sterling Connect:Direct is trying to copy. If you attempt to save a member being edited at the same time that Sterling Connect:Direct is copying to that PDS, the TSO/ISPF session hangs until the Sterling Connect:Direct COPY operation is complete. When the Sterling Connect:Direct COPY operation completes, the ISPF SAVE command executes, thereby overlaying the member that are recently copied by Sterling Connect:Direct.
NO	An ISPF/IEWL ENQUEUE is not issued.

Modifiable through MODIFY INITPARMS command: YES

---

## **PROCESS.RETENTION = YES | NO**

This parameter saves a PNODE Process to the PR queue and retains the completed Process for a period of time. Retaining a Process allows you to troubleshoot problems with a completed Process or with the use of symbolics.

Because a Process will be stored on the TCQ longer, increase the TCQ space before activating this parameter. (For more information on enlarging the TCQ, see *Planning the Installation in IBM Sterling Connect:Direct for z/OS Configuration Guide*.) A Process remains in the PR queue until you delete it using the DELETE command or it is removed when space is needed or based on the values defined in the MAX.AGE and MAX.AGE.TOD parameters. Use the TCQ.THRESHOLD parameter to identify when to delete Processes in the PR queue, to make space available for newly submitted Processes.

Changing the parameter to NO will not delete Processes from the PR queue. It will prevent more Processes from being added to the PR queue.

<b>Value</b>	<b>Description</b>
YES	After a PNODE Process is complete, it is moved to the PR queue to allow you to troubleshoot a problem with a Process or to view PNODE Processes that have executed.
NO	Completed PNODE Processes will not be added to the PR queue. This is the default.

SNODE Processes cannot be moved to the PR queue.

The TCQ.THRESHOLD parameter is the auto-deletion threshold for the PR queue.

Modifiable through MODIFY INITPARMS command: NO

---

## **PRTYDEF = Process priority**

This parameter specifies the default priority for Processes submitted to Sterling Connect:Direct. If you do not specify priority on the Process statement, Sterling Connect:Direct uses the default priority when placing the Process on the TCQ. The priorities range from zero to 15, with 15 the highest priority. The default is 10. This parameter is not valid for LU6.2 and TCP/IP flows.

Modifiable through MODIFY INITPARMS command: YES

---

## **QUIESCE = YES | NO**

This parameter specifies whether or not Sterling Connect:Direct holds Processes from execution.

Value	Description
YES	No DTF-to-DTF sessions are started, but you can establish interactive sessions. Any Process to be executed is placed in the WAIT queue. Refer to Suspending and Resuming Quiesce and Trace Settings for information on how to resume normal operations by setting SESSIONS to R (Resume) with the MODIFY command.
NO	Sterling Connect:Direct does not hold Processes from execution.

In a Sterling Connect:Direct/Plex environment, this parameter applies to all Sterling Connect:Direct/Servers.

**Note:** When you initialize Sterling Connect:Direct for the first time with allocation to a TCQ created by DGADTQFX it is recommended that you specify YES for the QUIESCE parameter. After you delete any unwanted Processes from the TCQ, DTF activity can be resumed using the Modify command.

Modifiable through MODIFY INITPARMS command: NO

---

## **QUIESCE.NODE = node name**

If you are using the QUIESCE.NODE parameter, you are encouraged to use the NODE.QUIESCE.ON parameter as it provides equivalent functionality with enhanced capabilities. The QUIESCE.NODE parameter is still supported.

This parameter indicates that all processing in the specified node is suspended and no new processing is permitted until a Resume is issued with the MODIFY command. You can specify this parameter up to 15 times, which suspends processing on up to 15 nodes.

The node name parameter is the 1–16 character local node name specified in the network map of the affected node. You can also specify a partial node name followed by an asterisk (\*). For example, the following parameter suspends processing on all node names that begin with NODE.CHICAGO.

QUIESCE.NODE = NODE.CHICAGO*
------------------------------

If the parameter is issued on an SNODE to quiesce processing with a PNODE, the session with the PNODE is established. However, as soon as the PNODE node name is determined, the session is terminated. No processing of data occurs.

Use this parameter if you want to suspend processing on a node because of problems, but want other nodes to continue processing. You can also use it if you know that a node will be down for some time.

Refer to Suspending and Resuming Quiesce and Trace Settings for how to resume normal operations by setting SESSIONS to R (Resume) with the MODIFY command.

Modifiable through MODIFY INITPARMS command: NO

## REMOTE.DUMMY.PASSWORD = [ YES | INTERNAL ]

This parameter controls whether Signon and Process Start is authorized for remote nodes if a dummy password was specified during Signon.

Value	Description
YES	Any remote can specify a dummy password to obtain Signon and Process Start authorization on the local node.
INTERNAL	Requires the remote node to have the Adjacent Node attribute of INTERNAL (see Trusted Node Security) for the authorization to occur.

Modifiable through MODIFY INITPARMS command: NO

## REQUEUE = YES | NO

This parameter specifies whether to requeue Processes which ABEND, such as an x37, or with a return code greater than 4, or to allow any subsequent steps to run, or go to Process termination.

Value	Description
YES	Places the Process in the hold queue if it did not end with any of the errors listed above but ABENDED with a return code greater than 4 and one of the following is true: <ul style="list-style-type: none"> <li>The Process or SUBMIT command has REQUEUE = YES</li> <li>Neither the Process nor the SUBMIT command has REQUEUE specified, but REQUEUE = YES is specified in the initialization parameters</li> <li>The data set on the PNODE side is a tape data set</li> </ul> <p>YES is the default value.</p>
NO	Executes the remaining steps in a Process following a failed COPY STEP, but the failed COPY STEP is not requeued. If REQUEUE is specified on a PROCESS or SUB statement, it overrides the initialization REQUEUE specification.

This parameter is only effective if checkpointing is in use. REQUEUE only applies to the PNODE, or submitting side that has Process control.

REQUEUE is not effective under any of the following conditions:

- SHUTDOWN IMMEDIATE is requested

- Session error caused the Process to terminate

YES places the Process in the hold queue if it did not end with any of the errors listed above but ABENDED with a return code greater than 4 and one of the following is true:

- The PROCESS or SUBMIT command has REQUEUE = YES
- Neither the PROCESS nor the SUBMIT command has REQUEUE specified, but REQUEUE = YES is specified in the initialization parameters
- The data set on the PNODE side is a tape data set

If a dynamic allocation error occurs, the Process goes to ALLOCATION RETRY. When the specified number of allocation retries is exhausted and if REQUEUE = YES is specified, the Process is placed in the hold queue with a status of HO RA (HO = Held by Operator; RA = Held for Restart Due to Allocation Error).

If the Process is ABENDED, the status on the hold queue is HE (hold/error). If the Process received a return code greater than 4, the status is RH (restart/held).

Modifiable through MODIFY INITPARMS command: YES

## RESET.ORIGIN.ON.SUBMIT = YES | NO

This parameter resets the originating node.

Parameter	Description
YES	The originating node is set to the node where the submit is issued. This action applies to all connection types.
NO	The originating node is not set to the node where the submit is issued.

This parameter only affects Processes submitted to the SNODE that use SNODEID or SUBMIT. If you use this parameter, both the sending and receiving nodes must use this parameter. Also test its impact, especially if you or your trading partner use Secure Point-of-Entry (SPOE). If you use SPOE and apply this parameter, you may need to update AUTHFILE entries for user ID/node combinations used by SPOE.

Modifiable through MODIFY INITPARMS command: YES

## REUSE.SESIONS = YES | NO

Enables you to control the use of the sessions initiated by the local node. When you select a Process for execution between two nodes, control of the session is negotiated. If only one DTF has work destined for the other DTF, then the DTF with work to process controls the session. If they both have work to process, then the one with the higher priority work controls the session. This negotiation takes place at the completion of each Process. It is possible for the local DTF to initiate a session and be significantly delayed in utilizing that session based on the workload of the partner DTF.

Value	Description
YES	The previously allowed negotiation takes place as described.

Value	Description
NO	The remote DTF is not allowed to utilize the sessions established by the local DTF. (Sterling Connect:Direct does not allow Processes that are waiting for an eligible session to run when an SNODE session becomes available.)

Modifiable through MODIFY INITPARMS command: YES

---

## **ROUTCDE.CRIT = (route code)**

This parameter specifies the route code used for critical WTO messages. You can specify as many as 16 codes. Suppress these messages by typing 0 for the route code.

ROUTCDE.CRIT = (2,8) specifies master console information and teleprocessing control.

ROUTCDE.CRIT = (8,11) specifies teleprocessing control and programmer information. This value is the default.

Modifiable through MODIFY INITPARMS command: YES

---

## **ROUTCDE.NORM = (route code)**

This parameter specifies the route code used for normal WTO messages. You can specify up to 16 codes. You can suppress these messages by typing 0 for the value.

ROUTCDE.NORM = (2,11) specifies master console information and programmer information.

ROUTCDE.NORM = (11) specifies programmer information. This value is the default.

Modifiable through MODIFY INITPARMS command: YES

---

## **ROUTCDE.TAPE = (route code)**

This parameter specifies the route code used for the tape mount message issued by Sterling Connect:Direct for z/OS. You can specify as many as 16 codes. A specification of 0 suppresses the tape mount message.

ROUTCDE.TAPE = (3,5,11) specifies tape pool, tape library, and programmer information.

ROUTCDE.TAPE = (5,11) specifies tape library and programmer information. This value is the default.

**Note:** If ROUTCDE.TAPE = 0 is coded and TAPE.PREMOUNT = NO, then the z/OS system mount processing at allocation time holds an ENQ on SYSZTIOT until mount is satisfied.

Modifiable through MODIFY INITPARMS command: YES

---

## RUN.JOB.EXIT = modname

This parameter specifies the name of the Sterling Connect:Direct module responsible for user read/write control of job streams. The module name can be from 1–8 characters long. The first character must be alphabetic. No default exists for this parameter.

Specify one of the following interface programs to use with Sterling Connect:Direct for z/OS:

- RUN.JOB.EXIT = DGAXACRJ (CA-ACF2)
- RUN.JOB.EXIT = DGAXRACJ (IBM RACF and CA-TOP SECRET)

Sample programs are provided as part of the Sterling Connect:Direct for z/OS sample library. They may not meet the normal security requirements of an installation. Modify them accordingly.

You must define a user on all nodes involved in Process execution.

Modifiable through MODIFY INITPARMS command: NO

---

## RUNJOBID = USER | CD

This parameter specifies security environment in force for Sterling Connect:Direct RUNJOB Processes.

Value	Description
USER	This value specifies that the Process runs under the ID of the user. This value is the default.
CD	This value specifies that the Process runs under the Sterling Connect:Direct DTF ID.

Modifiable through MODIFY INITPARMS command: NO

---

## RUN.TASK.EXIT = modname

This parameter specifies the name of the module responsible for verifying that a user is authorized to run a specified program in the DTF address space. The modname can be from 1–8 characters; the first character must be alphabetic. No default exists for this parameter.

Specify one of the following interface programs to use with Sterling Connect:Direct for z/OS:

- RUN.TASK.EXIT = DGAXACFT (CA-ACF2)
- RUN.TASK.EXIT = DGAXRACT (for IBM RACF and CA-TOP SECRET)
- RUN.TASK.EXIT = DGAXSAFT (CA-ACF2 with SAF enabled)

Sample programs are part of the Sterling Connect:Direct for z/OS sample library. They may not meet the normal security requirements of an installation. Modify them accordingly.

You must define a user on all nodes involved in Process execution.

Modifiable through MODIFY INITPARMS command: NO

---

## **RUNTASK.RESTART = YES | NO**

This parameter determines whether a RUN TASK program executes at restart if Sterling Connect:Direct is unable to determine whether the program has run.

Value	Description
YES	RUN TASK program executes at restart if Sterling Connect:Direct is unable to determine whether the program has run
NO	RUN TASK program does not execute at restart if Sterling Connect:Direct is unable to determine whether the program has run

This parameter corresponds to the node where the RUN TASK step executes. For example, if the RUN TASK step is running on the SNODE, the coding of the RUNTASK.RESTART parameter on the SNODE determines whether the RUN TASK program executes at restart.

Modifiable through MODIFY INITPARMS command: YES

---

## **S+CMD.ENFORCE.SECURE.CONNECTION = YES | NO**

This parameter specifies whether Sterling Connect:Direct Secure Plus commands are accepted from the Sterling Connect:Direct client API on nonsecure connections.

Value	Description
YES	Sterling Connect:Direct will enforce a secure connection, that is, it will not accept Sterling Connect:Direct Secure Plus commands from a client API on a nonsecure connection.
NO	Sterling Connect:Direct accepts Sterling Connect:Direct Secure Plus commands from a client API on a nonsecure connection.

Modifiable through MODIFY INITPARMS command: NO

---

## **SECURE.DSN = filename**

This parameter specifies the Sterling Connect:Direct Secure Plus parameters file.

**Note:** To enable FIPS mode, you must specify a filename for this parameter.

Modifiable through MODIFY INITPARMS command: NO

---

## **SECURE.SSL.PATH.PREFIX = prefix**

This parameter specifies the prefix location of the key database that contains the certificates for the SSL protocol. Use this parameter if you are using SSL security with the Sterling Connect:Direct Sterling Connect:Direct Secure Plus and you are operating in a CD/Plex environment.

Modifiable through MODIFY INITPARMS command: NO



---

## SECURITY.EXIT | SECURITY

This parameter specifies the name of the Sterling Connect:Direct exit which performs security checking. A sample security exit, DGAMGSAF, is provided in the \$CD.SDGASAMP library. You can modify this exit if it does not meet your security requirements.

Value	Description
module name	A name 1–8 alphanumeric characters long, with the first character alphabetic.
DATASET	Specifies that the exit is invoked only for file security; the Sterling Connect:Direct Authorization Facility is used for access (signon) security.
ALL	Specifies that the exit is invoked for file and access security.
PSTKT	Indicates that the local DTF IBM RACF security is defined to accept IBM RACF PassTicket passwords. For more information, see <i>Generating IBM RACF PassTickets</i> .
OFF	Specifies that no security exists; all requests are valid.

If you do not specify the SECURITY.EXIT parameter or it is commented out of the initialization parameters file, customized security is not performed and the Sterling Connect:Direct Authorization Facility (AUTH file) is used.

For the first installation of Sterling Connect:Direct for z/OS, specify SECURITY.EXIT = OFF until a security exit is installed.

A user must be defined on all nodes involved in Process execution.

The default is the Sterling Connect:Direct Authorization Facility.

**Note:** You can also code this parameter as SECURITY=

Modifiable through MODIFY INITPARMS command: NO

---

## SECURITY.NOTIFY = YES | NO | HOLD

This parameter specifies whether Sterling Connect:Direct sends a message to users informing them of security failures on Processes they have submitted.

Value	Description
YES	Specifies that Sterling Connect:Direct sends a message to users informing them of security failures on Processes they have submitted. If you set the SECURITY.NOTIFY initialization parameter to YES and you specify NOTIFY = %USER or NOTIFY = user ID on the Process statement, a security failure sends a TSO notification to the user specified in the NOTIFY parameter of a SUBMIT or PROCESS statement.
NO	Specifies that Sterling Connect:Direct does not send a message to users informing them of security failures on Processes they have submitted.
HOLD	Specifies that Sterling Connect:Direct places Processes in the Hold queue with a status of HE if the other node returns an error during performance of security checking.

The following scenarios could occur with this parameter:

- SECURITY.NOTIFY = NO and a Process has NOTIFY = user ID specified. If a stage 2 security error occurs on the SNODE, the user ID is not notified. The user ID is notified of all other errors or normal completion. All messages and return codes are in the Statistics File.
- SECURITY.NOTIFY = YES and a Process does not specify NOTIFY. The user is not notified of any errors or normal completion. All messages and return codes are in the Statistics File.
- SECURITY.NOTIFY = YES and a Process has NOTIFY = user ID specified. If a stage 2 security error occurs on the SNODE, the user ID is notified. The user ID is also notified of all other errors or normal completion. All messages and return codes are in the Statistics File.

Modifiable through MODIFY INITPARMS command: NO

---

## SESSION.HIGHWATER.SMF = (133 | 128-255, 1-60)

This parameter determines the SMF record type number and the recording interval. License permitting, this parameter also turns off recording for the new SMF record.

The acceptable range is (133 | 128-255, 1-60). The default value is (133 , 60).

**Note:** If the SMF record id of 133 is being used by other applications, you must specify a suitable record id with this initialization parameter.

---

## SNA = YES | NO

This parameter specifies if Sterling Connect:Direct initializes with SNA support. You must also specify a valid VTAM APPLID in the local node record of the NETMAP.

Value	Description
YES	Sterling Connect:Direct tries to open the VTAM ACB. If it cannot open the ACB, Sterling Connect:Direct prompts the operator for the next action.
NO	Only Processes running under TCP/IP or CTCA run or connect to the DTF.

If you change this parameter to SNA = NO after Sterling Connect:Direct initializes, you must restart Sterling Connect:Direct.

Modifiable through MODIFY INITPARMS command: NO

---

## SNMP = YES | NO

Initializes the SNMP trap agent environment.

Value	Description
YES	Enables the SNMP trap agent environment.
NO	Disables the SNMP trap agent environment.

Modifiable through MODIFY INITPARMS command: YES

---

## SNMP.DSN = data set name | data set name (member)

This parameter identifies the data set used by the SNMP task to initialize the default trap variables and user defined trap triggers. The data set contains the trap events that you want to disable and any trap triggers you define. All traps are enabled by default. A sample data set is installed in the \$CD.SDGASAMP library called DGAXSNMP .

Modifiable through MODIFY INITPARMS command: YES

---

## SNMP.MANAGER.ADDR = hostname | IP address

This parameter determines the TCP/IP address or hostname of the host where the SNMP network manager is initialized. By default, this address is the same as the Sterling Connect:Direct TCP/IP address, or the local hostname. In a Sterling Connect:Direct/Plex environment, the default is the TCP/IP address for the Sterling Connect:Direct Manager. You may specify the IP address as an IPV4 or IPV6 address.

This parameter is required if the SNMP network manager resides on a different host or is required to use a different TCP/IP address.

Modifiable through MODIFY INITPARMS command: YES

---

## SNMP.MANAGER.PORTNUM = port-number

This parameter is the TCP/IP port that is defined for UDP traffic to the SNMP network manager. The default is port number 162. If the defined UDP port number is something other than 162, this parameter is required.

Modifiable through MODIFY INITPARMS command: YES

---

## STAT.ARCH.CONFIRM = YES | NO

This parameter indicates whether or not Sterling Connect:Direct is to have confirmation that the contents of a statistics file pair are archived before erasing them and reusing the file pair to record new information.

Value	Description
YES	<p>Specifies that Sterling Connect:Direct requires confirmation before reusing the file. The Sterling Connect:Direct for z/OS utilities DGADARRT and DGADARBT provide archive confirmation. You can invoke these utilities from an archive Process or an archive batch job, respectively.</p> <p>If archive confirmation has not occurred at the time a file is to be switched to and therefore erased, Sterling Connect:Direct issues a WTOR requesting operator permission to overwrite the file. DTF activity halts until you type a response to the WTOR. An affirmative response causes an immediate file pair switch. A negative response disables the statistics logging function, but the DTF remains active.</p>
NO	<p>Specifies that Sterling Connect:Direct erases the file contents at the time of a pair switch regardless of whether indication that the file was archived is received.</p> <p><b>Note:</b> If you code the STAT.ARCH.CONFIRM parameter as YES, then also specify the STAT.SWITCH.SUBMIT parameter.</p>

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.BUFFER.ESDSDATA | STAT.BUFFER.KSDSINDEX | STAT.BUFFER.KSDSDATA

This parameter specifies the number of buffers VSAM allocates for the statistics clusters. Sterling Connect:Direct uses the values when generating VSAM access method control blocks (ACBs) for the statistics files. Generating these blocks provides a means of tuning VSAM performance for statistics file access in the DTF. Sterling Connect:Direct specifies separate buffers for the index and data components for the key sequenced clusters. Each buffer is the size of the control interval of the specified component.

**Note:** These buffers are allocated above the 16 megabyte line.

The syntax for this parameter is as follows:

STAT.BUFFER.ESDSDATA = number of ESDS data buffers
STAT.BUFFER.KSDSINDEX = number of KSDS index buffers
STAT.BUFFER.KSDSDATA = number of KSDS data buffers

The defaults are:

- STAT.BUFFER.ESDSDATA = 6
- STAT.BUFFER.KSDSINDEX = 6
- STAT.BUFFER.KSDSDATA = 6

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.ERROR = ABEND | DISABLE

This parameter specifies the action of the DTF for certain types of errors which can occur in the Statistics Facility, such as VSAM errors or repeated ABENDs.

Value	Description
ABEND	Specifies that the DTF ABENDs with U3400. This value is the default.
DISABLE	Specifies that the Statistics Facility is disabled but the DTF remains active. The DTF operates normally. However, no statistics records are written.

When an ABEND occurs within the Statistics Facility, an SVC dump is written to a SYS1.DUMPxx data set and recovery is attempted. After five recovery attempts, the DTF ABENDs with U3400 or the Statistics Facility is disabled, depending on the value specified for the STAT.ERROR parameter.

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.EXCLUDE = (record type list)

This parameter specifies what record types to exclude from the statistics log. The system does not pass excluded records to the statistics exit. The 2-character identifiers specify the record types in the list. Refer to "Statistics Records" on page 186 for a complete list of record type identifiers.

You can also selectively exclude using the Statistics exit. See “Recording Statistics for Specific Record Types” on page 258 for more information. You can also turn recording of specific record types on and off during DTF execution using the STATISTICS ON/OFF API command.

The following example excludes PDS member records from the statistics log.

STAT.EXCLUDE = (MC)
---------------------

Statistics records are often useful or indispensable in debugging problems. Excluding records from the statistics log makes problem determination difficult. Do not exclude the following record types:

Record Type	Description
CT	Copy Termination
PS	Process Submit
PT	Process Termination
RJ	Run Job
RT	Run Task
SW	Submit within Process
WO	Write to Operator (WTO)

No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: YES

## STAT.INIT = WARM | COLD

This parameter specifies whether to erase the contents of the statistics files for the DTF at initialization.

Value	Description
WARM	Specifies that the system does not erase the contents at DTF initialization. In this case, statistics from prior DTF executions are available in the new execution. This value is the default.
COLD	Specifies that the system erases all preexisting records. Only records generated during the current execution are available.

Modifiable through MODIFY INITPARMS command: NO

## STAT.QUEUE.ELEMENTS = statistics record queue size

This parameter specifies the size of the queue that holds statistic records to be written.

The range of the STAT.QUEUE.ELEMENTS initialization parameter is 1-9999 elements, with a default value of 1500. A queue that is too small can degrade system performance, whereas a queue that is too large can cause wasteful storage allocation above the 16 megabyte line. In a Connect:Direct Plex environment, the queue element number is calculated by taking the CDPLEX.MAXSERVER value,

adding 1 (for the manager) and then multiplying it by the STAT.QUEUE.ELEMENTS value. If this is less than 5000, then 5000 is used. If greater than 10000, then 10000 is used.

When a Sterling Connect:Direct task writes a statistic record, it queues the record to be written to the statistics facility asynchronously. The statistics facility then processes the queue and writes the statistics record. This parameter controls the size of this queue. When the queue becomes full, tasks that write a statistics record are held until a slot in the queue becomes available.

You should choose the size of the queue based on how busy Sterling Connect:Direct is expected to be during peak-use periods. A lightly loaded system (up to 5 Processes executing concurrently) can run with a small queue, so a queue size of 100 elements may be adequate. However, busier systems require a larger queue (200-1500 elements) to avoid performance degradation caused by queue contention.

There are two size considerations for the statistics queue, the total size and the threshold size. The total size is initially specified by the value of the STAT.QUEUE.ELEMENTS initialization parameter, and can be adjusted if necessary. Each stat queue element takes up 2 KB of space and is allocated above the 16 megabyte line. The threshold size is a value smaller than the total size, and represents a portion of the queue that is reserved for peak-use periods. This helps to improve queue availability under a high workload. The threshold size is calculated automatically, and is usually one-fourth the total size. If the threshold size is inadequate, the total queue size should be increased.

**Note:** To ensure that an adequate amount of virtual storage above the line is allocated for the queue holding the statistics records in a Sterling Connect:Direct/Plex environment, specify REGION=0M on the job card that starts Sterling Connect:Direct. For more information about storage requirements, see *Planning the Installation in IBM Sterling Connect:Direct for z/OS Configuration Guide*.

Modifiable through MODIFY INITPARMS command: NO

---

## **STAT.SNODEID = (NO | YES,NO | YES)**

This parameter specifies whether the submitter's ID should be placed in the statistics record. The first subparameter applies to version 1 flows (SNA-LU0) and the second subparameter applies to version 2 flows (SNA-LU6.2/TCP).

**Note:** STAT.SNODEID affects the user ID (original submitter ID or SNODEID) which is used to execute a SUBMIT within a Process, instead of affecting just the submitter's ID in the statistics record.

A value of YES causes the SNODEID, if present, to be placed in the statistics record.

A value of NO causes the submitter's ID to be placed in the statistics record.

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.SWITCH.SUBMIT = dsn [member]

This parameter enables a site to name a sequential data set or a member of a PDS that contains a Process to be submitted at statistics file pair switch time. Use this feature to submit a Process that archives the statistics file pair that has just filled. Alternatively, the Process can submit a batch job which in turn archives the statistics records.

**Note:** The STAT.SWITCH.SUBMIT parameter is identical in format to the DSN parameter of the Sterling Connect:Direct SUBMIT statement. See the Connect:Direct Process Language help for information on the SUBMIT statement.

If you code the STAT.ARCH.CONFIRM parameter as YES, then also specify the STAT.SWITCH.SUBMIT parameter.

Sterling Connect:Direct internally generates a SUBMIT command to submit the Process, and specifies a single symbolic parameter, &EDSN. The symbolic parameter &EDSN specifies the data set name of the entry sequenced cluster just filled. Therefore, the DTF supplies to the archive Process the name of the ESDS cluster to archive.

You can make archived statistics records available to the SELECT STATISTICS command by copying them to a VSAM entry sequenced cluster, and then use the DGADBKEY utility to recreate the associated index information in a VSAM key sequenced cluster.

No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.SWITCH.TIME = (hh:mm:ss , ...)

This parameter specifies times of day to perform a statistics file switch. The STAT.SWITCH.TIME is in 24-hour clock format. You can specify up to four times in this parameter. The system initiates a switch whenever one of the named times occurs, regardless of whether the currently active files are full. If you do not specify the STAT.SWITCH.TIME parameter, switching occurs whenever a file pair becomes full or in response to the API command STATISTICS SWITCH.

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.TPREC = (start\_time, end\_time, snaps\_per\_hour)

This parameter instructs Sterling Connect:Direct to create a statistics record that contains the number of Processes and the amount of data sent and received for a node. You can use the statistics record for load balancing and tracking trends.

Value	Description
start_time	This value indicates the start time for the statistics records creation. The valid format is hh:mm:ss.  Valid values are 00:00:00 through 23:59:59.
end_time	This value indicates the time when the statistics record generation ends. The valid format is hh:mm:ss.  Valid values are 00:00:00 through 23:59:59.

Value	Description
snaps_per_hour	Valid values are 1–60. If you specify a value of 1, Sterling Connect:Direct takes one snapshot per hour. If you specify a value of 60, Sterling Connect:Direct takes one snapshot per minute, or 60 per hour. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

---

## STAT.USER = (user ID, [password])

This parameter specifies the security ID under which the statistics log is written and any archive Process or batch job runs. Use this parameter when implementing a stage 2 security exit.

A system task (a separate TCB) does the writing of the statistics files to minimize the impact of statistics logging on the throughput of the DTF. File pair switching and archive Process submission is also done by this task. Such processing is done in the background within the DTF, and therefore, has less impact on other activity. Sterling Connect:Direct for z/OS creates this task using the security user ID from the STAT.USER parameter. The system also propagates the user ID to the archive Process and to any batch jobs the archive Process submits.

If your site is running with full stage 1/stage 2 security implemented, it is not necessary to supply the password with this parameter.

Value	Description
user ID	Specifies the security ID that Sterling Connect:Direct passes to a security exit. It contains 1–8 characters. <b>Note:</b> Certain Sterling Connect:Direct statistics records are written with the STAT.USER ID in their user ID field. For example, the S2 records that contain information about the statistics logging Process are written with this ID. Because user ID is one of the indexed statistics record fields, specifying a unique ID facilitates the rapid retrieval of these records through the SELECT STATISTICS command when the TYPE and USER selection criteria are specified.
password	Specifies the current security password. The security exit uses this parameter to validate the current security password.

If you do not specify this parameter, or if you do not implement the stage 2 security exit, the statistics logging task runs with the security ID of the DTF job, and with the user ID of NDM. In this case, the TP and S2 records are written with NDM in their user ID fields.

Modifiable through MODIFY INITPARMS command: NO

---

## STATISTICS.EXIT = modname | (modname[,MANAGER | SERVER | BOTH])

This parameter specifies the name of the Sterling Connect:Direct statistics exit module you can invoke to complement the Sterling Connect:Direct statistics gathering functions. Use this program to log Sterling Connect:Direct information, perform IBM system management facilities (SMF) functions, and log custom information. To use this feature, you must explicitly code this initialization parameter and supply a module name—the default is no security exit.



For more information on the Sterling Connect:Direct sample statistics exits, which are located in the \$CD.SDGASAMP library, see Sample Statistics Exits.

In a Sterling Connect:Direct/Plex environment, you can specify whether the Manager, the server(s) or both launch the statistics exit. By specifying the MANAGER keyword, only the Manager region launches the statistics exit. By specifying SERVER, all servers launch the statistics exit. Specifying BOTH causes all regions—servers and the Manager—to launch the statistics exit and for the same records to be processed twice.

In a Sterling Connect:Direct/Plex environment, MANAGER is the default entity to launch the exit, but you must still specify the module name.

Modifiable through MODIFY INITPARMS command: NO

---

## **STRNO.MSG = number | 10**

This parameter specifies the number of strings allowed to message file processing.

The acceptable range is 5–100. The default value is 10.

Modifiable through MODIFY INITPARMS command: NO

---

## **SUBMIT.EXIT = modname**

This parameter specifies the name of the module responsible for controlling changes to Sterling Connect:Direct parameters, such as Process name, priority, class, and secondary node. The module name can be from 1–8 alphanumeric characters long, with the first character alphabetic. No default exists for this parameter.

For more information on the Sterling Connect:Direct sample exits, which are located in the \$CD.SDGASAMP library, see Sample Submit Exits.

Modifiable through MODIFY INITPARMS command: NO

---

## **SYSOUT = class**

This parameter specifies the JES output class for spool output generated during DTF execution. The class must be one character in length. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: YES

---

## **TAPE.PREMOUNT = YES | NO | LIST**

This parameter specifies whether the Sterling Connect:Direct for z/OS tape premount message is displayed.

Value	Description
YES	Tape premount message is displayed.
NO	Tape premount message is not displayed.
LIST	LIST instructs Sterling Connect:Direct to list up to 10 tape volume serial number on the SVST000I tape premount message.

**Note:** If ROUTCDE.TAPE = 0 is coded and TAPE.PREMOUNT = NO, then the z/OS system mount processing at allocation time holds an ENQ on SYSZTIOT until mount is satisfied.

Modifiable through MODIFY INITPARMS command: YES

---

## **TAPEIO = BSAM | EXCP**

This parameter identifies what the TAPEIO setting was prior to Version 5.0.

The default value is BSAM.

This parameter does one thing prior to Version 5.0 and something different afterwards.

Prior to Version 5.0, this parameter controlled what access method was used by the COPY function to read and write to tape, either BSAM or EXCP. When BSAM was specified, BSAM was used to read and write the tape, NOTE TYPE=REL was used to generate tape checkpoint records, and POINT TYPE=REL was used to set the tape restart point. When EXCP was specified, EXCP was used to read and write the tape, a channel command equivalent to NOTE TYPE=ABS was used to generate tape checkpoint records, and a channel command equivalent to POINT TYPE=ABS was used to set the restart point.

After Version 5.0, BSAM is always used to read and write to tape, NOTE TYPE=ABS is always used to generate new tape checkpoint records, and POINT TYPE=ABS is always used to set the tape restart point from new checkpoint records. The TAPEIO parameter is re-purposed and tells Sterling Connect:Direct what the TAPEIO setting was prior to Version 5.0 so that it can switch to using POINT TYPE=REL when restarting using pre-Version 5.0 checkpoint records. This information is critical to the successful functioning of checkpoint restart if a tape is created by a Sterling Connect:Direct prior to Version 5.0 and the restart attempted on or after Version 5.0. This includes checkpoint records stored in the local node's checkpoint dataset, as well as checkpoint records stored in a remote node's checkpoint dataset.

Thus, the TAPEIO parameter setting becomes irrelevant and can be removed once there is no possibility of restarting a tape COPY function using Sterling Connect:Direct prior to Version 5.0.

Modifiable through MODIFY INITPARMS command: NO

---

## **TAPEMOUNT.EXIT = modname**

This parameter specifies the name of interface to StorageTek Tape Silo software, which provides status information on the volumes to satisfy a tapemount request. You can invoke the exit prior to a tape VOLSER mount request to automatically cancel the request should any volume not be available for the Silo to process. For more information, see Tapemount Exit.

The module name can be from 1–8 alphanumeric characters long, with the first character alphabetic. No default exists for this parameter.

The Sterling Connect:Direct sample exit is named DGAXTAPX and located in the \$CD.SDGASAMP library.

Modifiable through MODIFY INITPARMS command: NO

---

## TCP = OES | NO

This parameter specifies whether the TCP/IP connection modules are loaded during initialization and if so, the type of modules.

Value	Description
OES	Specifies the TCP/IP OpenEdition Sockets Interface support. <b>Note:</b> You must install and run the IBM BPX facility, the series of IBM programs that comprise the OES functionality, before you can transfer files using the TCP = OES support of the Sterling Connect:Direct. In addition, IBM RACF sites must install the OMVS security segment before using the OES interface to transfer files.
NO	Causes no modules for the TCP/IP connection to load during initialization.

Modifiable through MODIFY INITPARMS command: NO

---

## TCP.API.LISTEN = ((addr , port) , (addrn , portn))

Use this parameter to define up to eight different address and port combinations for each server for incoming connection requests.

The TCP.API.LISTEN parameter allows for a list of IP address and port number combinations to support multiple addresses, including IPv6.

For each server in a Sterling Connect:Direct Plex environment, override the global initialization parameter by specifying the TCP.API.LISTEN parameter in that server's local initialization parameters. The first address defined in the parameter becomes the local or default address.

There is no default for TCP.API.LISTEN if the parameter is not specified. If the parameter is specified with an address only, the port can default. The default port for TCP.API.LISTEN is 1363.

The syntax for the TCP.API LISTEN parameter is similar to the following example:

```
TCP.API.LISTEN = ( (addr1 , port1) , (addrn , portn) )
```

In the example, addr1 through addrn is specified as either the word ANYADDR or ANYADDR6, a TCP hostname or a specific IP address. Also, port1 through portn is specified as a single port number. The following example demonstrates this specification:

```
TCP.API.LISTEN =(MWSA , 1363) /* establish single API listen
```

Value	Description
ANYADDR6	When you specify ANYADDR6, 0::0 or (::), both IPv4 and IPv6 connection requests are accepted through this listen. To define a single listen task to accept IPv4 and IPv6 requests, specify the TCP.API.LISTEN parameter as follows.  TCP.API.LISTEN=(ANYADDR6,1363)

Modifiable through MODIFY INITPARMS command: NO

---

## **TCP.API.TIMER = 00:00:00 | hh:mm:ss**

This parameter specifies the maximum time of session inactivity a TCP/IP connected IUI or API session waits before timing out and exiting. The default value of 00:00:00 indicates that no timer is used. The effective range is 00:20:00–02:00:00 (20 minutes–2 hours).

If you are using Sterling Control Center to monitor your Sterling Connect:Direct for z/OS server, set this value to at least twice the value of the Monitor Rest Time setting in Sterling Control Center.

**Note:** It is recommended that you set the value for the TCP.API.TIMER parameter to at least one minute greater than the wait timeout value set for IBM system management facilities (SMF) to avoid problems when restoring migrated data sets. For information on the TIMEOUT parameter, see *Signing On to Sterling Connect:Direct for z/OS* in *IBM Sterling Connect:Direct for z/OS User Guide*.

Modifiable through MODIFY INITPARMS command: YES

---

## **TCP.CONNECT.TIMEOUT= 0 | number of seconds**

This parameter defines the length of time in seconds that a non-DTF interface (Sterling Control Center, Sterling Connect:Direct Browser User Interface, TELNET, port scanners etc) will be allowed to linger when an attempt is made to connect to the TCP.LISTEN port rather than the TCP.API.LISTEN port.

The default value is 30.

Modifiable through MODIFY INITPARMS command: YES

---

## **TCP.FMH.TIMER=hh:mm:ss**

This parameter defines the length of time in hours, minutes, and seconds that the TCP session can be inactive waiting on a Sterling Connect:Direct FMH to be received from the remote node before the TCP session is terminated.

The default value is 00:10:00. For a Sterling Connect:Direct Run Task step, the timer value does not apply.

The Sterling Connect:Direct Process is placed in the Timer Retry queue and retried after the amount of time specified by the WTRETRIES initialization parameter has elapsed. However, if the session times out a second time, the Process is placed in Held in Error status and remains in the Hold queue to give you an opportunity to analyze the problem to prevent this Process from retaining TCP resources.

Care should be taken in specifying these timer values in that Sterling Connect:Direct Processes could perform differently than expected due to the session being prematurely terminated.

Use this parameter only when necessary, and when you do, set it to an extremely high value. It is difficult to estimate how much time it takes, for example, for a file to be allocated by means of a manual tape mount. Through trial and error, you should be able to select an appropriate value for this parameter.

Modifiable through MODIFY INITPARMS command: NO

---

## TCP.LISTEN = ((addr , port) , (addrn , portn))

Use this parameter to define up to 64 different address and port combinations for each server for incoming connection requests.

The TCP.LISTEN parameter allows for a list of IP address and port number combinations to support multiple addresses, including IPv6.

For each server in a Sterling Connect:Direct Plex environment, override the global initialization parameter by specifying the TCP.LISTEN parameter in that server's local initialization parameters. The first address defined in the parameter becomes the local or default address.

There is no default for TCP.LISTEN if the parameter is not specified. If the parameter is specified with an address only, the port can default. The default port for TCP.LISTEN is 1364.

The syntax for the TCP.LISTEN parameter is similar to the following example:

```
TCP.LISTEN = ( (addr1 , port1) , (addrn , portn) )
```

In the example, addr1 through addrn is specified as either the word ANYADDR or ANYADDR6, a TCP hostname or a specific IP address. Also, port1 through portn is specified as a single port number. The following example demonstrates this specification:

```
TCP.LISTEN = ((MWSA , 1364),          - /* using DNS for address & Default  
              (10.20.129.3 , 4100),    - /* specific address, specific port  
              (10.20.129.3 , 4101))
```

Value	Description
ANYADDR6	When you specify ANYADDR6, 0::0 or (::), both IPv4 and IPv6 connection requests are accepted through this listen. To define a single listen task to accept IPv4 and IPv6 requests, specify the TCP.LISTEN parameter as follows.  TCP.LISTEN=(ANYADDR6,1364)

Modifiable through MODIFY INITPARMS command: NO

---

## TCP.RUNTASK.TIMER = hh:mm:ss

The TCP.RUNTASK.TIMER initialization parameter defines the length of time in hours, minutes, and seconds that the PNODE will wait for the RUN TASK on the SNODE to complete before the TCP session is terminated. The default value of 00:00:00 indicates that no timer is used. This timer value only applies to a Run Task function when the RUNTASK is being performed on the SNODE.

Modifiable through MODIFY INITPARMS command: NO

---

## TCP.SRC.PORTS

This parameter specifies a destination IP address (or multiple addresses) and the source ports associated with the destination addresses. The values in this parameter are loaded into a table that Sterling Connect:Direct uses to find a match when establishing a session. It is available only for z/OS nodes using TCP=OES.

The syntax for this parameter is as follows:

```
TCP.SRC.PORTS = (ip.address,port-ranges), (ip.address2,port1,port2), -  
                (ip.address3,port-ranges)  
TCP.SRC.PORTS = (ip.address/submask,port-ranges), ...  
TCP.SRC.PORTS = (ip.address/0xFFFFFFFFFFFFFFFF,ports,ranges)
```

Use a wildcard character [\* or 0 (zero)] to define a destination IP address pattern. The wildcards must be in the least significant positions.

You can add an optional subnet mask for the destination IP address, followed by the source port number and/or range of port numbers for the destination IP address.

For IPv4, valid subnet mask values are:

- Dotted quad notation, such as 255.255.0.0
- Hexadecimal notation, such as 0xfffff00

For IPv4 address specification, the ip.address can be fully qualified such as 199.1.1.1, or a generic address such as 199.1.\*

You cannot use a subnet mask if you use wildcards in the destination IP address pattern.

Specify the range of source ports from lowest port number to highest port number order. For example, 1025–2000 is valid, whereas 2000–1025 is invalid. The source port numbers must be between 1025–65535, inclusive.

**Note:** The number of source ports defined must be sufficient to handle the number of concurrent Sterling Connect:Direct sessions. If not, performance can be severely affected.

Following is an example.

```
TCP.SRC.PORTS = (199.2.4.*, 5000-5050), -  
                (199.2.4.7, 1376), -  
                (200.200.4.4/255.255.2.4, 2000-2100, 3000-3100), -  
                (138.16.*.*, 2000-2050, 3000-3050, 4001, 4005)
```

For IPv6 specification, the ip.address is specified in IPv6 format with colons. It can be fully qualified such as 1:2:3:4:5:6:7:8 or a generic address 1:2:3:4:\*. Generic specification of the IPv6 ip.address cannot use the shortcut specification. For example, 1111:0:0:0:6666:7777:8888 can be specified as 1111::6666:7777:8888 as a fully qualified name. However, 1111::6666:\* is not allowed because there is no way to know how many zeros have been eliminated.

For IPv6, valid subnet mask values are:

- Hexadecimal notation, such as 0xFFFFFFFFFFFFFFFFFFFFFFFF00000000

**Note:** If the specification of an IPv6 address, mask and ports takes more than one line, split at the slash dividing the ip.address from the submask.

The following example demonstrates how to specify an IPv6 address, mask and ports over more than one line:

```
TCP.SOURCE.PORTS=(1111:2222:3333:4444:5555:6666:7777:8888/ -  
0xFFFFFFFFFFFFFFFFFFFFFFFF00000000,02000-03000,03500), -  
(199.1.1.2/255.255.0.0,04000-05000,05500)
```

Modifiable through MODIFY INITPARMS command: NO

---

## TCP.SRC.PORTS.LIST.ITERATIONS = number of scans

This parameter specifies the number of times that Sterling Connect:Direct scans the available ports list to attempt a connection before going into a retry state. Use any value between 0 and 255.

Modifiable through MODIFY INITPARMS command: NO

---

## TCP.TIMER = wait time

This parameter specifies the number of seconds that a Process waits on a TCP or UDT data read before the Process is cancelled and put in timer retry status.

The number can range from 0–32767. The default value is 300.

Use a value of 60 or greater to keep Processes from waiting indefinitely because of a lost connection.

This parameter can have the following impacts on Processes:

1. Setting the parameter to 0 causes a Process to become stranded and requires you to manually requeue and restart the Process.
2. Setting this parameter to a high value (such as 1800 [a half-hour] or 3600 [an hour]) to allow long running tasks to complete prevents any shutdowns during that time. Also, long running tasks tie up communications links and associated resources for the time period. System resources are used more efficiently by breaking a task into smaller units, with a RUN JOB submitting the long running Process.

Modifiable through MODIFY INITPARMS command: NO

---

## TCQ = WARM | COLD

This parameter specifies how the TCQ is initialized.

Value	Description
WARM	Sterling Connect:Direct uses the TCQ as it exists.
COLD	Sterling Connect:Direct reinitializes the TCQ and any Processes left on the TCQ are lost.

Modifiable through MODIFY INITPARMS command: NO

---

## TCQ.THRESHOLD = NO | YES | nn

This parameter specifies how Sterling Connect:Direct issues warning messages as the TCQ reaches a defined capacity. Use the TCQ.THRESHOLD parameter to identify when to delete Processes in the PR queue, to make space available for newly submitted Processes.

Value	Description
No	Indicates that a warning message is not produced when control intervals (CIs) reach a certain level on the TCQ file. A message is issued when the TCQ is completely full. This value is the default.
Yes	Indicates that a warning message is issued when the TCQ becomes 90% full. The message is reissued when the percentage changes but remains above 90% full.
nn	Allows you to specify a 2-digit percentage of the number of VSAM file control intervals used on the TCQ file. When the TCQ reaches this percentage, Sterling Connect:Direct issues a message. The message is reissued when the percentage changes and remains above the percentage specified here. The range for percentage value is 0 through 99. <b>Note:</b> A value of 0 is the equivalent of the default <u>NO</u> value, that is, no SPQL002I warning message is produced; only when the TCQ is completely full is a message issued.

Processes on the PR queue are automatically deleted on a space-needed basis at non-ESF SUBMIT time, thus not affecting the TCQ.THRESHOLD.

Modifiable through MODIFY INITPARMS command: YES

---

## THIRD.DISP.DELETE = YES | NO

The PNODE setting for the THIRD.DISP.DELETE= parameter controls how Sterling Connect:Direct processes the value of the third subparameter of the (TO)DISP= parameter during a COPY operation when an ABEND occurs in the COPY step on the receiving node.

Value	Description
YES	Enables the third disposition delete feature.
NO	Disables this feature.

The following table describes the conditions under which THIRD.DISP.DELETE=YES is enforced and the result it produces.

This processing occurs	When all of these conditions are present
The data set on the receiving node is deleted.	ABEND occurs in the COPY step on the receiving node (SNODE).
	THIRD.DISP.DELETE=YES.
	Third subparameter of the TO(DISP) parameter is not defined, or is defined as DELETE.
	Third subparameter of the TO(DISP) parameter is not defined as KEEP or CATLG.



This processing occurs	When all of these conditions are present
	The destination output file does not exist, that is, the COPY statement creates a file, which means that if RPL is specified, there is no file to replace.
	The DISP parameter of the TO side of the COPY statement is set to one of the following options: <ul style="list-style-type: none"> <li>• DISP=(NEW,CATLG)</li> <li>• DISP=(NEW,CATLG,DELETE)</li> <li>• DISP=(NEW,KEEP)</li> <li>• DISP=(NEW,KEEP,DELETE)</li> <li>• DISP=(RPL,CATLG)</li> <li>• DISP=(RPL,CATLG,DELETE)</li> <li>• DISP=(RPL,KEEP)</li> <li>• DISP=(RPL,KEEP,DELETE)</li> </ul>
	The Process is ineligible for REQUEUE because one of the following is true: <ul style="list-style-type: none"> <li>• Checkpointing is turned OFF.</li> <li>• REQUEUE=NO is specified in the Process statement or as an initialization parameter.</li> </ul>

When THIRD.DISP.DELETE=NO is set, the following processing occurs:

- Sterling Connect:Direct does not apply the third subparameter value, even if it is set to DELETE in the Process itself.
- Sterling Connect:Direct uses the value set for the second subparameter of the TO(DISP) parameter as the value for the third subparameter. For example, if the second subparameter is set to CATLG, the third subparameter is treated as if CATLG were specified.

**CAUTION:**

**When you create GDG data sets using relative numbering, for example, DATA.SET.NAME(+1), you must specify CATLG as the third subparameter of the TO(DISP) parameter. If you fail to do so, a RETRY failure occurs. For example, you can specify DISP=(NEW,CATLG,CATLG) or DISP=(,CATLG,CATLG).**

Modifiable through MODIFY INITPARMS command: YES

## **TRACE.BUFFER = nnn | 2**

This parameter specifies how much space in 64-bit storage is allocated for tracing. By default, two megabytes are allocated for the in-storage wrap trace buffer. To turn off in-storage tracing, specify TRACE.BUFFER=0.

Modifiable through MODIFY INITPARMS command: NO

---

## TRANS.SUBPAS = YESNO

This parameter specifies whether the submitter password is sent to the receiving node if the receiving node submits within a Process back to the submitting node.

Value	Description
YES	The submitter password is sent to the receiving node
NO	The submitter password is not sent to the receiving node

Modifiable through MODIFY INITPARMS command: YES

---

## UDP.SRC.PORTS

This parameter specifies a destination IP address (or multiple addresses) and the source ports associated with the destination addresses to use when making UDT connections. The values in this parameter are loaded into a table that Sterling Connect:Direct uses to find a match when establishing a session.

The syntax for this parameter is as follows:

```
UDP.SRC.PORTS = (ip.address,port-ranges), (ip.address2,port1,port2), -  
                (ip.address3,port-ranges)
```

Use a wildcard character [\* or 0 (zero)] to define a destination IP address pattern. The wildcards must be in the least significant positions.

Specify the range of source ports from lowest port number to highest port number order. For example, 1025–2000 is valid, whereas 2000–1025 is invalid. The source port numbers must be between 1025–65535, inclusive.

**Note:** The number of source ports defined must be sufficient to handle the number of concurrent Sterling Connect:Direct sessions. If not, performance can be severely affected.

Modifiable through MODIFY INITPARMS command: NO

---

## UDP.SRC.PORTS.LIST.ITERATIONS = number of scans

This parameter specifies the number of times that Sterling Connect:Direct scans the available ports list to attempt a UDT connection before going into a retry state. Use any value between 0 and 255.

Modifiable through MODIFY INITPARMS command: NO

---

## UDT = YESNO

This parameter specifies whether UDT connection modules are loaded during initialization.

**CAUTION:** When YES is specified, a JCL override is required for the Manager and Server tasks as described in Chapter 22, “Using the UDT Protocol,” on page 405.

Value	Description
YES	Loads modules for the UDT connections during initialization.
NO	Causes no modules for UDT connections to load during initialization.

Modifiable through MODIFY INITPARMS command: NO

---

## **UDT.MAXPROCESS = 2 | number of executing UDT Processes**

This parameter specifies the maximum number of concurrent UDT processes. Value range 1 to 512. Default is 2.

### **CAUTION:**

**Although 512 is the maximum valid value for this parameter, you should set UDT.MAXPROCESS to a value much lower than the MAXPROCESS initialization parameter setting. The higher you set the UDT.MAXPROCESS parameter, the greater the negative impact on UDT performance.**

Modifiable through MODIFY INITPARMS command: NO

---

## **UDT33.LISTEN = ((ipaddr,port)[,(ipaddr,port)...])**

Use this parameter to define up to eight different address and port combinations for each server for incoming connection requests.

The UDT33.LISTEN parameter allows for a list of IP address and port number combinations to support multiple addresses, including IPv6.

For each server in a Sterling Connect:Direct Plex environment, override the global initialization parameter by specifying the UDT33.LISTEN parameter in that server's local initialization parameters. The first address defined in the parameter becomes the local or default address.

The default port for UDT33.LISTEN is 1366.

Value	Description
ANYADDR6	When you specify ANYADDR6, 0::0 or (::), both IPv4 and IPv6 connection requests are accepted through this listen. To define a single listen task to accept IPv4 and IPv6 requests, specify the UDT33.LISTEN parameter as follows.  UDT33.LISTEN=(ANYADDR6,1364)

Modifiable through MODIFY INITPARMS command: NO

---

## **UPPER.CASE = YESNO**

This parameter specifies whether initialization messages sent to the console are displayed in uppercase letters.

Value	Description
YES	Initialization messages sent to the console are displayed in uppercase letters

Value	Description
NO	Initialization messages sent to the console are displayed in uppercase and lowercase letters

**Note:** You must define this parameter on the execution parameter overrides to ensure that all initialization messages are displayed in uppercase letters.

Modifiable through MODIFY INITPARMS command: NO

## **V2.BUFSIZE = (maximum transmission buffer size, TCP/IP send/receive buffer size)**

The first positional parameter specifies the default maximum buffer size that Sterling Connect:Direct uses for LU6.2 and TCP/IP data transmission. Valid values range from 3712–256K.

The second positional parameter is used to alter the TCP/IP send and receive buffer sizes within TCP/IP. The maximum value is 256K. The minimum value is set by TCPCONFIG in the TCP/IP PROFILE data set. If a value is specified that is lower than the TCPCONFIG value, the TCPCONFIG value is used. The default of the second parameter is double the first parameter, unless this is lower than the value set by TCPCONFIG in the TCP/IP stacks PROFILE data set. For example, TCPCONFIG TCPSENDBFRSIZE 64K TCPRCVBFRSIZE 64K in the TCP/IP PROFILE would set the default to 64 KB. This default value of 64K would be used unless the first parameter is greater than 32 KB, or the second parameter is greater than 64 KB.

The default is V2.BUFSIZE=(32K,128K)

**Note:** If the TCP stack size does not override Sterling Connect:Direct's defaults, the default values would be as if V2.BUFSIZE=(4K,8K) was coded.

In general terms, the second positional parameter should be at least the same and not less than the first parameter and should be big enough to handle the largest V2.BUFSIZE override from the netmap. A good common practice is to have the second parameter be a multiple of the first parameter and at least twice or more than the first parameter.

Also, note that the first positional parameter can be overridden in the ADJACENT.NODE entry in the netmap using the BUFFER.SIZE parameter. For details, see BUFFER.SIZE=V2.buffer override.

Modifiable through MODIFY INITPARMS command: NO

## **WTMESSAGE = NO | YES | (YES,nnn)**

This parameter specifies whether a WTO message is generated when a Process is placed on the timer retry queue.

Value	Description
YES	Specifies that message SVTM110I is written to the console each time a Process is placed on the timer queue.

Value	Description
NO	Specifies that message SVTM110I is not written to the console each time a Process is placed on the timer queue.
(YES,nnn)	Specifies the number of times the SVTM110I messages are written to the console. Valid value for nnn is 1–512. The default is 1, which specifies that the message is written every time. If you specify 2, every second message is displayed, and so on.

Sterling Connect:Direct uses this parameter with the MAXRETRIES initialization parameter when attempting to establish a lost session.

Modifiable through MODIFY INITPARMS command: YES

---

## WTRETRIES = hh:mm:ss | 00:03:00

This parameter specifies the amount of time between attempts to reestablish a node-to-node session. The default is 00:03:00. Sterling Connect:Direct uses this parameter with the MAXRETRIES initialization parameter when trying to establish a lost session.

Modifiable through MODIFY INITPARMS command: YES

---

## XCF.NAME = XCF group name

This parameter specifies a unique name for a Sterling Connect:Direct/Plex environment, or for a Sterling Connect:Direct/Stand-alone Server (including the standby system) using extended recovery. This parameter is not needed in a Sterling Connect:Direct/Stand-alone Server that does not use extended recovery.

XCF group names cannot begin with the letters “A” through “J” or with the letters “SYS” because these are reserved by IBM. You cannot modify this parameter through the MODIFY INITPARMS command.

Modifiable through MODIFY INITPARMS command: NO

---

## ZIIP.EXTCOMP.DATASIZE.THRESHOLD = data\_size

Value	Description
data_size	Defines the minimum data size threshold. Valid values: acceptable range is 1024 to 65536. Default is 4096. If the data being compressed is below the threshold, compress will not offload.

---

## ZEDC = ONIOFF

This parameter allows you to enable or disable the support for the zEDC feature.

Value	Description
ZEDC = ON	Enables the support within Sterling Connect:Direct® for the zEDC accelerator for all record formats.
ZEDC = OFF	Disables the support within Sterling Connect:Direct® for the zEDC accelerator. Default value.

---

## ZEDC.EXCLUDE = (list\_of\_recfm\_exemptions)

Value	Description
ZEDC = OFF	<p>Defines a list of record formats (RECFMs) to exclude from eligibility for the zEDC hardware. Valid values: acceptable values for the list of RECFM are U, V, VB, F, FB, D, and DB. V, F and D will exclude all unblocked RECFMs beginning with that character, while VB, FB and DB will exclude all corresponding blocked RECFMs. Connect:Direct will not attempt to use the zEDC accelerator for these record formats, instead the ZLIB software compression is used.</p> <p><b>Note:</b> VSAM files are treated as VB files.</p> <p>Examples:</p> <p>ZEDC.EXCLUDE=(V,VB) - Exclude all Variable formats ZEDC.EXCLUDE=(V,F) - Exclude Variable Unblocked and Fixed Unblocked formats ZEDC.EXCLUDE=(U) - Exclude Undefined formats</p>

---

## ZFBA = YES|NO

This parameter allows you to enable or disable the support for the zFBA feature. For more information, see *Using zFBA for File Transfer*.

Value	Description
YES	Enables the zFBA feature within the Connect:Direct Server. Modifiable through MODIFY INITPARMS command : YES
NO	Disables the zFBA feature within the Connect:Direct Server. This is the default value.

---

## ZIIP = NONE | EXTCOMP | SSLTLS | ALL | PROJECT

This parameter enables you to specify the setting for the zIIP Exploitation Feature. For more information, see “zIIP Exploitation Feature” on page 289.

Subparameter	Description
<u>NONE</u>	The default. No CPU time is offloaded to a zIIP and Sterling Connect:Direct continues to operate as it has for all versions prior to Release 5.1.1.
EXTCOMP	Schedules SRBs to offload COPY step extended (ZLIB) compression and decompression CPU time to a zIIP.
SSLTLS	Schedule SRBs to offload COPY step SSL and TLS data encryption and decryption (not the handshake) CPU time to a zIIP.
ALL	Schedules SRBs to offload COPY step SSL and TLS data encryption and decryption (not the handshake) and extended (ZLIB) compression and decompression CPU time to a zIIP.

Subparameter	Description
PROJECT	<p>Same as ALL, except the SRBs are always dispatched to a general purpose (CP) processor, not a zIIP processor. This allows you to project C:D zIIP usage (rather than use the zIIP) when you have a zIIP processor online. If no zIIP processor is online, there is no difference between PROJECT and ALL.</p> <p><b>Note:</b> The PROJECT setting requires that you set the IEAOPTxx parameter, PROJECTCPU to YES. For more information, see IEAOPTxx (OPT parameters) in MVS Initialization and Tuning Reference (SA22-7592-21 or <a href="http://publib.boulder.ibm.com/infocenter/zos/v1r12/index.jsp?topic=/com.ibm.zos.r12.ieae200/ieaopt.htm">http://publib.boulder.ibm.com/infocenter/zos/v1r12/index.jsp?topic=/com.ibm.zos.r12.ieae200/ieaopt.htm</a>)</p>

Modifiable through MODIFY INITPARMS command: NO

---

## Sterling Connect:Direct System File Initialization Parameters

The following section identifies the initialization parameters for Sterling Connect:Direct system files. You must specify each initialization parameter. These initialization parameters are considered global parameters in a Sterling Connect:Direct/Plex environment.

### **AUTHDSN = dsn**

This parameter specifies the file name of the Sterling Connect:Direct VSAM Authorization file. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

### **CKPTDSN = dsn**

This parameter specifies the file name of the Sterling Connect:Direct VSAM Checkpoint/restart file. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

### **MSGDSN = dsn**

This parameter specifies the file name of the Sterling Connect:Direct VSAM Message file. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

### **NETDSN = dsn**

This parameter specifies the file name of the Sterling Connect:Direct VSAM network map file. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

### **STAT.ARCH.DIR = archive directory file name**

This parameter specifies the data set name of the directory of statistics archive files. Use the directory to maintain information about the files containing archived statistics records. This information includes the date/time range covered by the records in each file, and is useful in locating the archive file containing records for a specific date/time. When you omit this parameter, the archive directory functions are unavailable. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO

## STAT.DSN.BASE | STAT.FILE.PAIRS

The STAT.DSN.BASE parameter specifies the high-level qualifiers for the statistics files cluster names. Use any valid z/OS data set name qualifiers for this parameter. The high-level qualifier can range from 1–37 characters. The syntax for this parameter is as follows:

```
STAT.DSN.BASE = dsname base
```

The STAT.FILE.PAIRS parameter indicates the number of file pairs to use. You must specify at least two file pairs. The number of file pairs ranges from 2–20. The syntax for this parameter is as follows:

```
STAT.FILE.PAIRS = number
```

The two parameters specify the statistics file pair list. During DTF initialization, Sterling Connect:Direct uses these two values to develop the data set names for the statistics files. The low-level qualifier, ESDSnn, is added to the base data set name to form the names of the ESDS clusters. In ESDSnn, nn is the number that identifies the position of the file pair in the list. Sterling Connect:Direct uses KSDSnn as the qualifier to form the names of the KSDS clusters.

The following example uses both STAT.DSN.BASE and STAT.FILE.PAIRS to specify the statistics file pair list.

```
STAT.DSN.BASE = CD.STATS          /* STATISTICS DSNAME BASE */  
STAT.FILE.PAIRS = 3              /* NUMBER OF PAIRS      */
```

The example in the previous figure generates the following file pair list.

```
CD.STATS.ESDS01    /* FIRST FILE PAIR ... ESDS */  
CD.STATS.KSDS01    /* FIRST FILE PAIR ... KSDS */  
CD.STATS.ESDS02    /* SECOND FILE PAIR ... ESDS */  
CD.STATS.KSDS02    /* SECOND FILE PAIR ... KSDS */  
CD.STATS.ESDS03    /* THIRD FILE PAIR ... ESDS */  
CD.STATS.KSDS03    /* THIRD FILE PAIR ... KSDS */
```

Modifiable through MODIFY INITPARMS command: NO

## TYPEDSN = dsn

This parameter specifies the file name of the Sterling Connect:Direct VSAM Type file. No default exists for this parameter.

Modifiable through MODIFY INITPARMS command: NO



---

## Chapter 21. Local Initialization Parameters

Local initialization parameters are only used in a Sterling Connect:Direct/Plex environment. They only apply to a specific member of the Sterling Connect:Direct/Plex. Each Sterling Connect:Direct/Plex member must have its own local initialization parameter member with the CDPLEX.MANAGER=YES|NO parameter as the first statement in the member.

You can override any initialization parameter that is allowed in the local initialization parameters member by using the PARM= keyword in the EXEC statement. Local parameters override any global parameter setting; however, the global setting is used if a local parameter is not specified. Some parameters do not apply to all members of the CDPLEX. For example, MAXPROCESS, MAXSECONDARY, and MAXPRIMARY set no process limit for the CDPLEX manager. Likewise, MAXUSER has no purpose for a CDPLEX server, as it does not support API sessions.

### Local and Global Initialization Parameters

The following local initialization parameters are also global initialization parameters. See the description for the corresponding Global Initialization Parameter for a description of these parameters:

- “CTCA = NO | YES” on page 347
- “CTCA.TIMER = number of seconds” on page 347
- “DEBUG = nnnnnnnn” on page 348
- “MAXPRIMARY = number of PNODE sessions” on page 359
- “MAXSECONDARY = number of SNODE sessions” on page 360
- “MAX.TAPE = number of tape Processes | NONE” on page 361
- “QUIESCE = YES | NO” on page 369
- “SECURITY.EXIT | SECURITY” on page 375
- “SNA = YES | NO” on page 376
- “STAT.INIT = WARM | COLD” on page 379
- “STATISTICS.EXIT = modname | (modname[MANAGER | SERVER | BOTH])” on page 382
- “TCP = OES | NO” on page 385
- “TCP.API.LISTEN = ((addr , port) , (addrn , portn))” on page 385
- “TCP.LISTEN = ((addr , port) , (addrn , portn))” on page 387
- “TCP.TIMER = wait time” on page 389
- “TCQ = WARM | COLD” on page 389
- “TRACE.BUFFER = nnn | 2” on page 391
- “UDT = YES|NO” on page 392
- “UDT.MAXPROCESS = 2 | number of executing UDT Processes” on page 393
- “UDT33.LISTEN = ((ipaddr,port)[,(ipaddr,port)...])” on page 393
- “UPPER.CASE = YES|NO” on page 393
- “V2.BUFSIZE = (maximum transmission buffer size, TCP/IP send/receive buffer size)” on page 394
- “ZFBA = YES|NO” on page 396

- “ZIIP = NONE | EXTCOMP | SSLTLS | ALL | PROJECT” on page 396

---

## CDPLEX.INITPARM.BACKUP = member

This parameter specifies the name of the data set member to be used as a backup of the local initialization parameter member if Sterling Connect:Direct cannot start up successfully after initparm updates have been applied. To ensure that this backup member contains valid initialization parameters, this member is written to after initialization is completed successfully.

Modifiable through MODIFY INITPARMS command: NO

---

## CDPLEX.MANAGER = NO | YES

This parameter indicates whether this Sterling Connect:Direct/Plex member is a Sterling Connect:Direct/Manager or a Sterling Connect:Direct/Server and must be the first statement in all local initialization parameter members. Only one Sterling Connect:Direct/Manager is allowed in a Sterling Connect:Direct/Plex.

Value	Description
YES	Indicates that this Sterling Connect:Direct/Plex member is a Sterling Connect:Direct/Manager or a Sterling Connect:Direct/Server.
NO	Indicates that this Sterling Connect:Direct/Plex member is not a Sterling Connect:Direct/Manager or a Sterling Connect:Direct/Server. This is the default.

Modifiable through MODIFY INITPARMS command: NO

---

## CDPLEX.MSGID = NONE | xx

This parameter specifies two characters that identify the Sterling Connect:Direct/Plex member. These characters display after the message number in messages sent to a console operator. They enable the operators to identify the message source.

Following is an example of the message display if the CDPLEX.MSGID value is set to S1. The two character identifier is highlighted in this example.

SVTM055I	S1	SESSION (001)	ESTABLISHED WITH	SNODE=SC.DUB.TPYLA2
SVTM055I	S1	SESSION (001)	ESTABLISHED WITH	PNODE=SC.DUB.TPYLA2
SVTM036I	S1	PROCESS STARTED	MVSMVST3(	1) PNODE=SC.DUB.TPYLA2
SVTM036I	S1	PROCESS STARTED	MVSMVST3(	1) SNODE=SC.DUB.TPYLA2

If your site uses automated operations monitoring and you use this parameter to identify Sterling Connect:Direct/Plex members, you may need to revise your monitoring program because of the change in message format. In this case, you may want to leave this parameter at NONE.

Modifiable through MODIFY INITPARMS command: NO

---

## CDPLEX.PLEXCLASSES = (\*,plexclass,...,plexclass)

This parameter specifies which PLEXCLASSES are supported by the Sterling Connect:Direct/Server. You can restrict a Sterling Connect:Direct/Server to run only jobs in the specified PLEXCLASSES. An asterisk (\*) indicates that the Sterling Connect:Direct/Server supports any Process that does not specify a PLEXCLASS or specifies a PLEXCLASS of '\*'.

If you specify CDPLEX.PLEXCLASSES, you must explicitly specify '\*' as its value to run any Processes with a Plexclass of '\*' on that Sterling Connect:Direct/Server.

The PLEXCLASS name is 1–8 characters long and up to 8 classes may be specified.

Modifiable through MODIFY INITPARMS command: NO

---

## CDPLEX.REDIRECT

This parameter is used by the Sterling Connect:Direct Plex Manager in the Sterling Connect:Direct Plex environment to determine the redirection address that is presented to the remote node. It allows you to specify redirection addresses based on the security node type (internal or external) and session type (TCP or UDT33) of the adjacent node in the network map for the Sterling Connect:Direct Plex Servers within the local initialization parameters.

The syntax for this parameter is as follows:

```
CDPLEX.REDIRECT = ((INT_IPv4,EXT_IPv4),(INT_IPv6,EXT_IPv6),
(INT_UDT_IPv4,EXT_UDT_IPv4),(INT_UDT_IPv6,EXT_UDT_IPv6))
```

The CDPLEX.REDIRECT parameter is a local initialization parameter for servers only, and defaults to the first IPv4 or IPv6 address indicated for the server.

The following shows an example of the syntax for the CDPLEX.REDIRECT parameter followed by an example with actual TCP/IP and UDT addresses and port numbers.

```
CDPLEX.REDIRECT=((INT TCP IPV4 , PORT),(EXT TCP IPV4 , PORT ) -
                (INT TCP IPV6 , PORT),(EXT TCP IPV6 , PORT ) -
                (INT UDT IPV4 , PORT),(EXT UDT IPV4 , PORT ) -
                (INT UDT IPV6 , PORT),(EXT UDT IPV6 , PORT ) )
```

```
CDPLEX.REDIRECT=((10.20.201.2,4199),(199.1.22.333,4199) -
                (FD00::22CE:0:0:0:82,4299),(FD00::22CE:1:2:3:99,4299) -
                (10.20.201.2,4399),(199.1.22.333,4399) -
                (FD00::22CE:0:0:0:82,4499),(FD00::22CE:1:2:3:99,4499) )
```

Modifiable through MODIFY INITPARMS command: NO

---

## CDPLEX.REDIRECT.EXCEPTION = ((Mgr-IP, Ext\_Svr-IP, Ext\_Svr-port, Exception-IP, Exception-port),...)

This parameter specifies exception redirection IP addresses and ports in addition to those specified in the CDPLEX.REDIRECT parameter and will be used based on how the remote server contacts the Sterling Connect:Direct/Plex Manager. If the remote Sterling Connect:Direct server contacts the Sterling Connect:Direct/Plex Manager on the Mgr-IP listen address and the Sterling Connect:Direct/Plex Workload manager selects a Sterling Connect:Direct/Plex server whose CDPLEX.REDIRECT IP and Port matches the Ext\_Svr-IP and Ext\_Svr-port, the Exception-IP and Exception-port will be used instead of the Sterling Connect:Direct/Plex Server's CDPLEX.REDIRECT IP address and port.

This parameter is only valid for the Sterling Connect:Direct/Plex Manager.

You can use TCP/IP IPv4, TCP/IP IPv6, UDT IPv4, and UDT IPv6 addresses in the CDPLEX.REDIRECT.EXCEPTION parameter but all of the IP addresses in any given CDPLEX.REDIRECT.EXCEPTION string must be of the same type (IPv4 or IPv6). In addition, the Sterling Connect:Direct/Plex Manager must listen on specific IP or UDT addresses and not listen on ANYADDR (0.0.0.0) or ANYADDR6, for example:

```
TCP.LISTEN=((10.20.201.3,3802),(10.20.129.165,3802), -  
           (FD00:0:0:22CE::3802,3802))
```

Value	Description
Mgr-IP	Sterling Connect:Direct/Plex Manager's Listen IP address
Ext_Svr-IP, Ext_Svr-port	Sterling Connect:Direct/Plex Server's CDPLEX.REDIRECT EXternal IP address and port for the Sterling Connect:Direct/Plex server selected by Workload Manager for this Process
Exception-IP, Exception-port	Exception IP address and port.

The following shows an example of the syntax for the CDPLEX.REDIRECT.EXCEPTION parameter where:

- If the remote node contacts the Sterling Connect:Direct/Plex Manager on 10.20.129.165 and the selected Sterling Connect:Direct/Plex Server redirects to 10.20.201.3 port 3811, then 10.20.129.162 port 3811 will be used instead.
- If the remote node contacts the Sterling Connect:Direct/Plex Manager on FD00:0:0:22CE::3802 and the Sterling Connect:Direct/Plex Server redirects to FD00:0:0:22CE::3803 port 4812, then FD00:0:0:22CE::3805 port 3811 will be used instead.
- Otherwise, the address and port specified in the CDPLEX.REDIRECT parameter will be used.

```
CDPLEX.REDIRECT.EXCEPTION=( -  
  ( 10.20.129.165, 10.20.201.3, 3811, 10.20.129.162, 3811) -  
  ( FD00:0:0:22CE::3802, FD00:0:0:22CE::3803, 4812, -  
    FD00:0:0:22CE::3805, 3811) )
```

Modifiable through MODIFY INITPARMS command: NO

---

## **CDPLEX.SERVER = Sterling Connect:Direct/Server name**

This parameter specifies the name of the Sterling Connect:Direct/Server. Use only for Sterling Connect:Direct/Plex members whose CDPLEX.MANAGER parameter value is NO. Each Sterling Connect:Direct/Server in the Sterling Connect:Direct/Plex must have a unique 1–8 character name. The Sterling Connect:Direct/Server name cannot be the same as the XCF.NAME name. (The XCF.NAME name is used as the Sterling Connect:Direct/Manager name).

Modifiable through MODIFY INITPARMS command: NO

---

## **CDPLEX.SERVER.JOBDSN = data set name**

This parameter specifies the data set name that contains the various jobs or started tasks that are submitted at initialization. This parameter is only valid for the Sterling Connect:Direct/Manager.

Modifiable through MODIFY INITPARMS command: NO

---

## **CDPLEX.SERVER.JOBMEM = ((member name,server name),...)**

This parameter specifies the CDPLEX.SERVER.JOBDSN member containing the JCL or start command that starts the specified Sterling Connect:Direct/Server. The member name can be up to 8 characters long.

This parameter is only valid for the Sterling Connect:Direct/Manager.

You can specify a maximum of 32 member/server name combinations.

If you want to start the Sterling Connect:Direct/Server as a started task in a JES2 environment, use one of the following to issue a start command:

- To issue a START command on the same z/OS image, include START= as the first statement in the member. The member is not submitted as JCL, but a START command is issued with the rest of the first statement.

For example, if START=HOST4100,X is the first statement, then the command START HOST4100,X is issued to z/OS.

- To issue a START command on a different z/OS image, include /\*\$VS,'command' as the first statement in the member. The member is submitted to JES, but JES identifies the /\*\$VS and issues the appropriate command to z/OS.

For example, if /\*\$VS,'RO CSGB,S CDICOMB', the member is submitted to JES and the

RO CSGB,S CDICOMB command is issued by JES to z/OS rather than placed in the job queue.

Modifiable through MODIFY INITPARMS command: NO

---

## **CDPLEX.SERVER.NODE = node name**

This parameter specifies a unique 1–16 character name for a Sterling Connect:Direct/Server. This parameter is not used for a Sterling Connect:Direct/Manager.

In a Sterling Connect:Direct/Plex, all members appear to external Sterling Connect:Direct systems as a single local node. However, if you use network map checking and an external Sterling Connect:Direct system communicates with more than one Sterling Connect:Direct/Server, you can specify a name to identify each Sterling Connect:Direct/Server.

The adjacent node's USE.SERVER.NODE network map parameter (see “Keyword Parameters for Adjacent Node Entries” on page 111) must specify that the Sterling Connect:Direct/Server use this name in the initial communications.

Modifiable through MODIFY INITPARMS command: NO

---

## **CDPLEX.TIMER = 5 | number of minutes**

This parameter specifies the time-out value for XCF communications in minutes. The valid range is 0, 5–99. Zero (0) indicates that no time-out is set for XCF communications.

Modified through MODIFY INITPARMS command: NO

---

## **CDPLEX.VTAM = (VTAM-APPL,P/S-Node-APPL)**

This parameter specifies the VTAM APPLIDs for a Sterling Connect:Direct/Server. This initialization parameter is required for a Sterling Connect:Direct/Server if SNA=YES is specified in the global initialization parameters.

Modifiable through MODIFY INITPARMS command: NO

---

## Chapter 22. Using the UDT Protocol

This section provides information for using UDT (UDP-based Data Transport) to achieve higher throughput rates for file transfers on high-speed networks with latency.

### Installation Considerations

Due to requirements for UDT, the installation procedure defines the SDGALINK data set as a PDSE with the following attributes and space allocation requirements:

- RECFM = U
- LRECL = 0
- BLKSIZE = 32760
- DSNTYPE = LIBRARY

Due to higher CPU overhead, the UDT Protocol defaults to NO. For the same reason, the Language Environment XPLINK option is defaulted to OFF. To run with UDT=YES, a JCL override is required to set XPLINK(ON) for Language Environment support. For UDT=YES, add the following DD to both Manager and Server JCL, where \$cdz matches the High Level Qualifier for the local SMP/E installed environment:

- //CEEOPPTS DD DISP=SHR,DSN=\$cdz.SDGAPARM(DGAIXPLK)

Without this override, UDT=YES causes startup to fail with the following message and ABEND:

- SABA001I - DMTCPSRU S000 U4039 ID=D STATE=\$

---

### Initialization Parameters for UDT Connections

To implement UDT connections, specify the global initialization parameter, UDT=YES, to load the UDT connection modules during initialization. If UDT= NO is specified, no Sterling Connect:Direct for z/OS Processes can run using the UDT protocol. If the PNODE is defined to use UDT with a remote node, the remote node must also be set up to accept UDT connections.

To specify the UDT listen ports and related parameters, include the following parameters in the initialization parameter file suitable for your environment:

- UDP.SRC.PORTS
- UDP.SRC.PORTS.LIST.ITERATIONS
- UDT=YES
- UDT33.LISTEN
- UDT.MAXPROCESS

#### CAUTION:

**You cannot use the same port for both TCP and UDT listens.**

**Note:** The UDT, UDT33.LISTEN and UDT.MAXPROCESS parameters can be specified as a global or local initialization parameter where in a Sterling Connect:Direct for z/OS Plex server environment, the local parameter will override the global setting.

If you have a Sterling Connect:Direct for z/OS Plex environment, you may also want to include the CDPLEX.REDIRECT parameter to specify a redirection address to be used by the Sterling Connect:Direct Plex Manager based on a UDT33 session type.

---

## Network Map Parameters

To specify UDT as the protocol to use for a particular adjacent node, specify UDT33 as the session type positional parameter. To specify UDT as the protocol used for an alternate address, specify UDT33 as the ALternate.TYPE in the ALternate.COMMinfo keyword parameter definition.

For more information on using UDT as the session type, see Positional Parameters for Adjacent Node Entries.

---

## PROCESS Statement Parameter

To specify a UDT connection not defined in the network map, you can add the value, UDT33NAM, to the SNODE parameter of the PROCESS statement.

In the example below, The CSDPERF.TESTFILE data set is being copied to the SNODE via a UDT connection, which specifies to use the IPv4 address of 10.20.129.20 along with a port value of 1363.

UDTNAM	PROC	SNODE=UDT33NAM=10.20.129.20;1363	
STEP01	COPY	FROM( PNODE	-
		DSN=CSDPERF.TESTFILE	-
		DISP=SHR )	-
		TO( SNODE	-
		DSN=CSDPERF.TESTFILE.OUTPUT	-
		DISP=(NEW,CATLG,DELETE)	

For a complete description of the SNODE parameter and how to use it in the PROCESS statement, see the Connect:Direct Process Language help.



---

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# Index

## A

ABEND.CODES.NODUMP initialization  
parameter 308, 339  
ABEND.RUNTASK initialization  
parameter 340  
ABENDs  
DTF, out-of-storage 319  
messages 310  
multiple 306  
out-of-storage 183  
Run Task 305  
ADD request  
I/O exit 206  
Address space 72, 317, 323  
ADMDSN 45  
Administrative Options Menu 1  
ALLOC.CODES initialization  
parameter 340  
ALLOC.MSG.LEVEL initialization  
parameter 341  
ALLOC.RETRIES initialization  
parameter 342  
ALLOC.WAIT initialization  
parameter 342  
ALLOCATION.EXIT initialization  
parameter 197, 342  
Alternate communication paths 119  
API  
communications 124  
events 175  
session trace 314  
signons 126  
Archiving data sets 199  
AUTH file 150  
AUTHDSN initialization parameter 397  
Authorization file 75  
SELECT USER 86  
Automatic traces 334

## B

Batch interface  
DEBUG settings 328  
DELETE TYPE 101  
DELETE USER 85  
displaying Sterling Connect  
Direct/Plex status 19  
FLUSH TASK 11  
INQUIRE APFILE 181  
INQUIRE CDPLEX 19  
INQUIRE DEBUG 328  
INQUIRE INITPARM 14  
INSERT TYPE 98  
IUI 16  
MODIFY SESSIONS 25  
resuming processing on a node 25  
SELECT TASK 7  
SELECT TYPE 103  
SELECT USER 89  
STOP CD 21

Batch interface (*continued*)  
suspending processing on a node 25  
UPDATE NETMAP 136  
UPDATE TYPE 98  
viewing initialization parameters 14  
BEGIN request  
I/O exit 206  
BSAM data transfers  
improving performance 284

## C

C option 2  
CA-TOP SECRET  
environment requirements 65  
sample source module 59  
Calling conventions  
statistics exit 185  
CDESTAE trace 334, 336  
CDLOG trace 335  
CDPLEX initialization parameter 342  
CDPLEX.INITPARM.BACKUP  
initialization parameter 400  
CDPLEX.MANAGER initialization  
parameter 400  
CDPLEX.REDIRECT initialization  
parameter 401  
CDPLEX.REDIRECT.EXCEPTION  
initialization parameter 145, 402  
CDPLEX.TIMER initialization  
parameter 343, 404  
CDPLEX.WLM.GOAL initialization  
parameter 343  
CHECK.CERT.EXPIRE initialization  
parameter 343, 344  
CHECK.CERT.EXPIRE.WARN.DAYS  
initialization parameter 344  
CKPT file 149  
CKPT initialization parameter 344  
CKPT.DAYS initialization parameter 344  
CKPT.MODE initialization  
parameter 345  
CKPTDSN initialization parameter,  
system file 397  
CLOSE request  
data exit 212  
I/O exit 207  
Close task 6  
Command sequence  
SIGNON 35  
Commands  
DELETE USER 84  
FLUSH TASK 9  
MODIFY INITPARMS 16  
SELECT STATISTICS 237  
SELECT TASK 6  
SELECT USER 86  
Communication paths  
alternate 119  
COMPRESS.EXT initialization  
parameter 345

COMPRESS.NEGO.FAIL initialization  
parameter 346  
COMPRESS.NETMAP.OVERRIDE.FAIL  
initialization parameter 346  
COMPRESS.STD initialization  
parameter 346  
CONFIRM.COLD.START initialization  
parameter 261, 347  
Control block  
definitions 202  
format 192  
modifications 202  
COPY routine trace 329  
CR option 2  
CRC initialization parameter 347  
Cross-domain signon 62  
CTCA initialization parameter 347  
CTCA.TIMER initialization  
parameter 347  
Customizing  
levels of functional authority 48

## D

Data direction restriction 62  
Data exit 209  
data transfers  
improving performance 284  
DATEFORM initialization parameter 348  
DBADSN 45  
DBCS support  
comments 276  
using SO/SI 267  
DDESCR control block format 202  
DEBUG initialization parameter 349  
DEBUG Settings  
displaying 327  
DEFAULT.PERMIS initialization  
parameter 349  
DELETE TYPE  
batch interface 101  
IUI 101  
DELETE USER  
batch interface 85  
IUI 86  
DESC.CRIT initialization parameter 349  
DESC.NORM initialization  
parameter 350  
DESC.TAPE initialization parameter 350  
DEVTRACE trace 336  
DGA\$MFLG macro 52, 53  
DGA@SM03 screen  
modifying 229  
DGASECUR  
stage 2 security exit macro 48  
DGAXPRCXT exit 216  
DGAXTRACT  
sample source module for RACF and  
CA-TOP SECRET 59  
displaying initialization parameters 14  
DMGEVENT trace 336

- DMVSOPEN trace 336
- Double-byte Character Set 267
- DSNTYPE (Type file parameter) 95
- DSNTYPE initialization parameter 350
- DT option 2
- DU option 2
- Dumps
  - batch 307
  - DTF 305
  - IUI 307
  - requirements 304
  - VSAM file 308

## E

- ECZ.COMPRESSION.LEVEL initialization parameter 350
- ECZ.MEMORY.LEVEL initialization parameter 351
- ECZ.WINDOWSIZE initialization parameter 351
- END request
  - data exit 213
  - I/O exit 207
- ESF.WAIT initialization parameter 351
- Example adjacent node
  - i5/OS 132
  - OpenVMS 131
  - Stratus VOS 132
  - UNIX 131
  - VM/ESA 130
  - Windows 131
- Execution events 175
- Exit
  - Data 209
  - I/O 204
  - Processing Exit for Testing 216
  - submit 190
  - WLM 214
- Exits
  - 31-bit addressing environments 183
  - Linkage editor attribute requirements 184
  - out-of-storage abends 183
- EXPDT initialization parameter 352
- Extended Recovery
  - setting up for a Sterling Connect:Direct/Plex 170
- Extended Recovery Facility 169
- Extended Submit Facility (ESF) scan task 6
- EXTENDED.RECOVERY initialization parameter 353
- External security node type, see Trusted node security 61, 130

## F

- File attributes 313
  - overriding 91
- File pair configuration 237
- FIPS initialization parameter 353
- FLUSH TASK 9
  - batch interface 11
  - IUI 11
- Flush tasks 2

- Functional Authority 45
- Functional authority parameter
  - ADMDSN 45
  - DBADSN 45
  - GENDSN 45
  - OPRDSNF 45

## G

- GDGALLOC initialization parameter 353
- GDGENQ initialization parameter 355
- GENDSN 45
- GET request
  - data exit 212
  - I/O exit 206
- Global initialization parameters 339

## I

- I/O Exit 204
- i5/OS adjacent node examples 132
- i5/OS SNUF 132
- IGWTRACE trace 336
- IMMEDIATE.SHUTDOWN initialization parameter 355
- Incident resolution 309
- INFO request
  - I/O exit 206
- INIT variables 232
- Initialization events 174
- Initialization parameters
  - ABEND.CODES.NODUMP 308, 339
  - ABEND.RUNTASK 340
  - ALLOC.CODES 340
  - ALLOC.MSG.LEVEL 341
  - ALLOC.RETRIES 342
  - ALLOC.WAIT 342
  - ALLOCATION.EXIT 197, 342
  - AUTHDSN 397
  - CDPLEX 342
  - CDPLEX.INITPARM.BACKUP 400
  - CDPLEX.MANAGER 400
  - CDPLEX.REDIRECT 401
  - CDPLEX.REDIRECT.EXCEPTION 145, 402
  - CDPLEX.TIMER 343, 404
  - CDPLEX.WLM.GOAL 343
  - CHECK.CERT.EXPIRE 343, 344
  - CHECK.CERT.EXPIRE.WARN.DAYS 344
  - CKPT 344
  - CKPT.DAYS 344
  - CKPT.MODE 345
  - CKPTDSN 397
  - COMPRESS.EXT 345
  - COMPRESS.NEGO.FAIL 346
  - COMPRESS.NETMAP.OVERRIDE 346
  - COMPRESS.STD 346
  - CONFIRM.COLD.START 261, 347
  - CRC 347
  - CTCA 347
  - CTCA.TIMER 347
  - DATEFORM 348
  - DEBUG 349
  - DEFAULT.PERMISS 349
  - DESC.CRIT 349

Initialization parameters (*continued*)

- DESC.NORM 350
- DESC.TAPE 350
- displaying 14
- DSNTYPE 350
- ECZ.COMPRESSION.LEVEL 350
- ECZ.MEMORY.LEVEL 351
- ECZ.WINDOWSIZE 351
- ESF.WAIT 351
- EXPDT 352
- EXTENDED.RECOVERY 353
- FIPS 353
- GDGALLOC 353
- GDGENQ 355
- global 339
- IMMEDIATE.SHUTDOWN 355
- INITPARM.BACKUP 356
- INVOKE.ALLOC.EXIT 356
- INVOKE.ALLOC.EXIT.ON.RESTART 357
- INVOKE.SPOE.ON.SNODEID 357
- MAX.AGE 261, 357
- MAX.AGE.TOD 262, 359
- MAX.TAPE 361
- MAXPRIMARY 359
- MAXPROCESS 359
- MAXRETRIES 360
- MAXSECONDARY 360
- MAXSTGIO 360
- MAXUSERS 362
- MCS.CLIST 362
- MCS.SIGNON 362
- modifying 15
- MSGDSN 397
- MULTI.COPY.STAT.RCD 362
- NETDSN 397
- NETMAP.CHECK 363
- NETMAP.CHECK.ON.CALL 364
- NODE QUIESCE.OFF 365
- NODE QUIESCE.ON 365
- NODE.TRACE.OFF 366
- NODE.TRACE.ON 366
- NON.SWAPABLE 366
- PDSE.SHARING 367
- PDSE.NQ 368
- PROCESS.RETENTION 368
- PRTYDEF 369
- QUIESCE 262, 369
- QUIESCE.NODE 369
- refreshing 15
- REMOTE.DUMMY.PASSWORD 41, 370
- REQUEUE 262, 370
- RESET.ORIGIN.ON.SUBMIT 371
- REUSE.SESIONS 371
- ROUTCDE.CRIT 372
- ROUTCDE.NORM 372
- ROUTCDE.TAPE 372
- RUN.JOB.EXIT 373
- RUN.TASK.EXIT 373
- RUNJOBID 373
- RUNTASK.RESTART 374
- S+.CMD.ENFORCE.SECURE.CONNECTION 374
- SECURE.DSN 374
- SECURE.SSL.PATH.PREFIX 374
- SECURITY.EXIT 375
- SECURITY.NOTIFY 375
- SNA 376

Initialization parameters (*continued*)

- SNMP 376
- SNMP.DSN 377
- SNMP.MANAGER.ADDR 377
- SNMP.MANAGER.PORTNUM 377
- STAT.ARCH.DIR 397
- STAT.BUFFER.ESDSDATA 378
- STAT.DSN.BASE 398
- STAT.ERROR 378
- STAT.EXCLUDE 378
- STAT.INIT 379
- STAT.QUEUE.ELEMENTS 379
- STAT.SWITCH.SUBMIT 381
- STAT.SWITCH.TIME 381
- STAT.TPREC 381
- STAT.USER 382
- STATISTICS.EXIT 382
- STRNO.MSG 383
- SUBMIT.EXIT 383
- system file 397
- TAPE.PREMOUNT 383
- TAPEMOUNT.EXIT 384
- TCP 385
- TCP.API.LISTEN 385
- TCP.API.PORTNUM 386
- TCP.CONNECT.TIMEOUT 386
- TCP.FMH.TIMER 386
- TCP.LISTEN 387
- TCP.RUNTASK.TIMER 387
- TCP.SRC.PORTS.LIST.ITERATIONS 389
- TCP.TIMER 389, 392
- TCQ 261, 389
- TCQ.THRESHOLD 262, 390
- THIRD.DISP.DELETE 390
- TRACE.BUFFERS 391
- TRANS.SUBPAS 392
- TYPEDSN 398
- UDP.SRC.PORTS 392
- UDP.SRC.PORTS.LIST.ITERATIONS 392
- UDT.MAXPROCESS 393
- UDT33.LISTEN 393
- updating 15
- UPPER.CASE 393
- V2.BUFSIZE 394
- WTMESSAGE 394
- WTRETRIES 395
- XCF.NAME 169, 395

Initialize traces 2

INITPARM.BACKUP initialization parameter 356

INQ option 3

INQUIRE APFILE

- batch interface 181
- IUI 180

INQUIRE CDPLEX

- batch interface 19
- IUI 19

INQUIRE DEBUG

- batch interface 328
- IUI 328

INQUIRE INITPARM

- batch interface 14
- IUI 15

INQUIRE STATISTICS command 238

INQUIRE TCP 124

INSERT TYPE

- batch interface 98

INSERT TYPE (*continued*)

- IUI 98

Insert/Update type record screen 98

Internal security node type, see Trusted node security 61, 370

INVOKE.ALLOC.EXIT initialization parameter 356

INVOKE.ALLOC.EXIT.ON.RESTART initialization parameter 357

INVOKE.SPOE.ON.SNODEID initialization parameter 357

IPv4 protocol 121

IPv6 protocol

- special consideration for Sterling Connect:Direct/Plex 145

IT option 1

IU option 2

IUI

- DEBUG settings 328
- DELETE TYPE 101
- DELETE USER 86
- diagnostics 332
- displaying Sterling Connect:Direct/Plex status 19
- errors 313
- FLUSH TASK 11
- INQUIRE APFILE 180
- INQUIRE CDPLEX 19
- INQUIRE DEBUG 328
- INQUIRE INITPARM 15
- INSERT TYPE 98
- MODIFY 332
- MODIFY SESSIONS 25
- removing tasks 11
- Resuming processing on a node 25
- SELECT TASK 8
- SELECT TYPE 103
- STOP CD 21
- Suspending processing on a node 25
- task 6
- traces 332
- UPDATE NETMAP 136
- UPDATE TYPE 98
- viewing initialization parameters 15

## L

Linkage editor attribute requirements

- Exit 184

Local Node as Adjacent Node 107

Local node entry 105

Local node records

- examples 127

LOGON task 6

## M

Maintain network map 3

Managing DTF tasks 6

Master task 6

MAX.AGE initialization parameter 261, 357

MAX.AGE.TOD initialization parameter 262, 359

MAX.TAPE initialization parameter 361

MAXPRIMARY initialization parameter 359

MAXPROCESS initialization parameter 359

MAXRETRIES initialization parameter 360

MAXSECONDARY initialization parameter 360

MAXSTGIO initialization parameter 360

MAXUSERS initialization parameter 362

MCS.CLIST initialization parameter 362

MCS.SIGNON initialization parameter 362

MD option 2

Message file 149

Message IDs

- defining 228
- RACF095I 72
- RACF097I 71
- SAFA002I 73
- SAFA019I 317
- SAFA020I 315
- SAFF014I 317
- SAFK000I 317
- SAFL000I 317
- SAFL010I 317
- SCBB000I 317
- SCBB001I 72, 323
- SCBC030I 72, 323
- SCBD001I 72, 323
- SCBE001I 72, 323
- SCBF001I 72, 323
- SCBF063I 72, 323
- SCBF064I 72, 323
- SCBG001I 72, 323
- SCBH001I 72, 323
- SCBI001I 72, 323
- SCBJ001I 72, 323
- SCBK005I 72, 323
- SCBL000I 317
- SCBL001I 72, 323
- SCBN001I 72, 323
- SCBO000I 317
- SCBO001I 72, 323
- SCBP000I 317
- SCBP001I 72, 323
- SCBQ000I 317
- SCBR002I 72, 323
- SCBS001I 72, 323
- SCBT005I 72, 323
- SCBU003I 72, 323
- SCBV001I 72, 323
- SCBW001I 72, 323
- SCBX000I 317
- SCBX001I 72, 323
- SCBY001I 72, 323
- SCIA011I 315
- SCPA008I 72, 323
- SFIA002I 72, 323
- SFIA003I 72, 323
- SOPA000I 317
- SOPA010I 317
- SOPA011I 317
- SOPB012I 317
- SOPE000I 317
- SOPS001I 317
- SRJA014I 72, 323

## Message IDs (*continued*)

SRTA008I 72, 323  
SSUB100I 72, 323  
STAA004I 316  
SVTB002I 314  
SVTB004I 315  
SVTC006I 317

## Message library

Adding messages 227

## Miscellaneous events 178

## MODIFY

IUI 332

Modify command 329

MODIFY INITPARMS 16

IUI 16

MSGDSN initialization parameter, system file 397

MULTI.COPY.STAT.RCD initialization

parameter 362

Multiple ABENDs

Sterling Connect

Direct for z/OS 306

## N

Native command structure 3

NDMCMDs trace 336

NETDSN initialization parameter, system file 397

NETMAP file 150

NETMAP.CHECK initialization

parameter 363

NETMAP.CHECK.ON.CALL initialization

parameter 364

Network map

contents 105

Local Node as Adjacent Node 107

local node entry 105

unloading to the source format 141

updating while Sterling

Connect:Direct is not executing 133

updating while Sterling

Connect:Direct is running 134

NM option 3

NODE.QUIESCE.OFF initialization

parameter 365

NODE.QUIESCE.ON initialization

parameter 365

NODE.TRACE.OFF initialization

parameter 366

NODE.TRACE.ON initialization

parameter 366

NON.SWAPABLE initialization

parameter 366

## O

OPEN request

data exit 212

I/O exit 206

Open task 6

OpenVMS adjacent node example 131

Operator interface task 6

OPRDSN 45

## P

Parallel session values

conversion 197

PDSE.SHARING initialization

parameter 367

PDSE.NQ initialization parameter 368

PNODE task 6

PNODE=SNODE Processing 120

Preprocessor

batch input 268

input data stream 276

JCL to execute 276

Preprocessor parameter

DBCS

description 268

DEFAULT 270

END 269

NAME 269

RULES

description 270

SBCS

description 275

TITLE 269

PROC variables 233

Process execution

sequence 37

Process exit for Testing 216

PROCESS statement

overriding security function values for all protocols 406

PROCESS.RETENTION initialization

parameter 368

PROTECTD parameter for RACF 42

PRTYDEF initialization parameter 369

PUT request

data exit 212

## Q

QUIESCE initialization parameter 262, 369

QUIESCE.NODE initialization

parameter 369

## R

RACF

errors 71

sample source module 59

Recalling data sets 199

refreshing initialization parameters 15

REMOTE.DUMMY.PASSWORD

initialization parameter 41, 370

Removing tasks

batch interface 11

IUI 11

QUEUE initialization parameter 262, 370

RESET.ORIGIN.ON.SUBMIT initialization

parameter 371

Resuming processing on a node 25

IUI 25

Retrieving data sets 199

REUSE.SESIONS initialization

parameter 371

ROUTCDE.CRIT initialization

parameter 372

ROUTCDE.NORM initialization

parameter 372

ROUTCDE.TAPE initialization

parameter 372

RPL trace 329

Run Task security exit 59

RUN.JOB.EXIT initialization

parameter 373

RUN.TASK.EXIT initialization

parameter 373

RUNJOBID initialization parameter 373

RUNTASK.RESTART initialization

parameter 374

## S

S+.CMD.ENFORCE.SECURE.CONNECTION

initialization parameter 374

secure point of entry 59

SECURE.DSN initialization

parameter 374

SECURE.SSL.PATH.PREFIX initialization

parameter 374

Security

data direction restriction 62

trusted node 61

Security During Signon Command 35

Security exit

Run Task 59

stage 1 signon 39

stage 2 40

Security exits invoked during

processing 34

security trace

starting in the IUI 325

SECURITY.EXIT initialization

parameter 375

SECURITY.NOTIFY initialization

parameter 375

Select command

output 311

SELECT PROCESS authorization 52

SELECT STATISTICS

command 311

retrieving statistics 237

SELECT TASK 2, 6

batch interface 7

IUI 8

SELECT TYPE

batch interface 103

IUI 103

SELECT USER

authorization file 86

batch interface 89

sense codes 309

Separate trace per task trace 329

Session creation TCA task 6

Session manager trace 329

Severity levels 309

Shutdown events 175

SIGNON command sequence 35

SN option 3

SNA initialization parameter 376

SNMP

API events 175



- SNMP (*continued*)
    - Execution events 175
    - initialization events 174
    - miscellaneous events 178
    - Setting up 179
    - shutdown events 175
    - STATS events 177
    - trap variables 173
    - type events 174
  - SNMP initialization parameter 376
  - SNMP.DSN initialization parameter 377
  - SNMP.MANAGER.ADDR initialization parameter 377
  - SNMP.MANAGER.PORTNUM initialization parameter 377
  - SNODE task 6
  - Source Modules 41
  - SOURCEIP netmap parameter 113, 117
  - ST option 1
  - Stage 1
    - signon security exit 39
  - Stage 2
    - security exit 40
    - security exit macro (DGASECUR) 48
  - STAT option 3
  - STAT.ARCH.DIR initialization parameter, system file 397
  - STAT.BUFFER.ESDSDATA initialization parameter 378
  - STAT.DSN.BASE initialization parameter, system file 398
  - STAT.ERROR initialization parameter 378
  - STAT.EXCLUDE initialization parameter 378
  - STAT.INIT initialization parameter 379
  - STAT.QUEUE.ELEMENTS initialization parameter 379
  - STAT.SWITCH.SUBMIT initialization parameter 381
  - STAT.SWITCH.TIME initialization parameter 381
  - STAT.TPREC initialization parameter 381
  - STAT.USER initialization parameter 382
  - Statistics
    - SELECT STATISTICS command 237
  - Statistics archive submit task 6
  - Statistics Facility 237
  - Statistics records 186
  - Statistics task 6
  - STATISTICS.EXIT initialization parameter 382
  - STATS events 177
  - Sterling Connect:Direct Process statistics 312
  - Sterling Connect:Direct/Plex
    - advanced configuration considerations 145
    - Configuration examples using one Sterling Connect:Direct for z/OS system 161
    - Converting an existing Sterling Connect:Direct Stand-alone server to a Sterling Connect:Direct/Plex 153
  - Sterling Connect:Direct/Plex (*continued*)
    - Converting two existing Sterling Connect:Direct Stand-alone server systems to a Sterling Connect:Direct/Plex 156
    - External nodes communicate with individual Sterling Connect:Direct servers 163
    - External nodes communicate with one Sterling Connect:Direct Server 162
    - local node naming considerations 150
    - setting up 145
    - system file considerations 149
  - STOP CD
    - batch interface 21
    - IUI 21
  - Stopping Sterling Connect Direct 19
  - Stratus VOS adjacent node examples 132
  - STRNO.MSG initialization parameter 383
  - SU option 2
  - Submit exit 190
  - Submit screens
    - customizing 229
  - SUBMIT.EXIT initialization parameter 383
  - Support requirements 304
  - Suppressing Dumps for Specified ABEND Codes 308
  - Suspending and resuming processing 25
  - System file initialization parameters 397
    - AUTHDSN 397
    - CKPTDSN 397
    - MSGDSN 397
    - NETDSN 397
    - STAT.ARCH.DIR 397
    - STAT.DSN.BASE 398
    - TYPEDSN 398
  - System tasks 6
- T**
- TAPE.PRE MOUNT initialization parameter 383
  - TAPE MOUNT.EXIT initialization parameter 384
  - Task management 6
  - Task status 6
  - TCP API task 6
  - TCP initialization parameter 385
  - TCP task 6
  - TCP/IP addressing 121
  - TCP/IP considerations 120
  - TCP/IP default entry 125
  - TCP.API.LISTEN initialization parameter 385
  - TCP.API.PORTNUM initialization parameter 386
  - TCP.CONNECT.TIMEOUT initialization parameter 386
  - TCP.FMH.TIMER initialization parameter 386
  - TCP.LISTEN initialization parameter 387
  - TCP.RUNTASK.TIMER initialization parameter 387
  - TCP.SRC.PORTS initialization parameter 67
  - TCP.SRC.PORTS.LIST.ITERATIONS initialization parameter 389
  - TCP.SRC.PORTS.LIST.ITERATIONS initialization parameter, system file 67
  - TCP.TIMER initialization parameter 389, 392
  - TCQ
    - File 149, 261
    - Related initialization parameters 261
    - Repair Utility 262
    - Sterling Connect Direct/Plex system file 149
  - TCQ initialization parameter 261, 389
  - TCQ.THRESHOLD initialization parameter 262, 390
  - TCQE Fields 195
  - TCX
    - File 261
  - TF option 2
  - THIRD.DISP.DELETE initialization parameter 390
  - Timer task 6
  - TOP SECRET environment requirements 65
  - TRACE.BUFFER initialization parameter 391
  - Traces
    - automatic
      - CDESTAE 334, 336
      - CDLOG 335
      - DMVSOPEN 334
      - ESTAE 334
      - LOSTOUT 336
      - NDMAPI 336
      - NDMCMDS 334
      - NDMLOG 335
      - RPLERRCK 334
  - TRANS.SUBPAS initialization parameter 392
  - Translation tables
    - customizing 268
  - Trap variables
    - identifying 173
  - Troubleshooting methods
    - running traces 324
  - Trusted node security 34, 61, 111
  - TS option 2
  - Type defaults file 1
  - Type events 174
  - TYPE file 150
  - Type keys 91
  - Type Record
    - DELETE TYPE 100
    - Insert/Update 98
  - TYPEDSN initialization parameter, system file 398
- U**
- UDP.SRC.PORTS initialization parameter 392
  - UDP.SRC.PORTS.LIST.ITERATIONS initialization parameter 392

- UDT.MAXPROCESS initialization
  - parameter 393
- UDT33.LISTEN initialization
  - parameter 393
- UNIX adjacent node examples 131
- UNM option 3
- UPDATE NETMAP
  - batch interface 136
  - IUI 136
- UPDATE TYPE
  - batch interface 98
- updating initialization parameters 15
- Updating the Network Map 133
- UPPER.CASE initialization
  - parameter 393
- User authorization file 2, 75
- User record display 89
- User tasks 6

## V

- V2.BUFSIZE initialization parameter 394
- Validation
  - functional authority 48
- View network map 3
- VM/ESA SNA LU0 adjacent node
  - example 130
- VTAM Independence 124

## W

- Windows adjacent node examples 131
- Workload Manager Exit 214
- WTMESSAGE initialization
  - parameter 394
- WTO messages 312
- WTRETRIES initialization parameter 395

## X

- XCF.NAME initialization parameter 169, 395

## Z

- ZEDC = ON parameter 395
- ZEDC.EXCLUDE parameter 396





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